

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) REINHARD, PHILIP G.	2. Court or Organization NDIL - WESTERN DIVISION	3. Date of Report 05/06/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 327 SOUTH CHURCH STREET ROOM 6100 ROCKFORD, ILLINOIS 61101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. TRUSTEE	TRUST #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2019	Illinois Judges' Retirement System & Illinois Municipal Retirement Fund - Vested. Benefits began 1/12/1996.
2.	
3.	

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Name of Person Reporting

REINHARD, PHILIP G.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Illinois Judges' Retirement System	\$86,214.12
2. 2019	Illinois Municipal Retirement Fund	\$16,449.96
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019-Int.	Substitute Teacher - Harlem Consolidated School District
2. 2019	Teachers Retirement System of the State of Illinois
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
REINHARD, PHILIP G.	05/06/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

REINHARD, PHILIP G.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Regal Beloit Corporation Common Stock - Trust #1	B	Dividend	M	T	Sold (part)	11/04/19	L	E	
2. EQTCorp.formerly listed as Equitable Resources Common Stock - Trust #1	A	Dividend	K	T	Buy (add'l)	07/21/19	J		
3.					Buy (add'l)	06/12/19	J		
4. Equitrans Midstream Corp. (spinoff from EQT Corp.)	D	Dividend	K	T					
5. Vanguard - Index Total Stock Market Fund	D	Dividend	O	T					
6. Vanguard - Index Total Stock Market Fund - Trust #1	A	Dividend	K	T					
7. E-Trade Ext.Ins.Sweep Dep.-Trust #1 (formerly E-Trade ClearingLLCBrok)	A	Interest	L	T					
8. Vanguard Prime Money Market Fund	C	Dividend	N	T	Buy (add'l)	4/11/19	J		
9.					Buy (add'l)	06/12/19	K		
10.					Buy (add'l)	09/12/19	K		
11.					Buy (add'l)	05/06/19	J		
12. Vanguard Prime Money Market Fund - Trust #1	B	Dividend	L	T	Buy (add'l)	04/12/19	J		
13.					Buy (add'l)	06/12/19	K		
14.					Buy (add'l)	07/03/19	J		
15.					Buy (add'l)	08/21/19	J		
16.					Buy (add'l)	10/08/19	J		
17.					Buy (add'l)	12/16/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18.					Redeemed (part)	11/25/19	K		
19. Gold - Krugerrands		None	N	T					
20. Johnson & Johnson Corp. Common Stock - Trust #1	B	Dividend	L	T					
21. MGE Energy Inc. Common Stock - Trust #1	B	Dividend	L	T					
22. 3M Corp. Common Stock - Trust #1	C	Dividend	M	T					
23. Vanguard Intermediate-term Tx. Muni bonds - Admiral	D	Interest	N	T					
24. Midland Bank Financial Accounts	A	Interest	K	T					
25. Midland Bank Financial Account - Trust #1	A	Interest	J	T					
26. Vanguard Intermediate-term Tx. Muni bonds - Inv - Trust #1	D	Interest	M	T					
27. Abraxas Pete, Common Stock - Trust #1 (Y)									
28. Devon Energy Corp. Common Stock - Trust #1	A	Dividend	K	T					
29. Ally Bank - Trust #1	D	Interest	M	T					
30. Ally Bank	C	Interest	M	T					
31. Energy Transfer LP - Trust #1	C	Dividend	K	T					
32. Apple Inc., Common Stock - Trust #1	B	Dividend	M	T					
33. Novartis AG Common Stock - Trust #1	A	Dividend	K	T	Sold (part)	04/23/19	J	A	
34. ALC Stock - Trust #1		None			Spinoff (from line 33)	04/09/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35.					Sold	04/23/19	J	A	
36. Vanguard ST Invest. Grade Fund	A	Dividend	K	T					
37. Vanguard ST Invest. Grade Fund - Trust #1	A	Dividend	K	T					
38. Polaris Global Value Fund	B	Dividend	K	T					
39. T.Rowe Price Health Sciences Fund	D	Dividend	L	T	Buy (add'l)	05/06/19	J		
40. Vanguard Capital Opportunity Fund	D	Dividend	L	T					
41. Vanguard Healthcare Fund	C	Dividend	K	T					
42. Market Vectors ETF Tr. Jr Gold Miners ETF Trust #1	A	Dividend	K	T	Buy (add'l)	04/01/19	J		
43. Penumbra Inc., Common Stock Trust #1		None	L	T					
44. Gold Mining Inc. - Trust #1		None	J	T					
45. Vanguard Federal Money Market Fund - Trust #1	A	Dividend	J	T	Buy (add'l)	12/16/19	J		
46. Vanguard Dividend Growth Fund Investors Shares	B	Dividend	K	T	Buy (add'l)	11/25/19	K		
47. Vanguard Prime Money Market Fund	A	Dividend	K	T					
48. Ishares Nasdaq Biotechnology ETF	A	Dividend	K	T					
49. Energy Select Sector SPDR ETF	A	Dividend	J	T					
50. Vaneck Vectors Gold Miners ETF	A	Dividend	J	T					
51. Apple Inc., Common Stock	B	Dividend	M	T					

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52. Brown-Forman Corporation Class B Common Stock	A	Dividend	J	T					
53. Facebook Inc., Class A Common Stock		None	K	T					
54. Vanguard Federal Money Market Fund (3) (X)	A	Dividend	J	T					
55. Facebook Inc., Class A Common Stock (2)		None	L	T					
56. E-Trade Extended Insurance Sweep Deposit Acct. (2)	A	Dividend	K	T					
57. Apple, Inc., Common Stock (3)	A	Dividend	M	T					
58. Facebook Inc., Class A Common Stock (3)		None			Sold	11/01/19	J	B	
59. E-Trade Fin. Retire Sweep Dep.(formerlyE-TradeExt. Ins.SweepAcct. (3))	A	Dividend	J	T					
60. LCTX (formerly Biotime Inc.)		None			Sold	12/05/19	J		
61. Age X Therapeutics (X)		None			Sold	04/23/19	J	A	
62. Washington Prime Group New	B	Dividend			Sold	12/16/19	J		
63. Vanguard High Yield Tax Exempt Fund - Trust #1	A	Dividend	K	T					
64. Vanguard International Growth Fund - Trust #1	B	Dividend	L	T					
65. Vanguard Federal Money Market Fund	A	Dividend	J	T	Redeemed (part)	05/06/19	J		
66. KraneShares MSCI One ETF	A	Dividend	J	T					
67. American Silver Eagle Coins		None	K	T					
68. China Mobile ADR - Trust #1		None	J	T	Buy	11/21/19	J		

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Ping An Ins. Group ADR - Trust #1		None	J	T	Buy	11/26/19	J		
70. Vanguard Core Bond Fund - Trust #1	A	Dividend	K	T	Buy	11/23/19	K		
71. Uranium Energy Corp. Commons Stock-Trust #1		None	J	T	Buy	06/14/19	J		
72. Illinois Bank & Trust C.D. (X)	A	Int./Div.	L	T	Buy	09/06/19	L		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting REINHARD, PHILIP G.	Date of Report 05/06/2020
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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05/06/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ PHILIP G. REINHARD**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544