

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BAILEY, FRANK J.	2. Court or Organization BANKRUPTCY COURT-MASSACHUSETTS	3. Date of Report 08/09/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address JOHN W. MCCORMACK POST OFFICE AND COURTHOUSE 5 POSTOFFICE SQUARE BOSTON, MA 02108		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. BOARD CHAIR	IMMIGRANT LEARNING CENTER
2. TREASURER	MARCUS FOUNDATION
3. BOARD MEMBER	INSTITUTE FOR IMMIGRATION RESEARCH
4. PRESIDENT ELECT	NATIONAL CONF. OF BANKRUPTCY JUDGES
5. IMMEDIATE PAST CHAIR	NATIONAL CONF. FED. TRIAL JUDGES, ABA
6. JUDICIAL MEMBER AT LARGE	AMERICAN BAR ASSOCIATION
7.	
8.	
9.	
10.	
11.	

Name of Person Reporting	Date of Report
BAILEY, FRANK J.	08/09/2020

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 18

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

08/09/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	AMERICAN BAR ASSOCIATION	JANUARY 22- JANUARY 29, 2019	LAS VEGAS, NV	ABA MIDYEAR	TRAVEL,HOTEL & MEALS
2.	AMERICAN BAR ASSOCIATION	JUNE 10-13,2019	BILLINGS,MT	ABA BOARD OF GOV.	TRAVEL, HOTEL & MEALS
3.	AMERICAN BANKRUPTCY INSTITUTE	JULY 13-15, 2019	NEWPORT,RI	EDUCATIONAL PANEL	TRAVEL,HOTEL & MEALS
4.	AMERICAN BAR ASSOCIATION	AUGUST 7-12, 2019	SAN FRANCISCO, CA	ABA ANNUAL MTG	TRAVEL,HOTEL & MEALS
5.	NATIONAL CONFERENCE BANKRUPTCY JUDGES	OCTOBER 30-NOV 2,2019	WASHINGTON, DC	EDUCATIONAL PANELS	TRAVEL,HOTEL & MEALS

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting	Date of Report
BAILEY, FRANK J.	08/09/2020

6.	NATIONAL CONFERENCE BANKRUPTCY JUDGES	NOVEMMBER11-14 2019	INDIANAPOLIS, IN	EDUCATIONL PANELS	TRAVEL,HOTEL & MEAL
7.	NATIONAL CONFERENCE BANKRUPTCY JUDGES	DECEMBER 15-16, 2019	WASHINGTON, DC	MEET AT SUPREME COURT	TRAVEL, HOTEL & MEAKS
8.					
9.					

Name of Person Reporting	Date of Report
BAILEY, FRANK J.	08/09/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK OF AMERICA	B	Interest	M	T					
2. SANTANDER BANK	B	Interest	M	T					
3. HOSPITALITY TRUST	D	Dividend	L	T					
4. SENIOR HOUSING TRUST	D	Dividend	L	T					
5. PUTNAM MM CLASS A	A	Dividend	L	T					
6. FRANKLIN GROWTH	A	Dividend	K	T					
7. MFS GLOBAL TOTAL RETURN CLASS A	A	Dividend	K	T					
8. FRANKLIN MUTUAL SERIES MUTUAL SHARES CLASS A	B	Dividend	L	T					
9. PUTNAM MM CLASS A	A	Dividend	K	T					
10. FEDERATED INTERNATIONAL SMALL MID CO CLASS A	A	Dividend	K	T					
11. MASS INVESTORS TR CLASS A	A	Dividend	K	T					
12. MFS UTILITIES CLASS A	B	Dividend	L	T					
13. PUTNAM GLOBAL HEALTH CARE CLASS A	A	Dividend	L	T					
14. TEMPLETON WORLD CLASS A	C	Dividend	L	T					
15. RMR REAL ESTATE FUND	A	Dividend	J	T					
16. UBS PACE LARGE CO GROWTH EQUITY	A	Dividend	K	T					
17. UBS PACE INTL EMERGING CLASS P	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 18

