

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grandy, Laura K.	2. Court or Organization Bankruptcy Court - Southern Illinois	3. Date of Report 05/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Melvin Price Federal Building United States Courthouse 750 Missouri Avenue East St. Louis, IL 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 03/02/2010	Mathis, Marifian & Richter Ltd. See Note in Part VIII
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Court	05/13/19	Milwaukee, WI	Seventh Circuit Judicial Conference	1,492.16
2.	Bankruptcy Association of Southern Illinois	04/25/19-04/26/19	O'Fallon, IL	Food & Misc-Annual Judge's Dinner on behalf of BASIL. Misc is for "goody bags"	1,780.81
3.	National Conference of Bankruptcy Judges	10/29/19-11/02/19	Washington, DC	National Conference of Bankruptcy Judges	1,039.00
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Bank of Springfield	Mortgage - Pineywood Property	K
2.	Bank of Belleville	Mortgage on building by MMRG Building LLC	P1
3.	Bank of America	Mortgage on rental home- St. George Island, FL	O
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Northwestern Mutual Variable Annuity (H)									
2. International Equity Franklin Tpl		None	K	T					See Note in Part VIII
3. Small Cap Growth Wellington		None	K	T					See Note in Part VIII
4. International Developed Markets Formerly Russell Non-US		None	K	T					See Note in Part VIII
5. Domestic Equity Delaware Mgmt		None	K	T					See Note in Part VIII
6. Focused Appreciation Janus		None	K	T					See Note in Part VIII
7. Equity Income T Rowe Price		None	K	T					See Note in Part VIII
8. Northwestern Mutual 65 Life Insurance Policy	C	Dividend	M	T					
9. Northwestern Mutual Variable CompLife Insurance Policy	B	Dividend	M	T					
10. Raymond James Trust U/A dtd 07/02/98 (H)									
11. Apple Inc (APPL)	B	Dividend	M	T					
12. First Eagle Global Fund A (SGENX)	D	Dividend	M	T					
13. Fed Kaufmann Large Cap A (KLCAX) Formerly Kaufmann Lg Cap C (KLCCX)	D	Dividend	M	T					See Note in Part VIII
14. Ivy Core Equity Fund (WCEAX)	D	Dividend	L	T	Sold (part)	07/24/19	J	C	
15.					Sold (part)	11/26/19	K	D	
16. Raymond James (H)									
17. Federated Kaufmann Large Cap A (KLCAX)	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Fundamental Investors Fund Class A (ANCFX)	D	Dividend	M	T					
19. Federated Strategic Value (SVAAX)	C	Dividend	L	T					
20. Lord Abbott Growth (LGLCX)	D	Dividend	L	T					
21. American Funds Europacific (AEPFX)	A	Dividend	J	T	Sold (part)	11/25/19	J	B	
22. AF American Mutual F2 (AMRFX)	A	Dividend	J	T					
23. Champlain Mid Cap Fund (CIPIX) Formerly Champlain Mid Cap (CIPMX)	A	Dividend	J	T					See Note in Part VIII
24. JPMorgan US Large Cap Core Plus (JLPSX)	B	Dividend			Sold	08/13/19	J	A	
25. MFS Research Fund (MRFIX)	A	Dividend	J	T					
26. T Rowe Price Sm Cap (PRSVX)	A	Dividend	J	T					
27. Clearbridge Equity (SOPYX)	A	Dividend	J	T	Sold (part)	11/22/19	J	B	
28. Wells Fargo Advantage Int (WFOVIX)	A	Dividend	J	T					
29. Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					
30. John Hancock Disciplined Value (JVMIX)	A	Dividend	J	T					
31. Metropolitan West Rtn Bond (MWTIX)	A	Dividend	K	T	Buy (add'l)	02/05/19	J		
32. Prudential Absolute (PADZX)	A	Dividend	J	T					
33. Pioneer Fundamental Growth (PIGFX)	C	Dividend	L	T					
34. Baird Aggregate Bond Fund Instl Class (BAGIX)	A	Dividend	J	T	Sold (part)	11/22/19	J	A	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. First TR Exchange Traded FD VI Dorsey Wright Focus (FV)	A	Dividend	K	T					
36. Raymond James (H)									
37. Cash/Money Market	A	Interest	J	T					
38. Blackrock Global (MALOX)	B	Dividend	K	T	Sold (part)	05/28/19	K	A	
39. Lord Abbott Bond (LBDFX)	A	Dividend	J	T	Sold (part)	05/28/19	J	A	
40. Pineywoods, Swansea, IL (2001 \$226,000)		None	M	R					
41. MMRG Building, Belleville, IL (2003 \$370,000)		None	N	R					
42. Merrill Lynch (H)									
43. Cash/Money Market Trust	A	Interest	K	T					
44. Cash - IRA	A	Interest	J	T					
45. Bank Deposit OPP	A	Interest	L	T					
46. Danaher Corp DEL COM (CMCSA)	A	Dividend	K	T					
47. Honeywell Intl Inc Del (HON)	A	Dividend	K	T					
48. Loomis Sayles Growth (LSGRX)	A	Dividend	K	T					
49. Bank of America Merrill Lynch Wealth Mgmt 68	A	Interest	K	T					
50. Bank of America Money Market Checking Acct 84	A	Interest	K	T					
51. AXA Equitable Life Ins Co Accumultor		None	M	T					

