

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Keesler, David C.	2. Court or Organization N Carolina - District Court	3. Date of Report 08/17/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 401 West Trade Street Room 168 Charlotte, NC 28202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

K. Interiors-Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Trinity Episcopal School	Tuition	K
2.			
3.			
4.			
5.			

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Name of Person Reporting

Keesler, David C.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WELLS FARGO COMMON STOCK	A	Dividend	J	T					
2. IRA #1	B	Dividend	M	T					
3. -ARTISAN PARTNERS FDS SMALL CAP FDS ARTSX					Buy (add'l)	05/22/14	J		
4.					Sold (part)	09/16/14	J		
5. -BLACKROCK FDS II CORE BOND PORT INSTL CLASS BFM CX					Buy	09/16/14	J		
6. -BLAIR WILLIAM FDS BIGIX									
7. -DELAWARE GROUP DEUIX									
8. -GOLDMAN SACHS TR FTIXX									
9. -JOHN HANCOCK FDS JHAIX					Sold	02/21/14	J		
10. -HARRIS ASSOC INVT TR OAKMARK INTL FD OAKIX									
11. -HOTCHKIS & WILEY HWMIX									
12. -JANUS INVT FD JFLEX									
13. -JOHN HANCOCK JCUIX					Buy	05/21/14	J		
14.					Buy (add'l)	05/22/14	J		
15. -MAINSTAY FDS TR MFLDX									
16. -JP MORGAN TR 1 JLP SX					Buy (add'l)	09/16/14	J		
17. -NATIONWIDE MUT FDS NWHYX									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -NEUBERGER BERMAN ALTERNATIVE FDS NLSIX									
19. -BLACKROCK FDS EMERGING MKT LONG/SHORT BLSIX					Sold (part)	05/21/14	J		
20. -PUTNAM ABSOLUTE RETURN 700 CL Y PDMYX									
21. -HEARTLAND VALUE PLUS FD INSTL CL HNVIX									
22. -OPPENHEIMER DEV ODVYX					Sold (part)	09/16/14	J		
23. -PIMCO FDS PAC INVT PTTRX					Sold	09/16/14	J		
24. -PIMCO FDS FAC INVT PCRIX									
25. -DREYFUS EMERGING DRPEX					Buy (add'l)	09/16/14	J		
26. -T ROWE PRICE TRREX									
27. -TCW DIVERSIFIED VALUE TGDIX					Sold (part)	05/21/14	J		
28.					Sold (part)	09/16/14	J		
29. -NATIXIX FDS TR II ASFYX									
30. -METLIFE INVS USA C SHAR VARIABLE ANNUITY									
31. IRA # 2	B	Dividend	L	T					
32. -WELLS FARGO BANK DEPOSIT SWEEP ACCOUNT									
33. -CHEVRON CORPORATION CVX									
34. -DISNEY WALT COMPANY DIS									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -EXXON MOBIL CORP XOM									
36. -GENERAL ELECTRIC COMPANY GE					Sold	07/18/14	J		
37. -JOHNSON & JOHNSON JNJ									
38. -MICROSOFT CORP MSFT									
39. -PROCTER & GAMBLE CO PG									
40. -SPDR GOLD TRUST GLD					Sold (part)	01/10/14	J		
41.					Sold	07/18/14	J		
42. -AEGIS VALUE FUND INC AVALX					Sold (part)	04/15/14	J		
43.					Sold (part)	08/13/14	J		
44. -DODGE & COX INTL STOCK FUND DODFX					Sold (part)	04/15/14	J		
45. -JANUS INVT FD JMVAX					Sold (part)	04/15/14	J		
46.					Sold (part)	09/13/14	J		
47. -PIMCO FDS PAC INVT PTTRX					Sold	06/09/14	J		
48. -T ROWE PRICE MID CAP GROWTH FUND RPMGX					Sold (part)	11/26/14	J		
49. -TEMPLETON FUNDS INCOME TGBAX					Sold (part)	07/16/14	J		
50.					Sold (part)	08/13/14	J		
51. -THORNBURG INTL TGVIX					Sold (part)	07/16/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold	11/28/14	J		
53. -VANGUARD/WINDSOR FUND VWFX									
54. IRA #3	A	Dividend	J	T					
55. -COLUMBIA ACORN FUND CLASS C LIACX									
56. BROKERAGE ACCOUNT #1									
57. -WELLS FARGO BANK DEPOSIT SWEEP	A	Dividend	J	T					
58. THE GROWTH FUND OF AMERICA 529 C CGFCX	A	Dividend	J	T					
59. CAPITAL WORLD GROWTH AND INCOME 529 C CWICX	A	Dividend	J	T					
60. WASHINGTON MUTUAL INVESTORS FUND 529 C CWMCX	A	Dividend	J	T					
61. THE INCOME FUND OF AMERICA 529 CL C CIMCX	A	Dividend	J	T					
62. INTERMEDIATE BOND FUND OF AMERICA 529 C CBOCX	A	Dividend	J	T					
63. THE GROWTH FUND OF AMERICA 529 C CGFCX	A	Dividend	J	T					
64. CAPITAL WORLD GROWTH AND INCOME 529 C CWICX	A	Dividend	J	T					
65. WASHINGTON MUTUAL INVESTORS FUND 529 C CWMCX	A	Dividend	J	T					
66. THE INCOME FUND OF AMERICA 529 C CIMCX	A	Dividend	J	T					
67. INTERMEDIATE BOND FUND OF AMERICA 529 C CBOCX	A	Dividend	J	T					
68. BROKERAGE ACCOUNT #2									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -AMER BALANCED FD INC BALFX	A	Dividend	J	T					
70. IRA #4	A	Dividend	M	T					
71. -MANAGERS AMG FDS TMDPX									
72. -AIM GLOBAL REAL ESTATE ARGYX									
73. -BLAIR WILLIAM FDS INTL GROWTH BIGIX									
74. -CAMBIAR OPPORTUNITY FD CAMWX									
75. -SECURITY EQUITY FD MID SEVAX									
76. -DELAWARE GROUP ADVISOR FDS DEUIX					Sold	09/04/14	J		
77. -DELAWARE GROUP EQUITY FD 11- VALUE FD DDVIX									
78. -DREYFUS APPRECIATION FD INC DGAGX									
79. -FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CLI SGOIX									
80. -GOLDMAN SACHS TR FTIXX									
81. -HANCOCK JOHN CAP SER CLASSIC VALUE CL I JCVIX									
82. -HARBOR INTERNATIONAL INSTL CL HAINX									
83. -EAGLE SMALL GAP GROWTH FUND CLASSI HSIX									
84. -HOTCHKIS & WILEY FDS MID CAP HWMIX									
85. -KEELEY FDS INC SMALL KSCIX									

