

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Ross, Allyne R.	2. Court or Organization U.S. District Court, Eastern District New York	3. Date of Report 7/28/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Ross, Allyne R., 7/28/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

Table with 3 columns: DATE, SOURCE AND TYPE, INCOME (yours, not spouse's). Rows 1-4 are empty.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

[] NONE (No reportable non-investment income.)

Table with 2 columns: DATE, SOURCE AND TYPE. Row 1: 2019, Trustee of WisdomTree Trust (trustee fees). Rows 2-4 are empty.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

Table with 5 columns: SOURCE, DATES, LOCATION, PURPOSE, ITEMS PAID OR PROVIDED. Rows 1-5 are empty.

Name of Person Reporting	Date of Report
Ross, Allyne R.	7/28/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Ross, Allyne R.

Date of Report

7/28/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. Individual Assets (H)									
2. Greenwich Friess Long/Short Fund	F	Distribution	P1	T	Buy (add'l)	01/01/19	L		
3. Oak Hill Capital Partners		None	N	T	Buy (add'l)	12/17/19	M		
4. Park Overlook Equities Fund		None	O	T	Buy (add'l)	01/01/19	L		
5. Trishield Special Situations Fund		None			Distributed	04/10/19	J	A	
6. WPX Partners LP (Formerly WFG-WPX Partners LLC)	E	Distribution	N	T					
7. 34 Graham Road LLC		None	N	U	Buy	11/01/19	N		
8. Trust #1 (H)									
9. Northwestern Mutual Whole Life Insurance	B	Interest	L	T					
10. IRA #1 (H)									
11. Fidelity Extd Market Index Institutional Premium Class (FSMAX)	D	Dividend	N	T					
12. Fidelity Mid Cap Index Institutional Premium Class (FSMDX)	D	Dividend	N	T					
13. Fidelity Total Emerging Markets FD (FTEMX)	D	Dividend	N	T					
14. Wisdomtree TR US Qlt Shared YLD (QSY)	D	Dividend	N	T					
15. BlackRock Global Energy & Resources Trust (BGR)	B	Dividend	K	T					
16. Tekla Healthcare (HQB)	B	Dividend	K	T					
17. IRA #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Fidelity Extended Market Index Institutional Premium Class (FSMAX)	E	Dividend	O	T	Sold (part)	12/10/19	K	D	
19. Fidelity Small Cap Index Institutional Premium Class (FSSNX)	E	Dividend	O	T	Sold (part)	12/10/19	K	D	
20. IRA #3 (H)									
21. Fidelity 500 Index Institutional Premium Class (FXAIX)	D	Dividend	M	T	Buy (add'l)	01/03/19	L		
22.					Sold (part)	12/10/19	J	C	
23. Fidelity Int'l Enhanced Index Fund (FIENX)	D	Dividend	M	T					
24. IRA #4 (H)									
25. Fidelity Mid Cap Index Institutional Premium Class (FSMDX)	C	Dividend	M	T	Sold (part)	12/10/19	J	A	
26. Brokerage #1 (H)									
27. Vanguard Commodity Strategy Admiral CL (VCMDX)	A	Dividend	L	T	Buy	06/27/19	L		
28. Vanguard Explorer Admiral CL (VEXRX)	D	Dividend	M	T	Buy (add'l)	04/04/19	J		
29. Vanguard Ltd-Term Tax-Exempt Fund (VMLUX)	C	Dividend	M	T	Sold (part)	03/25/19	K		
30.					Sold (part)	04/10/19	M		
31.					Sold (part)	06/26/19	K		
32.					Buy (add'l)	10/21/19	K		
33. Vanguard High-Yield Tax-Exempt Fund (VWALX)	E	Dividend	N	T	Sold (part)	01/07/19	K		
34. Vanguard Intermediate Term Tax Exempt Adm (VWIUX)	D	Dividend	N	T					

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35. Invesco Oppenheimer Developing Markets CL Y (ODVYX)	C	Dividend	M	T					
36. Vanguard Emerging Markets Index Fund (VWO)	D	Dividend	N	T					
37. Vanguard Europe ETF (formerly European Stock Index Fund) (VGK)	D	Dividend	N	T					
38. Vanguard Global Real Estate Fund (VNQI)	D	Dividend	M	T	Buy (add'l)	01/02/19	J		
39. Vangaurd Mid Cap ETF (formerly Mid-Cap Index Fund) (VO)	E	Dividend	P1	T					
40. IShares Core S&P Small Cap ETF (IJR)	C	Dividend	M	T					
41. Wisdom Tree U.S. Small Cap. Div. Growth ETF (DGRS)	C	Dividend	M	T					
42. Wisdom Tree Global ex-US Quality Dividend (DNL)	C	Dividend	M	T					
43. BBX Capital Corp New Cl A (BBX)	B	Dividend	M	T					
44. Equifax Inc (EFX)	A	Dividend			Sold	06/26/19	K		
45. General Electic Co (GE)	A	Dividend	J	T					
46. Brokerage #2 (H)									
47. ML Bank Deposit Program (Cash)	A	Interest	M	T					
48. Accor Sa Shs Adr (ACCYY)		None	K	T	Buy	09/03/19	J		
49.					Buy (add'l)	09/04/19	J		
50.					Buy (add'l)	09/05/19	J		
51.					Buy (add'l)	09/06/19	J		

