

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hardiman, Thomas M.	2. Court or Organization U. S. Court of Appeals	3. Date of Report 5/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 2270 Joseph F. Weis Jr. U.S. Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Big Brothers Big Sisters of Greater Pittsburgh, Inc.
2. Adjunct Law Professor	Duquesne Law School
3. Member	Georgetown Law, Board of Visitors
4. Adjunct Law Professor	Georgetown Law
5. Council Member	American Law Institute

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Duquesne Law School - (teaching-Adjunt)	\$2,682.97
2. 10/10/2019	University of Notre Dame-Seminar (teaching)	\$1,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	The First City Company
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Notre Dame Law School	March 1, 2019	South Bend, IN	Moot Court (Judge)	Transportation/Meals
2.	Georgetown Law	March 13, 2019	Washington, DC	Corporate Counsel Institute (Speaker)	Transportation
3.	Academy of Appellate Lawyers	April 11-12, 2019	Philadelphia, PA	Professional Association Conference (Speaker)	Lodging/Transportation/Parking/Meals
4.	American Law Institute	May 19-22, 2019	Washington, DC	Annual Meeting	Lodging/Transportation/Parking/Meals
5.	Duke Law	June 20-21, 2019	Raleigh-Durham, NC	Conference (Speaker)	Lodging/Transportation/Parking/Meals
6.	Claremont Institute	August 18-21, 2019	Newport Beach, CA	Constitution Study Program (Speaker)	Lodging/Transportation/Parking/Meals

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7.	Georgetown Law	September 19-21, 2019	Washington, DC	Judicial Interpretation Institute (Speaker)	Lodging/Parking/Meals
8.	Notre Dame University	October 10, 2019	South Bend, IN	Constitutional Studies Program (Teaching)	Transportation/Meals
9.	American Law Institute	October 16-18, 2019	New York, NY	Council Meeting	Lodging/Transportation/Meals
10.	Georgetown Law	October 18-19, 2019	Washington, DC	Board of Visitors Meetings	Meals
11.	Federalist Society	November 14-16, 2019	Washington, DC	Convention (Speaker)	Lodging/Transportation/Parking/Meals
12.	Federal Bar Association (Hawaii Chapter)	December 5-9, 2019	Honolulu, HI	Conference (Speaker)	Lodging/Transportation/Meals

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. PNC Checking Account	A	Interest	K	T					
2. PNC Money Market Acct.	A	Interest	M	T					
3. Vanguard Prmpcp Mut. Fund (MF)	C	Dividend	M	T					
4. Vanguard Prime Money Market	C	Interest	N	T					
5. Fulton Financial Stk (CS)	A	Dividend	K	T					
6. WELLS FARGO ACCT (H)									
7. -Wells Fargo MM Account	A	Interest	L	T					
8. -Alphabet Inc. Class A		None	M	T					
9. -Alphabet Inc. Class C		None	L	T	Donated (part)	7/23/19	J		
10. -Bank New York Mellon CS	B	Dividend	L	T					
11. -Berkshire Hathaway		None	M	T					
12. -Citigroup	C	Dividend	M	T					
13. -Fox Corp Class A	A	Dividend	K	T	Sold	3/19/19	J	A	
14. -Duke Energy PF	A	Dividend	K	T					
15. -JP Morgan Chase CS	C	Dividend	M	T					
16. -T. Mobile		None	L						
17. -Twenty-First Century CLA	B	Dividend	M	T	Sold	3/20/19	K	E	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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18. -Disney Walt (spin off from Twenty-First Century CLA)	B	Dividend	M	T	Spinoff (from line 17)	03/19/19	K		
19.					Sold (part)	3/20/19	J	A	
20. -US Bancorp CS	B	Dividend	K	T	Donated (part)	7/23/19	J		
21. -Eaton Vance En. Equity MF	A	Dividend	J	T					
22. -Eaton Vance Gr. India MF		Dividend	L	T					
23. -Guggenheim Credit Allocation Fd	C	Dividend	K	T					
24. -Investment Co. of America MF	C	Dividend	M	T					
25. -Monroeville PA Mun.	A	Interest	K	T					
26. -Octorara PA Area	B	Interest	K	T	Redeemed	6/30/19	K	A	
27. -ENBRIDGE (f/k/a Spectra Energy Corp)	A	Dividend	J	T					
28. -Garnet Valley PA School District Bond	A	Interest	K	T					
29. -Carroll Twp PA Auth. Swr Bond	A	Interest	K	T					
30. -Washington Mutual Invest. MF	C	Dividend	M	T					
31. -Capital World Income MF	A	Dividend	K	T					
32. -Europacific Growth MF	A	Dividend	K	T					
33. -New Perspective FDA MF	A	Dividend	K	T					
34. -Lord Abbett Muni Income	B	Dividend	L	T	Buy	11/29/19	L		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. -Lord Abbett Equity Trust Calibrated Mid Cap	B	Dividend	L	T	Sold	11/29/19	L	A	
36. -Lord Abbett Secs Trust Growth Leaders Fund		None	L	T					
37. -Flaherty & Crumrin	C	Dividend	L	T					
38. -MFS SER Trust III Mun High Income Class A	A	Dividend	J	T					
39. -MFS Global Total Rtn Fund Class A	C	Dividend	M	T					
40. -MFS Growth Class A		None	N	T					
41. -MFS Mid Cap Value	C	Dividend	N	T					
42. -MFS Municipal Limited Maturity Fund	C	Dividend	M	T	Sold (part)	1/3/19	K	A	
43.					Sold (part)	2/4/19	K	A	
44.					Sold (part)	3/4/19	K	A	
45.					Sold (part)	4/3/19	K	A	
46.					Sold (part)	5/3/19	K	A	
47.					Sold (part)	6/3/19	K	A	
48.					Sold (part)	07/3/19	K	A	
49.					Sold (part)	08/05/19	K	A	
50.					Sold (part)	09/03/19	K	A	
51.					Sold (part)	10/03/19	K	A	

