

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cassady, William E.	2. Court or Organization U.S.D.C. S.D. Alabama	3. Date of Report 07/01/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 155 Saint Joseph Street Rm. 350 Mobile, Alabama 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Legislatgve Committee	Federal Magistrate Judges Association
2. Manager	Cassady Farms, LLC
3. Manager	Southbury Condo, LLC
4. Director At-Large	Federal Magistrate Judges Association
5. Retired/Recalled Magistrate Judges Committee	Federal Magistrate Judges Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	Federal Magistrate Judges Association	03/25/2019 - 03/27/2019	Washington DC	FMJA Board Meeting	Lodging, travel and meals
2.					
3.					
4.					
5.					

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Cassady, William E.	07/01/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Franklin/Templeton World Fund CL A (TEMWX) IRA	D	Dividend	M	T					
2. Franklin Income Fund CL A (FKINX)	D	Dividend	M	T					
3. The Southern Company - DSPP-COMMON STOCK	B	Dividend	K	T					
4. Vanguard Mutual Funds IRA									
5. - Balanced Index Fund Admiral Shares (VBINX)	C	Dividend	L	T					
6. - STAR Fund (VGSTX)	B	Dividend	M	T					
7. Vanguard Mutual Funds (non-IRA)									
8. -Balanced Index Fund (VBIAX)	C	Dividend	M	T					
9. -Short Term Tax-Exempt Inv 0041 (VWSTX)	A	Dividend	K	T					
10. -Wellesley Income Fund Adm (VWIAX)	C	Dividend	M	T					
11. Rental Property - Jefferson Co., B'ham, AL (Southbury, LLC)	C	Rent	L	W					See Note 1, Part VIII
12. Butler Co, AL land (Apr. 4/14/10) (Cassady Farms, LLC)	A	Rent	N	Q					
13. House & lot, Greenville, Butler Co.,AL (Apr. 4/4/10)(Cassady Farms)	D	Rent	K	Q					
14. Town & Country National Bank									
15. -Common Stock	E	Dividend	N	U					
16. -Savings Account	A	Interest	J	T	Open	01/03/19	J		See Note 2, Part VIII
17. Participating Units - Cassady Farms, LLC	D	Distribution	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Participating Units - Jefferson Co., AL Southbury Condo, LLC	C	Rent	L	W					See Note 1, Part VIII
19. Brokerage Account #1									
20. - Bank Deposit Sweep Account-Wells Fargo Advisors, LLC	A	Interest	J	T					
21. -STOCKS									
22. --AT&T Inc. T	A	Dividend			Sold	09/11/19	J	A	
23. --Royal Dutch Shell PLC ADR CL A RDS/ A	A	Dividend	J	T	Buy	09/11/19	J		
24. --UBS Group AG UBS	A	Dividend	J	T					
25. -OPEN END MUTUAL FUNDS									
26. --American Centy Mun TR Intermediate Term Tax Free BD FD CL 1 (AXBIX)	A	Dividend			Sold	07/23/19	K	A	
27. --Baird Funds Inc Inter Mun Bond Fund Instl CL 1 (BMBIX)	A	Dividend	K	T	Buy (add'l)	07/23/19	J		
28. --Nuveen Mun Trust Inter Duration Mun Bd Fd CL I (NUVBX)	A	Dividend	K	T	Buy (add'l)	07/23/19	K		
29.					Buy (add'l)	07/24/19	J		
30. --T Rowe Price Summit Mun Fds Inc - Mun Inter Fd (PRSMX)	A	Dividend	K	T	Buy (add'l)	07/23/19	J		
31. --T Rowe Price Tax Free Shrt Intermediate Fund (PRFSX)	A	Dividend	K	T	Buy (add'l)	07/23/19	J		
32.					Buy (add'l)	07/24/19	J		
33. --T Rowe Price Summit Mun FDS INC Mun INC FD (PRINX)	A	Dividend	J	T					
34. --T Rowe Price real est Fund Inc (TRREX)	A	Dividend			Sold	07/23/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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35. --Thornburg Invt Tr Ltd Ter Mun Fd Cl 1 (LTMIX)	A	Dividend	K	T	Buy (add'l)	07/23/19	J		
36. --American Europacific Growth FU CL F2 (AEPFX)	A	Dividend	J	T					
37. --American Cap World Bd Class F2 (BFWFX)	A	Dividend			Sold	07/23/19	J	A	
38. --GOLDMAN SACHS TR FINL Square Treas Instrs FD Inst CL (FTIXX)	A	Distribution	J	T	Sold (part)	10/11/19	J	A	
39. --MFS SER TR III MUNICIPAL HIGH INCOME FD CL I (MMIIX)	A	Dividend	J	T					
40. --MFS Ser Tr I Value Fd Cl I (MEIIX)	A	Dividend	J	T	Buy (add'l)	07/23/19	J		
41. --MFS Ser Tr X Emerging Mkts Debt Fd Class I (MEDIX)	A	Dividend	J	T					
42. --Brookfield Invt Funds Global Listed Real Estate Fd Cl Y (BLRYX)	A	Dividend			Sold	07/23/19	J	A	
43. --Principal FDS Inc Midcap Fund Instl Class (PCBIX)	A	Dividend	J	T	Buy (add'l)	07/23/19	J		
44. --Oppenheimer Intl Bond Fund Cl A (OIBAX)	A	Dividend	J	T					
45. -EQUITY TRUSTS									
46. --First Trust Strategic Dividend Select Port Series 33 R	B	Dividend	K	T					
47. --First Trust Tax Advantaged Municipal C/E Port Ser 50 Rein	B	Dividend			Redeemed	01/09/19	K	A	
48. --First Trust Tax Advantaged Municipal C-E Portfolio Ser 52 R	A	Dividend			Redeemed	07/11/19	J	A	
49. --First Trust Tax Advantage Mun C/E Portfolio Ser 55	B	Dividend	K	T	Buy	01/16/19	K		
50. --First Trust Municipal Income Clsd-End Port Ser 73 Rein	B	Dividend	K	T					
51. --First Trust Municipal Income Closed End Portfolio Ser 74 R	A	Dividend	J	T					

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52. --First Trust Municipal Income Select Closed End Port Ser 86 R	A	Dividend			Redeemed	06/10/19	J	A	
53. --First Trust Municipal Incm Slct CE Port Ser 102 Reinvest	A	Dividend	J	T	Buy	06/13/19	J		
54. --First Trust Muni Incm Slct Closed End Port Ser 103 Reinv	A	Dividend	J	T	Buy	07/29/19	J		
55. --Guggenheim Balanced Income Builder Portfolio Ser 21 Rein	B	Dividend			Redeemed	10/22/19	K	A	
56. --Guggenheim Balanced Income Builder Port Ser 29 Reinv	A	Dividend	K	T	Buy	10/21/19	K		
57. -CLOSED END MUTUAL FUNDS									
58. --Liberty All-Star Equity Fund (USA)	A	Dividend	J	T					
59. -VARIABLE ANNUITIES									
60. --Jackson Natl Life Ins Co Perspective II 04/14 Variable Annuity	A	Dividend	M	T					
61. The Ohio National Life Ins. Co. Annuity - Fixed Rate	B	Distribution	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1 - Part VII, Investments, Lines 11 & 18.
Lost tenant in 2019.

Note 2 - Part VII, Investments, Line 16.
Opened Savings Account in 2019.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William E. Cassady**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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