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52.					Buy (add'l)	09/09/19	J		
53. ACS Actividades Cons-Uns (ACSAY)	A	Dividend	K	T					
54. Adecco Group Ag (AHEXY)		None	K	T	Buy	12/02/19	J		
55.					Buy (add'l)	12/03/19	J		
56.					Buy (add'l)	12/04/19	J		
57. Adobe Systems Inc (ADBE)		None	K	T	Sold (part)	02/13/19	J	B	
58.					Sold (part)	05/30/19	J	A	
59. Aercap Holdings N.V. SHS (AER)		None	K	T	Sold (part)	02/13/19	J		
60.					Sold (part)	07/16/19	J	A	
61.					Sold (part)	07/17/19	J	A	
62.					Sold (part)	07/18/19	J	B	
63.					Sold (part)	09/27/19	J	A	
64. AIA Group LTD (AAGIY)	A	Dividend	K	T	Buy (add'l)	11/13/19	J		
65. Airbus SE (EADSY)		None	K	T	Sold (part)	02/13/19	J	A	
66.					Sold (part)	03/07/19	J	A	
67.					Sold (part)	11/27/19	J	A	
68. Alcon Sa Act Nom (ALC) (X)	A	Dividend	K	T	Buy (add'l)	04/23/19	J		

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69.					Buy (add'l)	08/21/19	J		
70.					Buy (add'l)	08/22/19	J		
71. Alexion Pharmaceuticals INC (ALXN)		None	J	T	Sold (part)	09/26/19	J	A	
72. Align Tech Inc Del Com (ALGN)		None	K	T	Buy (add'l)	02/28/19	J		
73.					Buy (add'l)	07/29/19	J		
74.					Buy (add'l)	07/30/19	J		
75. Alphabet Inc CL C (GOOG)		None	K	T	Sold (part)	02/13/19	J	B	
76.					Sold (part)	05/30/19	J	A	
77. Altria Group Inc (MO)	A	Dividend	K	T	Buy (add'l)	02/26/19	J		
78.					Buy (add'l)	03/04/19	J		
79.					Buy (add'l)	09/27/19	J		
80. Amazon.com INC (AMZN)		None	K	T	Sold (part)	02/13/19	J	C	
81.					Sold (part)	05/30/19	J	B	
82. American International Group Com New (AIG)	A	Dividend	K	T	Sold (part)	11/27/19	J	A	
83. American Tower Corp. REIT (AMT)	A	Dividend	K	T	Sold (part)	02/13/19	J	A	
84.					Sold (part)	05/30/19	J	A	
85. Anglo American Plc Shs Adr (NGLOY)		None	K	T	Buy	10/15/19	J		

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86.					Buy (add'l)	10/18/19	J		
87.					Buy (add'l)	10/21/19	J		
88.					Sold (part)	11/27/19	J	A	
89. Anthem Inc. (ANTM)	A	Dividend	K	T	Sold (part)	02/13/19	J	A	
90.					Sold (part)	03/04/19	J	C	
91.					Buy (add'l)	06/03/19	J		
92.					Buy (add'l)	07/01/19	J		
93.					Sold (part)	11/27/19	J	A	
94. ASML Holding NV NY Reg Shs (ASML)	A	Dividend	K	T	Buy	03/27/19	J		
95.					Buy (add'l)	05/30/19	J		
96.					Sold (part)	07/22/19	J	A	
97.					Sold (part)	09/27/19	J	A	
98.					Sold (part)	11/27/19	J	A	
99. Astrazeneca PLC Spon ADR (AZN)	A	Dividend	K	T	Sold (part)	03/25/19	J	B	
100.					Sold (part)	11/25/19	J	C	
101. AXA Spons ADR (AXAHY)	A	Dividend	K	T	Sold (part)	11/27/19	J	A	
102. AXA Equitable Holdings Inc (EQH)	A	Dividend	K	T	Buy (add'l)	11/25/19	J		

