

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bowbeer, Hildy	2. Court or Organization USDC - District of Minnesota	3. Date of Report 05/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Warren E. Burger Federal Building and U.S. Courthouse 316 N. Robert St. St. Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Trustee	Trust #2
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	3M Company Pension Plan - former employer, no control other than to specify when pension payments will start; intend to recuse from all 3M matters before Court
2. 1997	3M Company Long Term Incentive Plan (stock options and Restricted Stock Units) - former employer; options and RSUs already granted will vest over time
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/08/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	New Harbinger Publishing Company - book royalties	\$1,280.00
2. 2019	Taylor & Francis Publishing Company - book royalties	\$365.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Gray, Plant, Mooty, Mooty & Bennett, PA - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Intellectual Property Law Association	01/30/19 - 01/31/19	Tampa, FL	Panelist	Travel, lodging, meals
2.	Wisconsin Institute for International Legal Programs	06/09/19 - 15/09/19	Chengdu, China	Speaker	Travel, lodging, meals
3.	Sedona Conference	11/03/19 - 011/05/19	Charlotte, NC	Panelist and Judicial Advisor	Travel, lodging, meals
4.					
5.					

Name of Person Reporting	Date of Report
Bowbeer, Hildy	05/08/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo Bank (cash) (X)	A	Interest	J	T					
3. US Bank (cash)	A	Interest	N	T					
4. Optum Bank HSA (cash)	B	Interest	K	T					
5. USAA Federal Savings Bank (X)	A	Interest	J	T					
6. Rental Property #1, Collier County, FL		None			Sold	08/27/19	N		Eric and Wendy Wright
7. Northwestern Mutual Whole Life Insurance (Policyholder #1)	D	Dividend	N	T					
8. Northwestern Mutual Whole Life Insurance (Policyholder #2)	C	Dividend	K	T					
9. Nassau RE Whole Life Policy (formerly Phoenix Life)	B	Dividend	K	T					
10. Trust #1 (H)									
11. Fidelity Government Money Market (SPAXX) (cash eq.)	D	Interest	N	T	Buy (add'l)	09/12/19	J		
12. 3M Company Stock (common) (MMM)	D	Dividend	N	T					
13. TOD #1 (H)									
14. Fidelity Government Money Market (SPAXX) (cash eq.)	C	Interest	M	T					
15. 3M Company VIP Excess (deferred compensation plan / no control) (cash)		None	N	T					
16. 3M Company Medical Savings Account (cash)	A	Interest	K	T					
17. 3M Company Stock Options		None	O	T	Redeemed (part)	06/17/19	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 22

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Bowbeer, Hildy

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. 3M Company Stock (MMM)	B	Dividend			Buy (add'l)	06/17/19	M		
19.					Sold	06/17/19	N		
20. Brokerage Account #1 (H)									
21. Northwestern Mutual FDIC Insured Program (cash) (X)	A	Interest	J	T					
22. Dreyfus General Money Market Fund Cl B (GMBXX) (cash eq.)	A	Interest			Redeemed	08/19/19	J		
23. Russell Tax-Managed US Mid & Small Cap Fund Class S (RTSSX)	A	Dividend	K	T					
24. Russell Tax-Exempt High Yield Bond Fund Class S (RTHSX)	C	Dividend	L	T					
25. Russell Tax-Managed International Fund Class S (RTNSX)	C	Dividend	M	T					
26. Russell Tax Managed US Large Cap Fund Class S (RETSX)	C	Dividend	N	T	Sold (part)	01/29/19	J	C	
27. Russell Global Real Estate Securities Fund Class S (RRESX)	B	Dividend	K	T					
28. Russell Tax Exempt Bond Fund Class S (RLVSX)	C	Dividend	M	T					
29. Trust #2 (H)									
30. RBC Prime Market Money Fund (TPMXX) (Y)									
31. Federated Equity Kaufman Sm Cap IS (FKAIX)	A	Dividend	J	T					
32. Federated Strategic Val Div Fd Instl (SVAIX)	A	Dividend	J	T					
33. Franklin Temp ETF Tr LibQ US Sm Cap Eq (FLQS)	A	Dividend	J	T	Buy	02/08/19	J		
34. Hartford MUT FDS Inc Mid Cap FD CL I (HFMIX)		None	J	T	Buy	02/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