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

08/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. UBS PACE LARGE CO VALUE CLASS P	A	Dividend	K	T					
19. UBS PACE INTL EQUITY CLASS P	A	Dividend	J	T					
20. UBS PACE SMALL MED CO GROWTH CLASS P	A	Dividend	J	T					
21. UBS PACE SMALL MED CO VALUE CLASS P	A	Dividend	J	T					
22. UBS PACE GLOBAL FIXED INCOME CLASS P	A	Dividend	J	T					
23. UBS PACE MUNI FIXED CLASS P	A	Dividend	J	T					
24. UBS PACE MTGE-BACK SEC	A	Dividend	J	T					
25. UBS PACE STRAT FIXED CLASS P	A	Dividend	J	T					
26. UBS PACE HIGH YIELD CLASS P	A	Dividend	J	T					
27. UBS PACE ALTERNATIVE STRAT CLASS P	A	Dividend	K	T					
28. FIDELITY FREEDOM 2025-SEP-IRA	B	Dividend	M	T					
29. WELLS FARGO -SENIOR PROPERTIES TRUST & HOSPITALITY PROPERTIES TRUST	A	Dividend	L	T					
30. PUTNAM MM CLASS A-IRA	A	Dividend	J	T					
31. PUTNAM DYNAMIC ASSET ALLOC GROWTH-IRA	B	Dividend	L	T					
32. PUTNAM EQUITY INCOME-IRA	B	Dividend	M	T					
33. FRANKLIN EQUITY INCOME-IRA	B	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 8 of 18

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

08/09/2020

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34. FRANKLIN INCOME-IRA	B	Dividend	K	T					
35. FEDERATED CAPITAL RESERVES	B	Dividend	J	T					
36. FRANKLIN FLEX CAP GROWTH	A	Dividend			Distributed	01/01/19	K		
37. FRANKLIN DYNATECH	A	Dividend			Distributed	01/01/19	J		
38. FRANKLIN SMALL MID CAP GROWTH	C	Dividend			Distributed	01/01/19	K		
39. GROWTH FD OF AMERICA	A	Dividend			Distributed	01/01/19	J		
40. IVY BALANCED	A	Dividend			Distributed	01/01/19	J		
41. MFS GROWTH	A	Dividend			Distributed	01/01/19	K		
42. MASS INVESTORS GROWTH	A	Dividend			Distributed	01/01/19	K		
43. VICTORY MUNDER MULTI-CAP	A	Dividend			Distributed	01/01/19	J		
44. MUTUAL SERIES BEACON	A	Dividend			Distributed	01/01/19	K		
45. NEW PRESPECTIVE	A	Dividend			Distributed	01/01/19	J		
46. VIRTUS STAT GROWTH	A	Dividend			Distributed	01/01/19	J		
47. FRANKLIN FLEX CAP GROWTH	A	Dividend			Distributed	01/01/19	K		
48. FRANKLIN DYNATECH	A	Dividend			Distributed	01/01/19	K		
49. FRANKLIN SMALL MID CAP GROWTH	B	Dividend			Distributed	01/01/19	K		
50. MFS GROWTH	A	Dividend			Distributed	01/01/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 18

Name of Person Reporting

BAILEY, FRANK J.

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08/09/2020

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51. MASS INVESTORS GROWTH	A	Dividend			Distributed	01/01/19	K		
52. VICTORY MUNDER MULTI-CAP	A	Dividend			Distributed	01/01/19	J		
53. MUTUAL SERIES BEACON	A	Dividend			Distributed	01/01/19	L		
54. PUTNAM INTL GROWTH	A	Dividend			Distributed	01/01/19	J		
55. AMERICAN FUNDS EUROPACIFIC-SEP	C	Dividend	N	T					
56. CAPITAL WORLD GROWTH & INCOME-SEP	C	Dividend	M	T					
57. WASHINGTON MUTUAL INVESTORS- SEP	C	Dividend	N	T					
58. AMERICAN FUNDS INTERMED. BOND FD-SEP	B	Dividend	M	T					
59. ALLIANZ HIGH FIVE FIXED PERIOD ACCOUNT	A	Dividend	L	T					
60. ALLIANZ HIGH FIVE AZL MVP FUSION MODERATE	A	Dividend	L	T					
61. NORTHWESTERN MUTUAL LIFE- WHOLE LIFE POLICY	C	Dividend	M	T					
62. NEW HAMPSHIRE CONDO, WATERVILLE VALLEY, NH		None	K	W					
63. FIDELITY ADVISOR EQUITY GROWTH-RETIREMENT	A	Dividend	M	T					
64. ALLNZ NFJ DIV VALUE-RETIREMENT	B	Dividend	M	T					
65. FIDELITY MID CAP II A-RETIREMENT	B	Dividend	K	T					
66. JANUS OVERSEAS-RETIREMENT	B	Dividend	L	T					
67. ALLNZ NF J DIV VALUE-DEFERRED SAV	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 18