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103. BAE Systems PLC Sponsored ADR (BAESY)	A	Dividend	K	T	Sold (part)	02/13/19	J	A	
104.					Buy (add'l)	05/30/19	J		
105.					Sold (part)	09/27/19	J	A	
106. Baker Hughes Inc Reg Shs (BHGE)	A	Dividend	J	T	Buy	03/19/19	J		
107.					Buy (add'l)	03/20/19	J		
108.					Buy (add'l)	06/06/19	J		
109.					Buy (add'l)	09/30/19	J		
110. BASF SE Sponsored ADR (BASFY)		None	K	T	Buy (add'l)	02/13/19	J		
111.					Buy (add'l)	07/25/19	J		
112.					Sold (part)	11/27/19	J	A	
113. Biomarin Pharmaceutical Inc (BMRN)		None	J	T					
114. Boston Scientific Corp (BSX)		None	J	T	Buy	08/09/19	J		
115.					Buy (add'l)	10/04/19	J		
116. BP PLC Spon ADR (BP)	B	Dividend	K	T	Buy (add'l)	02/26/19	J		
117.					Buy (add'l)	03/19/19	J		
118. Bristol-Myers Squibb Co (BMY)	A	Dividend	K	T	Buy	08/09/19	J		
119.					Buy (add'l)	08/21/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Capgemini Se Shs ADR (CGEMY)		None	K	T	Buy	09/09/19	J		
121.					Buy (add'l)	10/15/19	J		
122. Carlsberg AS Sponsored ADR (CABGY)	A	Dividend	K	T	Sold (part)	05/30/19	J	A	
123. Chubb Ltd CHF (CB)	A	Dividend	K	T	Sold (part)	09/26/19	J	A	
124. Cisco Systems Inc Com (CSCO)	A	Dividend	K	T	Sold (part)	02/26/19	J	A	
125.					Sold	07/29/19	J	C	
126.					Buy	08/26/19	J		
127.					Buy (add'l)	08/27/19	J		
128.					Buy (add'l)	09/06/19	J		
129.					Buy (add'l)	11/19/19	J		
130. Citigroup Inc (C)	B	Dividend	L	T	Sold (part)	05/30/19	J	A	
131.					Sold (part)	11/27/19	J	A	
132. Cognizant Tech Solutions A (CTSH)	A	Dividend	K	T	Buy (add'l)	02/07/19	J		
133.					Buy (add'l)	02/26/19	J		
134.					Buy (add'l)	06/19/19	J		
135.					Sold (part)	11/27/19	J	A	
136. Comcast Corp New Cl A (CMCSA)	A	Dividend	K	T	Buy (add'l)	09/06/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Compagnie de Saint-Unsp ADR (CODYY)		None	K	T	Buy	12/13/19	J		
138.					Buy (add'l)	12/16/19	J		
139. Conagra Brands INC (CAG)	A	Dividend	J	T	Buy	02/14/19	J		
140. Conocophillips (COP)	A	Dividend	J	T	Buy	09/30/19	J		
141. Constellation Brands INC (STZ)	A	Dividend	J	T	Buy	02/01/19	J		
142.					Buy (add'l)	12/06/19	J		
143. Corteva Inc Reg Shs (CTVA) (X)	A	Dividend	J	T	Buy (add'l)	06/19/19	J		
144. Costco Wholesale Corp (COST)	A	Dividend	K	T	Sold (part)	10/15/19	J	A	
145. CRH Plc ADR (CRH)	A	Dividend	J	T					
146. CVS Health Corp (CVS)	A	Dividend	K	T	Buy (add'l)	03/25/19	J		
147.					Sold (part)	11/27/19	J		
148. Danone Sponsored ADR (DANOY)		None	K	T	Buy (add'l)	02/13/19	J		
149.					Buy (add'l)	06/05/19	J		
150. DBS Group Holdings LTD SPON ADR (DBSDY)	A	Dividend	K	T	Buy (add'l)	02/13/19	J		
151. Deutsche Boerse AG SHS (DBOXY)		None	K	T					
152. Deutsche Post AG SHS (DPSGY)		None	K	T	Sold (part)	11/27/19	J	A	
153. DNB ASA Sponsored ADR (DNHBY)		None	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Dollar General Corp New (DG)	A	Dividend	K	T	Buy (add'l)	04/23/19	J		
155.					Sold (part)	11/27/19	J	A	
156.					Sold (part)	12/06/19	J	B	
157. Dowdupont Inc Com (DWDP) (Y)									
158. Dupont De Nemours Inc (DD) (X)	A	Dividend	J	T	Sold (part)	07/01/19	J		
159. E.On SE ADR (EONGY)		None	K	T					
160. Engie SHS (ENGIY)	A	Dividend	K	T					
161. Equinix Inc. REIT (EQIX)	A	Dividend	K	T	Sold (part)	02/13/19	J	A	
162.					Sold (part)	09/26/19	J	A	
163. Equinor Asa (EQNR)	A	Dividend	J	T	Buy	08/21/19	J		
164.					Buy (add'l)	08/22/19	J		
165. Essilorluxottica (formerly Essilor International) SA ADR (ESLOY)	A	Dividend	K	T	Buy (add'l)	02/13/19	J		
166.					Sold (part)	09/27/19	J	A	
167. Facebook Inc CL A (FB)		None	K	T	Sold (part)	02/13/19	J	A	
168.					Sold (part)	05/30/19	J	A	
169. Fanuc LTD Unsponsored (FANUY)	A	Dividend	K	T	Sold (part)	11/27/19	J	A	
170. Fedex Corp Delaware Com (FDX)	A	Dividend	J	T	Buy	06/28/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	08/09/19	J		
172. Firstenergy Corp (FE)	A	Dividend	K	T	Sold (part)	11/27/19	J	A	
173. Fox Corp Reg Shs CL A (FOXA)		None	J	T	Buy	11/27/19	J		
