

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Kelly, Maureen P.	2. Court or Organization U.S. District Court for the Western District of Pennsylvania	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court for the Western District of Pennsylvania 9280 U.S. Post Office and Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Kelly, Maureen P.

Date of Report

08/11/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Architect, S-Corp, Hayes Design Group - Architects, Inc - W2 and K1 (Employee & 95% Shareholder)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Allegheny County Bar Association	6/13/19 - 6/15/19	Champion, PA	Bench - Bar Conference	Food and lodging
2. Academy of Trial Lawyers	10/3/19 - 10/5/19	Farmington, PA	Annual Conference	Food and lodging
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Kelly, Maureen P.	08/11/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Kelly, Maureen P.

Date of Report

08/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab retirement inherited IRA (H)									
2. -Cash & Cash Equivalent		None	J	T					
3. PNC Savings Account	A	Interest	J	T					
4. PNC Savings Account	A	Interest	M	T					
5. Schwab Brokerage Account (H)									
6. -Large US Equity Berk Hath new Class B		None	N	T	Sold (part)	11/07/19	J	C	
7. -Large US Equity Polen Growth Fund	A	Dividend	N	T	Distributed (part)	12/05/19	J	A	
8. -Large US Equity Yacktman Focused Inst		None	M	T	Sold (part)	11/14/19	M		
9.					Distributed (part)	12/16/19	K	E	
10. -Large US Equity Jensen Quality Growth Fd	B	Dividend	M	T	Distributed (part)	12/18/19	J	D	
11. -Large US Equity American Express Company	B	Dividend	M	T					
12. -Large US Equity Kinetics Paradigm Fund CI I		None	M	T	Distributed (part)	12/30/19	J	B	
13. -Large US Equity Lingleaf Partners Fund	A	Dividend	L	T	Distributed (part)	11/27/19	J	B	
14. -Large US Equity Amazon Com Inc		None	L	T					
15. -Large US Equity Sequoia Fund		None	K	T	Distributed (part)	06/10/19	J	A	
16.					Distributed (part)	11/18/19	J	B	
17. -Large US Equity PNC Finl Services Gp Inc	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Kelly, Maureen P.	08/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

18.	-Mid US Equity Akre Focus Fd Inst		None	M	T	Distributed (part)	12/13/19	J	D	
19.	-Mid US Equity Nuance Concentrated Value		None			Sold	11/14/19	L	D	
20.	-Mid US Equity Vanguard MidCap ETF	B	Dividend	M	T	Buy	04/11/19	K		
21.						Buy (add'l)	05/10/19	K		
22.						Buy (add'l)	06/24/19	K		
23.						Buy (add'l)	07/11/19	K		
24.						Buy (add'l)	08/12/19	K		
25.						Buy (add'l)	09/11/19	K		
26.						Buy (add'l)	11/20/19	L		
27.	-Mid US Equity Alpha Architect US Quantative Value ETF	B	Dividend	M	T	Buy	04/11/19	K		
28.						Buy (add'l)	05/10/19	K		
29.						Buy (add'l)	06/24/19	K		
30.						Buy (add'l)	07/11/19	K		
31.						Buy (add'l)	08/12/19	K		
32.						Buy (add'l)	09/11/19	K		
33.						Buy (add'l)	11/15/19	L		
34.						Buy (add'l)	11/20/19	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Kelly, Maureen P.

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08/11/2020

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35. -Small US Equity FPA Capital Fund Cl A		None			Distributed (part)	06/27/19	J	A	
36.					Sold	11/14/19	M		
37. -Small US Equity Longleaf Partners Small Cap Fund		None	M	T	Distributed (part)	11/27/19	J	D	
38. -Small US Equity Intrepid Endurance Fund		None			Sold	11/14/19	L		
39. -Small US Equity Vulcan Value Partners Small Cap		None			Sold	11/14/19	K		
40. -Small US Equity Cove Street Capital	A	Dividend	L	T					
41. -Small US Equity WisdomTree US SmallCap Earnings ETF	A	Dividend	N	T	Buy	04/11/19	J		
42.					Buy (add'l)	05/10/19	J		
43.					Buy (add'l)	06/24/19	J		
44.					Buy (add'l)	07/11/19	J		
45.					Buy (add'l)	08/12/19	J		
46.					Buy (add'l)	09/11/19	J		
47.					Buy (add'l)	11/15/19	M		
48.					Buy (add'l)	11/20/19	L		
49. -Global Equity Oakmark Global Select	B	Dividend	N	T					
50. -Global Equity Evermore Global Value Fd Cl I	A	Dividend	K	T	Distributed (part)	12/06/19	J	A	
51. -Global Equity VanEck Vectors Morningstar Intl Moat ETF	D	Dividend	M	T	Buy	01/17/19	M		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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Kelly, Maureen P.

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52. -Global Equity PIMCO RAFI Dyn Multi-Factor Emerging Markets Equity ETF	C	Dividend	M	T	Buy	01/17/19	L		
53.					Buy (add'l)	11/15/19	K		
54. -International Developed Equity Tweedy Browne Global Value Fund		None	M	T	Distributed (part)	12/12/19	J	A	
55. -International Developed Equity Harbor International Fund Inst Cl		None			Sold	11/14/19	M		
56. -International Developed Equity First Eagle Overseas Fund Cl	A	Dividend	M	T	Distributed (part)	12/18/19	J	D	
57. -International Developed Equity Lazard Intl Strategic		None			Sold	11/14/19	M	D	
58. -International Developed Equity Schwab Intl Small-Cap Equity ETF	B	Dividend	M	T	Buy	04/11/19	J		
59.					Buy (add'l)	05/10/19	J		
60.					Buy (add'l)	06/24/19	J		
61.					Buy (add'l)	07/11/19	J		
62.					Buy (add'l)	08/12/19	J		
63.					Buy (add'l)	09/11/19	J		
64.					Buy (add'l)	11/15/19	M		
65.					Buy (add'l)	12/18/19	J		
66. -International Developed Equity Brookfield Asset Mgmt Inc Class A	A	Dividend	K	T	Buy	11/14/19	K		
67. -International Emerging Equity Seafarer Overseas Gwth & Inc		None			Sold	11/14/19	K		
68. -International Emerging DFA Emerging Markets Small Cap I		None	M	T	Buy	11/20/19	M		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Kelly, Maureen P.

Date of Report

08/11/2020

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69.					Distributed (part)	12/17/19	J	A	
70. -Alternative Securities Baron Real Estate Fund		None	L	T	Distributed (part)	09/27/19	J	A	
71.					Distributed (part)	11/26/19	J	D	
72. -Alternative Securities Litman Gregory Masters Alt Strat Inst		None	L	T					
73. -Alternative Securities AQR Diversified		None	L	T					
74. -Alternative Securities Calamos Market Neutral	A	Dividend			Sold	08/13/19	K	A	
75. -Fixed Income Long/Intermediate Loomis Sayles Bond Cl I		None	K		Distributed (part)	12/16/19	J	A	
76. -Fixed Income Short-Term Bond Vanguard Short-Term Tax-Exempt Admiral	C	Dividend	N	T	Buy	04/11/19	M		
77.					Buy (add'l)	08/14/19	K		
78. -Cash & Cash Equivalents (Schwab various accounts)	B	Interest	M	T					
79. Allegiant Federal Credit Union Cash Account	A	Interest	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Kelly, Maureen P.

Date of Report

08/11/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Kelly, Maureen P.

Date of Report

08/11/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Maureen P. Kelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544