

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rice, Walter H.	2. Court or Organization U.S. District Court, Southern District of Ohio	3. Date of Report 07/20/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States District Court 200 West Second Street Dayton, OH 45402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Trustee	Trust #2
3. Board of Directors	Building Bridges
4. Trustee	National Aviation Heritage Foundation
5. Trustee	Wright Dunbar
6. Board of Advisors	University of Dayton School of Law
7. Chairperson	Dayton Dialogue on Race Relations
8. Co-chair	Ex-Offender Reentry Council
9. Chairman of the Board	American Veterans Heritage Commission

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	

Name of Person Reporting Rice, Walter H.	Date of Report 07/20/2020
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3. _____

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Ohio Public Employees Retirement System; monthly pension checks
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Rice, Walter H.	07/20/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. Fifth-Third Bank (cash)	A	Interest	N	T					
3. KeyBank (cash)	A	Interest	L	T					
4. Wright-Patterson Credit Union (cash) (Y)									
5. TRUST #1 (H)									
6. ML Bank Deposit Program (cash)	A	Interest	J	T					
7. Air Producurs & Chem (APD)	A	Dividend	J	T	Sold (part)	01/10/19	J		
8.					Sold (part)	01/11/19	J		
9.					Sold (part)	01/17/19	J		
10.					Sold (part)	01/18/19	J		
11.					Sold	01/22/19	J		
12.					Buy	05/06/19	J		
13.					Buy (add'l)	05/07/19	J		
14.					Buy (add'l)	05/08/19	J		
15.					Buy (add'l)	11/13/19	J		
16.					Sold (part)	11/14/19	J		
17.					Buy (add'l)	11/15/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. Amgen Inc Com (AMGN)	A	Dividend	J	T	Sold (part)	07/26/19	J		
19. Health Care Select SPDR (XLV)	A	Dividend	K	T	Sold (part)	01/16/19	J	A	
20.					Buy (add'l)	03/22/19	J		
21.					Buy (add'l)	04/25/19	J		
22.					Sold (part)	06/13/19	J	B	
23.					Sold (part)	08/14/19	J	A	
24.					Sold (part)	12/10/19	J	A	
25. BB&T Corporation (BBT)		None			Buy (add'l)	01/10/19	J		
26.					Sold (part)	01/15/19	J		
27.					Sold (part)	01/16/19	J		
28.					Sold	01/17/19	J		
29. Boeing Company (BA)	A	Dividend			Sold (part)	03/21/19	J	A	
30.					Sold	03/22/19	J	A	
31. Blackstone Group Inc Com Cl A (BX)	A	Dividend	J	T	Buy	09/13/19	J		
32.					Buy (add'l)	09/16/19	J		
33.					Buy (add'l)	09/17/19	J		
34.					Buy (add'l)	09/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35.					Buy (add'l)	09/19/19	J		
36. Bristol-Myers Squibb Co (BMY)		None	K	T	Buy	10/29/19	J		
37.					Buy (add'l)	10/30/19	J		
38.					Buy (add'l)	10/31/19	J		
39.					Buy (add'l)	11/01/19	J		
40.					Buy (add'l)	11/04/19	J		