174. Gallagher Arthur J & Co (AJG)	A	Dividend	J	T	Buy (add'l)	01/17/19	J		
175.					Buy (add'l)	01/18/19	J		
176.					Buy (add'l)	02/07/19	J		
177.					Sold (part)	11/27/19	J	A	
178. General Electric (GE)		None	J	T	Buy	11/25/19	J		
179. General Motors Co (GM)	A	Dividend	K	T	Buy	06/19/19	J		
180.					Buy (add'l)	07/01/19	J		
181.					Buy (add'l)	08/09/19	J		
182.					Buy (add'l)	09/06/19	J		
183. Hitachi LTD 10 New Adr (HTHIY)	A	Dividend	K	T	Buy	06/05/19	J		
184.					Buy (add'l)	06/06/19	J		
185. Home Depot Inc (HD)	A	Dividend	K	T	Sold (part)	02/13/19	J	A	
186.					Sold (part)	12/16/19	J	A	
187. HSBC Holding PLC (HSBC)	B	Dividend	K	T	Buy (add'l)	02/13/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	11/08/19	J		
189. Humana Inc (HUM)	A	Dividend	K	T	Buy (add'l)	03/25/19	J		
190.					Sold (part)	11/27/19	J	A	
191. IHS Markit LTD SHS (INFO)		None	K	T	Buy (add'l)	03/28/19	J		
192. Illumina Inc Com (ILMN)		None	K	T	Buy (add'l)	02/01/19	J		
193. Industria De Diseno (IDEXY)	A	Dividend	K	T					
194. Japan airlines Co Ltd Shs Adr (JAPSY)		None	J	T	Buy	12/30/19	J		
195.					Buy (add'l)	12/31/19	J		
196. Johnson Controls International (JCI)	A	Dividend	J	T	Buy (add'l)	03/11/19	J		
197.					Sold (part)	05/31/19	J	C	
198.					Sold (part)	09/06/19	J	A	
199.					Sold (part)	09/30/19	J	B	
200. JPMorgan Chase & Co (JPM)	B	Dividend	L	T	Buy (add'l)	01/10/19	J		
201.					Sold (part)	05/30/19	J	B	
202.					Sold (part)	11/27/19	J	B	
203. Julius Baer Group ADR (JBAXY)	A	Dividend	K	T					
204. Kellogg CO (K)	A	Dividend	J	T	Sold (part)	02/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	08/21/19	J		
206. Koninkluke Philips NV Spon ADR (PHG)	A	Dividend	K	T	Buy (add'l)	02/13/19	J		
207.					Sold (part)	08/23/19	J	A	
208.					Buy (add'l)	11/26/19	J		
209. Lloyds Banking Group PLC (LYG)	A	Dividend	K	T	Sold (part)	01/02/19	J	A	
210.					Sold (part)	02/13/19	J	A	
211. Lockheed Martin Corp (LMT)	A	Dividend	J	T	Buy (add'l)	04/22/19	J		
212.					Sold (part)	08/23/19	J	B	
213. Lowe's Companies Inc (LOW)	A	Dividend	J	T					
214. Marathon Oil Corp (MRO)	A	Dividend	J	T	Buy (add'l)	06/19/19	J		
215. Marathon Petroleum Co (MPC)	A	Dividend	K	T	Buy (add'l)	03/19/19	J		
216.					Buy (add'l)	06/07/19	J		
217.					Sold (part)	09/30/19	J	A	
218. Marsh & McLennan Cos Inc (MMC)	A	Dividend	J	T	Buy (add'l)	03/04/19	J		
219.					Sold (part)	06/19/19	J	A	
220.					Sold (part)	06/19/19	J	B	
221.					Sold (part)	09/30/19	J	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Mastercard Inc (MA)	A	Dividend	K	T					
223. McKesson Corp Com (MCK)	A	Dividend	J	T	Sold (part)	03/25/19	J	A	
224.					Sold (part)	09/27/19	J	A	
225. Medtronic PLC SHS (MDT)	A	Dividend	K	T	Buy (add'l)	02/14/19	J		
226.					Buy (add'l)	03/04/19	J		
227.					Buy (add'l)	07/29/19	J		
228.					Sold (part)	11/27/19	J	A	
229. MetLife Inc (MET)	B	Dividend	K	T	Sold (part)	11/27/19	J	A	
230. Microsoft Corp (MSFT)	A	Dividend	K	T	Sold (part)	05/30/19	J	A	
231.					Sold (part)	08/09/19	J	B	
232.					Sold (part)	11/27/19	J	B	
233. Mondelez International (MDLZ)	A	Dividend	J	T					
234. Monster Beverage Corp New Com (MNST)		None	J	T	Sold (part)	09/26/19	J	A	
235.					Sold (part)	12/11/19	J	A	
236.					Sold (part)	12/16/19	J	A	
237.					Sold (part)	12/20/19	J	A	
238. Morgan Stanley (MS)	A	Dividend	K	T	Buy (add'l)	01/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	11/25/19	J		
240. Motorola Solutions Inc (MSI)	A	Dividend	J	T	Sold (part)	02/14/19	J	B	
241. Murata Manufacturing Co (MRAAY)	B	Dividend	K	T	Buy (add'l)	05/30/19	J		
242.					Sold (part)	11/08/19	J	B	
243. Newell Brands Inc (NWL)	A	Dividend	J	T	Buy	10/02/19	J		
244.					Buy (add'l)	10/03/19	J		
245. Nextera Energy Inc Com (NEE)	A	Dividend	J	T	Sold (part)	01/16/19	J	B	
246. Nordea Bank ABP SHS (formerly AB-Spon) ADR (NRDBY)	B	Dividend	K	T	Buy (add'l)	04/10/19	J		
247.					Buy (add'l)	06/19/19	J		
248. Northrop Grumman Corp (NOC)	A	Dividend	J	T	Sold (part)	08/23/19	J	B	
