

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shadid, James E.	2. Court or Organization United States District Court, Central District of Illinois	3. Date of Report 11/16/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) chief United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Courthouse 100 N.E. Monroe, Room 204 Peoria, IL 61602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Trustees	Bradley University, Peoria, IL
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 12/01-3/11	Judges Retirement System of Illinois - Pension upon retirement
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Smith Barney Acct. 1	A	Interest	K	T					
2. IRA 1 - Lines 2-66			O	T					
3. -Abbott Laboratories (ABT)	D	Int./Div.	K	T					
4. -ABBVIE(ABBV)	D	Int./Div.	K	T					
5. -Alphabet Inc. CLC (Goog)See Explanation Part VIII	B	Int./Div.	J	T					
6. -AT&T Inc.	A	Int./Div.			Sold	11/09/16	J	D	
7. -Bank of America Corp. (BAC)	D	Int./Div.	K	T					
8. -Barrick Gold Corp CAD (ABX)	A	Int./Div.	J	T					
9. -Berkshire Hathaway Inc. CL B (BRK'B)	D	Int./Div.	K	T					
10. -Biogen IDEC Inc. (BLLB)	E	Int./Div.	K	T					
11. -Cablevision Systems Corp (CVC)	A	Int./Div.			Sold	06/21/16	J	C	
12. -Caterpillar Inc. (CAT)	A	Int./Div.			Sold	06/10/16	J	A	
13. -Chubb Corp. (CB)	B	Int./Div.	J	T					
14. -Cisco Sys. Inc. (CSCO)	D	Int./Div.	J	T					
15. -Dolby Laboratories Inc. (DLB)	A	Int./Div.			Sold	11/09/16	J	A	
16. -EBAY Inc. (EBAY)	C	Int./Div.	J	T					
17. -Emerson Electric Co. (EMR)	B	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Exxon Mobil Corp. (XOM)	B	Int./Div.	J	T					
19. -Fluor Corp. New (FLR)	A	Int./Div.	J	T					
20. -Freeport McMoran Copper & Gold (FCX)	A	Int./Div.	J	T					
21. -Gamestop Corp CLA New (GME)	A	Int./Div.	J	T	Buy	09/28/16	J		
22. -Gap Inc. Delaware (GPS)	A	Int./Div.	J	T					
23. -Home Depot Inc. (HD)	E	Int./Div.	K	T					
24. -Intel Corp. (INTC)	D	Int./Div.	J	T					
25. -Intl. Business Machines Corp. (IBM)	B	Int./Div.	K	T					
26. -Johnson & Johnson (J&J)	D	Int./Div.	K	T					
27. -JPMorgan Chase & Co. (JPM)	A	Int./Div.			Sold	11/11/16	J	D	
28. -Juniper Networks Inc. (JNPR)	B	Int./Div.	J	T					
29. -Kopernik Glb all Cap Instl	A	Int./Div.	J	T					
30. -Kraft Heinz Co. (KHC)	B	Int./Div.	J	T					
31. -L3 Communications Hldgs Inc. (LLL)	D	Int./Div.	J	T					
32. -Lam Research (fka Novellus) (LRCX)	D	Int./Div.	J	T					
33. -Liberty Media Corp SER A LMCA	A	Int./Div.			Sold	09/28/16	J	B	
34. -Liberty Media Corp SER C LMCK	A	Int./Div.			Sold	09/28/16	J	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -Liberty Trip Advisor Holdings, Inc. LTRPA	A	Int./Div.			Sold	09/28/16	J	A	
36. -Liberty Inter Co. Venture SER A LVNTA	A	Int./Div.			Sold	09/28/16	J	B	
37. -Liberty Interactive Co. Inter A QVCA	A	Int./Div.			Sold	09/28/16	J	B	
38. -Liberty Broadband Corp. S-A LBRDA	A	Int./Div.			Sold	09/28/16	J	B	
39. -Liberty Broadband Corp S-C LBRDK	A	Int./Div.			Sold	09/28/16	J	A	
40. -McKesson Corporation (MCK)	C	Int./Div.	J	T					
41. -Merck & Co. Inc. New (MRK)	A	Int./Div.	J	T					
42. -Microsoft Corp. (MSFT)	D	Int./Div.	K	T					
43. -Mondelez Intl. (MDLZ)	B	Int./Div.	J	T					
44. -Nasdaq OMX Group Inc. (NDAQ)	B	Int./Div.	J	T					
45. -Novartis AG ADR (NVS)	B	Int./Div.	J	T					
46. -Nucor Corp. (NUE)	A	Int./Div.	J	T					
47. -Oaktree Capital CP LLC UT-A	A	Int./Div.	J	T					
48. -Pepsico Inc. (PEP)	D	Int./Div.	J	T					
49. -Paypal Hldgs. (PYPL)	C	Int./Div.	K	T					
50. -Petroleo Brasileiro SA (PBR)	A	Int./Div.			Sold	11/09/16	J	C	
51. -Pfizer Inc. (PFE)	D	Int./Div.	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Pimco (PCI) See note in Part VIII	A	Int./Div.	K	T	Buy	08/20/13	J		
53. -Proctor & Gamble Co. (PG)	C	Int./Div.	J	T					
54. -Starz Liberty Capital f/k/a Liberty Media Corp. Cap (STRZA)	A	Int./Div.			Sold	09/28/16	J	B	
55. -State Street Cor. (STT)	D	Int./Div.	K	T					
56. -Taiwan Semiconductor Mfg. (TSM)	D	Int./Div.	J	T					
57. -Texas Instruments Inc. (TXN)	D	Int./Div.	K	T					
58. -Unilever PLC Spons ADR NEW (UL)	D	Int./Div.	K	T					
59. -United Parcel Service CLB (UPS)	C	Int./Div.	J	T					
60. -Verizon Communications (VZ)	B	Int./Div.	J	T					
61. -Viacom Inc. (VIAB)	A	Int./Div.	J	T	Buy	09/28/16	J		
62. -VISA Inc. Com CLA (V)	E	Int./Div.	K	T					
63. -Vodafone Group PLC Spons (VOD)	A	Int./Div.	J	T					
64. -Walgreens (WAG) n/k/a Walgreen Boots (WBA)	D	Int./Div.	J	T					
65. -Walt Disney Co. (DIS)	E	Int./Div.	J	T					
66. -Morgan Stanley Smith Barney Bank Deposit Program - Cash			L	T					
67. IRA 2 (Lines 67-70)	A	Int./Div.	K	T					
68. -Consolidated Edison Inc. (ED)	C	Int./Div.	J	T					