41.					Sold (part)	12/16/19	J		
42.					Buy (add'l)	12/17/19	J		
43. Broadcom Ltd (AVGO)	A	Dividend	K	T	Buy (add'l)	01/17/19	J		
44.					Buy (add'l)	03/20/19	J		
45.					Buy (add'l)	03/21/19	J		
46.					Sold (part)	07/09/19	J	A	
47. Caterpillar Inc Del (CAT)		None	J	T	Buy	10/29/19	J		
48.					Buy (add'l)	10/30/19	J		
49.					Buy (add'l)	10/31/19	J		
50.					Buy (add'l)	11/01/19	J		
51.					Buy (add'l)	11/13/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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52. Carnival Corp Paired SHS	A	Dividend			Sold (part)	05/02/19	J	A	
53.					Sold (part)	05/07/19	J	A	
54.					Sold (part)	06/27/19	J		
55.					Sold	06/28/19	J		
56. Chevron Corp (CVX)	A	Dividend	J	T	Sold (part)	07/26/19	J	A	
57.					Sold (part)	07/29/19	J	A	
58. Chubb Ltd (CB)	A	Dividend	K	T	Sold (part)	01/11/19	J		
59.					Buy (add'l)	01/15/19	J		
60.					Buy (add'l)	01/18/19	J		
61.					Sold (part)	10/25/19	J	A	
62.					Sold (part)	10/29/19	J	A	
63. Cisco Systems Inc Com (CSCO)	A	Dividend	K	T	Sold (part)	05/02/19	J	B	
64.					Sold (part)	07/11/19	J		
65. Citigroup Inc Com New (C)	A	Dividend	K	T	Buy	01/17/19	J		
66.					Buy (add'l)	01/18/19	J		
67.					Buy (add'l)	02/07/19	J		
68.					Buy (add'l)	02/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	02/11/19	J		
70.					Buy (add'l)	05/06/19	J		
71.					Buy (add'l)	05/07/19	J		
72.					Buy (add'l)	05/08/19	J		
73.					Buy (add'l)	09/30/19	J		
74.					Sold (part)	12/11/19	J	A	
75. Coca Cola Com (KO)	A	Dividend	J	T	Sold (part)	01/11/19	J		
76. Crown Castle REIT Inc (CCI)	A	Dividend	J	T	Sold (part)	01/11/19	J		
77.					Sold (part)	07/09/19	J	A	
78.					Sold (part)	11/08/19	J	A	
79.					Sold (part)	11/12/19	J	A	
80.					Sold (part)	11/13/19	J	A	
81.					Sold (part)	11/14/19	J	A	
82. Comm Services Select (XLC)	A	Dividend	K	T	Sold (part)	01/18/19	J		
83.					Buy (add'l)	03/22/19	J		
84.					Sold (part)	04/12/19	J	A	
85.					Sold (part)	08/02/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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86.					Sold (part)	09/24/19	J	A	
87.					Sold (part)	10/18/19	J		
88.					Buy (add'l)	12/12/19	J		
89. Consumer Discretionary SPDR (XLY)	A	Dividend	K	T	Buy (add'l)	01/18/19	J		
90.					Buy (add'l)	03/22/19	J		
91.					Sold (part)	04/12/19	J	B	
92.					Buy (add'l)	04/25/19	J		
93.					Buy (add'l)	06/17/19	J		
94.					Sold (part)	08/14/19	J	A	
95.					Buy (add'l)	10/18/19	J		
96.					Sold (part)	12/10/19	J	A	
97. Exelon Corporation (EXC)	A	Dividend			Buy (add'l)	02/08/19	J		
98.					Buy (add'l)	07/11/19	J		
99.					Sold (part)	10/17/19	J	A	
100.					Sold	10/18/19	J	B	
101. Entergy Corp New (ETR)	A	Dividend	J	T	Buy	10/21/19	J		
102.					Buy (add'l)	10/22/19	J		