249. Nutrien Ltd Reg Shs (NTR)	A	Dividend	K	T	Buy	06/17/19	J		
250.					Buy (add'l)	06/18/19	J		
251.					Buy (add'l)	06/19/19	J		
252. Nvidia (NVDA)	A	Dividend	K	T	Buy (add'l)	08/21/19	J		
253.					Sold (part)	11/27/19	J	A	
254. NXP Semiconductors N.V (NXPI)	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
255.					Sold (part)	02/13/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Sold (part)	02/27/19	J	A	
257.					Buy (add'l)	08/15/19	J		
258.					Sold (part)	11/27/19	J	B	
259. Oneok Inc (Oklahoma) (OKE)	A	Dividend	J	T	Buy (add'l)	03/04/19	J		
260.					Sold (part)	06/19/19	J	A	
261.					Sold (part)	06/20/19	J	A	
262.					Sold (part)	08/23/19	J	A	
263.					Sold (part)	09/30/19	J	B	
264.					Buy (add'l)	11/25/19	J		
265. Oracle Corp. (ORCL)	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
266.					Sold (part)	06/19/19	J	B	
267.					Sold (part)	07/01/19	J	B	
268.					Sold (part)	08/09/19	J	A	
269.					Sold (part)	11/25/19	J	B	
270. Otsuka Holdings Co LTD (OTSKY)	A	Dividend	K	T	Buy (add'l)	02/13/19	J		
271.					Buy (add'l)	06/19/19	J		
272.					Sold (part)	09/27/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Paypal Holdings Inc (PYPL)		None	K	T	Sold (part)	02/13/19	J	A	
274.					Sold (part)	03/21/19	J	A	
275.					Sold (part)	05/30/19	J	A	
276. Pentair Plc Shs (PNR)	A	Dividend	J	T	Buy	07/01/19	J		
277. Pepsico Inc (PEP)	A	Dividend	J	T	Sold (part)	09/27/19	J		
278. Pfizer Inc Common Stock (PFE)	B	Dividend	K	T	Sold (part)	01/10/19	J	B	
279.					Sold (part)	02/11/19	J	A	
280.					Sold (part)	03/04/19	J	B	
281.					Sold (part)	04/23/19	J	A	
282.					Sold (part)	09/27/19	J	A	
283. Philip Morris Intl Inc (PM)	A	Dividend	J	T	Sold	01/02/19	J		
284.					Buy	11/25/19	J		
285. Prosus N V (PROSY)		None	K	T	Buy	10/16/19	J		
286.					Buy (add'l)	10/17/19	J		
287.					Buy (add'l)	10/18/19	J		
288.					Buy (add'l)	11/18/19	J		
289.					Sold (part)	11/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Public Service Enterprise Group Inc Common Stock (PEG)	A	Dividend	J	T	Sold (part)	01/11/19	J	A	
291.					Sold (part)	02/07/19	J	A	
292.					Sold (part)	06/28/19	J	A	
293. Qualcomm Inc Common Stock (QCOM)	A	Dividend	J	T	Sold (part)	07/03/19	J	E	
294.					Sold (part)	09/27/19	J	B	
295.					Sold (part)	11/19/19	J	B	
296. Quest Diagnostic Inc (DGX)	A	Dividend	J	T	Buy	05/01/19	J		
297. Reckitt Benckiser Group (RBGLY)		None	K	T	Buy	12/02/19	J		
298.					Buy (add'l)	12/03/19	J		
299. Repsol YPF S A SPND ADR (RDSMY)	A	Dividend	K	T	Buy (add'l)	02/13/19	J		
300.					Buy (add'l)	06/19/19	J		
301.					Sold (part)	09/23/19	J		
302. Roche Holding LTD Spons ADR Switzerland ADR (RHHBY)	A	Dividend	K	T	Buy (add'l)	01/17/19	J		
303.					Sold (part)	04/25/19	J	A	
304.					Sold (part)	11/27/19	J	A	
305. Rolls Royce Grp Spn ADR (RYCEY)		None	K	T	Buy	05/30/19	J		
306. Royal DSM N V Sponsored ADR (RDSMY)	A	Dividend	K	T	Sold (part)	02/13/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	04/17/19	J	A	
308.					Buy (add'l)	06/05/19	J		
309.					Sold (part)	11/27/19	J	A	
310. Royal Dutch Shell PLC Cl A (RDSA)	B	Dividend	K	T	Buy (add'l)	06/07/19	J		
311.					Sold (part)	11/07/19	J	A	
312. RWE Ag Sponsored Adr (RWEQY)		None	K	T	Buy	08/07/19	J		
313.					Buy (add'l)	08/08/19	J		
314.					Buy (add'l)	08/20/19	J		
315.					Buy (add'l)	08/21/19	J		
316. S&P Global Inc (SPGI)	A	Dividend	J	T					
317. Salesforce.com Inc (CRM)		None	K	T	Sold (part)	02/13/19	J	A	
318.					Sold (part)	04/01/19	J	C	
319. Sands China Ltd Unsp Adr (SCHYY)		None	K	T	Buy	11/05/19	J		
320.					Buy (add'l)	11/06/19	J		
321.					Buy (add'l)	11/07/19	J		
322.					Buy (add'l)	11/08/19	J		
323. Sanofi Adr (SNY)		None	K	T	Buy	09/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	10/01/19	J		
325.					Buy (add'l)	12/17/19	J		
326.					Buy (add'l)	12/20/19	J		
327.					Buy (add'l)	12/23/19	J		
328. SAP SE SHS (SAP)		None	K	T					
329. Schwab Charles Corp New (SCHW)	A	Dividend	K	T	Buy (add'l)	08/21/19	J		
