

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gottschall, Joan B.	2. Court or Organization U.S. District Court, NDIL	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge--Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Room 2356 219 South Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	Visiting Committee to the Divinity School, University of Chicago
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Name of Person Reporting	Date of Report
Gottschall, Joan B.	05/15/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Gottschall, Joan B.	05/15/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Gottschall, Joan B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Vanguard Wellington Fund Investor CL VWELX (WH)	A	Dividend	J	T	Buy	12/18/19	J		
2. Vanguard Wellington Fund Investor CL VWELX (WH IRA)	B	Int./Div.	L	T	Sold (part)	12/05/19	L		
3. Vanguard Wellington Fund Investor CL VWELX (WH IRA)	B	Int./Div.	L	T	Sold (part)	12/18/19	L		
4. Vanguard Wellington Fund Investor CL VWELX (WH IRA)	B	Int./Div.	L	T	Sold (part)	12/19/19	L		
5. Vanguard Wellington Fund Symbol VWENX (WH)	D	Dividend	N	T					
6. Vanguard Wellington Fund Symbol VWENX (VAN) 0521-09858123334	C	Dividend	L	T	Sold (part)	12/20/19	L		
7. Vanguard Wellesley Fund Symbol VWIAX (WH)	C	Dividend	O	T					
8. Vanguard Wellesley Income Fd VWINX (WH IRA)	B	Int./Div.	L	T					
9. Vanguard Wellesley Fund Symbol VWIAX (VAN) 0527-0985812334	B	Dividend	L	T	Sold (part)	12/20/19	L		
10. Vanguard Index Trust Symbol VINIX (VAN) 0540-88245207635	C	Dividend	M	T	Sold (part)	12/20/19	M		
11. Vanguard Index Trust Symbol VINIX (VAN) 0540-09858123334	B	Dividend	L	T	Sold (part)	12/20/19	L		
12. Vanguard Prime Portfolio Symbol VMMXX (VAN) 0030-09858123334	A	Dividend	K	T	Sold (part)	12/20/19	K		
13. Vanguard 500 Index Fund Admiral VFIAX (VAN) 0540-88018878987	A	Dividend	K	T					
14. Fed Empl Credit Union Accts	A	Interest	K	T					
15. IBM c/stock	B	Dividend	K	T					
16. Intel c/stock	A	Dividend	K	T					
17. Waste Management c/stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. CVS Caremark c/stock	B	Dividend	K	T					
19. Vanguard Capital Opportunity Fund Symbol VHCX (VAN) 0111-09858123334	B	Dividend	K	T	Sold (part)	12/20/19	K		
20. Dodge & Cox Fds Intl Stk Fd Symbol DODFX (WH)	A	Dividend	J	T					
21. American Fund Capital Income Bldr Symbol CAIFX (WH)	C	Int./Div.	M	T					
22. American Income Fund Symbol AMEFX (WH)	C	Dividend	M	T					
23. American Balanced Fund Symbol AMBFX (WH)	D	Int./Div.	N	T					
24. American Mut Fund Symbol AMRFX (WH)	B	Dividend	L	T					
25. Capital World Growth Inc. Fund Symbol WGIFX (WH)	C	Int./Div.	M	T					
26. Wintrust Financial Corp. Accoutns (*See Part VI, No. 1)	B	Interest	M	T					
27. Eanes TX Indpt Sch Dist C:270083-ZR-2	B	Interest			Redeemed	08/01/19	L		