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35. IShares Core S&P Mid Cap ETF (IJH)	A	Dividend	J	T					
36. IShares Core S&P Small Cap ETF (IJR)		None			Sold	02/08/19	J		
37. IShares Core S&P 500 ETF (IVV)	A	Dividend	J	T					
38. Madison Fds Mid Cap Fd Cl Y (GTSGX)		None	J	T					
39. MFS Growth Fund CL I (MFEIX)	A	Dividend	J	T					
40. MFS SER TR I Value Fund (MEIIX)	A	Dividend	J	T					
41. Harding Loevner Funds Intl Eq Port I (HLMIX)		None			Sold	02/08/19	J		
42. Invesco Oppenheimer Dev Mkts Fds Cl Y (ODVYX)	A	Dividend	J	T					
43. Oakmark Intl Fd Adv Cl (OAYIX)		None	J	T					
44. Vanguard FTSE Dev Mkts ETF (VEA)	A	Dividend			Sold	08/07/19	J	A	
45. AB Bd Fd Income Fd Advisor (ACGYX)	A	Dividend	J	T	Buy (add'l)	04/11/19	J		
46.					Buy (add'l)	11/27/19	J		
47. AB Global Bond Fund (ANAYX)	A	Dividend	J	T					
48. Blackrock FDS V Hgh Yld Bnd Portfolio Instl (BHYIX)	A	Dividend	J	T	Buy	08/07/19	J		
49.					Buy (add'l)	08/07/19	J		
50. JPMorgan Tr I Global Bd Opp I (GBOSX)	A	Dividend	J	T					
51. Ivy Apollo Strategic Income Fund (IIPOX)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

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52. Lord Abbett Bd Deb Fd Inc F (LBDFX)	A	Dividend	J	T	Buy (add'l)	02/15/19	J		
53. Lord Abbett Short Dur Inc Fd F (LDLFX)	A	Dividend	J	T	Buy (add'l)	02/08/19	J		
54. Loomis Sayles Investment Grade Bd Fd Y (LSIIX)	A	Dividend	J	T	Buy (add'l)	04/11/19	J		
55. Putnam Fds Tr Short Dur Inc Fd Y (PSDYX)	A	Dividend			Sold	04/11/19	J	A	
56. Franklin Mutual Quest Fud CL Z (MQIFX)	A	Dividend	J	T	Buy	02/08/19	J		
57. Thornburg Invt TR Invt Income Builder FD CL I (TIBIX)	A	Dividend	J	T	Buy	02/08/19	J		
58. MFS Ser Tr XIII Global Real Estate Fd I (MGLIX)	A	Dividend	J	T					
59. IRA #1 (H)									
60. Northwestern Mutual General Money Market Fund Class B (cash eq.)	A	Interest	K	T					
61. Invesco Balanced-Risk Commodity Strategy Fund Class Y (BRCYX)	A	Dividend	K	T					
62. American Century Short Duration Inflation Protection Bond (APOIX)	A	Dividend	J	T	Buy	08/20/19	J		
63. Baron Asset Fund Institutional Class (BARIX)	B	Dividend	K	T	Buy	03/19/19	K		
64. Dodge & Cox Income Fund (DODIX)	B	Dividend	L	T					
65. Europacific Growth Fund Class F-2 (AEPFX)	C	Dividend	M	T					
66. Fidelity Advisor Mid Cap Fund Class I (FIIMX)	A	Dividend			Sold	03/19/19	K		
67. Fidelity Advisor New Insights Fund Class I (FINSX)	D	Dividend	M	T	Sold (part)	10/07/19	K	B	
68. Goldman Sachs Intl Sm Cap Insights Fd Cl I (GICIX)	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 22

Name of Person Reporting

Bowbeer, Hildy

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05/08/2020

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Goldman Sachs Absolute Return Tracker Fund Instl Cl (GJRTX)	A	Dividend	K	T	Buy	10/07/19	K		
70. The Oakmark International Fund Class I (OAKIX)	B	Dividend	L	T					
71. John Hancock Disciplined Value Mid Cap Fund Class 1 (JVMIX)	B	Dividend	K	T					
72. MFS Value Fund Class 1 (MEIIX)	D	Dividend	M	T	Sold (part)	10/07/19	J	B	
73. New World Fund - Class F2 (NFFFX)	A	Dividend	K	T					
74. Northern Small Cap Value Fund (NOSGX)	B	Dividend	K	T					
75. PIMCO Income Fund Class I-2 (PONPX)	B	Dividend	K	T	Sold (part)	10/07/19	K		
76. Principal Real Estate Securities FD CL 1 (PIREX)	B	Dividend	L	T	Buy	03/19/19	L		
77. Principal Global Multi Strategy Fund Class I (PRMIX)		None	K	T	Buy	10/07/19	K		
78. T Rowe Price Emerging Markets Stock Fund (PRMSX)	A	Dividend	K	T					
79. T Rowe Price Real Estate Fund (TRREX)		None			Sold	03/19/19	L		
80. TIAA-CREF Bond Fund Adv Class (TIBHX)	B	Dividend	L	T	Sold (part)	08/20/19	J		
81. IRA #2 (H)									
82. RBC Cash and Federated Money Market Fund (cash eq.)	A	Interest	M	T					
83. Federated Equity FDS Kaufman Sm Cap Fd Cl I (FKAIX)	A	Dividend	L	T	Buy (add'l)	02/08/19	J		
84.					Sold (part)	02/15/19	J	A	
85.					Sold (part)	04/11/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 22

