

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conway, Anne C.	2. Court or Organization Middle District of Florida	3. Date of Report 04/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Room 6750 401 W. Central Blvd Orlando, FL 32801-0675		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Board of Advisors	Center for Governmental Responsibility, University of Florida College of Law
2. Trustee	Trust #1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1999	Carlton Fields Profit Sharing Plan with former law firm - self directed. On 05/10/19 the account was converted to cash and rolled over to an IRA @ TD Ameritrade
2.	
3.	

Name of Person Reporting	Date of Report
Conway, Anne C.	04/30/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Conway, Anne C.	04/30/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Conway, Anne C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Smokey Partnership, LLLP, Ltd Ptner	E	Interest	O	U					
2. Carlton Fields Profit Sharing Plan Trust (H):									See part VIII
3. -Harbor Cap Appreciation Fund	C	Dividend			Sold	05/10/19	M	D	
4. -JPMorgan Mid-Cap Growth/P	C	Dividend			Sold	05/10/19	M	D	
5. - Vanguard Instl Index 500	C	Dividend			Sold	05/10/19	N	E	
6. IRA #1:	D	Interest	O	T					
7. -Ameritrade FDIC Insured Dep a/c									
8. - Federal Savings Bank Chicago CD					Buy	06/17/19	M		
9. - Federal Savings Bank Chicago CD (continuation of line 8)					Sold	12/27/19	M		
10. - Luther Burbank S&L CD					Buy	06/17/19	M		
11. - Luther Burbank S&L CD (continuation of line 10)					Sold	09/27/19	M		
12. Wells Fargo	A	Interest	J	T					
13. Smokey Partnership, LLLP, Gen Ptr	A	Interest	K	U					
14. Wells Fargo - acct	A	Interest	L	T					
15. Ameritrade Brokerage acct (H):									
16. - Berkshire Hathaway B		None	M	T					
17. - Ishares Tr Barclays US Agg Bond Fund	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Conway, Anne C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - US Treas Sec Stripped 2021	B	Interest	L	T					
19. - US Treas Sec Stripped 2022	B	Interest	L	T					
20. - US Treas Sec Stripped 2023	B	Interest	L	T					
21. -DFA US Core Equity 2	D	Dividend	N	T					
22. -DFA Int'l Core Equity	B	Dividend	K	T					
23. - Loomis Sayles Bond Fund	D	Dividend	M	T	Sold (part)	04/08/19	K	A	
24. - Invesco/Oppenheimer Dev Mkts	A	Dividend	K	T					
25. - Pimco Emerging Local	B	Dividend	K	T					
26. - Vanguard Intermed Term	D	Dividend	N	T	Sold (part)	04/22/19	J	A	
27. - Vanguard Intermed Term (continuation of line 26)					Sold (part)	08/26/19	K	A	
28. - Ameritrade FDIC Insured Dep a/c	A	Interest	M	T					
29. - Templeton Global Bond Fund	B	Interest	K	T	Sold (part)	12/23/19	K	A	
30. Trust #1:									
31. - Wells Fargo, common stock	B	Dividend	K	T					
32. - Hubbel, Inc. Class B common stock	D	Dividend	M	T					
33. - VF Corporation common stock	D	Dividend	N	T					
34. - Kontoor Brands, Inc common stock	A	Dividend	K	T	Spinoff (from line 33)	05/22/19	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

Conway, Anne C.

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35. - Laurel Homes, Inc common stock		None	O	U					
36. - Arbor Properties, Inc common stock	F	Distribution	O	U					
37. - Laurel Agency, Inc. common stock		None	J	U					
38. - Laurel Builders, Inc. common stock		None	N	U					
39. - Schrimsher Exploration, Ltd - Ltd Partner		None	J	U					
40. - Smokey Partnership, LLP,Ltd Ptr	C	Interest	M	U					
41. - Ameritrade Brokerage (H):									
42. --Ishares TR Russell 1000 Growth Index Fund	D	Dividend	P1	T					
43. --DFA 1 year Fixed Income	D	Dividend	N	T					
44. -- DFA Int'l Small Co. Port	C	Dividend	L	T					
45. --DFA Emerging Markets	B	Dividend	M	T					
46. --DFA Large Cap Int'l	C	Dividend	M	T					
47. -- Vanguard Emerging Markets	C	Dividend	M	T					
48. --Vanguard Short Term Treasury	C	Dividend	M	T					
49. --Vanguard Inflation Protected	D	Dividend	N	T					
50. --Vanguard Value Index	D	Dividend	O	T					
51. --Vanguard Small Cap	D	Dividend	N	T					

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52. --Ameritrade FDIC Insured Dep a/c	A	Interest	M	T					
53. -- Ishares TR Russell 2000 Growth Fund	C	Dividend	O	T					
54. --Pinnacle Bank CD	B	Interest			Buy	04/22/19	M		
55. --Pinnacle Bank CD (continuation of line 54)					Sold	10/30/19	M	A	
56. --Morgan Stanley Bank CD	A	Interest	M	T					

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Name of Person Reporting

Conway, Anne C.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII item 2 - On 05/10/19 the Carlton Fields Profit Sharing Plan Trust was converted to cash and rolled over into an IRA with TD Ameritrade.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Anne C. Conway**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544