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

08/09/2020

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68. FIDELITY ADVISOR EQUITY GROWTH-DEFERRED SAV	A	Dividend	L	T					
69. FIDELITY ADVISOR MID CAP-DEFERRED SAV	A	Dividend	K	T					
70. JANUS OVERSEAS-DEFERRED SAV	A	Dividend	K	T					
71. FIDELITY MAGELLAN-IRA	A	Dividend	J	T					
72. SUNAMERICA FOCUSED DIV STRAT	A	Dividend	J	T					
73. PRIME MM FD RBC INVESTOR CLASS	A	Interest	J	T					
74. BLACKROCK BR MOD 17 AGE BASED	A	Dividend			Sold	11/20/19	L		
75. BLACKROCK BR MODE 17+ AGE BASED	A	Dividend	K	T					
76. GUARDIAN LIFE INS CO-WHOLE LIFE POLICY	D	Dividend	M	T					
77. ALLNZ NFJ SMALL CAP VALUE-DEFERRED	A	Dividend	K	T					
78. FIDELITY FREEDOM 2020-SEP IRA	A	Dividend	K	T					
79. PERSHING GOVT MM	A	Dividend	J	T					
80. FIDELITY CASH RESERVES	A	Dividend	K	T					
81. INTL COL/ACORN INTL A-DEFERRED	A	Dividend	J	T					
82. OPPENH DEVELOPING MARKETS-RETIREMENT	A	Dividend	K	T					
83. OPPENH DEVELOPING MARKETS-DEFERRED	A	Dividend	J	T					
84. CAPITAL ONE	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
85. INVESCO AMERICAN FRANCHISE FD	A	Dividend	J	T					
86. UBS PACE MORTGAGE-BACKED SEC FIXED	A	Dividend	J	T					
87. PIMCO INCOME BOND RETIREMENT FUND	A	Dividend	M	T					
88. PIMCO INCOME A DEFERRED	A	Dividend	K	T					
89. INVESCO AMERICAN FRANCHISE FUND	A	Dividend			Distributed	01/01/19	J		
90. CAPE COD HOUSE-\$465,000-05/18/2015		None	N	R					
91. WELLS FARGFO BANK	B	Interest	M	T					
92. AIG FOCUSED DIV STRATEGY	A	Dividend	J	T					
93. COLUMBIA DIV INC A	A	Dividend	K	T					
94. TD BANK	A	Interest	K	T					
95. FIDELITY INTL SMALL CAP OPP	A	Dividend	K	T					
96. MFS NEW DISC VALUE	A	Dividend	K	T					
97. CITI BANK	A	Interest	J	T	Buy	05/01/19	J		
98.									
99.									
100.									
101.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
102.									
103.									
104.									
105.									
106.									
107.									
108.									
109.									
110.									
111.									
112.									
113.									
114.									
115.									
116.									
117.									
118.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
119.									
120.									
121.									
122.									
123.									
124.									
125.									
126.									
127.									
128.									
129.									
130.									
131.									
132.									
133.									
134.									
135.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
136.									
137.									
138.									
139.									
140.									
141.									
142.									
143.									
144.									
145.									
146.									
147.									
148.									
149.									
150.									
151.									
152.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
153.									
154.									
155.									
156.									
157.									
158.									
159.									
160.									
161.									
162.									
163.									
164.									
165.									
166.									
167.									
168.									
169.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
170.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

08/09/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FOR THE FOLLOWING PART 1 POSITIONS, THERE IS NO REPORTABLE ASSET;

IMMIGRANT LEARNING CENTER

MARCUS FOUNDATION

INSTITUTE FOR IMMIGRATION RESEACH

NATIONAL CONF. OF BANKRUPTCY JUDGES

NATIONAL CONF. FED. TRIAL JUDGES, ABA

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

08/09/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ FRANK J. BAILEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544