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52. Apple Inc (AAPL)	C	Royalty	N	T					
53. Amazon Com Inc (AMZN)		None	K	T	Sold (part)	07/26/19	K	E	
54.					Sold (part)	12/02/19	K	D	
55. Johnson & Johnson (JNJ)	A	Dividend			Sold	06/26/19	K	C	
56. McDonald's Corp (MCD)	A	Dividend	K	T					
57. Constellation Brands Inc (STZ)	A	Dividend			Buy (add'l)	06/20/19	J		
58.					Sold	10/10/19	J	C	
59. AQR Managed Funds (AQMIX)		None			Sold	02/05/19	J	A	
60. Harding Loevner Emerg Markets Fund (HLEMX)	A	Dividend	J	T					
61. Artisian International Fund (APDIX)	A	Dividend	J	T					
62. NVIDIA (NVDA)	A	Dividend			Sold (part)	02/14/19	J	D	
63.					Sold (part)	03/07/19	J	D	
64.					Sold (part)	03/13/19	J	D	
65.					Sold	05/29/19	J	D	
66. Facebook (FB)		None	K	T					
67. Mastercard (MA)	A	Dividend	K	T					
68. Federated Kaufman (KAUIX)	C	Dividend	K	T	Buy (add'l)	1/22/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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Q =Appraisal
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B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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69. AIG Focused (FDSWX)	A	Dividend	J	T	Buy (add'l)	1/22/19	J		
70. Thornburg Intl Growth Class C (TIGCX)	A	Dividend	K	T					
71. General Dynamics	A	Dividend			Sold (part)	01/09/19	J	A	
72.					Sold	03/13/19	J	A	
73. Waste Management (WM)	A	Dividend	J	T	Sold (part)	11/29/19	J	B	
74.					Sold (part)	12/18/19	J	B	
75. Health Care Select (XLV)	A	Dividend			Sold	04/18/19	K	D	
76. Ishares NASDAQ Biotech (IBB)		None			Sold (part)	06/07/19	J	A	
77.					Sold	06/17/19	J	A	
78. Lord Abbott Floating (LFRFX)	A	Dividend			Sold (part)	02/05/19	J	A	
79.					Sold	03/15/19	J	A	
80. Federated Kaufman Small Cap Class A (FKASX)	A	Dividend	L	T					
81. Federated International Strategic Value Div (IVFAX)	C	Dividend	L	T					
82. Loomis Sayles Growth (LSGRX)	A	Dividend	J	T					
83. Advanced Micro (AMD)		None	L	T					
84. Diageo PLC SPSD (DEO)	A	Dividend	K	T	Sold (part)	08/30/19	J	B	
85. Kansas City Southern (KSU)	A	Dividend	K	T					

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86. Kinder Morgan Inc Del (KMI)	A	Dividend	K	T					
87. Pepsico (PEP)	A	Dividend	K	T	Buy (add'l)	11/29/19	J		
88. Royal Dutch Shell (RDSB)	A	Dividend	K	T	Buy (add'l)	09/03/19	J		
89. VISA (V)	A	Dividend	K	T	Sold (part)	12/18/19	J	A	
90. XILINX Inc (XLNX)	A	Dividend			Sold (part)	08/30/19	J	A	
91.					Sold (part)	11/05/19	J	A	
92.					Sold	11/27/19	K	A	
93. Federated Kaufman (KAUIX)		None			Buy (add'l)	04/29/19	J		
94.					Sold	11/05/19	K	A	
95. First Capital Strength Series 41	A	Dividend	K	T					
96. First Trust Tech Select Series 71	A	Dividend	L	T					
97. First Trust Dividend Series 41	B	Dividend	K	T					
98. American Funds Growth Class C (GWPCX)	C	Dividend	L	T					
99. Principal Blue Chip Class C (PBLCX)	C	Dividend	L	T					
100. Federated ST Income Class Y N/L (FSTYX)	A	Dividend	J	T					
101. Broadway Prom LLC		None	J	T					
102. St. George Island, FL (8/30/2018)	E	Rent	P1	W					

