

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Scarcella, Louis A.	2. Court or Organization U.S. Bankruptcy Court - Eastern District of New York	3. Date of Report 06/16/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Bankruptcy Court, Eastern District of New York Alfonse M.D'Amato Federal Courthouse 290 Federal Plaza Central Islip, New York 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	The Maurice A. Deane School of Law at Hofstra University
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 21

Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Spr 2019	Adjunct Professor, The Maurice A. Deane School of Law at Hofstra University (W-2)	\$7,500.00
2. Fall 2019	Adjunct Professor, The Maurice A. Deane School of Law at Hofstra University (W-2)	\$7,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Long Island University -- salary (W-2)
2. 2019	NYS Teachers' Retirement System
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Scarcella, Louis A.	06/16/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 21

Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property, Woodbury, Nassau County, NY	E	Rent	O	W					
2. Citibank (accounts) -- cash equivalent	A	Interest	M	T					
3. Chase (accounts) - cash equivalent	A	Interest	J	T					
4. Columbia Acorn International Fund-1	C	Dividend	L	T					
5. Brokerage Account #1 (H)									
6. - UBS Bank USA Dep Account -- cash equivalent	A	Interest	J	T					
7. - SMCWX	A	Dividend	L	T					
8. - AEPGX	B	Dividend	M	T					
9. - ANCFX	C	Dividend	M	T					
10. Brokerage Account #3 (H)									
11. - FSTFX	B	Dividend	L	T					
12. -FDRXX	B	Dividend	L	T	Buy	09/06/19	L		
13. Brokerage Account #4 (IRA) (H)									
14.									
15. - PASAX	A	Dividend			Sold	09/10/19	K	E	
16. - RLESX		None			Sold	09/10/19	M	G	
17. - RINTX		None			Sold	09/10/19	M	F	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - FXAIX	A	Dividend	L	T	Sold	09/10/19	L	E	
19. - FDRXX	C	Dividend	M	T	Sold	09/06/19	M		
20.					Buy (add'l)	09/06/19	M		
21.					Buy (add'l)	09/10/19	N		
22.					Sold (part)	09/12/19	M		
23.					Sold (part)	11/18/19	J		
24.					Buy (add'l)	12/30/19	J		
25. - KBWB	A	Dividend	K	T	Buy	09/12/19	K		
26. - EWZ	A	Dividend	K	T	Buy	09/12/19	K		
27. - EWW	A	Dividend	K	T	Buy	09/12/19	K		
28. - IEUR	A	Dividend	K	T	Buy	09/12/19	K		
29. - BIZO	A	Dividend	K	T	Buy	09/12/19	K		
30. - VBR	A	Dividend	L	T	Buy	09/12/19	L		
31. - VO	A	Dividend	L	T	Buy	09/12/19	L		
32. - VV	A	Dividend	M	T	Buy	09/12/19	L		
33. - EPI	A	Dividend	K	T	Buy	09/12/19	K		
34. - SNLN	A	Dividend	K	T	Buy	09/12/19	K		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 21

Name of Person Reporting

Scarcella, Louis A.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Brokerage Account #5 (IRA) (H)									
36. -FDIVX		None			Sold	09/10/19	L	B	
37. -FCNTX	A	Dividend			Sold	09/10/19	L	F	
38. -FSANX		None			Sold	09/10/19	K	D	
39. -FDRXX	B	Dividend	J	T	Sold	09/06/19	L		
40.					Buy	09/06/19	L		
41.					Buy (add'l)	09/10/19	M		
42.					Sold (part)	09/12/19	M		
43.					Buy (add'l)	09/24/19	J		
44.					Sold (part)	11/26/19	J		
45.					Sold (part)	12/06/19	J		
46.					Buy (add'l)	12/18/19	J		
47.					Sold (part)	12/20/19	J		
48. -SNLN	A	Dividend	J	T	Buy	09/12/19	J		
49. -CMBS	A	Dividend	J	T	Buy	09/12/19	J		
50. -FLOT	A	Dividend	K	T	Buy	09/12/19	J		
51. -SLQD	A	Dividend	J	T	Buy	09/12/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 21

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52. -SPIB	A	Dividend	J	T	Buy	09/12/19	J		
53. - VCIT	A	Dividend	K	T	Buy	09/12/19	J		
54. - AGN	A	Dividend	J	T	Buy	09/12/19	J		
55. - MDT	A	Dividend	J	T	Buy	09/12/19	J		
56. - T	A	Dividend	J	T	Buy	09/12/19	J		
57. - A	A	Dividend	J	T	Buy	09/12/19	J		
58. -GOOG		None	J	T	Buy	09/12/19	J		
59. -ADI	A	Dividend	J	T	Buy	09/12/19	J		
60. - ANTM	A	Dividend	J	T	Buy	09/12/19	J		
61. - AAPL	A	Dividend	J	T	Buy	09/12/19	J		
62. - ARCC	A	Dividend	J	T	Buy	09/12/19	J		
63. - BAC	A	Dividend	J	T	Buy	09/12/19	J		
64. - BDX	A	Dividend	J	T	Buy	09/12/19	J		
65. - AVGO	A	Dividend	J	T	Buy	09/12/19	J		
66. - CCL	A	Dividend	J	T	Buy	09/12/19	J		
67. -CSCO	A	Dividend	J	T	Buy	09/12/19	J		
68. - C	A	Dividend	J	T	Buy	09/12/19	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 21