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103.					Buy (add'l)	10/24/19	J		
104.					Buy (add'l)	10/25/19	J		
105.					Buy (add'l)	10/29/19	J		
106.					Buy (add'l)	10/30/19	J		
107. Exxon Mobile Corp (XOM)	B	Dividend	K	T	Buy (add'l)	02/07/19	J		
108.					Buy (add'l)	02/11/19	J		
109.					Buy (add'l)	03/20/19	J		
110.					Buy (add'l)	03/21/19	J		
111.					Buy (add'l)	06/27/19	J		
112.					Buy (add'l)	07/01/19	J		
113.					Sold (part)	08/16/19	J		
114.					Sold (part)	08/19/19	J		
115. First Trust Dow Jones (Formerly First TR Exchange Traded Fund) (FDN)		None	K	T	Buy (add'l)	08/16/19	J		
116.					Buy (add'l)	12/12/19	J		
117. First TR Cloud Comp ETF (SKYY)	A	Dividend	K	T	Buy (add'l)	01/18/19	J		
118.					Buy (add'l)	08/16/19	J		
119.					Buy (add'l)	12/12/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

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(See Columns C1 and D3)

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(See Column C2)

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☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Johnson & Johnson Com (JNJ)	A	Dividend			Sold (part)	05/02/19	J	A	
121.					Sold	05/31/19	J		
122. Ishares Nasdaq Biotech (IBB)	A	Dividend	J	T	Sold (part)	08/14/19	J	A	
123.					Sold (part)	12/10/19	J	A	
124. JP Morgan Chase & Co (JPM)	A	Dividend	K	T	Buy	01/17/19	J		
125.					Sold (part)	04/12/19	J	A	
126.					Sold (part)	04/16/19	J		
127.					Sold (part)	07/30/19	J		
128.					Sold (part)	06/13/19	J	A	
129.					Sold (part)	12/13/19	J		
130. IShares S&P Small Cap 600 Growth (IJT)	A	Dividend	J	T					
131. IShares S&P Small Cap 600 Value (IJS)	A	Dividend	J	T					
132. IShares Core S&P US Growth ETF (IUSG)	A	Dividend	K	T	Sold (part)	08/02/19	J	A	
133. IShares Core S&P US Value ETF (IUSV)	B	Dividend	K	T					
134. Amn Elec Power (AEP)	A	Dividend	J	T	Buy (add'l)	03/26/19	J		
135.					Buy (add'l)	03/29/19	J		
136.					Sold (part)	09/26/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Rice, Walter H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	09/27/19	J	A	
138.					Sold (part)	10/01/19	J	A	
139.					Sold (part)	11/08/19	J	A	
140.					Sold (part)	11/13/19	J	B	
141. Astrazeneca (AZN)	A	Dividend			Sold (part)	01/22/19	J		
142.					Sold (part)	01/23/19	J	A	
143.					Sold (part)	09/11/19	J	A	
144.					Sold (part)	09/12/19	J	A	
145.					Sold (part)	09/13/19	J	A	
146.					Sold	09/17/19	J	A	
147. AT&T Inc. (T)	B	Dividend	K	T	Buy (add'l)	07/30/19	J		
148.					Buy (add'l)	07/31/19	J		
149. CME Group Inc. (CME)	A	Dividend			Sold (part)	01/11/19	J	A	
150.					Sold (part)	01/14/19	J	B	
151.					Sold (part)	02/05/19	J	A	
152.					Sold (part)	02/06/19	J	A	
153.					Sold (part)	03/18/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Rice, Walter H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold (part)	03/19/19	J	A	
155.					Sold (part)	05/02/19	J	A	
156.					Sold	05/07/19	J	A	
157. Digital Rlty Tr Inc. (DLR)	A	Dividend	J	T	Sold (part)	01/24/19	J	A	
158.					Sold (part)	01/25/19	J	A	
159.					Sold (part)	01/28/19	J	A	
160.					Sold (part)	01/29/19	J	A	
161.					Buy (add'l)	06/20/19	J		
162.					Sold (part)	11/08/19	J	A	
163.					Sold (part)	11/12/19	J	A	
164.					Sold (part)	11/13/19	J	A	
165.					Sold (part)	11/14/19	J	A	
166. Duke Energy Corp (DUK)	A	Dividend			Sold (part)	05/15/19	J		
167.					Sold (part)	04/16/19	J		
168.					Sold (part)	05/14/19	J	A	
169.					Sold (part)	05/16/19	J	A	
170.					Sold	05/17/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Rice, Walter H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Fifth Third Bancorp (FITB)		None	J	T	Buy	10/26/19	J		
172.					Buy (add'l)	10/31/19	J		
173.					Buy (add'l)	11/01/19	J		
174.					Buy (add'l)	11/04/19	J		
175. Home Depot Inc (HD)	A	Dividend	J	T	Buy	09/30/19	J		
176.					Buy (add'l)	10/01/19	J		
177.					Buy (add'l)	10/02/19	J		
178.					Buy (add'l)	01/03/19	J		
179. JP Morgan Chase & Co (JPM)	A	Dividend	K	T	Buy (add'l)	01/17/19	J		
180.					Sold (part)	04/12/19	J	A	
181.					Sold (part)	04/16/19	J		