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52.					Sold (part)	11/14/19	K	A	
53.					Sold (part)	12/03/19	K	A	
54. -MFS Utilities Fund	D	Dividend	N	T					
55. -MFS Global Equity Fund Class A	C	Dividend	N	T					
56. -MFS International Growth Fund	B	Dividend	M	T					
57. -MFS International Value Fund	B	Dividend	M	T					
58. -AMCAP Fund	A	Dividend	M	T					
59. -Fundamental Investors	B	Dividend	L	T	Buy (add'l)	01/25/19	L		
60. -Growth Fund of America	A	Dividend	M	T	Buy (add'l)	01/25/19	L		
61. -Short Term Bd Fund America Class A	A	Dividend	J	T					
62. -Pioneer SER TR II AMT Free Municipal Fund CLA	E	Dividend	O	T					
63. -AB Muni Inc FD National Portfolio	D	Dividend	O	T					
64. -American Funds Developing World Growth Income	C	Dividend	M	T	Buy (add'l)	01/03/19	J		
65.					Buy (add'l)	02/04/19	J		
66.					Buy (add'l)	03/04/19	J		
67.					Buy (add'l)	04/03/19	J		
68.					Buy (add'l)	05/03/19	J		

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69.					Buy (add'l)	06/03/19	J		
70.					Buy (add'l)	07/03/19	J		
71.					Buy (add'l)	08/05/19	J		
72.					Buy (add'l)	09/03/19	J		
73.					Buy (add'l)	10/03/19	J		
74.					Buy (add'l)	11/14/19	J		
75.					Buy (add'l)	12/03/19	J		
76. -Capital Income Builder	A	Dividend	J	T	Sold (part)	01/25/19	L	B	
77.					Sold (part)	01/25/19	L	B	
78. -Small Cap World			M	T	Buy	01/03/19	J		
79.					Buy (add'l)	02/04/19	J		
80.					Buy (add'l)	03/04/19	J		
81.					Buy (add'l)	04/03/19	J		
82.					Buy (add'l)	05/03/19	J		
83.					Buy (add'l)	06/03/19	J		
84.					Buy (add'l)	07/03/19	J		
85.					Buy (add'l)	08/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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86.					Buy (add'l)	09/03/19	J		
87.					Buy (add'l)	10/03/19	J		
88.					Buy (add'l)	11/14/19	J		
89.					Buy (add'l)	12/03/19	J		
90. -New World Fund Class	B	Dividend	M	T	Buy	01/03/19	J		
91.					Buy (add'l)	02/04/19	J		
92.					Buy (add'l)	03/04/19	J		
93.					Buy (add'l)	04/03/19	J		
94.					Buy (add'l)	05/03/19	J		
95.					Buy (add'l)	06/03/19	J		
96.					Buy (add'l)	07/03/19	J		
97.					Buy (add'l)	08/05/19	J		
98.					Buy (add'l)	09/03/19	J		
99.					Buy (add'l)	10/03/19	J		
100.					Buy (add'l)	11/14/19	J		
101.					Buy (add'l)	12/03/19	J		
102. 401(k) ACCOUNT #1 (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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103. -Lg. Value Equity MF (Dodge & Cox)	A	Dividend	L	T					
104. -Lg. Value Growth (T. Rowe Price)	A	Dividend	L	T					
105. -Mid Cap Value (renamed Janus Henderson Mid Cap Value)	A	Dividend	K	T					
106. -International Value II (Dodge & Cox)	A	Dividend	K	T					
