

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Willett, Eileen S.	2. Court or Organization District Court- Arizona	3. Date of Report 04/23/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge: full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address US District Court, District of Arizona 401 West Washington Street, Ste. 325-SPC 13 Phoenix, AZ 85003-2120		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Directors, Magistrate Judge representative	Federal Bar Association, Phoenix Arizona Chapter
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2014	Elected Officials Retirement Plan participant with the State of Arizona as a retired Superior Court Judge, no control
2. 2014	Arizona Department of Administration Dental plan participant through the Elected Officials Retirement Plan as a retired Superior Court Judge, no control
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Willett, Eileen S.

Date of Report

04/23/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Elected Officials' Retirement Plan--defined benefit pension plan annual income received as a retired Superior Court Judge, State of Arizona	\$97,393.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	salary as employee of [REDACTED]
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Willett, Eileen S.	04/23/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank of America cash accounts	A	Interest	M	T					
2. One AZ Credit Union cash accounts	A	Interest	L	T					
3. Meriwest Credit Union cash accounts (Y)	A	Interest	J	T					
4. Marcus:by Goldman Sachs cash acct's	D	Interest	N	T					
5. Wells Fargo cash account	A	Interest	J	T					
6. Charles Schwab IRA (H)									
7. -Apple, Inc. (APPL) stock, common	A	Dividend	L	T					
8. -Intel Corp (INTC) stock, common	A	Dividend	J	T					
9. -Ridgeworth SEIX Float Rate High Inc I (SAMBX)	A	Dividend	J	T					
10. -Blackrock Util & Infrs (BUI)	A	Dividend			Sold	07/18/19	J	A	
11. -Allianzgi Equity and CNV (NIE)	A	Dividend	J	T					
12. -Liberty All Star Equity(USA)	A	Dividend			Sold	07/18/19	J	A	
13. -Charles Schwab Deposit account	A	Interest	K	T					
14. 401(K) Plus Plan (H)									
15. -Core Building Block Funds: Interest Income Fund		None	P1	T	Buy (add'l)	01/15/19	J		
16.					Buy (add'l)	01/31/19	J		
17.					Buy (add'l)	02/15/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	02/28/19	J		
19.					Buy (add'l)	03/15/19	J		
20.					Buy (add'l)	03/29/19	J		
21.					Buy (add'l)	04/15/19	J		
22.					Buy (add'l)	04/30/19	J		
23.					Buy (add'l)	05/15/19	J		
24.					Buy (add'l)	05/31/19	J		
25.					Buy (add'l)	06/14/19	J		
26.					Buy (add'l)	06/28/19	J		
27.					Buy (add'l)	07/15/19	J		
28.					Buy (add'l)	07/18/19	J		
29.					Buy (add'l)	07/31/19	J		
30.					Buy (add'l)	08/15/19	J		
31.					Buy (add'l)	08/30/19	J		
32.					Buy (add'l)	09/13/19	J		
33.					Buy (add'l)	09/30/19	J		
34.					Buy (add'l)	10/15/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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35.					Buy (add'l)	10/31/19	J		
36.					Buy (add'l)	11/15/19	J		
37.					Buy (add'l)	11/29/19	J		
38.					Buy (add'l)	12/13/19	J		
39.					Buy (add'l)	12/31/19	K		
40. -Expanded Choice-Mutual Funds: Vang Div Growth Inv (VDIGX)	A	Dividend			Sold	07/18/19	J	B	
41. -Core Building Blocks -Funds: Global RE Stock Index		None	J	T					
42. -Expanded Choice-Mutual Funds:Fidelity Dividend GR K (FDGKX)	A	Dividend			Sold	07/18/19	J	A	
43. Charles Schwab One Brokerage Account (H)									
44. -Charles Schwab Cash deposit account	A	Interest	J	T					
45. -General Electric Co (GE)	A	Dividend	J	T					
46. -US Treasury Note	A	Interest	J	T					
47. -Getty Realty Corp New REIT (GTY)	A	Dividend	J	T					
48. - Synchrony Bank CD	A	Interest			Matured	03/14/19	J		
49. -Voya Prime Rate Trust (PPR)	A	Dividend	J	T					
50. -Nuveen Muni High Inc OPP (NMZ)	A	Dividend	J	T	Buy	11/13/19	J		
51. █████ Stock, Common █████	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. real property, (X) (Y)	C	Interest	M	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Willett, Eileen S.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 52 reflects my receipt of an escrow deposit forfeited by a potential buyer of real property I held for personal use when the potential buyer decided to back-out of the sales contract.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Willett, Eileen S.

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04/23/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Eileen S. Willett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544