182.					Sold (part)	07/26/19	J	C	
183.					Sold (part)	07/29/19	J	A	
184.					Sold (part)	07/30/19	J	A	
185.					Sold (part)	11/13/19	J	A	
186.					Sold (part)	12/11/19	J	B	
187. Kinder Morgan Inc. (KMI)	A	Dividend	J	T	Buy	07/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	07/31/19	J		
189.					Buy (add'l)	08/01/19	J		
190.					Buy (add'l)	08/02/19	J		
191.					Buy (add'l)	08/05/19	J		
192. Lockheed Martin Corp (LMT)	A	Dividend	J	T	Sold (part)	08/14/19	J	A	
193.					Sold (part)	08/15/19	J	B	
194.					Sold (part)	10/25/19	J	B	
195.					Sold (part)	10/28/19	J	B	
196.					Sold (part)	10/29/19	J	B	
197. McDonalds Corp. (MCD)	A	Dividend	J	T	Sold (part)	03/18/19	J	B	
198.					Sold (part)	03/21/19	J	B	
199. Medtronic Plc SHS (MDT)	A	Dividend	J	T	Buy	09/13/19	J		
200.					Buy (add'l)	09/16/19	J		
201.					Buy (add'l)	09/17/19	J		
202. Merk and Co Ins SHS (MRK)	A	Dividend	K	T	Buy (add'l)	05/23/19	J		
203.					Buy (add'l)	05/24/19	J		
204.					Sold (part)	07/26/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	01/29/19	J	A	
206.					Sold (part)	10/25/19	J	A	
207.					Sold (part)	10/28/19	J	A	
208. Microsoft Corp (MSFT)	A	Dividend	J	T	Buy	01/11/19	J		
209.					Sold (part)	07/09/19	J	B	
210. Pepsico Inc (PEP)	A	Dividend	J	T	Sold (part)	01/11/19	J	A	
211.					Sold (part)	09/11/19	J	A	
212.					Sold (part)	09/12/19	J	A	
213. Pfizer Inc. (PFE)	A	Dividend	K	T	Buy (add'l)	05/06/19	J		
214.					Buy (add'l)	05/07/19	J		
215.					Buy (add'l)	05/08/19	J		
216.					Sold (part)	12/11/19	J	A	
217. Procter & Gamble Co (PG)		None	J	T	Buy	09/13/19	J		
218.					Buy (add'l)	09/16/19	J		
219.					Buy (add'l)	09/17/19	J		
220. Prudential Financial Inc (PRU)	A	Dividend	K	T	Buy	11/14/19	J		
221.					Buy (add'l)	11/19/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	11/20/19	J		
223.					Buy (add'l)	11/21/19	J		
224.					Buy (add'l)	11/22/19	J		
225.					Buy (add'l)	11/25/19	J		
226.					Buy (add'l)	11/27/19	J		
227.					Buy (add'l)	11/29/19	J		
228. Real Estate Select Sector SPDR FD SHS (XLRE)	A	Dividend	J	T	Sold (part)	03/20/19	J	A	
229. Royal Bank Canada (RY)	A	Dividend	J	T	Buy	01/24/19	J		
230.					Buy (add'l)	01/25/19	J		
231.					Buy (add'l)	01/28/19	J		
232.					Buy (add'l)	01/29/19	J		
233.					Buy (add'l)	01/31/19	J		
234.					Buy (add'l)	02/04/19	J		
235.					Buy (add'l)	02/05/19	J		
236.					Buy (add'l)	03/26/19	J		
237.					Buy (add'l)	03/27/19	J		
238.					Buy (add'l)	03/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Rice, Walter H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Sold (part)	09/11/19	J	A	
240.					Sold (part)	09/12/19	J	A	
241.					Sold (part)	09/13/19	J	A	
242. Royal Dutch Shell PLC Spons ADR B (RDSB)	A	Dividend	K	T	Buy (add'l)	02/11/19	J		
243.					Buy (add'l)	02/12/19	J		
244.					Sold (part)	12/12/19	J	A	
245. Simon Property Group Del (SPG)	A	Dividend			Sold (part)	09/11/19	J	A	
246.					Sold (part)	09/16/19	J		
247.					Sold (part)	09/18/19	J		
248.					Sold (part)	12/13/19	J		
249.					Sold (part)	12/16/19	J		
250.					Sold (part)	12/17/19	J		
251.					Sold	12/16/19	J		
252. Sysco Corporation (SYY)		None			Sold (part)	01/11/19	J		
253.					Sold (part)	02/05/19	J	A	
254.					Sold (part)	02/06/19	J	A	
255.					Sold (part)	02/07/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Sold	02/08/19	J		
257. Suntrust Bks Inc (STI)		None			Buy	07/12/19	J		
258.					Buy (add'l)	07/15/19	J		
259.					Buy (add'l)	07/16/19	J		
260.					Buy (add'l)	07/17/19	J		
261.					Buy (add'l)	07/18/19	J		
262.					Buy (add'l)	08/16/19	J		
263.					Buy (add'l)	08/19/19	J		
264.					Merged (with line 265)	12/09/19	K		
265. Truist Finl Corp (TFC) (X)		None	K	T					
266. Target Corp (TGT)	A	Dividend	K	T	Sold (part)	09/26/19	J	A	
267.					Sold (part)	09/27/19	J	A	
268.					Sold (part)	09/30/19	J	A	
269.					Sold (part)	10/01/19	J	A	
270. Sector SPDR Financial (XLF)	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
271.					Sold (part)	09/12/19	J	A	
272.					Sold (part)	10/16/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Rice, Walter H.