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69. - STZ	A	Dividend	J	T	Buy	09/12/19	J		
70. - DOW	A	Dividend	J	T	Buy	11/20/19	J		
71. -DNKN	A	Dividend	J	T	Buy	09/12/19	J		
72. - GIS	A	Dividend	J	T	Buy	09/12/19	J		
73. -GM	A	Dividend	J	T	Buy	09/12/19	J		
74. - JPM	A	Dividend	J	T	Buy	09/12/19	J		
75. - JNJ	A	Dividend	J	T	Buy	09/12/19	J		
76. - KMI	A	Dividend	J	T	Buy	09/12/19	J		
77. - LRCX	A	Dividend	J	T	Buy	09/12/19	J		
78. - LMT	A	Dividend	J	T	Buy	09/12/19	J		
79. - MCD	A	Dividend	J	T	Buy	09/12/19	J		
80. - MCHP	A	Dividend	J	T	Buy	09/12/19	J		
81. - NOK		None	J	T	Buy	09/12/19	J		
82. - NOC	A	Dividend	J	T	Buy	09/12/19	J		
83. - PYPL		None	J	T	Buy	09/12/19	J		
84. - QCOM	A	Dividend	J	T	Buy	11/26/19	J		
85. - RTN	A	Dividend	J	T	Buy	09/12/19	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 21

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86. -RHHBY		None	J	T	Buy	09/12/19	J		
87. - SIX	A	Dividend	J	T	Buy	09/12/19	J		
88. - TJX	A	Dividend	J	T	Buy	09/12/19	J		
89. - TSM	A	Dividend	J	T	Buy	09/12/19	J		
90. - VOD		None	J	T	Buy	09/12/19	J		
91. -XPO		None	J	T	Buy	09/12/19	J		
92. - AGNCO		None	J	T	Buy	12/20/19	J		
93. - NLYPRF		None	J	T	Buy	12/06/19	J		
94. -NRZPRB		None	J	T	Buy	12/18/19	J		
95. - SREA		None	J	T	Buy	12/18/19	J		
96. -BXMT	A	Dividend	J	T	Buy	09/12/19	J		
97. - WPC	A	Dividend	J	T	Buy	09/12/19	J		
98. - DD		None			Buy	09/12/19	J		
99.					Sold	11/20/19	J		
100. - PM		None			Buy	09/12/19	J		
101.					Sold	09/24/19	J		
102. -VMBS	A	Dividend			Buy	09/12/19	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 21

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103.					Sold	12/18/19	J	A	
104. - FLRN	A	Dividend			Buy	09/12/19	J		
105.					Sold	12/18/19	J	A	
106. Brokerage Account #6 (IRA) (H)									
107. - FCNTX	B	Dividend			Sold	09/10/19	M	G	
108. - FLPSX		None			Sold	09/10/19	M	G	
109.									
110. - LSBDX	B	Dividend			Sold	09/10/19	L	E	
111. - SLADX	D	Dividend			Sold	09/10/19	M	G	
112. - FDRXX	C	Dividend	N	T	Sold	09/06/19	M		
113.					Buy	09/06/19	M		
114.					Buy (add'l)	09/10/19	O		
115.					Sold (part)	09/13/19	N		
116.					Sold (part)	09/16/19	K		
117.					Sold (part)	09/19/19	L		
118.					Sold (part)	09/20/19	K		
119.					Sold (part)	09/24/19	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 21

Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	09/25/19	K		
121.					Buy (add'l)	09/30/19	L		
122.					Sold (part)	10/03/19	K		
123.					Sold (part)	10/09/19	K		
124.					Buy (add'l)	11/15/19	J		
125.					Buy (add'l)	11/18/19	K		
126.					Sold (part)	11/18/19	J		
127.					Sold (part)	11/19/19	K		
128.					Buy (add'l)	11/22/19	J		
129.					Buy (add'l)	11/25/19	K		
130.					Sold (part)	11/26/19	K		
131.					Buy (add'l)	12/11/19	K		
132.					Sold (part)	12/16/19	K		
133. - Wells Fargo Bank Natl Bank CD	A	Interest			Redeemed	09/30/19	K		
134. - AGN	A	Dividend	J	T	Buy	09/13/19	J		
135. - MDT	A	Dividend	J	T	Buy	09/13/19	J		
136. - LYB	A	Dividend	J	T	Buy	09/13/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - T	A	Dividend	J	T	Buy	09/13/19	J		
138. - GOOG		None	J	T	Buy	09/13/19	J		
139. - ADI	A	Dividend	J	T	Buy	09/13/19	J		
140. - ANTM	A	Dividend	J	T	Buy	09/13/19	J		
141. - AAPL	A	Dividend	J	T	Buy	09/13/19	J		
142.					Sold (part)	11/22/19	J	B	
143. - ARCC	A	Dividend	J	T	Buy	09/13/19	J		
144. - BAC	A	Dividend	J	T	Buy	09/13/19	J		
145. - BDX	A	Dividend	J	T	Buy	09/13/19	J		
146. - BMY	A	Dividend	J	T	Buy	09/13/19	J		
147. - AVGO	A	Dividend	J	T	Buy	09/13/19	J		
148. - CCL	A	Dividend	J	T	Buy	09/13/19	J		
149. - CSCO	A	Dividend	J	T	Buy	09/13/19	J		
150. - C	A	Dividend	J	T	Buy	09/13/19	J		
151. - STZ	A	Dividend	J	T	Buy	09/13/19	J		
152. - DOW	A	Dividend	J	T	Buy	11/22/19	J		
153. - DNKN	A	Dividend	J	T	Buy	09/13/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - XOM	A	Dividend	J	T	Buy	09/13/19	J		
155. - F	A	Dividend	J	T	Buy	09/13/19	J		
156. - GIS	A	Dividend	J	T	Buy	09/13/19	J		
157. - GM	A	Dividend	J	T	Buy	09/13/19	J		
158. - JPM	A	Dividend	J	T	Buy	09/13/19	J		
159. - JNJ	A	Dividend	J	T	Buy	09/13/19	J		
160. - KMI	A	Dividend	J	T	Buy	09/13/19	J		
161. - LRCX	A	Dividend	J	T	Buy	09/13/19	J		
162. - LMT	A	Dividend	J	T	Buy	09/13/19	J		
163. - MCD	A	Dividend	J	T	Buy	09/13/19	J		
164. - MCHP	A	Dividend	J	T	Buy	09/13/19	J		
165. - NOK		None	J	T	Buy	09/13/19	J		
166. - NOC	A	Dividend	J	T	Buy	09/13/19	J		
167. - PYPL		None	J	T	Buy	09/13/19	J		
168. - RTN	A	Dividend	J	T	Buy	09/13/19	J		
169. - RHHBY		None	J	T	Buy	09/13/19	J		
170. - SIX	A	Dividend	J	T	Buy	09/13/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 14 of 21

Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - TJX	A	Dividend	J	T	Buy	09/13/19	J		
172. - TSM	A	Dividend	J	T	Buy	09/13/19			
173.					Sold (part)	11/22/19	J	A	
174. - TMO	A	Dividend	J	T	Buy	09/13/19	J		
175. - VZ	A	Dividend	J	T	Buy	09/13/19	J		
176. - VOD		None	J	T	Buy	09/13/19	J		
177. - WSM	A	Dividend	J	T	Buy	09/13/19	J		
178. - XPO		None	J	T	Buy	09/13/19	J		
179. - AGNCO		None	K	T	Buy	10/13/19	J		
180. - NLYPRI	A	Dividend	K	T	Buy	09/13/19	J		
181. - NSAPRA	A	Dividend	K	T	Buy	09/13/19	J		
182. - NEEPRN	A	Dividend	K	T	Buy	09/13/19	J		
183. -TWOPRE	A	Dividend	K	T	Buy	09/13/19	J		
184. - Spirit Aerosystems Inc Note Floating Coupon	A	Interest	K	T	Buy	11/26/19	K		
185. - United Technologies Corp Note Floating		None	K	T	Buy	11/26/19	K		
186. - Great Plains Energy Inc Note Fixed		None	K	T	Buy	09/16/19	K		
187. - PBF Logistics LP Note Fixed	A	Interest	K	T	Buy	09/19/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 21

Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Icahn Enterprises LP Note Fixed	A	Interest	K	T	Buy	09/20/19	K		
189. - Equinix Inc Note Fixed		None	K	T	Buy	09/25/19	K		
190. - Hollyfrontier Corp Note Fixed	A	Interest	K	T	Buy	09/19/19	K		
191. - MPT operating Partnership LP Note Fixed		None	K	T	Buy	09/24/19	K		
192. - Assurant Inc Note Variable		None	K	T	Buy	11/19/19	K		
193. - Dominion Res Inc Note Fixed		None	K	T	Buy	12/16/19	K		
194. - Vulcan Matls Co Note		None	K	T	Buy	10/09/19	K		
195. - BXMT	A	Dividend	J	T	Buy	09/13/19	J		
196. - WPC	A	Dividend	J	T	Buy	09/13/19	J		
197. - DD		None			Buy	09/13/19	J		
198.					Sold	11/22/19	J		
199. - SRPRA	A	Dividend			Buy	09/13/19	K		
200.					Sold	12/11/19	K		
201. - PM		None			Buy	09/13/19	J		
202.					Sold	09/24/19	J		
203. - Sempra Energy Note Floating	A	Interest			Buy	09/24/19	J		
204.					Sold	11/18/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 21

Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. - Oneok Inc Note		None			Buy	09/19/19	K		
206.					Sold	11/25/19	K		
207. Brokerage Account #8 (H)									
208. - FDRXX	C	Dividend	L	T	Sold	09/06/19	M		
209.					Buy	09/06/19	M		
210.					Sold (part)	09/11/19	M		
211.					Buy (add'l)	10/15/19	J		
212.					Sold (part)	12/16/19	J		
213.					Sold (part)	12/31/19	J		
214. - UTX	A	Dividend	J	T	Buy	09/11/19	J		
215.					Buy (add'l)	10/15/19	J		
216. - HD	A	Dividend	J	T	Buy	09/11/19	J		
217.					Buy (add'l)	12/16/19	J		
218. - AMGN	A	Dividend	J	T	Buy	09/11/19	J		
219.					Buy (add'l)	09/24/19	J		
220. -Tapestry Inc Com		None			Buy	09/11/19	J		
221.					Sold	09/24/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

FINANCIAL DISCLOSURE REPORT

Page 17 of 21

Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Pfizer Inc		None			Buy	09/11/19	J		
223.					Sold	10/15/19	J		
224. - JNJ	A	Dividend	J	T	Buy	09/11/19			
225. - KLAC	A	Dividend	J	T	Buy	09/11/19			
226. - MCD	A	Dividend	J	T	Buy	09/11/19			
227. - MET	A	Dividend	J	T	Buy	09/11/19			
228. - MET	A	Dividend	J	T	Buy	09/24/19			
229. - PEP	A	Dividend	J	T	Buy	09/11/19			
230. - PSX		Dividend	J	T	Buy	09/11/19			
231. - PG	A	Dividend	J	T	Buy	09/11/19			
232. - ROK	A	Dividend	J	T	Buy	09/11/19			
233. - SBUX	A	Dividend	J	T	Buy	09/11/19			
234. - TXN	A	Dividend	J	T	Buy	09/11/19			
235. - MMM	A	Dividend	J	T	Buy	09/11/19			
236. - USB	A	Dividend	J	T	Buy	09/11/19			
237. - WMT	A	Dividend	J	T	Buy	09/11/19	J		
238. - PLD	A	Dividend	J	T	Buy	09/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. - PSA	A	Dividend	J	T	Buy	09/11/19	J		
240. -ACN	A	Dividend	J	T	Buy	09/11/19	J		
241.					Buy	10/15/19	J		
242. - MDT	A	Dividend	J	T	Buy	09/11/19	J		
243. - MSFT	A	Dividend	J	T	Buy	09/11/19	J		
244. - BDX	A	Dividend	J	T	Buy	09/11/19	J		
245. - BLK	A	Dividend	J	T	Buy	09/11/19	J		
246. - CME	A	Dividend	J	T	Buy	09/11/19	J		
247. - CVX	A	Dividend	J	T	Buy	09/11/19	J		
248. - CSCO	A	Dividend	J	T	Buy	09/11/19	J		
249. - CMCSA	A	Dividend	J	T	Buy	09/11/19	J		
250. - COST	A	Dividend	J	T	Buy	09/11/19	J		
251. - EMN	A	Dividend	J	T	Buy	09/11/19	J		
252. - FIS	A	Dividend	J	T	Buy	12/31/19	J		
253. - GD	A	Dividend	J	T	Buy	09/11/19	J		
254. - ETN	A	Dividend	J	T	Buy	09/11/19	J		
255. - IFF	A	Dividend	J	T	Buy	09/11/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. - JPN	A	Dividend	J	T	Buy	09/11/19	J		
257.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 20 of 21

Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trust

Retirement accounts and joint brokerage accounts at Fidelity were transferred to new account numbers at Fidelity. Except for the money market investment account (FDRXX), all investments were transferred in kind. The money market account (FDRXX) was sold in old account and repurchased in a new account (no gain/loss).

Brokerage Accounts 3, 4, 5, 6, 8 -- investments transferred in kind (new account numbers at Fidelity)

FINANCIAL DISCLOSURE REPORT

Page 21 of 21

Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Louis A. Scarcella**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544