330.					Buy (add'l)	11/25/19	J		
331. ServiceNow Inc (NOW)		None	K	T	Sold (part)	02/13/19	J	B	
332. Siemens Healthineers ADR (SMMNY)		None	K	T	Buy	04/09/19	J		
333.					Buy (add'l)	04/10/19	J		
334.					Buy (add'l)	04/11/19	J		
335.					Buy (add'l)	04/12/19	J		
336.					Buy (add'l)	04/15/19	J		
337.					Buy (add'l)	04/16/19	J		
338.					Sold (part)	11/27/19	J	A	
339. Smith & Nephew PLC Sponsored ADR New (SNN)	A	Dividend	K	T	Buy (add'l)	02/13/19	J		
340.					Sold (part)	05/30/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341.					Sold (part)	09/27/19	J	A	
342. Sony Corp Adr New (SNE)	A	Dividend	K	T	Buy	07/03/19	J		
343.					Buy (add'l)	07/05/19	J		
344.					Buy (add'l)	07/29/19	J		
345.					Buy (add'l)	07/30/19	J		
346.					Buy (add'l)	09/30/19	J		
347.					Sold (part)	11/26/19	J	A	
348.					Sold (part)	11/27/19	J	A	
349. Splunk Inc.(SPLK)		None	K	T					
350. State Street Corp (STT)	A	Dividend	K	T	Buy (add'l)	01/11/19	J		
351.					Sold	02/21/19	J	B	
352.					Buy	05/30/19	J		
353. Total S.A. France Spon ADR (TOT)	A	Dividend	J	T	Sold (part)	06/14/19	K	A	
354. Trade (the) Desk Inc Shs Cl A (TTD)		None	J	T	Buy	09/26/19	J		
355.					Buy (add'l)	10/01/19	J		
356. Transunion (TRU)	A	Dividend	K	T					
357. Travelers Cos. Inc (TRV)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Ulta Beauty Inc (ULTA)		None	J	T					
359. Unilever NV NY SHS New (UN)	A	Dividend	K	T	Buy (add'l)	04/25/19	J		
360.					Buy (add'l)	08/21/19	J		
361.					Buy (add'l)	11/25/19	J		
362. Union Pacific Corp (UNP)	A	Dividend	J	T	Sold (part)	02/26/19	J	B	
363. United Health Group Inc (UNH)	A	Dividend	J	T	Sold (part)	01/10/19	J	D	
364.					Sold (part)	02/07/19	J	B	
365. Verizon Communciations Inc (VZ)	B	Dividend	L	T	Buy (add'l)	04/26/19	J		
366.					Sold (part)	05/30/19	J	A	
367.					Sold (part)	11/27/19	J	A	
368. Visa Inc. CL A (V)	A	Dividend	K	T	Sold (part)	02/13/19	J	B	
369.					Sold (part)	05/30/19	J	B	
370. Waste Connections Inc (WCN)	A	Dividend	J	T					
371. Wells Fargo & Co New (WFC)	B	Dividend	L	T	Sold (part)	05/30/19	J	D	
372.					Sold (part)	11/27/19	J	E	
373. Williams Companies Del (WMB)	B	Dividend	K	T	Buy (add'l)	01/10/19	J		
374. Willis Toweres Watson PLC (WLTW)	A	Dividend	K	T	Buy	02/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	02/12/19	J		
376.					Buy (add'l)	02/12/19	J		
377.					Buy (add'l)	03/04/19	J		
378.					Buy (add'l)	04/25/19	J		
379.					Buy (add'l)	04/26/19	J		
380. Xylem Inc SHS (XYL)	A	Dividend	J	T					
381. Zoetis Inc (ZTS)	A	Dividend	K	T					
382. 3M Co. (MMM)	A	Dividend	J	T	Buy (add'l)	04/22/19	J		
383.					Sold (part)	08/21/19	J	D	
384. Anadarko Pete Corp (APC)	A	Dividend			Buy (add'l)	02/26/19	J		
385.					Buy (add'l)	03/04/19	J		
386.					Sold (part)	04/16/19	J	B	
387.					Sold (part)	06/07/19	J	C	
388.					Sold	06/19/19	J	C	
389. Apple Inc (AAPL)	A	Dividend			Buy (add'l)	03/04/19	J		
390.					Sold	04/26/19	J	B	
391. Arcelormittal SA NY Registered Foreign Stock (MT)	A	Dividend			Buy (add'l)	05/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold	06/06/19	K		
393. Baidu Inc Sponsored ADR (BIDU)		None			Buy (add'l)	05/30/19	J		
394.					Sold	08/19/19	J		
395. Banco Santander SA ADR (SAN)	A	Dividend			Buy (add'l)	02/13/19	J		
396.					Sold	06/07/19	K		
397. BHP Billiton PLC Sponsored ADR (BBL)	B	Dividend			Sold (part)	03/07/19	J	A	
398.					Sold (part)	03/08/19	J	A	
399.					Sold (part)	10/10/19	J		
400.					Sold (part)	10/11/19	J		
401.					Sold	10/15/19	J		
402. Booking Holdings Inc (BKNG)		None			Sold	07/01/19	K	D	
403. British AMN Tobacco SPADR (BTI)	A	Dividend			Sold (part)	01/23/19	J	A	
404.					Sold (part)	01/24/19	J	A	
405.					Sold	01/25/19	J	A	
406. Cardinal Health Inc Ohio (CAH)	A	Dividend			Sold (part)	03/26/19	J	A	
407.					Sold	03/27/19	J	A	
408. CDW Corp (CDW)	A	Dividend			Sold (part)	01/22/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold	01/23/19	J	A	
410. Celgene Corp. (CELG)		None			Sold (part)	01/07/19	J	A	
