

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CONRAD, GLEN E.	2. Court or Organization US DISTRICT COURT	3. Date of Report 7/29/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR US DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 210 FRANKLIN RD - ROOM 206 P O BOX 2822 ROANOKE, VA 24001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Virginia Retirement System - retirement plan
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. House 2 Lots Pulaski Co., VA (see Part VIII)		None	M	Q					
2. House (40% int.) Dare County, NC (see Part VIII)	D	Rent	N	Q					
3. House 2, Dare County, NC (see Part VIII)		None	P1	Q					
4. Stamp collection		None	L	W					
5. Coin collection		None	J	W					
6. Abbott Laboratories (CS)	A	Dividend	K	T					
7. Abbvie (CS)	A	Dividend	K	T					
8. Accenture PLC (CS)	A	Dividend	J	T					
9. Alcoa Inc. (CS)	A	Dividend	J	T					
10. Alibaba Group Holding, Ltd. (CS)	A	Dividend	J	T					
11. Amazon.com Inc. (CS)	A	Dividend	L	T					
12. American Airlines Group Inc. (CS)	A	Dividend	K	T					
13. American Electric Power (CS)	B	Dividend	K	T					
14. American Tower Corp. (CS)	A	Dividend	J	T					
15. Amerisourcebergen Corp. (CS)	A	Dividend	J	T					
16. Amgen Inc. (CS)	A	Dividend	J	T					
17. Anheuser-Busch InBev (CS)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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18. Anthem Inc. (CS)	A	Dividend	J	T					
19. Apple, Inc. (CS)	A	Dividend	M	T					
20. AquaBounty Technologies (CS)	A	Dividend	J	T					
21. Arconic Inc. (CS)	A	Dividend	J	T					
22. AT&T Corp. (CS)	B	Dividend	K	T					
23. Berkshire Hathaway Inc. (CS)	A	Dividend	J	T					
24. Boulder Growth & Income Fund, Inc. (Mut Fund)	B	Dividend	K	T					
25. Brookfield Asset Mgmt Inc. (CS)	A	Dividend	J	T					
26. Bristol Myers Squibb Co. (CS)	A	Dividend	K	T					
27. Canadian Imperial Bank of Commerce (CS)	A	Dividend	K	T					
28. Capital One Financial Corp. (CS)	A	Dividend	K	T					
29. Caterpillar, Inc. (CS)	A	Dividend	K	T					
30. Celgene Corp. (CS)	A	Dividend			Merged (with line 26)	11/20/19	J	D	
31. Century Link (CS)	A	Dividend	K	T					
32. Chubb Corp. (CS)	A	Dividend	K	T					
33. Cigna (CS) (See Part VIII)	A	Dividend	J	T	Open	01/02/19	J		
34. Cisco Systems Inc. (CS)	A	Dividend	J	T					

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35. Corteva (CS)	A	Dividend	J	T	Spinoff (from line 132)	06/07/19	J		
36. CME Group, Inc. (CS)	A	Dividend	J	T					
37. CDN Imperial Bank (Bank Note)	A	Int./Div.	K	T					
38. Comcast Corp. (CS)	A	Dividend	J	T					
39. Conoco Phillips Corp. (CS)	C	Dividend	L	T					
40. Consolidated Edison, Inc. (CS)	A	Dividend	K	T					
41. Copart, Inc. (CS)	A	Dividend	K	T	Buy	09/16/19	K		
42. Chevron Texaco Corp. (CS)	D	Dividend	L	T					
43. Cummins Inc. (CS)	A	Dividend	J	T					
44. Danaher Corp. (CS)	A	Dividend	J	T					
45. Dish Network Corp. (CS)	A	Dividend	J	T					
46. Disney Walt Co., (CS)	A	Dividend	K	T					
47. Dollar General Corp. (CS)	A	Dividend	J	T	Buy	02/08/19	J		
48. Dominion Resources (CS)	A	Dividend	J	T					
49. Duke Energy Corp. (CS)	A	Dividend	J	T					
50. Dunkin Brands Group, Inc. (CS)	A	Dividend			Buy	08/09/19	K		
51.					Sold	11/18/19	K		

