

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CHASANOW, DEBORAH K.	2. Court or Organization U.S. DIST. CT. FOR D. OF MD.	3. Date of Report 08/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE-SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address RM 400 U.S. COURTHOUSE 6500 CHERRYWOOD LANE GREENBELT, MARYLAND 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust created by family member's will (created 11/2011)
2. Personal Representative	Estate of family member (estate opened 5/9/17)(estate closed 9/30/2019)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

CHASANOW, DEBORAH K.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. monthly	State of Maryland Retirement	\$73,935.82
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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CHASANOW, DEBORAH K.	08/13/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. rollover IRA, Delaware Charter Trustee (H)									
2. --Morgan Stanley Bank NA	A	Interest	K	T					
3. --Conoco Phillips	A	Dividend	K	T					
4. --Phillips 66	B	Dividend	L	T					
5. --Washington REIT SBI	B	Dividend	K	T					
6. --Vanguard Dividend Appreciation	A	Dividend	K	T					
7. --Vanguard Short-Term Corporate	A	Dividend	J	T					
8. --Weyerhaeuser Company	D	Dividend	M	T					
9. --Chevron Corp	C	Dividend	L	T					
10. --Enbridge Inc.	C	Dividend	K	T					
11. --Johnson and Johnson	B	Dividend	L	T					
12. --Caterpillar Inc.	B	Dividend	L	T					
13. --Coca Cola Company	A	Dividend	K	T					
14. --General Mills Inc.	A	Dividend	K	T					
15. --iShares Short-term cor bnd ETF	A	Dividend	K	T					
16. --Rayonier Inc.	A	Dividend	J	T					
17. --Matthews Asian Japan Inv.	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. --Bank of America Corp	A	Dividend	K	T					
19. --iShares S&P US PFD Stk Idx	A	Dividend	J	T					
20. --Pfizer Inc	B	Dividend	K	T					
21. --Vanguard FTSE Europe ETF	A	Dividend	J	T					
22. --Wisdomtree Trust Japn Hedge EQ	A	Dividend			Sold	05/01/19	J	A	
23. --Calamos Market Neutral Inc	A	Dividend			Sold	05/01/19	K	A	
24. --Doubleline Income Solutions FD	B	Dividend	K	T					
25. --Clearbridge Energy MLP FD Inc	B	Dividend	K	T					
26. --Kayne Andersn MLP Mids invt Co	B	Dividend	K	T					
27. --Lord Abbett Ultra Short BD F	A	Interest	K	T	Buy	05/01/19	K		
28. Family Member's Estate (H)									
29. --Old Line Bank		None			Closed	09/30/19	J		
30. UNIMPROVED AGRICULTURAL LAND IN ST. MARY'S COUNTY, MD.		None	L	Q					
31. Ameriprise Financial AQEAX	A	Dividend	K	T					
32. Bank of America SAVINGS	A	Interest	L	T					
33. Bank of America CHECKING	A	Interest	O	T					
34. Morgan Stanley Bank N.A.,	A	Interest	M	T					

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35. Morgan Stanley MSPBNA preferred savings	C	Interest	N	T	Open	06/03/19	N			
36. Federated Prime CSH Oblign WS	C	Dividend	M	T	Open	06/03/19	M			
37. Synchrony K Draper UT CD	B	Interest			Matured	05/16/19	L	A		
38. Merrill Lynch CMA	D	Interest	O	T						
39. CD First St Bk Blakely	D	Interest	M	T	Buy	04/12/19	M			
40. CD Bank of China	C	Interest	M	T	Buy	04/12/19	M			
41. CD Wells Fargo Bank NA	D	Interest	M	T	Buy	04/12/19	M			
42. America Movil SA	A	Dividend	K	T						
43. Equitable Life Insurance Policy--whole life	C	Dividend	M	T						
44. Hartford Life Insurance Policy--whole life on parents' lives	D	Interest	N	T						
45. Enbridge Inc.	A	Dividend	J	T						
46. Anadarko Petroleum Corp.	A	Dividend			Sold	05/01/19	K	E		
47. HP Inc Com, formerly Hewlett Packard Co.	A	Dividend	K	T						
48. DXC Technology Company	A	Dividend	J	T						
49. Perspecta Inc	A	Dividend	J	T						
50. Hewlett Packard Enterprise	A	Dividend	J	T						
51. Micro FCS Int	A	Dividend	J	T						

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52. Apache Corp.(X)		None			Sold	10/9/18	J	A	
53. Conoco Phillips	A	Dividend	K	T					
54. Phillips 66	B	Dividend	K	T					
55. John Deere & Co.	B	Dividend	M	T					
56. Northern Trust Co. checking account	C	Interest	N	T					
57. B of A./Merrill Lynch)(H)									
58. --BIF Tax-Exempt Fund/ML Bank Deposit	A	Int./Div.	J	T					
59. --MFS Value FD CL 1	A	Dividend	J	T					
60. --Oppenheimer International	A	Dividend	J	T					
61. --iShares Russell 1000 IWF	A	Dividend	K	T	Sold (part)	04/12/19	J	A	
62. --iShares Russell 1000IWD	B	Dividend	K	T					
63. --iShares TR Core MSCI EAF	A	Dividend			Sold	12/10/19	K	A	
64. --Glenmede Small Cap Equity fund	A	Dividend	J	T					
65. --Nuveen Short Duration HI Yield Muni Bd Fd	B	Dividend	K	T					
66. --Vanguard Dividend Appreciation ETF	A	Dividend	K	T	Buy	12/10/19	K		
67. NextEra Energy, Inc. formerly FPL Group	B	Dividend	M	T					
68. iShares Barclays TIPS bond	A	Dividend	K	T					