107. -Invesco International Growth	A	Dividend	K	T					
108. 401(k) ACCOUNT #2 (H)									
109. -Merrill Lynch Account	A	Interest	J	T					
110. -Central Europe & Russia MF	A	Dividend	J	T	Sold	6/13/19	J		
111. -Century Link	D	Dividend	K	T					
112. -Citigroup CS	A	Dividend	J	T					
113. -General Electric CS	A	Dividend	J	T					
114. -Sirius XM	A	Dividend	K	T	Buy (add'l)	6/13/19	J		
115. TRUST #1 (H)									
116. -Wells Fargo Account	A	Interest	K	T					
117. -AMCAP MF	A	Dividend	M	T					
118. -Capital World Gr. & Income MF	B	Dividend	M	T					
119. -Europacific Growth MF	A	Dividend	L	T	Sold (part)	02/28/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Hardiman, Thomas M.

Date of Report

5/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

120. -Fundamental Inv. MF	B	Dividend	M	T					
121. -Growth Fund of America MF	B	Dividend	M	T					
122. -Investment Co. of America MF	C	Dividend	M	T					
123. -New Perspective MF	B	Dividend	M	T					
124. -Washington Mutual Inv. MF	C	Dividend	M	T					
125. -Short Term Bd Fund America Class A	A	Interest	J	T					
126. TRUST #2 (H)									
127. -Wells Fargo Account	A	Interest	K	T					
128. -AMCAP MF	A	Dividend	M	T					
129. -Capital World Gr. & Income MF	B	Dividend	M	T					
130. -Europacific Growth MF	B	Dividend	M	T					
131. -Fundamental Inv. MF	B	Dividend	M	T					
132. -Growth Fund of America MF	A	Dividend	M	T					
133. -Investment Co. of America MF	B	Dividend	M	T					
134. -New Perspective MF	B	Dividend	M	T					
135. -Washington Mutual Inv. MF	B	Dividend	M	T					
136. -Short Term Bd Fund America Class A	A	Interest	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Hardiman, Thomas M.

Date of Report

5/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. TRUST #3 (H)									
138. -Wells Fargo Account	A	Interest	J	T					
139. -AMCAP MF	A	Dividend	M	T					
140. -Capital World Gr. & Income MF	B	Dividend	M	T					
141. -Europacific Growth MF	B	Dividend	M	T					
142. -Fundamental Inv. MF	B	Dividend	M	T					
143. -Growth Fund of America MF	A	Dividend	M	T					
144. -Investment Co. of America MF	B	Dividend	M	T					
145. -New Perspective MF	B	Dividend	M	T					
146. -Washington Mutual Inv. MF	B	Dividend	M	T					
147. -Short Term Bd Fund America Class A	A	Interest	J	T					
148. TRUST #4 (H)									
149. -Wells Fargo Account	A	Interest	J	T					
150. -AMCAP MF	B	Dividend	M	T					
151. -Fundamental Inv. MF	B	Dividend	M	T					
152. -Growth Fund of America MF	B	Dividend	M	T					
153. -Investment Co. of America MF	C	Dividend	M	T	Sold (part)	02/26/19	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Hardiman, Thomas M.