Name of Person Reporting

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86.					Buy (add'l)	11/27/19	J		
87. Federated Strategic Value Div Fd I (SVAIX)	D	Dividend	M	T	Buy (add'l)	02/08/19	J		
88.					Buy (add'l)	08/07/19	J		
89.					Buy (add'l)	11/27/19	J		
90. Franklin Temp ETF Tr LibQ US Sm Cap Eq (FLQS)	A	Dividend	L	T	Buy	02/08/19	L		
91.					Buy (add'l)	04/11/19	J		
92.					Buy (add'l)	08/07/19	J		
93.					Sold (part)	11/27/19	J	A	
94. Hartford MUT FDS Inc Mid Cap FD CL I (HFMIX)	B	Dividend	K	T	Buy	02/08/19	K		
95. Ishares Core S&P Mid Cap ETF (IJH)	A	Dividend	K	T	Sold (part)	02/08/19	K	C	
96.					Buy (add'l)	08/07/19	J		
97. IShares Core S&P Small Cap ETF (IJR)		None			Sold	02/08/19	L	A	
98. Ishares Core S&P 500 Index ETF (IVV)	C	Dividend	M	T	Buy (add'l)	02/08/19	J		
99.					Sold (part)	02/15/19	J		
100.					Buy (add'l)	11/27/19	J		
101. Ishares Tr Ishares Core Div Growth ETF (DGRO)		None			Buy	01/18/19	L		
102.					Sold	02/08/19	L	A	