28. Fishers IN Redev Auth C:33803T-JR-8	B	Interest			Redeemed	07/15/19	L		
29. Henrico Cnty VA Wtr & Swr C:426170-JW-7	D	Interest			Redeemed	05/01/19	L		
30. Nextera Energy C:65339K-10-0	B	Interest	K	T					
31. South Dakota Conservancy C:837545-HN-5	C	Interest			Redeemed	08/01/19	L		
32. Cloverleaf OH Loc Sch Dist C:18925P-AA-6	A	Interest			Redeemed	03/01/19	J		
33. Conn St Gen Rev Rvlng C:207737-FP-7	A	Interest			Redeemed	06/03/19	J		
34. Downingtown PA Area School C: 261097-UK-3	A	Interest			Redeemed	05/01/19	J		

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35. East Side Un High S/D CA C: 275282-CH-2	A	Interest			Redeemed	08/01/19	J		
36. Grove City PA C:399540-DN-0	A	Interest			Redeemed	12/02/19	J		
37. Las Vegas Vly NV Wtr C:517845-DR-1	A	Interest			Redeemed	06/03/19	K		
38. Maryland St 1st Ser B C:574192-8C-7	A	Interest			Redeemed	03/15/19	J		
39. Massachusetts St College C:575832-RZ-5	A	Interest			Redeemed	05/01/19	J		
40. NC Tpk Auth Triangle Expwy C: 65830R-AJ-8	A	Interest			Redeemed	01/02/19	J		
41. Oregon Hlth Sciences C: 685869-CP-2	A	Interest			Redeemed	07/01/19	J		
42. Pennsylvania St 2nd Ser C: 70914P-KK-7	A	Interest			Redeemed	04/15/19	J		
43. Pennsylvania St Tpk C: 709224-SY-6	A	Interest			Redeemed	06/03/19	J		
44. Pennsylvania St Tpk Comm C: 709223-WS-6	A	Interest			Redeemed	06/03/19	J		
45. Pennsylvania St Tpk Comm C: 709224-VV-8	A	Interest			Redeemed	06/03/19	J		
46. Washington St Univ Revs C: 940093-X4-4	A	Interest			Redeemed	04/01/19	J		
47. Boston MA Ser A G/O C: 100853-FV-7	A	Interest			Redeemed	04/01/19	K		
48. Durango CO Sales & Use Tax C: 266463-ED-6	A	Interest			Redeemed	12/02/19	J		
49. Clearwater FL W&S Rev Ser C: 185328-HH-7	A	Interest			Redeemed	12/02/19	J		
50. TRI Cnty Met Transn Dist C: 89546R-HP-2	A	Interest			Redeemed	09/03/19	J		
51. Coppell TX Indpt Sch C: 217489-5P-4	A	Interest			Redeemed	08/15/19	J		

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52. North TX Mun Wtr Dist C: 66283A-CL-8	A	Interest			Redeemed	06/03/19	J		
53. Fairfax Cnty VA Swr Rev C: 303867-GF-6	A	Interest			Redeemed	07/15/19	J		
54. Salt River PJ AZ Agric C: 79575D-B7-4	A	Interest			Redeemed	01/02/19	J		
55. Mobile AL Pub Edl Bldg C: 607202-DC-9	A	Interest			Redeemed	03/01/19	J		
56. Greenbrier Cnty WV Brd Ed Pub Sch C: 393658-CY-3	A	Interest			Redeemed	05/01/19	J		
57. Oregon St Dpt Admin Svcs C: 68608V-BN-3	A	Interest			Redeemed	11/01/19	J		
58. Hawaii St Dept Hawaiian Home C: 41980P-BG-6	A	Interest			Redeemed	04/01/19	J		
59. Houston TX Until Sys Rev C: 44244C-HV-2	A	Interest			Redeemed	05/15/19	J		
60. New Jersty HC Facs Fing C: 64580A-AT-8	A	Interest			Redeemed	10/01/19	J		
61. Houston TX Prerefunded C: 442331-M2-7	A	Interest			Redeemed	03/01/19	K		