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52. Edwards Lifesciences (CS)	A	Dividend	K	T					
53. Estee Lauder Co. Inc. (CS)	A	Dividend	K	T	Buy	05/13/19	K		
54. Express Scripts (CS) (See Part VIII)	A	Dividend			Merged (with line 33)	01/02/19	J		
55. Exxon Mobile Corp. (CS)	A	Dividend	J	T					
56. Fed Ex Corp. (CS)	A	Dividend	J	T					
57. Fortive (CS)	A	Dividend	J	T					
58. Franklin VA Tax Free Income Fund	B	Dividend	K	T					
59. Garmin, Ltd. (CS)	A	Dividend	J	T	Buy	11/14/19	K		
60. General Motors Co. (CS)	A	Dividend	J	T					
61. Glaxo Smithkline (CS)	B	Dividend	K	T					
62. Global Payments, Inc. (CS)	A	Dividend			Sold	08/19/19	K	C	
63. Halozyme Therapeutic (CS)	A	Dividend	M	T					
64. Hershey Company (CS)	A	Dividend	J	T					
65. Home Depot, Inc. (CS)	A	Dividend	J	T					
66. Intel Corp. (CS)	A	Dividend	L	T					
67. Intrexon Corp. (CS)	A	Dividend	K	T					
68. Invesco Fin. PLC SR Note	A	Interest	L	T					

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69. J2 Global Inc. (CS)	A	Dividend	K	T	Buy	10/17/19	K		
70. Johnson & Johnson (CS)	A	Dividend	J	T					
71. Linde AG (CS) (See Part VIII)	A	Dividend	J	T	Open	01/02/19	J		
72. Loews Corp. SR Note	A	Distribution	K	T					
73. Lululemon Athletica, Inc. (CS)	A	Dividend	K	T	Buy	04/08/19	K		
74. Markel Corp. (CS)	A	Dividend	J	T					
75. Med Pace Holdings, Inc. (CS)	A	Dividend	J	T	Buy	02/15/19	J		
76. Merck & Co. Inc. (CS)	A	Dividend	J	T					
77. Mondelez Intl. Inc. (CS)	A	Dividend	J	T					
78. Monsanto Co. (CS)	A	Dividend	J	T					
79. JP Morgan Chase & Co. (CS)	B	Dividend	L	T					
80. Nestle S.A. (CS)	A	Dividend	J	T					
81. Nike (CS)	A	Dividend	J	T					
82. Nuveen Floating Step 22 Floating Rate Income Fund	A	Interest	J	T					
83. Nuveen VA Prem Income Municipal Fund	A	Dividend	J	T					
84. Oceaneering International, Inc. (CS)	A	Dividend	J	T					
85. Parker-Hannifin Corp. (CS)	A	Dividend	J	T					

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86. Pepsico Inc. (CS)	A	Dividend	J	T					
87. Pfizer Inc. (CS)	A	Dividend	J	T					
88. Phillips 66 (CS)	B	Dividend	J	T					
89. Praxair Inc. (CS) (See Part VIII)	A	Dividend			Closed	01/02/19	J		
90. Qualcomm Inc. (CS)	A	Dividend	J	T					
91. Realty Income Corp. (CS)	A	Dividend	K	T					
92. Royal Dutch Shell (CS)	A	Dividend	K	T					
93. Royal Bank Canada (note)	B	Int./Div.	M	T					
94. Schwab Money Market Fund	E	Dividend	L	T	Distributed (part)	12/10/19	K	C	
95. Scott & Stringfellow Money Market Fund	A	Dividend	K	T					
96. Scottrade Money Market Fund (See Part VIII)	A	Dividend			Closed	01/02/19	L		
97. J. M. Smucker Co. (CS)	A	Dividend	J	T					
98. Southern Company (CS)	A	Dividend	K	T					
99. Starbucks Corp. (CS)	A	Dividend	J	T					
100. Stryker Corp. (CS)	A	Dividend	J	T					
101. Supernus Pharmaceuticals, Inc. (CS)	A	Dividend			Sold	03/25/19	K	A	
102. Suntrust Banks, Inc. (CS) (See Part VIII)	C	Dividend			Merged (with line 128)	12/09/19	L		