411.					Sold	01/09/19	J	A	
412. Concho Resources Inc (CXO)	A	Dividend			Sold	08/05/19	J		
413. Daimler LG ADR (DMLRY)	B	Dividend			Buy (add'l)	02/13/19	J		
414.					Sold	08/21/19	J		
415. Devon Energy Corp New (DVN)		None			Sold (part)	02/21/19	J	A	
416.					Sold	03/04/19	J	B	
417. Diageo PLC New GB SPON ADR (DEO)	A	Dividend			Sold (part)	02/01/19	J	A	
418.					Buy (add'l)	03/11/19	J		
419.					Sold (part)	07/01/19	J	B	
420.					Sold (part)	08/09/19	J	B	
421.					Sold (part)	08/21/19	J	B	
422.					Sold	08/22/19	J	A	
423. Dow Inc Reg (DOW) (X)	A	Dividend			Sold	11/25/19	J	A	
424. Goldman Sachs Group Inc (GS)	A	Dividend			Sold (part)	04/22/19	J	A	
425.					Sold	11/25/19	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Hess Corp (HES)		None			Sold (part)	02/07/19	J	B	
427.					Sold (part)	02/26/19	J	A	
428.					Sold	03/11/19	J	A	
429. Honeywell International Inc (HON)	A	Dividend			Sold (part)	03/11/19	J	B	
430.					Sold	08/21/19	J	C	
431. Intesa San Paolo Spon ADR (ISNPY)	B	Dividend			Sold	06/04/19	K		
432. International Consolidated ADR (ICAGY)		None			Buy (add'l)	01/14/19	J		
433.					Sold (part)	02/13/19	J	A	
434.					Sold (part)	03/05/19	J		
435.					Sold (part)	03/06/19	J		
436.					Sold	03/07/19	J		
437. International Paper Co Common Stock (IP)	A	Dividend			Sold	07/01/19	J	A	
438. Kubota Corp ADR (KUBTY)	A	Dividend			Buy (add'l)	02/13/19	J		
439.					Sold (part)	03/15/19	J		
440.					Sold	03/18/19	J		
441. Marvell Technology Group (MRVL)	A	Dividend			Buy	01/22/19	J		
442.					Sold	09/06/19	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. Masco Corp (MAS)	A	Dividend			Buy	01/11/19	J		
444.					Buy (add'l)	01/14/19	J		
445.					Sold (part)	04/26/19	J	A	
446.					Sold	04/29/19	J	A	
447. Mattel Inc Com (MAT)		None			Sold (part)	11/05/19	J	A	
448.					Sold	11/06/19	J	A	
449. Merck & Co Inc New Com (MRK)	A	Dividend			Sold (part)	02/04/19	J	B	
450.					Sold (part)	02/11/19	J	B	
451.					Sold (part)	02/14/19	J	B	
452.					Sold (part)	03/04/19	J	C	
453.					Sold (part)	03/11/19	J	A	
454.					Sold	04/26/19	J	A	
455. Naspers LTD Shs Cl N ADR (NPSNY)	A	Dividend			Buy	04/29/19	J		
456.					Sold	11/01/19	J		
457. Novartis AF Spon ADR (NVS)	A	Dividend			Sold (part)	04/25/19	J	A	
458.					Sold (part)	07/29/19	J	A	
459.					Sold	08/21/19	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. Novo Nordisk A S ADR (NVO)	A	Dividend			Sold (part)	01/11/19	J	A	
461.					Sold (part)	11/25/19	J	A	
462.					Sold (part)	11/26/19	J	A	
463.					Sold (part)	12/24/19	J	A	
464.					Sold (part)	12/26/19	J	A	
465.					Sold	12/27/19	J	A	
466. Orange (ORAN)	A	Dividend			Buy (add'l)	02/13/19	J		
467.					Sold	07/18/19	K		
468. Procter & Gamble Co (PG)	A	Dividend			Sold (part)	06/04/19	J		
469.					Sold	11/25/19	J	C	
470. Secom Co LTD ADR (SOMLY)	A	Dividend			Sold (part)	05/30/19	J	A	
471.					Sold (part)	12/06/19	J	A	
472.					Sold (part)	12/09/19	J	A	
473.					Sold	12/10/19	J	D	
474. Seven and I Holdings Co (SVNDY)	A	Dividend			Buy (add'l)	02/13/19	J		
475.					Sold	04/24/19	J		
476. Sumitomo Mitsui Financial Spon ADR (SMFG)	A	Dividend			Sold	08/16/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477. Suncor Energy Inc New CAD (SU)	A	Dividend			Sold (part)	02/26/19	J	A	
478.					Sold (part)	03/19/19	J	A	
479.					Sold (part)	03/20/19	J	A	
480.					Sold (part)	03/21/19	J	A	
481.					Sold (part)	04/01/19	J	D	
482.					Sold (part)	06/10/19	J	A	
483.					Sold (part)	06/13/19	J	A	
484.					Sold	08/21/19	J	A	
485. SunTrust Banks Inc (STI)		None			Sold	01/11/19	J	A	
486. Taiwan S Manufacturing ADR (TSM)	A	Dividend			Sold (part)	08/15/19	J	C	
487.					Sold	08/21/19	J	B	
488. Tesco PLC Spnrd Adr (TSCDY)	A	Dividend			Buy	04/29/19	J		
489.					Buy (add'l)	04/30/19	J		
490.					Buy (add'l)	06/19/19	J		
491.					Sold (part)	10/21/19	J		
492.					Sold (part)	10/22/19	J		
493.					Sold	10/23/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