62. Lower Co Riv Auth TX Rev C: 54811A-EK-5	A	Interest			Redeemed	05/15/19	J		
63. Pennsylvania St TPK Commn C: 709224-VV-8	A	Interest			Redeemed	06/03/19	J		
64. Pennsylvania St TPK Commn C: 709224-VU-0	A	Interest			Redeemed	06/03/19	J		
65. Pennsylvania St Commn TPK C: 709224-TM-1	A	Interest			Redeemed	06/03/19	J		
66. New York St Dorm Auth C: 64990H-M3-1	A	Interest			Redeemed	01/02/19	J		
67. Anderson SC W&S sys C: 034074-CD-2	A	Interest			Redeemed	07/01/19	J		
68. North Carolina St Grant C: 658268-BY-2	A	Interest			Redeemed	03/01/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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69. Pennsylvania St TPK Commn C: 709224-QM-4	A	Interest			Redeemed	06/03/19	J		
70. Orange Cnty FL Sch Brd C: 684517-NS-0	A	Interest			Redeemed	08/01/19	J		
71. San Jacinto TX Cmnty C: 798025-HE-5	A	Interest			Redeemed	02/15/19	J		
72. Missouri St Hlth & EFA C: 60635H-W8-8	A	Interest			Redeemed	05/15/19	J		
73. State Pub Sch Bldg Auth C: 857322-6B-2	A	Interest			Redeemed	05/15/19	J		
74. Citizens Gas Util Dist C: 174702-FA-3	A	Interest			Redeemed	05/01/19	J		
75. Bettendorf IA Ser A G/O C: 087671-F4-0	A	Interest			Redeemed	06/03/19	J		
76. Pennsylvania St TPK C: 709223-ZM-6	A	Interest			Redeemed	12/02/19	J		
77. Houston TX Util Sys Rev C: 44244C-HV-2	A	Interest			Redeemed	05/15/19	J		
78. South Carolina St Pub Svc Auth C: 837151-NR-6	A	Interest			Redeemed	01/02/19	J		
79. Lower CO Riv TX C: 54811A-MF-7	A	Interest			Redeemed	05/15/19	J		
80. Kingsport TN Ser B C: 496443-DK-5	A	Interest			Redeemed	03/01/19	J		
81. New York St Urban Dev C: 650035-5M-6	A	Interest			Redeemed	03/15/19	J		
82. New York St Dorm Auth C: 649905-UM-6	A	Interest			Redeemed	07/01/19	J		
83. Saint Charles Cnty MO C: 787642-W2-9	A	Interest			Redeemed	03/01/19	J		
84. Harrisburg Sch Dist C: 414834-NR-8	A	Interest			Redeemed	12/31/19	K		
85. Univ Pittsburgh of the Cmwth C: 91335V-HR-0	A	Interest			Redeemed	09/16/19	K		

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86. Southwest High Ed Auth Inc TX C: 845040-HF-4	A	Interest			Redeemed	10/01/19	K		
87. Pennsylvania St TPK C: 709223-ZY-0	A	Interest			Redeemed	12/02/19	K		
88. Miami Dade Cnty FL Aviation C: 59333P-VY-1	A	Interest			Redeemed	10/01/19	K		
89. Opelika AL Utils Brd C: 683548-AP-6	A	Interest			Redeemed	12/02/19	J		
90. Pennsylvania St TPK C:709221-RZ-0	A	Interest			Redeemed	12/02/19	J		
91. Oregon St Dpt Admin Svcs C: 68608V-CE-2	A	Interest			Redeemed	11/01/19	J		
92. Lancaster Cnty PA C:514040-ZN-7	B	Interest	K	T	Buy	03/15/19	K		
93. Philadelphia PA Wtr & Waste C:717893-M5-7	A	Interest	K	T	Buy	03/15/19	K		
94. Washington St Var Purp C:93974C-SJ-0	A	Interest	K	T	Buy	03/15/19	K		
95. Ohio St High Edl Fac C:67756D-KB-1	A	Interest	J	T	Buy	03/18/19	J		