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103. TD Ameritrade Money Market Fund (See Part VIII)	A	Int./Div.	L	T	Open	01/02/19	L		
104. TTM Technologies (CS)	A	Dividend	J	T					
105. The Travelers Companies (CS)	A	Dividend	K	T					
106. Truist Bank (see Part VIII)	C	Dividend	L	T	Open	12/09/19	L		
107. Ulta Beauty, Inc. (CS)	A	Dividend			Sold	10/21/19	J		
108. United Airlines (CS)	A	Dividend	K	T					
109. United Health Group, Inc. (CS)	A	Dividend			Sold	05/09/19	K	A	
110. United Technologies Corp. (CS)	A	Dividend	J	T					
111. Vanguard FTSE Emerging Markets Index Fund (Mut.Fund)	A	Dividend	K	T	Buy	03/15/19	K		
112. VISA Inc. (CS)	A	Dividend	J	T					
113. Verizon Communications (CS)	B	Dividend	K	T	Buy (add'l)	03/25/19	K		
114. Walgreens Boots Alliance (CS)	A	Dividend	K	T	Buy	04/11/19	K		
115. Well Care Health Plans, Inc. (CS)	A	Dividend			Sold	03/29/19	K	C	
116. Williams Sonoma, Inc. (CS)	A	Dividend			Sold	04/18/19	K	A	
117. Wells Fargo (CS)	A	Dividend	J	T					
118. Wells Fargo Bank	A	Int./Div.	J	T					
119. Ziopharm Oncology, Inc. (CS)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Zoetis, Inc. (CS)	A	Dividend	K	T					
121. Davenport & Co. LLC	A	Int./Div.	O	T					
122. Land, Parcel #127, Albemarle Co., VA (1/3 interest) (see Part VII)		None	K	Q					
123. Land, Parcel #119-600, Albemarle Co., VA (1/3 int) (see Part VIII)		None	N	Q					
124. Land, Parcel #128, Albemarle Co., VA (1/3 int) (see Part VIII)		None			Sold	08/16/19	M	F	
125. Land, Parcel #119-2400, Albemarle Co., VA (1/3 int)(see Part VIII)		None	O	Q					
126. Land, 8 parcels, Albemarle Co, VA (1/3 int) (see Part VIII)	D	Rent			Sold	03/19/19	M	F	
127. Lowe's Cos. Inc. (CS)	A	Dividend	L	T					
128. BB&T Corp. (CS) (see Part VIII)	A	Dividend			Closed	12/09/19	J		
129. McDonalds Corp. (CS)	A	Dividend	J	T					
130. General Electric Co. (CS)	A	Dividend	J	T					
131. Chemours Co. (CS)	A	Dividend	J	T					
132. E I DuPont De Nemours & Co. (CS)	A	Dividend	J	T					
133. Uniti Group Inc. (CS)	A	Dividend	J	T					
134. Atlantic Union Bank (CD)	A	Interest	M	T	Buy	09/16/19	M		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 1 - appraisal date - May 2004

Part VII, Line 2 - appraisal date - September 1999

Part VII, Line 3 - appraisal date - June 2017

Part VII, Lines 33 & 54 - Cigna and Express Scripts merged on 12/20/2018

Part VII, Lines 71 & 89 - Praxair and Linde merged on 10/22/2018

Part VII, Lines 96 & 103 - Scottrade was acquired by TD Ameritrade in late 2017

Part VII, Lines 102, 106, & 128 - SunTrust Bank and BB&T Bank merged on 12/9/2019 to form Truist Bank

Part VII, Line 122 - appraisal date - July 2015

Part VII, Line 123 - appraisal date - July 2015

Part VII, Line 124 - appraisal date - July 2015

Part VII, Line 125 - appraisal date - July 2015

Part VII, Line 126 - appraisal date - July 2015

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ GLEN E. CONRAD**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544