

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Martin, Brenda K.	2. Court or Organization U.S. Bankruptcy Court, Arizona	3. Date of Report 05/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States Bankruptcy Court 230 N. First Avenue Phoenix, AZ 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	BrenDan Acquisitions, LLC
2. Trustee	Helsinki Trust
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Midwestern University (salary and bonus)
2. Spring/Fall 2019	Western Regional Examiners Board (proctor fee)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Conference of Bankruptcy Judges	October 30-November 1, 2019	Washington, DC	Conference	Lodging
2.	ABI	March 3-5, 2019	New York, NY	Moot court judge	Transportation, meals, lodging
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. Arizona State University Law School	Sandra Day O'Connor Justice Prize Dinner	\$500.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Bank of America Corp. (cash)	A	Interest	M	T					
3. Chase Bank (cash)	A	Interest	L	T					
4. WAVI Co		None	L	T					
5. ACCT #1 (H)									
6. Charles Schwab Bank Sweep Account (cash)	A	Interest	M	T					
7. Columbia Seligman Comm (SLMCX)	C	Dividend	K	T					
8. Davis NY Venture (NYVTX)	B	Dividend	K	T					
9. Invesco European Growth (AEGSX)	A	Dividend	K	T					
10. Ivy Asset Strategy Fund (WASAX)	B	Dividend	K	T					
11. Miller Oppty Trust FI (LMOFX)		None	K	T					
12. PGIM Jennison Natural Resources Fd A (PGNAX)	A	Dividend	J	T					
13. Vanguard Intl Value Fund (VTRIX)		None	J	T					
14. ACCT #2 (H)									
15. TD Bank USA FDIC Money Market (cash)	A	Interest	K	T					
16. Blackrock High Yield Bond A (BHYAX)	B	Dividend	K	T					
17. Fidelity Investment Grade Bond Fund (FBNDX)	B	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Ivy International Core Equity Fund (IVVYX)	A	Dividend	K	T	Buy (add'l)	03/13/19	J		
19.					Sold (part)	06/25/19	J		
20.					Buy (add'l)	07/10/19	J		
21.					Buy (add'l)	09/05/19	J		
22.					Sold (part)	11/06/19	J		
23. JP Morgan Value Advantage (JVAIX)	C	Dividend	L	T	Buy (add'l)	03/14/19	J		
24.					Buy (add'l)	04/03/19	J		
25.					Sold (part)	06/18/19	J		
26.					Sold (part)	06/19/19	J		
27.					Sold (part)	06/25/19	J		
28.					Buy (add'l)	07/10/19	J		
29.					Buy (add'l)	09/05/19	J		
30.					Sold (part)	11/06/19	J		
31. Legg Mason Clearbridge Large Cap Growth I (SBLVX)	C	Dividend	L	T	Buy (add'l)	03/14/19	J		
32.					Buy (add'l)	04/03/19	J		
33.					Sold (part)	06/18/19	J		
34.					Sold (part)	06/19/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold (part)	06/25/19	J		
36.					Buy (add'l)	07/10/19	J		
37.					Buy (add'l)	09/05/19	J		
38.					Sold (part)	11/06/19	J		
39. Principal Small Cap Growth Inst (PGRTX)	B	Dividend	K	T	Buy (add'l)	04/03/19	J		
40.					Sold (part)	06/18/19	J		
41.					Sold (part)	06/19/19	J		
42.					Sold (part)	06/25/19	J		
43.					Buy (add'l)	07/10/19	J		
44.					Buy (add'l)	09/05/19	J		
45.					Sold (part)	11/06/19	J		
46. TIAA-CREF Inflation Linked Bond Advisor (TIIHX)	A	Dividend	J	T					
47. Wells Fargo Advantage Government Secs (SGVIX)	A	Dividend	K	T					
48. ACCT #3 (H)									
49. TD Bank USA FDIC Money Market (cash)	A	Interest	K	T					
50. Blackrock High Yield Bond A (BHYAX)	B	Dividend	K	T					
51. Fidelity Investment Grade Bond Fund (FBNDX)	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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52. Ivy International Core Equity Fund (IVVYX)	A	Dividend	K	T	Buy (add'l)	03/13/19	J		
53.					Sold (part)	06/25/19	J		
54.					Buy (add'l)	07/10/19	J		
55. JP Morgan Value Advantage (JVAIX)	C	Dividend	L	T	Sold (part)	02/07/19	J		
56.					Buy (add'l)	03/14/19	J		
57.					Buy (add'l)	04/03/19	J		
58.					Sold (part)	06/18/19	J		
59.					Sold (part)	06/19/19	J		
60.					Sold (part)	06/25/19	J		
61.					Buy (add'l)	07/10/19	J		
62.					Buy (add'l)	09/05/19	J		
63.					Sold (part)	11/06/19	J		
64. Legg Mason Clearbridge Large Cap Growth I (SBLYX)	C	Dividend	L	T	Sold (part)	02/07/19	J		
65.					Buy (add'l)	03/14/19	J		
66.					Buy (add'l)	04/03/19	J		
67.					Sold (part)	06/18/19	J		
68.					Sold (part)	06/19/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69.					Sold (part)	06/25/19	J		
70.					Buy (add'l)	07/10/19	J		
71.					Buy (add'l)	09/05/19	J		
72.					Sold (part)	11/06/19	J		
73. Principal Small Cap Growth Inst (PGRTX)	C	Dividend	K	T	Sold (part)	02/07/19	J		
74.					Buy (add'l)	04/03/19	J		
75.					Sold (part)	06/18/19	J		
76.					Sold (part)	06/19/19	J		
77.					Sold (part)	06/25/19	J		
78.					Buy (add'l)	07/10/19	J		
79. TIAA-CREF Inflation Linked Bond Advisor (TIIHX)	A	Dividend	K	T					
80. Wells Fargo Advantage Government Secs (SGVIX)	B	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, line 1: BrenDan Acquisitions, LLC is not reportable and contains no reportable assets.

Part I, line 2: Reportable holdings of the Helsinki Trust are listed in Part VII.

Part VII, line 4: The most recent valuation of this holding took place in 2011. It is not currently traded, so a better market valuation is not available.

Name change: ClearBridge Large Cap Growth I (SBLYX) is now Legg Mason ClearBridge Large Cap Growth I (SBLYX).

Name change: Nuveen Inflation Protected Securities (FISFX) is now TIAA-CREF Inflation Linked Bond Advisor (TIIHX).

Symbol changes: BHYAX was BHYIX, IVVYX was IVIAX, and JVAIX was JVAYX.

For greater clarity, 2018 Account #2 has been separated into Account #2 and Account #3 in this report.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brenda K. Martin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544