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 10 of 22

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Madison Fds Mid Cap Fd Cl Y (GTSGX)	B	Dividend	K	T	Sold (part)	02/08/19	J		
104.					Sold (part)	08/07/19	J	A	
105.					Sold (part)	11/27/19	J	A	
106. MFS Growth Mutual Fund (MFEIX)	A	Dividend	L	T	Buy (add'l)	02/08/19	J		
107.					Sold (part)	08/08/19	J	A	
108.					Sold (part)	11/27/19	J	A	
109. MFS SER TR I Value Fund Cl I (MEIIX)	C	Dividend	M	T	Buy (add'l)	02/08/19	J		
110.					Sold (part)	08/07/19	J	A	
111.					Sold (part)	11/27/19	J	A	
112. Harding Loevner Fds Intl Eq Port I (HLMIX)		None			Sold	02/08/19	L		
113. Invesco Oppenheimer Dev Mkts Fds Cl Y (ODVYX)	B	Dividend	M	T	Buy (add'l)	02/08/19	K		
114.					Sold (part)	04/11/19	J	A	
115.					Buy (add'l)	08/07/19	J		
116. Oakmark Intl Fd Adv Cl (OAYIX)	B	Dividend	M	T	Buy (add'l)	02/08/19	K		
117.					Sold (part)	04/11/19	J	A	
118.					Buy (add'l)	08/07/19	J		
119.					Sold (part)	11/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Vanguard FTSE Dev Mkts ETF (VEA)	B	Dividend			Sold (part)	02/08/19	K	A	
121.					Sold (part)	02/15/19	J	A	
122.					Sold (part)	04/11/19	K	B	
123.					Sold	08/07/19	L	B	
124. AB Bond Fund Inc Income Fd Adv (ACGYX)	C	Dividend	M	T	Sold (part)	08/07/19	J	A	
125. AB Global Bond Fund (ANAYX)	C	Dividend	L	T	Buy (add'l)	04/01/19	J		
126.					Sold (part)	08/07/19	J	A	
127. Blackrock FDS V Hgh Yld Bnd Portfolio Instl (BHYIX)	B	Dividend	L	T	Buy	08/07/19	L		
128.					Sold (part)	04/11/19	J	A	
129.					Buy (add'l)	08/07/19	J		
130.					Buy (add'l)	11/27/19	J		
131. JPMorgan Tr I Gbl Bd Opp Fd I (GBOSX)	C	Dividend	L	T	Buy (add'l)	04/11/19	J		
132.					Sold (part)	08/07/19	J	A	
133.					Buy (add'l)	11/27/19	J		
134. Ivy Apollo Strategic Income Fund CL I (IIPOX)	C	Dividend	L	T	Buy (add'l)	04/11/19	J		
135.					Sold (part)	11/27/19	J		
136. Lord Abbett Bd Deb Fd Inc F (LBDFX)	D	Dividend	M	T	Buy (add'l)	02/15/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/11/19	J		
138.					Sold (part)	08/07/19	J		
139. Lord Abbett Short Dur Inc Fd F (LDLFX)	C	Dividend	M	T	Buy (add'l)	02/08/19	L		
140.					Buy (add'l)	02/15/19	J		
141.					Buy (add'l)	04/11/19	J		
142.					Sold (part)	08/07/19	J	A	
143.					Buy (add'l)	11/27/19	J		
144. Loomis Sayles Investment Grade Bd Fd Y (LSIIX)	C	Dividend	M	T	Buy (add'l)	04/11/19	K		
145.					Sold (part)	08/07/19	J	A	
146.					Buy (add'l)	11/27/19	J		
147.					Buy (add'l)	11/27/19	J		
148. Putnam Fds Tr Short Dur Inc Fd Y (PSDYX)	A	Dividend			Sold	04/11/19	L	A	
149. Franklin Mutual Quest Fud CL Z (MQIFX)	B	Dividend	L	T	Buy	02/08/19	L		
150.					Buy (add'l)	08/07/19	J		
151.					Buy (add'l)	11/27/19	J		
152. Thornburg Invt TR Invt Income Builder FD CL I (TIBIX)	C	Dividend	M	T	Buy	02/08/19	L		
153.					Buy (add'l)	04/11/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	08/07/19	K		
155.					Sold (part)	11/27/19	J	A	
156. MFS SER Tr XIII Global Real Estate Fd I (MGLIX)	D	Dividend	L	T	Sold (part)	02/08/19	J	A	
157.					Buy (add'l)	02/15/19	J		
158.					Sold (part)	08/07/19	J	A	
159.					Sold (part)	11/27/19	J	A	
160. Brokerage Account #2 (H)									
161. RBC Money Market Bank Deposit Program (cash)	A	Interest	M	T					
162. Federated Equity FDS Kaufman Sm Cap Fd CL I (FKAIX)	A	Dividend	K	T	Buy	02/06/19	K		
163.					Sold (part)	08/07/19	J	A	
164. Federated Strategic Value Div Fd I (SVAIX)	D	Dividend	L	T	Buy	02/06/19	L		
165.					Buy (add'l)	08/07/19	J		
166.					Sold (part)	11/27/19	J	A	
167. Franklin Temp ETF Tr LibQ US Sm Cap Eq (FLQS)	A	Dividend	K	T	Buy (add'l)	02/06/19	J		
168.					Buy (add'l)	08/07/19	J		
169. Hartford MUT FDS Inc Mid Cap FD CL I (HFMIX)	B	Dividend	K	T	Buy	02/06/19	K		
170.					Sold (part)	11/27/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Ishares Core S&P Mid Cap ETF (IJH)	A	Dividend	K	T	Buy	02/06/19	K		