Date of Report

07/20/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Toronto Dominion Bank (TD)	A	Dividend	J	T	Sold (part)	08/20/19	J	A	
274.					Sold (part)	09/11/19	J	A	
275.					Sold (part)	09/16/19	J	A	
276. United Parcel Svc CL B (UPS)	A	Dividend	K	T	Buy	07/30/19	J		
277.					Buy (add'l)	07/31/19	J		
278.					Buy (add'l)	08/01/19	J		
279.					Buy (add'l)	08/02/19	J		
280.					Buy (add'l)	08/05/19	J		
281.					Buy (add'l)	08/16/19	J		
282.					Sold (part)	08/19/19	J		
283.					Buy (add'l)	08/21/19	J		
284.					Buy (add'l)	08/22/19	J		
285. United Techs Corp Com (UTX)	A	Dividend	K	T					
286. V F Corporation (VFC)		None	J	T	Buy	12/23/19	J		
287.					Buy (add'l)	12/24/19	J		
288.					Buy (add'l)	12/30/19	J		
289. Vanguard Consumer (VDC)	A	Dividend	J	T	Sold (part)	08/14/19	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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Name of Person Reporting

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Date of Report

07/20/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Vanguard FTSE Developed Markets ETF (VEA)	B	Dividend	L	T	Buy (add'l)	04/16/19	J		
291. Vanguard Industrial (VIS)	A	Dividend	K	T	Sold (part)	01/18/19	J	A	
292.					Sold (part)	03/05/19	J	A	
293.					Sold (part)	04/12/19	J	B	
294.					Sold (part)	04/23/19	J	B	
295.					Sold (part)	08/14/19	J	B	
296.					Sold (part)	10/16/19	J	A	
297. Vanguard Information Tech (VGT)	A	Dividend	L	T	Buy (add'l)	01/18/19	J		
298.					Sold (part)	03/05/19	J	A	
299.					Sold (part)	03/20/19	J	A	
300.					Sold (part)	04/12/19	J	A	
301.					Buy (add'l)	04/25/19	J		
302.					Sold (part)	08/06/19	J		
303.					Buy (add'l)	08/16/19	J		
304.					Buy (add'l)	09/16/19	J		
305.					Sold (part)	12/12/19	J	B	
306. Vanguard Materials (VAW)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Rice, Walter H.

Date of Report

07/20/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. Verizon Communicatns Com (VZ)	A	Dividend	J	T	Buy (add'l)	05/06/19	J		
308.					Buy (add'l)	05/07/19	J		
309.					Buy (add'l)	05/08/19	J		
310.					Buy (add'l)	05/23/19	J		
311.					Buy (add'l)	05/24/19	J		
312.					Buy (add'l)	06/13/19	J		
313.					Buy (add'l)	06/14/19	J		
314.					Sold (part)	10/25/19	J	A	
315.					Sold (part)	10/28/19	J	A	
316.					Sold (part)	10/29/19	J	A	
317.					Sold (part)	10/30/19	J	B	
318.					Sold (part)	11/01/19	J	A	
319.					Sold (part)	11/08/19	J	A	
320.					Sold	10/31/19	J	A	
321. Walmart Inc (WMT)	A	Dividend	J	T	Buy (add'l)	01/17/19	J		
322.					Buy (add'l)	01/18/19	J		
323.					Sold (part)	05/02/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Rice, Walter H.