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103. Blackrock Mid Cap Growth (CMGIX)	A	Dividend	K	T	Buy	05/28/19	J		
104. Blackrock Equity Dividend (MADVX)	B	Dividend	K	T	Buy	05/28/19	K		
105. Alphabet Inc (GOOGL)		None	J	T	Buy	12/18/19	J		
106. American Tower REIT (AMT)		None	J	T	Buy	12/18/19	J		
107. Analog Devices (ADI)	A	Dividend	K	T	Buy	03/07/19	J		
108.					Buy (add'l)	03/13/19	J		
109.					Buy (add'l)	06/13/19	J		
110.					Buy (add'l)	06/17/19	J		
111.					Sold (part)	11/29/19	J	A	
112. Apple (APPL)	A	Dividend	K	T	Buy	03/14/19	J		
113.					Buy (add'l)	09/17/19	K		
114.					Buy (add'l)	11/27/19	J		
115. AT&T (T)	A	Dividend	K	T	Buy	06/17/19	J		
116.					Buy (add'l)	11/21/19	J		
117. Benton Dickinson (BDX)		None	J	T	Buy	12/19/19	J		
118. Centene (CNC)		None	K	T	Buy	12/18/19	J		
119.					Buy (add'l)	12/23/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Cisco (CSCO)		None	K	T	Buy	10/17/19	J		
121.					Buy (add'l)	11/05/19	J		
122. Disney (DIS)		None	J	T	Buy	12/13/19	J		
123.					Buy (add'l)	12/18/19	J		
124. Diamondback Energy (FANG)	A	Dividend			Buy	04/22/19	K		
125.					Sold	08/09/19	J	A	
126. Paypal (PYPL)		None			Buy	05/30/19	J		
127.					Buy (add'l)	05/31/19	J		
128.					Buy (add'l)	06/20/19	J		
129.					Buy (add'l)	09/03/19	J		
130.					Sold	11/05/19	K	A	
131. Thermo Fisher Scientific (TMO)	A	Dividend	K	T	Buy	04/18/19	J		
132.					Buy (add'l)	04/26/19	J		
133.					Buy (add'l)	06/07/19	J		
134.					Buy (add'l)	07/16/19	J		
135.					Buy (add'l)	09/03/19	J		
136.					Buy (add'l)	10/25/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold (part)	12/18/19	J	B	
138. Ishares Russell 1000 (IWF)	A	Dividend	K	T	Buy	11/16/19	K		
139.					Sold (part)	12/18/19	J	A	
140. Putnam Diversified (PDVYX)	A	Dividend	J	T	Buy	02/05/19	J		
141.					Buy (add'l)	03/15/19	J		
142. SPDR Dow Jones (DIA)	A	Dividend			Buy	01/02/19	J		
143.					Buy (add'l)	02/06/19	K		
144.					Sold (part)	06/07/19	K	A	
145.					Sold (part)	06/17/19	J	A	
146.					Sold (part)	06/20/19	J	A	
147.					Sold	07/02/19	J	B	
148. Sector SPD Utilities	A	Dividend	J	T	Buy	12/18/19	J		
149. SPDR Gold Trust		None	K	T	Buy	07/02/19	J		
150.					Buy (add'l)	08/06/19	J		
151.					Buy (add'l)	08/09/19	J		
152.					Buy (add'l)	08/30/19	J		
153.					Sold (part)	12/18/19	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. AQR Large Cap Defen (AUEIX)	A	Dividend	J	T	Buy	11/25/19	J		
155. Pimco Low Duration (PLDPX)	A	Dividend	J	T	Buy	11/22/19	J		
156. T Rowe Price US Equity Research (PRCOX)	A	Dividend	J	T	Buy	08/13/19	J		
157.									
158.									
159.									
160.									
161.									
162.									
163.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Grandy, Laura K.

Date of Report

05/08/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II

Line 1 Payments on percentage of collections of fees for work performed and generated by Judge prior to departure,

Part VII

Northwestern Mutual Variable Annuity

Line 2 - 7 Statemenns provided do not report the amount of dividend received for the individual investments

Line	From	To	Shares
13	KLCCX	KLCAX	All
23	CIPMX	CIPIX	All

Line 40 -Residential Real Property which is currently occupied by mother. No income received.

Line 41 Commercial Real Property showed a net loss on Schedule K-1.

Line 101 Broadway Prom LLC Partnership showed a net loss on Schedule K-1

Prepared by Janet Frederking

Phone 618-236-1903

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Grandy, Laura K.

Date of Report

05/08/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Laura K. Grandy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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