172.					Buy (add'l)	08/07/19	J		
173. IShares Core S&P 500 ETF (IVV)	B	Dividend	L	T	Buy	02/06/19	L		
174.					Buy (add'l)	11/27/19	J		
175. Madison Fds Mid Cap Fd Cl Y (GTSGX)	A	Dividend	K	T	Buy	02/06/19	K		
176.					Sold (part)	08/07/19	J	A	
177.					Sold (part)	11/27/19	J	A	
178. Ishares Core Hi Div ETF (HDV)		None			Sold	02/06/19	K	C	
179. Ishares Tr Ishares Core Div Growth ETF (DGRO)		None			Sold	02/06/19	L	D	
180. MFS Growth Mutual Fund (MFEIX)	A	Dividend	K	T	Sold (part)	08/07/19	J	A	
181.					Sold (part)	11/27/19	J	A	
182. MFS SER TR I Value Fund Cl I (MEIIX)	C	Dividend	L	T	Buy	02/06/19	L		
183.					Sold (part)	08/07/19	J	A	
184.					Sold (part)	11/27/19	J	A	
185. Vanguard Index Fds Vang Hi Div Yld ETF (VYM)		None			Sold	02/06/19	L	C	
186. Ishares Tr Ishares Core MSCI Intl Dev Mkts ETF (IDEV)		None			Sold	02/06/19	L	D	
187. Invesco Oppenheimer Dev Mkts Fds Cl Y (ODVYX)	B	Dividend	K	T	Buy (add'l)	02/06/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	08/07/19	J		
189.					Sold (part)	11/27/19	J	A	
190. Oakmark Internatl Fd Adv Cl (OAYIX)	B	Dividend	L	T	Sold (part)	02/06/19	K	B	
191.					Buy (add'l)	02/15/19	J		
192.					Sold (part)	11/27/19	J	B	
193. Vanguard FTSE Dev Mkts ETF (VEA)	B	Dividend			Buy	02/06/19	L		
194.					Sold	08/07/19	L		
195. AB Global Bond Fund (ANAYX)	B	Dividend	L	T					
196.					Buy (add'l)	11/27/19	J		
197. Blackrock FDS V Hgh Yld Bnd Portfolio Instl (BHYIX)	A	Dividend	K	T	Buy	08/07/19	K		
198.					Sold (part)	11/27/19	J	A	
199. JPMorgan Tr Gbl Bd Opps Fd Cl 1 (GBOSX)	B	Dividend	L	T					
200.					Buy (add'l)	11/27/19	J		
201. AB High Income Municipal Portfolio CL Advisor (ABTYX)	B	Dividend	L	T	Sold (part)	08/07/19	J	A	
202.					Buy (add'l)	11/27/19	J		
203. iShares Tr iBonds Dec 2023 Term Muni Bd ETF (IBML)	A	Dividend	L	T					
204.					Buy (add'l)	11/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. IShares Tr National Muni Bond ETF (MUB)	C	Dividend	L	T	Buy (add'l)	02/06/19	K		
206.					Sold (part)	08/07/19	J	A	
207.					Buy (add'l)	11/27/19	J		
208. Vanguard Muni Bond Fund HY Tax Exempt Admiral (VWALX)	C	Dividend	L	T	Buy (add'l)	02/15/19	K		
209.					Sold (part)	08/07/19	J	A	
210.					Buy (add'l)	11/27/19	J		
211. Franklin Mutual Quest Fud CL Z (MQIFX)	B	Dividend	K	T	Buy (add'l)	02/06/19	L		
212.					Sold (part)	08/07/19	K		
213. Thornburg Invt TR Invt Income Builder FD CL I (TIBIX)	B	Dividend	L	T	Buy	01/02/19	M		
214.					Sold	02/06/19	M	D	
215.					Buy	08/07/19	L		
216.					Sold (part)	11/27/19	J	A	
217. MFS Ser Tr XIII Global Real Estate Fd I (MGLIX)	C	Dividend	K	T	Sold (part)	08/07/19	J	A	
218.					Sold (part)	11/27/19	J	A	
219. IRA #3 (H)									
220. Northwestern Mutual General Money Market Fund CL B (cash eq.)	A	Interest	J	T					
221. American Century Short Duration Inflation Protection Bond (APOIX)	A	Dividend	J	T	Buy	08/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 17 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Baron Asset Fund Institutional Class (BARIX)	A	Dividend	J	T	Buy	03/19/19	J		
223. Deutsche Enhanced Commodity Strategy Fund (SKIRX)	A	Dividend	J	T					
224. Dodge & Cox Income Fund (DODIX)	A	Dividend	K	T					
225. Europacific Growth Fund Class F-2 (AEPFX)	A	Dividend	K	T					
226. Fidelity Advisor Mid Cap II Fund Class I (FIIMX)	A	Dividend			Sold	03/19/19	J		
227. Fidelity Advisors New Insights Fund Class I (FINSX)	C	Dividend	K	T	Sold (part)	10/07/19	J	A	
228. Goldman Sachs Intl Sm Cap Insights Fd Cl I (GICIX)	A	Dividend	J	T					
229. Goldman Sachs Absolute Return Tracker Fund Instl Cl (GJRTX)	A	Dividend	J	T	Buy	10/07/19	K		
230. The Oakmark International Fund Investor Class (OAKIX)	A	Dividend	K	T					
231. Hartford World Bond Fund Class I (HWDIX)	A	Dividend	J	T	Sold (part)	08/20/19	J		
232. John Hancock Disciplined Value Mid Cap Fund Class I (JVMIX)	A	Dividend	J	T					