Date of Report

07/20/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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324.					Sold (part)	05/03/19	J	A	
325. Wells Fargo & Co New Del (WFC)		None			Sold	01/11/19	J		
326. TRUST #2 (H)									
327. BIF Money Fund (cash)	A	Interest	J	T					
328. Alaska Mun Bd Bk Ak Mun (01179PX93)	B	Interest			Redeemed	09/03/19	K		
329. Florida St Brd Ed Pub Cap Outlay (34153PP93)	B	Interest			Redeemed	06/06/19	K		
330. Massachusetts ST Dev Fin (57584XUB5)	C	Interest	M	T					
331. Wake Cnty NC Ltd Oblig (930876CL9)	B	Interest	L	T					
332. Minnesota St. Ser D (60412AGR8)	C	Interest	L	T					
333. Mississippi St Lt SER B (605581HZ3)	C	Interest	L	T					
334. North Dakota Pub Fin (65887PRK3)	C	Interest	L	T					
335. Milwaukee Wis Sew Rev (60242MCD3)	B	Interest	M	T	Buy	09/11/19	M		
336. Virginia CBA Va Ed (92778WAE6)	B	Interest			Redeemed	09/03/19	K		
337. Albuquerque NM Mun SD (013595TG8)	C	Interest	L	T					
338. Delaware Cnty PA Auth Rev Villanova Univ (245913LA4)	C	Interest	L	T					
339. Met GVT Nashville-Davidson Co Tn Rf (592112LW4)	B	Interest	L	T					
340. Delaware St Ser B RF (246381FC6)	C	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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Name of Person Reporting

Rice, Walter H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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341. Chicago Ill O Hare Intl (167593B92)	B	Interest	L	T					
342. Honolulu HI CY-CO WWTR Sys Rev Sr- First BD (438701J96)	C	Interest	L	T					
343. New York St Dorm Auth St Pers Income Tax Rev D RF (64990E5P8)	C	Interest	L	T					
344. Sioux Falls SD Sales Tax (829594LQ1)	B	Interest	L	T					
345. Denver Colo City & Cnty Sch Dist NO1 Sch GTD (249174VF7)	C	Interest	L	T					
346. New Jersey St Tpk Auth (646139B20)	C	Interest	L	T					
347. Fort Bend Tex Indpt Sch Dist (346843QN8)		None	L	T	Buy	07/30/19	L		
348. Washington St Var Purp (93974D2S6)	C	Interest	L	T					
349. IRA #1 (H)									
350. Comm Services Select Sector (XLC)	A	Dividend	J	T	Buy	01/18/19	J		
351. Consumer Discretionary (XLY)	A	Dividend	J	T	Sold (part)	09/30/19	J	A	
352. First TR Exchange Traded (FDN)		None	J	T					
353. Health Care Select SPDR (XLV)	A	Dividend	J	T	Sold (part)	04/25/19	J	A	
354. IShares NASDAQ Biotech (IBB)	A	Dividend	J	T					
355. IShares Core S&P US Growth ETF (IUSG)	A	Dividend	J	T	Sold (part)	09/30/19	J	A	
356. IShares Core S&P US Value ETF (IUSV)	A	Dividend	J	T	Sold (part)	09/30/19	J	A	
357. Ishares MSCI Emerging (EEMA)	A	Dividend	J	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Rice, Walter H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. Materials Select Sector (XLB)	A	Dividend	J	T					
359. Real Estate Select (XLRE)	A	Dividend	J	T					
360. Sector SPDR Consrms Stpl (XLP)	A	Dividend	J	T	Sold (part)	09/30/19	J	A	
361. Sector SPDR Energy (XLE) (X)	A	Dividend	J	T					
362. Sector SPDR Industrial (XLI)	A	Dividend	J	T	Sold (part)	01/18/19	J		
363.					Sold (part)	09/30/19	J	A	
364. Sector SPDR Utilities (XLI) (X)	A	Dividend	J	T					
365. Vanguard Financials ETF (VFH)	A	Dividend	J	T	Sold (part)	09/30/19	J	A	
366. Vanguard Information (VGT)	A	Dividend	J	T	Sold (part)	01/18/19	J		
367.					Sold (part)	09/30/19	J	A	
368. Vanguard FTSE Developed Markets ETF (VEA)	A	Dividend	J	T	Sold (part)	09/30/19	J	A	
369. Trust #3 (H)									
370. ML Bank Deposit - BOA (cash)	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting	Date of Report
Rice, Walter H.	07/20/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

Rice, Walter H.

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07/20/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Walter H. Rice**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544