96. Allegheny Cnty PA C:01728V-TJ-9	A	Interest	K	T	Buy	03/19/19	K		
97. Clarendon Sc Hsp Dist C:18041C-BF-2	A	Interest	J	T	Buy	03/19/19	J		
98. LA St Univ Agric&Mech C:546540-HZ-1	A	Interest	J	T	Buy	03/19/19	J		
99. Phoenix AZ Civic Impt C:71883M-HE-7	A	Interest	J	T	Buy	03/19/19	J		
100. Indiana St Fin Auth Rev C:455057-7G-8	A	Interest	J	T	Buy	03/22/19	J		
101. Pennsylvania St TPK C:709224-TS-8	A	Interest	J	T	Buy	03/22/19	J		
102. Washington St Var Purp C:93974C-WL-0	A	Interest	J	T	Buy	03/22/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Brewton AL PreRefunded C:107781-KY-1	A	Interest	J	T	Buy	03/26/19	J		
104. Bucks Cnty PA W&S Auth C:118683-BP5	A	Interest	J	T	Buy	03/28/19	J		
105. Phoenix AZ Civic Impt C:71883M-HE-7	A	Interest	J	T	Buy	03/28/19	J		
106. Palm Bch Cnty FL Auth C:69650Q-AM-2	A	Interest	J	T	Buy	03/28/19	J		
107. Orlando & Orange Cnty Expway C:686543-SN-0	A	Interest	J	T	Buy	03/29/19	J		
108. West Ottawa MI Pub S/D C:955023-SL-1	A	Interest	J	T	Buy	05/30/19	J		
109. JEA FL Wtr & Swr Sys Rev C:46613P-5K-8	A	Interest	J	T	Buy	05/31/19	J		
110. Howard Cnty MD C:44256P-FG-3	A	Interest	J	T	Buy	06/03/19	J		
111. Pennsylvania st TPK C:709224-F3-8	A	Interest	J	T	Buy	06/03/19	J		
112. JEA FL Elec Sys Rev Sub C:46613S-BU-3	A	Interest	K	T	Buy	06/04/19	K		
113. Pennsylvania St TPK C:709224-XX-2	A	Interest	J	T	Buy	06/05/19	J		
114. Pennsylvania St TPK C:709223-D5-7	A	Interest	J	T	Buy	06/06/19	J		
115. North TX Twy Auth Rev C:66285W-JD-9	A	Interest	J	T	Buy	06/12/19	J		
116. Orlando & Orange Cnty Expway C:686543-SN-0	A	Interest	J	T	Buy	06/12/19	J		
117. Allegheny Cnty PA Ser C:01728V-LG-3	A	Interest	J	T	Buy	06/14/19	J		
118. Butler Cnty OH Hsp Facs C:123550-GZ-2	A	Interest	J	T	Buy	06/18/19	J		
119. Indiana St Hsg & Cmnty DV C:45506C-AC-1	A	Interest	J	T	Buy	06/26/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pennsylvania St TPK C:709223-A7-6	B	Interest	K	T	Buy	07/08/19	K		
121. Virginia St Res Auth C:92818L-VH-2	A	Interest	J	T	Buy	07/09/19	J		
122. Washington St Var Purp Srv C:93974C-WU-0	A	Interest	K	T	Buy	07/09/19	K		
123. Pennsylvania St Fin Auth C:70915C-LZ-1	A	Interest	J	T	Buy	07/16/19	J		
124. Pennsylvania St Fin Auth C:70915C-LY-4	A	Interest	J	T	Buy	07/16/19	J		
125. Hopkins Cnty KY Sch Dist C:439767-TC-8	A	Interest	J	T	Buy	07/18/19	J		
126. Pennsylvania St Tpk C:709223-G3-9	A	Interest	J	T	Buy	07/18/19	J		
127. Sheboygan WI Area Sch Dist C:821023-ML-9	A	Interest	K	T	Buy	07/19/19	K		
128. Scranton PA Swr Auth C:810844-CE-8	A	Interest	K	T	Buy	07/22/19	K		
129. Thomasville GA Hsp C:884633-AT-5	A	Interest	K	T	Buy	07/22/19	K		
130. Lake Travis TX Indept Sch C:511074-ST-4	A	Interest	J	T	Buy	07/23/19	J		
131. Kentucky St TPK Auth Econ C:491552-XD-2	A	Interest	J	T	Buy	07/23/19	J		