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69. -Legg Mason CB Large Cap Growth SBLGX	A	Int./Div.	J	T					
70. -Morgn Stanly Smith Barney Bank Deposit Program - Cash	A	Int./Div.	J	T					
71. Morgan Stanley Smith Barney Acct. 2 (Lines 71-74)			K	T					
72. -Legg Mason CB Appreciation CL SHAPX	A	Int./Div.	K	T					
73. -Legg Mason Clearbridge Aggress - SHRAX	A	Int./Div.	K	T					
74. -Morgan Stanley Smith Barney Bank Deposit Program - Cash	A	Int./Div.	J	T					
75. MSSB Acct. 3 (Lines 76-87)			M	T					
76. -Alibaba Group Holdings, Ltd.	A	Int./Div.			Sold	09/28/16	J	B	
77. -Alcoa Inc. (AA)	A	Int./Div.	J	T					
78. -Arconic (ARNC)(x)	A	Int./Div.	J	T					
79. -Citigroup Inc. NEW	C	Int./Div.	K	T					
80. -Eaton Corp PLC SHS	A	Int./Div.	J	T					
81. -Gilead Science	A	Int./Div.	J	T					
82. -Hortonworks Inc. Com(HDP)	A	Int./Div.	J	T					
83. -Michael Kors Holdings Ltd.	A	Int./Div.	J	T					
84. -SPDR Trust Series 1 (SPY)	A	Int./Div.	K	T					
85. -Walgreen Co. n/k/a Walgreens Boots Alliance (WBA)	B	Int./Div.	J	T					

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86. -Workday Inc. CL A (WDAY)	A	Int./Div.	J	T					
87. - Morgan Stanley Smith Barney - Cash	A	Int./Div.	K	T					
88. Morgan Stanley Smith Barney Acct. 4 (All Cash)		None	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII-Line 5 - On October 5, 2015 Google Inc. underwent a name change and is now known as Alphabet Inc. CLC and Alphabet Inc. CLA. This was reported last year on line 25 as Google n/k/a Alphabet Inc, and has simply been moved to it's alphabetically correct line.

Part VII- Line 52 - Pimco (PCI) was apparently purchased on 8/20/2013, but inadvertently left off of prior years reports.

Part VII - Previously Line 74 - Legg Mason CB Large Cap Growth SBLGX was never a part of the Morgan Stanley Smith Barney Account 2. This was only held in the IRA 1 Account, listed on line 69. Accordingly it has been removed from this years report under Morgan Stanly Smith Barney Account 2.

Part VII - Line 77 & 78 - In 2016 Alcoa split into two companies, Alcoa (AA) and Arconic (ARNC).

Part VII - Line 88 -In 2016 Account 4 was added as part of estate planning.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James E. Shadid**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544