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494. Toray Industries Inc ADR (TRYIY)	A	Dividend			Buy (add'l)	02/13/19	J		
495.					Sold (part)	09/27/19	J	A	
496.					Sold (part)	11/22/19	J		
497.					Sold (part)	11/25/19	J		
498.					Sold	11/26/19	J	A	
499. US Bancorp Del (New) (USB)	A	Dividend			Sold	06/28/19	J	D	
500. West Pharmaceuticals Svcs Inc (WST)	A	Dividend			Sold (part)	02/15/19	J	A	
501.					Sold (part)	02/22/19	J	A	
502.					Sold (part)	02/27/19	J	E	
503.					Sold	03/08/19	J	E	
504. Brokerage #3 (H)									
505. NY State Dorm Auth St (64990FMX9)	A	Interest	L	T	Buy (add'l)	10/17/19	L		
506. Metropolitan Transit Authority Bond (59259ND83)	C	Interest	L	T					
507. Dormitory Auth of NY Bond (649906DJ0)	B	Interest	L	T					
508. Sachem Cent Sch Dist NY (785721VG3)	A	Interest	K	T	Buy	07/19/19	K		
509. NY State Environmental Bond (64985HKC0)	B	Interest	L	T					
510. 34th Street Partnership Bond (884286BX2)	C	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Ross, Allyne R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511. Bay Shore NY Union Free School District (072581JX0)	B	Interest	L	T					
512. Hudson Yd Infra Corp NY (44420RAD2)	B	Interest	K	T					
513. Erie NY Indl Dev Agy Sch FC RV Ref (29509PJC4)	C	Interest	L	T					
514. University California Revs (91412G2U8)	B	Interest	L	T					
515. Erie County NY Bond (295084LGO)	C	Interest	L	T					
516. Sales Tax Asset (794665FM6)	C	Interest	L	T					
517. Shenendehowa NY Bond (823194F75)	B	Interest	K	T					
518. New York N Y Go BDS 2018 (64966MRT8)		None	K	T	Buy	11/21/19	K		
519. Matanuska-Susitna Boro (576544S68)	B	Interest	L	T					
520. NY St Dorm Auth Sales Tax (64990AEY7)	B	Interest	K	T					
521. NYC Transit Bond (64972HVG4)	C	Interest	L	T					
522. Tulsa CO Ok Indl At Edl (89952PFE0)	B	Interest	L	T	Buy	03/07/19	L		
523. Port Auth N Y & N J Cons (73358WRU0)	B	Interest	L	T					
524. Chicago O'Hare Airport Bond (167593RF1)	B	Interest	K	T					
525. New York NY Mun WFA (64972GPL2)	A	Interest	K	T					
526. NY St Dorm Auth RE (64990CDS7)	B	Interest	L	T					
527. Hudson Yd Infra Corp NY (44420RAT7)	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. New York NY City TFA Rev (64971XCN6)	B	Interest	K	T					
529. New York St Dorm Auth St (64990FKQ6)	A	Interest	K	T	Buy	07/05/19	K		
530. Port Auth N Y & N J (73358W4K7)		None	K	T	Buy	11/20/19	K		
531. NY State Dormitory Authority Bond (6499054J2)	C	Interest			Sold (part)	02/26/19	K	A	
532.					Redeemed	07/01/19	K		
533. NY State Dormitory Bond (64990ETP2)	C	Interest			Sold	10/17/19	L		
534. Tampa Florida Bond (875290WQ7)	C	Interest			Sold	10/29/19	L	B	
535. Brokerage #4 (H)									
536. Fidelity Int'l Small Cap (FISMIX)	C	Dividend	M	T					
537. Fidelity Zero International Index (FZILX)	B	Dividend	K	T					
538. Fidelity Zero Total Market Index (FZROX)	A	Dividend	K	T					
539. Dodge & Cox Stock (DODGX)	E	Dividend	N	T					
540. Wisdomtree TR US Midcap Dividend (DON)	C	Dividend	M	T					
541. Dodge & Cox Global Stock Fund (DODWX)		None			Sold	10/17/19	N	E	
542. Cash Trust (H)									
543. ML Bank Deposit Program (cash) (X)	A	Interest	K	T					
544. BlackRock Liquidity (TMCXX) (X) (Y)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 2: No underlying investment options.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

Ross, Allyne R.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Allyne R. Ross**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544