

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lynch, Brian D.	2. Court or Organization U.S. Bankruptcy Court, W.D. WA at Tacoma	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States Bankruptcy Court 1717 Pacific Avenue Suite 2100 Tacoma, WA 98402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Lynch, Brian D.

Date of Report

05/14/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Oregon State Bar	4/4-6/19	Portland, OR	Northwest Bankruptcy Institute educational program (teaching)	Travel
2.	National Assn of Consumer Bankruptcy Attorneys	5/15-18/19	Cleveland, OH	Educational Conference (teaching)	Hotel, meals and travel
3.	National Conference of Bankruptcy Judges	10/29-11/2/19	Washington, DC	Judges' Association (educational and meetings)	Hotel
4.					
5.					

Name of Person Reporting	Date of Report
Lynch, Brian D.	05/14/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Caliber Home Loans	Mortgage on rental property in Seattle, WA	M
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. I Shares Msci Emerging Markets (EEM)	A	Dividend			Sold	09/20/19	J	A	
2. Schwab Diversified Emerging Markets (SCHE)	A	Dividend	J	T					
3. I Shares Msci EAFE (EFA)	A	Dividend	K	T					
4. Schwab International Equity (SCHF)	A	Dividend			Sold	11/13/19	J	A	
5. I Shares Barclays Agg Bond (AGG)	A	Dividend			Sold	09/20/19	K	B	
6. Schwab US Aggregate Bond (SCHZ)	A	Dividend	K	T					
7. SPDR S&P 500 (SPY)	A	Dividend			Sold	09/20/19	L	E	
8. PowerShares QQQ (QQQ)	A	Dividend	L	T					
9. Schwab US Large Growth (SCHG)	A	Dividend	J	T					
10. Schwab US Dividend Equity (SCHD)	B	Dividend	L	T					
11. Schwab US Mid Blend (SCHM)	A	Dividend	J	T					
12. I Shares S&P Mid 400 Value Index (IJJ)	A	Dividend	K	T					
13. I Shares S&P US Preferred Stock Index (PFF)	B	Dividend	K	T					
14. Schwab US REIT (SCHH)	A	Dividend	K	T					
15. Vanguard REIT Index (VNQ)	A	Dividend			Sold	09/20/19	K	E	
16. I Shares S&P Small 600 Index (IJR)	A	Dividend	J	T	Sold (part)	09/20/19	L	E	
17. Schwab US Small Blend (SCHA)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Lynch, Brian D.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. I Shares S&P Small 600 Value Index (IJS)	A	Dividend	K	T					
19. American Funds American Balanced Fund (AMBFX)	A	Dividend	L	T					
20. American Funds Capital Income Builder Fund (CAIFX)	A	Dividend	J	T					
21. American Funds EuroPacific Share Class A (AEPGX)	A	Dividend	J	T					
22. American Funds EuroPacific Share Class F1 (AEPFX)	A	Dividend	J	T					
23. Vanguard S&P 500 Index (VFIAX)	B	Dividend	M	T					
24. Schwab S&P 500 Index (SWPPX)	A	Dividend	K	T					
25. American Funds AMCAP (AMCPX)	A	Dividend			Sold	09/20/19	J	A	
26. American Funds Growth Fund of America (GFFFX)	A	Dividend	J	T					
27. Loomis Sayles Growth Fund (LGRRX)	A	Dividend			Sold	09/20/19	J	A	
28. American Funds Washington Mutual Investors (WSHFX)	A	Dividend			Sold	11/13/19	J	A	
29. Hartford Dividend Growth (IHGIX)	A	Dividend			Sold	09/20/19	J	A	
30. MFS Value A (MEIAX)	A	Dividend			Sold	09/20/19	J	A	
31. JHancock3 Disciplined Value (JVMAX)		None			Sold	09/20/19	J	A	
32. Baird Mid Cap Investors (BMDSX)		None			Sold	09/20/19	J	A	
33. Russell Global Real Estate (RRESX)	A	Dividend			Sold	09/20/19	J	A	
34. Lord Abbett Short Duration Income (LALFX)	D	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. AAM HIMCO Short Duration (ASDIX)	D	Dividend	M	T					
36. Advisors Disciplined Trust UIT #1005 (0771G358)	A	Dividend			Sold	09/10/19	J	A	
37. Schwab Govt Money Market (SWVXX)	A	Dividend	L	T					
38. Schwab Cash Deposit	A	Dividend	L	T					
39. Rental Home in Seattle, WA (2/25/19 \$604,000)	E	Rent	O	R	Buy	02/25/19	O		Estate of Ann Lynch
40. Wells Fargo	A	Interest	M	T					
41. Chase	A	Interest	K	T					
42. Washington Federal	A	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Lynch, Brian D.

Date of Report

05/14/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On May 9, 2020 a number of mutual funds were changed from one share class to another within the same mutual fund. This change was done to reflect a lower Operating Expense Ratio and there was no change in management of the respective fund or the underlying portfolio. The effected funds are as follows,

American Balanced, BLAFX to AMBFX
American Funds AMCAP, AMCPX to AMCFX
Capital Income Builder, CIBFX TO CAIFX
EuroPacific Fund, AEPGX to AEPFX
EuroPacific Fund, AEGFX to AEPFX
Growth Fund of America, AGTHX to GFFFX
Washington Mutual Investors, WSHFX to WMFFX
Hartford Dividend Growth, IHGIX to HDGYX
Hancock Disciplined Value, JVMAX to JVMIX
Loomis Sayles Growth, LGRRX to LSGRX
MFS Value, MEIAX to MEIIX
Vanguard Index 500, VFINX to VFIAX

In addition the Schwab Money Market fund SWGXX was moved to Schwab Money Market fund SWVXX

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Lynch, Brian D.

Date of Report

05/14/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brian D. Lynch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544