

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WRIGHT, SUSAN W.	2. Court or Organization EASTERN DISTRICT OF ARKANSAS	3. Date of Report 4/21/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address UNITED STATES DISTRICT COURT 600 W. CAPITOL AVE., SUITE 522 LITTLE ROCK, AR 72201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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WRIGHT, SUSAN W.	4/21/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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WRIGHT, SUSAN W.	4/21/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

WRIGHT, SUSAN W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Simmons Bank Account	A	Interest	K	T					
2. Brokerage Acct. WF7-2943 (IRA) (H)									
3. - Money Market - Wells Fargo	A	Interest	K	T					
4. - Apple Inc.		None			Sold	01/07/19	K	C	
5. - ISHARES ETF PFD & INCOME SECS PFF (iShares S&P US Preferred Stock)	D	Dividend	L	T	Buy (add'l)	01/07/19	K		
6.					Buy (add'l)	06/14/19	J		
7. - ALPHABET INC VOTING CAP STK CL A		None			Sold	01/07/19	K	D	
8. - ISHARES S&P MIDCAP 400 VALUE		None			Sold	01/07/19	K		
9. - ISHARES CORE S&P SMALL CAP 600 VALUE		None			Sold	01/07/19	K		
10. - PIMCO FDS INCOME FD INSTL CL	D	Dividend	M	T	Buy (add'l)	01/07/19	K		
11.					Buy (add'l)	06/14/19	J		
12.					Sold (part)	12/27/19	J		
13. - BERKSHIRE HATHAWAY INC SERIES B NEW		None			Sold	01/07/19	K	D	
14. - JPMORGAN CHASE & CO	A	Dividend			Sold	01/07/19	K	D	
15. - MERCK & CO INC NEW	A	Dividend			Sold	01/07/19	K	D	
16. - DOUBLELINE FDS TR TOTAL RETURN BD FD CL I	C	Dividend	L	T	Buy (add'l)	01/07/19	K		
17.					Buy (add'l)	06/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	08/07/19	K		
19. - PACIFIC FUNDS FLOATING RATE INCOME ADVISOR	A	Dividend			Sold (part)	01/07/19	L		
20.					Sold (part)	01/07/19	K		
21.					Sold (part)	01/07/19	K		
22.					Sold	01/07/19	J		
23. - CVS HEALTH CORPORATION FMGR FR AETNA INC(NEW)		None			Sold	01/07/19	J		
24. - ISHARES CORE S&P SMALL CAP 600 GROWTH		None			Sold	01/07/19	K		
25. - ISHARES RUSSELL MIDCAP GROWTH		None			Sold	01/07/19	K		
26. - LOWES COMPANIES INC		None			Sold	01/07/19	K	B	
27. - MORGAN STANLEY & CO		None			Sold	01/07/19	K		
28. - TJX COS INC NEW		None			Sold	01/07/19	K	C	
29. - VANGUARD TOTAL INTL ETF STOCK INDEX FD		None			Sold	01/07/19	K		
30. - VANGUARD TOTAL STOCK ETF MARKET ETF		None			Sold	01/07/19	M		
31.	B	Dividend	M	T	Buy	02/19/19	K		
32.					Buy (add'l)	02/25/19	K		
33.					Buy (add'l)	03/04/19	K		
34.					Buy (add'l)	04/08/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35.					Buy (add'l)	06/14/19	J		
36.					Sold (part)	07/29/19	J	A	
37.					Sold (part)	08/26/19	K	A	
38. - JANUS HENDERSON FUNDS GLOBAL REAL ESTATE FUND CLASS I		None			Sold	01/07/19	K		
39. - DOUBLELINE FDS TR SHILLER ENHANCED CAPE CL I	C	Dividend	L	T	Buy	01/07/19	K		
40.					Sold (part)	06/14/19	J	A	
41.					Buy (add'l)	08/29/19	K		
42. - FIDELITY INVESTMENTS MONEY MARKET FDS INSTL	A	Dividend			Buy	01/07/19	M		
43.					Sold (part)	02/19/19	K		
44.					Sold (part)	02/25/19	K		
45.					Sold (part)	03/04/19	K		
46.					Sold (part)	04/08/19	K		
47.					Sold	06/14/19	K		
48.					Buy	08/26/19	K		
49.					Sold	12/10/19	K		
50. - FIRST TRUST MORNINGSTRETF DIVIDEND LEADERS INDEX ETF	B	Dividend	K	T	Buy	01/07/19	K		
51.					Sold (part)	06/14/19	J	A	