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86. -LAZARD FDS INC LZEMX					Buy (add'l)	02/14/14	J		
87. -MORGAN STANLEY INSTL MPEGX									
88. -MAINSTAY LARGE CAP GROWTH FD CLASS I MLAIX					Buy	09/04/14	J		
89. -WELLS FARGO FDS TR SMALL WEMIX									
90. -OPPENHEIMER DEV MKTS ODVYX									
91. -PIMCO FDS PAC INVT PCRIX					Buy (add'l)	02/14/14	J		
92. -TOUCHSTONE LARGE CAP TIQIX					Sold	09/04/14	J		
93. -VICTORY PORTFOLIOS SMALL CO OPPTY FD VSOIX									
94. -VOYA SER FD INC LARGE CAP GROWTH FD CL I VSOIX					Buy	09/04/14	J		
95. IRA # 5	A	Dividend	J	T					
96. -DAVIS NEW YORK VENTURE FUND INC CL C NYVCX									
97. BANK OF AMERICA (REGULAR SAVINGS, INTEREST CHKG)	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 33 - Incorrect name reported on 2013 report. Name should be Wells Fargo Bank Deposit Sweep Account not JP Morgan Prime Money Market Sweep Capital.

Line 71 - Previously reported on line 71 of 2013 report.

Line 72 - Previously reported on line 70 of 2013 report.

Line 75 - Previously reported on line 90 of 2013 report.

Line 89 - Previously reported on line 92 of 2013 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David C. Keesler

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544