233. MFS Value Fund Class I (MEIIX)	B	Dividend	K	T	Sold (part)	10/07/19	J	B	
234. New World Fund Class F-2 (NFFFX)	A	Dividend	K	T					
235. Northern Small Cap Value Fund (NOSGX)	A	Dividend	J	T					
236. Pimco Income Fund Class I-2 (PONPX)	B	Dividend	J	T	Sold (part)	10/07/19	J		
237. Principal Real Estate Securities FD CL 1 (PIREX)	A	Dividend	J	T	Buy	03/19/19	J		
238. Principal Global Multi Strategy Fund Cl I (PSMIX)		None	J	T	Buy	10/07/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. T Rowe Price Real Estate (TRREX)		None			Sold	03/19/19	J		
240. TIAA-CREF Bond Fund Advisor Class (TIBHX)	A	Dividend	K	T	Sold (part)	08/20/19	J		
241. Trust #3 (H)									
242. NM FDIC Ins Dep Program (cash) (X)	A	Interest	J	T					
243. Macquarie Infrastructure Corp SHS (MIC)	C	Dividend	K	T					
244. Trust #4 (H)									
245. Northwestern Mutual General Money Market Fund CL B (cash eq.)	A	Interest	J	T					
246. Baron Asset Fund Institutional Class (BARIX)	A	Dividend	K	T	Buy	03/19/19	K		
247. DWS Enhanced Commodity Strategy Fund (SKIRX)	A	Dividend	K	T					
248. Dodge & Cox International Fund (DODFX)	C	Dividend	L	T					
249. Europacific Growth Fund Class F-2 (AEPFX)	B	Dividend	L	T					
250. Fidelity Advisor Mid Cap II Fund Class I (FIIMX)	A	Dividend			Sold	03/19/19	K		
251. Fidelity Advisor New Insights Fund Class I (FINSX)	B	Dividend	M	T					
252. Fidelity Advisor Intermediate Muni Income Fund Class I (FZIIX)	D	Dividend	M	T					
253. Franklin Federal Intermediate-Term Tax-Free Income Fund (FITZX)	C	Dividend	M	T					
254. John Hancock Disciplined Value Mid Cap Fund Class I (JVMIX)	A	Dividend	K	T					
255. MFS Value Fund Class I (MEIIX)	D	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. New World Fund Class F-2 (NFFFX)	B	Dividend	K	T					
257. Northern Small Cap Value Fund (NOSGX)	B	Dividend	K	T					
258. Principal Real Estate Securities FD CL 1 (PIREX)	B	Dividend	K	T	Buy	03/19/19	K		
259. T Rowe Price Emerging Markets Stock (PRMSX)	A	Dividend	K	T					
260. T Rowe Price Real Estate (TRREX)		None			Sold	03/19/19	K		
261. Gray Plant Mooty Retirement Savings Trust (H)									
262. Dodge & Cox Stock (DODGX)		None	N	T					
263. T Rowe Price Growth Stock (PRGFX)		None	N	T					
264. Vanguard Institutional Index I (VINIX)		None	M	T					
265. American Century Small Cap Value I (ACVIX)		None	N	T					
266. Fidelity Small Cap Growth (FCPGX)		None	N	T					
267. Vanguard Mid Cap Index Institutional (VMCIX)		None	N	T					
268. Morgan Stanley Inst International E (MSIQX)		None	M	T					
269. T Rowe Price International Discove (PRIDX)		None	N	T					
270. American Funds American Balanced R5 (RLBFX)		None	N	T					
271. T Rowe Price Short Term Bond (PRWBX)		None	M	T					
272. Vanguard Total Bond Market Index I (VBMFX)		None	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. Retirement Account #2 (H)									
274. Schwab Bank Cash Sweep (cash)	A	Interest	L	T					
275. Misc Holdings (H)									
276. Gray Plant Mooty Mooty and Bennett PA	A	Dividend	L	T					
277. Rallyvest, LLC	E	Interest	L	T					
278. Rallyvest III, LLC		None	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 21 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/08/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Fidelity Individual Account is now Fidelity Government Money Market.

Oppenheimer Dev Mkts is now Invesco Oppenheimer Dev Mkts.

Deutsche Enhanced Commodity Strategy is now DWS Enhanced Commodity Strategy.

PIMCO Income Fund class change resulted from a corporate exchange, not a reportable transaction.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 22 of 22

Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/08/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Hildy Bowbeer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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