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52. - ISHARES EDGE MSCI ETF USA QUALITY FACTOR ETF	A	Dividend	K	T	Buy	01/07/19	K		
53.					Sold (part)	06/14/19	J	A	
54. - ISHARES EDGE MSCI ETF USA VALUE FACTOR ETF	A	Dividend			Buy	01/07/19	K		
55.					Sold	08/29/19	K	B	
56. - ISHARES EDGE MSCI ETF MIN VOL USA ETF	B	Dividend	L	T	Buy	01/07/19	K		
57.					Sold (part)	06/14/19	J	A	
58.					Buy (add'l)	08/29/19	K		
59. - INVESCO TR II ETF S&P MIDCAP LOW VOLATILITY	A	Dividend	K	T	Buy	01/07/19	K		
60.					Sold (part)	06/14/19	J	A	
61. - PROSHARES TR ETF S&P MIDCAP 400 DIVID ARISTOCRATS	A	Dividend	K	T	Buy	01/07/19	K		
62.					Sold (part)	06/14/19	J	A	
63. - PROSHARES S&P 500 ETF DIVIDEND A	A	Dividend	K	T	Buy	01/07/19	K		
64.					Sold (part)	06/14/19	J	A	
65. - VANGUARD INTL EQUITY ETF INDEX FDS FTSE EMERGING MKTS ETF	B	Dividend	L	T	Buy	01/07/19	L		
66. - VANGUARD INTL EQTY INDEX FDS ETSE ALL WORLD EX US	B	Dividend	L	T	Buy	01/07/19	L		
67. - SPDR GOLD TRUST ETF		None	L	T	Buy	06/14/19	K		

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
68.					Buy (add'l)	08/07/19	K		
69. - UBS AG JERSEY BRH ETN E-TRACS LKD TO ALERIAN MLP INFRSTRE		None	K	T	Buy	12/10/19	K		
70. - PIMCO FDS PAC INVT MMT STOCKPLUS ABSOLUTE RETURN FD INSL CL	C	Dividend	K	T	Buy	01/07/19	K		
71.					Sold (part)	06/14/19	J	A	
72. - PGIM TOTAL RETURN BOND CL Z	D	Dividend	L	T	Buy	01/08/19	L		
73. WA Brok.Acct.6 (2931) (H)									
74. - Wells Fargo Bank Co. Money Mkt. Acct.	A	Interest	K	T					
75. - University Cent AR Rev Rfdg Stdnt Fee Ser B B/E CPN 3.25%, 9/1/23	A	Interest	J	T					
76. - VI&A CoSmartDesignVariable Annuity - VY T. Rowe Price Capital Appre	A	Interest	L	T					
77. - DILLARDS CAP TR I 7.5%, 8/1/38	B	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Investments and Trusts:

Line 2 - A heading for an IRA account. The underlying assets are reported on lines 3 through 72.

Line 73 - A heading for a brokerage account. The underlying assets are reported separately on lines 74 through 77, including the income, year-end values and transactions.

Line 76 - The Venerable Ins & Ann Co (formerly Voya) Smart Design Variable Annuity is 100% invested in VY T. Rowe Price Capital Appreciation sub fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



Signature: s/ SUSAN W. WRIGHT

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544