Date of Report

5/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -New Perspective MF		None	M	T					
155. -Washington Mutual Inv. MF	C	Dividend	M	T					
156. TRUST #5 (H)									
157. -Wells Fargo Bank Account	A	Interest	L	T					
158. -AMCAP MF	A	Dividend	L	T					
159. -Capital Income Builder MF	B	Dividend	K	T					
160. -Capital World Gr. & Income MF	A	Dividend	K	T					
161. -Eaton Vance Gr. India MF		None	L	T					
162. -INTL Growth & Income MF	A	Dividend	K	T					
163. -Fundamental INVS Inc. MF	A	Dividend	L	T					
164. -Growth Fund of America MF	A	Dividend	L	T					
165. -Investment Co. of America MF	B	Dividend	L	T					
166. -MFS Municipal Limited Maturity Fund Class A	C	Dividend	M	T					
167. -Washington Mutual Investors	A	Dividend	K	T					
168. -Small Cap World		None	L	T	Buy	01/03/19	J		
169.					Buy (add'l)	02/04/19	J		
170.					Buy (add'l)	03/04/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	04/03/19	J		
172.					Buy (add'l)	05/03/19	J		
173.					Buy (add'l)	06/03/19	J		
174.					Buy (add'l)	07/03/19	J		
175.					Buy (add'l)	08/05/19	J		
176.					Buy (add'l)	09/03/19	J		
177.					Buy (add'l)	10/03/19	J		
178.					Buy (add'l)	11/04/19	J		
179.					Buy (add'l)	12/03/19	J		
180. -JJ Lorick LLC	F	Distribution	P1	T					
181. -New Perspectives FD Inc. MF	A	Dividend	L	T					
182. -Short Term Bd Fund America Class A	A	Dividend	J	T	Sold (part)	01/03/19	J	A	
183.					Sold (part)	02/04/19	J	A	
184.					Sold (part)	03/04/19	J	A	
185.					Sold (part)	04/03/19	J	A	
186.					Sold (part)	05/03/19	J	A	
187.					Sold (part)	06/03/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Sold (part)	07/03/19	J	A	
189.					Sold (part)	08/05/19	J	A	
190.					Sold (part)	09/03/19	J	A	
191.					Sold (part)	10/03/19	J	A	
192.					Sold (part)	11/04/19	J	A	
193.					Sold (part)	12/03/19	J	A	
194. -Alphabet Inc. Class A		None	K	T					
195. -Alphabet Inc. Class C		None	K	T					
196. -Bank of NY Mellon	A	Dividend	K	T					
197. -Berkshire Hathaway		None	L	T					
198. -Citigroup	B	Dividend	K	T					
199. -JPMorgan Chase CS	B	Dividend	L	T					
200. -US Bancorp CS	A	Dividend	K	T					
201. REAL ESTATE PARTNERSHIP (H)									
202. -West Moon Twp. Assoc.	E	Distribution	M	U					
203. -FC Donegal Assoc.	G	Distribution	P1	U					
204. -McIntyre Square Assoc.	B	Distribution	L	U					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Hardiman, Thomas M.

Date of Report

5/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Madison NM	E	Distribution	M	U					
206. WELLS FARGO ACCOUNT: REVOCABLE TRUST (H)									
207. -Wells Fargo MM	A	Dividend	J	T					
208. -AMCAP MF	B	Dividend	N	T					
209. -Capital World Growth & Income Fd	B	Dividend	M	T					
210. -Fundamental Inv. MF	C	Dividend	N	T					
211. -Growth Fund America	B	Dividend	N	T					
212. -MFS Municipal Limited Maturity Fund	C	Dividend	M	T					
213. -New Perspective Fd	B	Dividend	M	T					
214. -Smallcap World			M	T					
215. -Wash Mut Invs Fd	C	Dividend	N	T					
216. -AB Municipal Income National Portfolio	D	Dividend	O	T					
217. -Pioneer SER TR II AMT-Free Municipal Fund CLA	E	Dividend	O	T					
218. TRUST #6 (H)									
219. -Growth Fund America	A	Dividend	L	T					
220. -Fundamental Invs. Inc. Class A	A	Dividend	L	T					
221. -AMCAP Fund Inc. Class A	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. -Wells Fargo MM	A	Dividend	J	T					
223. ANNUITIES (H)									
224. -PNC Fixed Annuity	A	Interest	J	T					
225. -Security Benefit Fixed Annuity	A	Interest	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Hardiman, Thomas M.

Date of Report

5/14/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas M. Hardiman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544