132. North TX Twy Auth Rev C:66285W-FW-1	A	Interest	J	T	Buy	07/23/19	J		
133. JEA FL Elec Sys Rev C:46613S-EX-4	A	Interest	J	T	Buy	08/21/19	J		
134. New York NY City C:64972H-M9-0	A	Interest	J	T	Buy	08/21/19	J		
135. Lackawanna Cnty PA C:505480-Q3-0	A	Interest	J	T	Buy	08/23/19	J		
136. Philadelphia PA RFDG C:717813-MZ-9	A	Interest	J	T	Buy	08/23/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Alaska St HSG Fin Corp C:011839-PQ-4	A	Interest	K	T	Buy	08/26/19	K		
138. Miami Bch FL Stormwater C:59324P-CH-0	A	Interest	J	T	Buy	08/27/19	J		
139. Spokane Cnty WA Sch Dist C:848712-PF-6	A	Interest	J	T	Buy	08/27/19	J		
140. Viridian Mun Mgmt Dist C:92823P-AB-2	A	Interest	J	T	Buy	08/27/19	J		
141. West Travis Cnty TX Pub Util C:95632C-BE-8	A	Interest	J	T	Buy	08/27/19	J		
142. Kentucky St TPK Auth Econ C:491552-YV-1	A	Interest	J	T	Buy	08/28/19	J		
143. Orlando FL Cap Impt Spl C:68641H-HV-9	A	Interest	J	T	Buy	08/28/19	J		
144. Woodbridge Twp NJ Rfdg Swr C:978862-N6-3	A	Interest	J	T	Buy	08/29/19	J		
145. Cumberland Cnty NC Ltd. C:230593-AU-9	A	Interest	J	T	Buy	09/04/19	J		
146. Mississippi St Univ Edl Bldg C:605699-LA-3	A	Interest	J	T	Buy	09/04/19	J		
147. New York NY City Transnl C:64971W-3C-2	A	Interest	J	T	Buy	09/04/19	J		
148. Howard Cnty MD Rfdg Bds C:44256P-NW-9	A	Interest	K	T	Buy	09/05/19	K		
149. Manatee Cnty FL Sch Brd C:56185N-FF-0	A	Interest	J	T	Buy	09/11/19	J		
150. Mississippi St Univ Edl Bldg C:605699-KY-2	A	Interest	J	T	Buy	09/11/19	J		
151. Austin TX Wtr & Wastewater Sys C:052476-6F-0	A	Interest	J	T	Buy	09/12/19	J		
152. Blackhawk PA Sch Dist C:092903-GK-3	A	Interest	J	T	Buy	09/12/19	J		
153. Hempfield PA Area Sch Dist C:423725-NG-1	A	Interest	K	T	Buy	09/12/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Pennsylvania St TPK C:709224-TP-4	A	Interest	J	T	Buy	09/13/19	J		
155. North TX Twy Auth Rev C:66285W-FX-9	A	Interest	J	T	Buy	09/17/19	J		
156. Osceola WI Sch Dist Go Sch Fac C:688124-JU-1	A	Interest	J	T	Buy	09/18/19	J		
157. Park Creek Met Dist CO C:700387-CL-5	A	Interest	J	T	Buy	09/24/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/15/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII, Line 21 is a "sweep" account in both brokerage and IRA accounts in which money is held pending investment; money is constantly being put into and taken out of the account.

VII, Line 68 (Minnesota St Prerefunded C: 604129-6E-1) on the FDR 2018 Calendar Year report was sold on 08/01/18.

VII, Line 74 (New York NY City Transtnl C: 64971W-RK-9) on the FDR 2018 Calendar Year report was sold on 02/01/18.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/15/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joan B. Gottschall**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544