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69. iShares Barclays 1-3 Credit Bond (sht trm cor bnd eft)	A	Dividend	K	T					
70. iShares MSCI Emerging Market Index Fund	A	Dividend	J	T					
71. Apple, Inc.	B	Dividend	M	T					
72. CF Industries Holdings, Inc.	B	Dividend	L	T					
73. Schlumberger Ltd.	A	Dividend			Sold	05/01/19	K	A	
74. General Mills Inc.	A	Dividend	J	T					
75. iShares MSCI Japan ETF	A	Dividend	K	T					
76. Genl Dynamics Corp	A	Dividend	K	T					
77. The India Fund Inc	B	Distribution	K	T					
78. Vanguard FTSE Europe ETF	A	Dividend	K	T					
79. Vanguard FTSE Pacific ETF	A	Dividend	K	T					
80. Vanguard Mid-Cap ETF	B	Dividend	L	T					
81. Vanguard Small Cap ETF	A	Dividend	L	T					
82. Wisdomtree Europe Hedged Equit	A	Dividend	K	T					
83. Wisdomtree Trust India	A	Dividend	K	T					
84. Wisdomtree Trust Japn Hedge EQ	A	Dividend			Sold	05/01/19	K	A	
85. Wells Fargo & Co New	B	Dividend	K	T					

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86. Blackstone REIT	B	Distribution	K	T					
87. Putnam Short Duration Income A	B	Dividend	M	T					
88. Berkshire Hathaway CL-B New		None	M	T					
89. Lord Abbett Ultra Short BD F	B	Dividend	K	T	Buy	05/01/19	K		
90. Blackrock Science & Tech TR II	A	Distribution	K	T	Buy	06/26/19	K		
91. Invesco Conservative Inc A	B	Dividend	L	T	Buy	01/29/19	L		
92. Lord Abbett Ultra Short BD A	B	Int./Div.	L	T	Buy	05/01/19	L		
93. JLL Income Prop Trust	A	Distribution	K	T	Buy	06/26/19	K		
94. Trust Under Family Member's Will (H)									
95. --Property in Sussex Co. Delaware		None	N	W					
96. --Bank of America checking	A	Interest	M	T					
97. --Plains All American Pipeline LP	B	Distribution	K	T					
98. --Energy Transfer Partners LP	C	Distribution	K	T					
99. --Enterprise Prods Partners LP	B	Distribution	K	T					
100. --MPLX LP	B	Distribution	K	T					
101. --Merck & Co Inc	A	Dividend	K	T					
102. --Nestle Spon ADR Rep	A	Dividend	K	T					

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103. --First TR Morningstar Div	B	Dividend	L	T					
104. --Verizon Communications	A	Dividend			Sold	01/22/19	K	C	
105. --Johnson & Johnson	A	Dividend			Sold	07/18/19	K	C	
106. --Unit Invesco Stocks for 2019	A	Dividend			Sold	04/02/19	L	E	
107. --Morgan Stanley Bank	A	Interest	L	T					
108. --Wash Real Est Inv Tr Maryland	A	Dividend	K	T					
109. --Cummins Inc	A	Dividend	J	T					
110. --Caterpillar Inc	A	Dividend	K	T					
111. --Berkshire Hathaway CL-B New		None	K	T					
112. --S & P 500 Index fund	A	Dividend			Sold	10/17/19	L	D	
113. --Blackstone Group LP	B	Int./Div.	K	T					
114. --Constellation Brands Inc CL A (STZ)	A	Dividend			Sold	12/04/19	K	A	
115. --iShares Select Dividend ETF (DIVY)	A	Dividend			Sold	05/16/19	K	A	
116. --Unit First Trust Dividend Strength 35	A	Distribution			Sold	02/06/19	M	D	
117. --Unit Guggenheim SMID Dividend Strength 8	A	Dividend			Sold	07/08/19	K	B	
118. --Unit First Trust Dividend Strength 37	A	Distribution			Sold	07/08/19	K	C	
119. --Unit Invesco S&P Dividend Sustainability 2017-3	A	Dividend			Sold	07/08/19	K	D	

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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. --Unit First Trust Cap Strength Buy-Write 36		None			Sold	01/23/19	J	A	
121. --First Tr Valu Ln Div IDX	B	Dividend	M	T	Buy (add'l)	07/08/19	K		
122.					Buy (add'l)	10/17/19	L		
123. --Goldman Sachs BK New York CD	B	Interest			Matured	02/14/19	L	A	
124. --Unit Guggenheim Dividend Strength 29	B	Distribution	L	T					
125. --Pfizer Inc	A	Dividend	K	T					
126. --FT-Preferred Secur & Inc ETF	B	Dividend	K	T	Buy (add'l)	04/02/19	K		
127. --First Tr Valu LN Div IDX	B	Dividend	K	T	Buy (add'l)	07/18/19	K		
128.					Buy (add'l)	10/17/19	L		
129. --FT North American energy Infra	A	Dividend	K	T					
130. --SPDR Port S&P High Div ETF	B	Dividend	L	T	Buy (add'l)	04/02/19	K		
131.					Buy (add'l)	12/04/19	K		
132. --Crown Castle Intl Corp	A	Dividend	K	T	Buy	01/22/19	K		
133. --ALPS Sector Div Dogs	B	Dividend	L	T	Buy	05/16/19	L		
134.					Buy (add'l)	12/04/19	K		
135. --Unit First Trust Dividend Strength 43	C	Dividend	M	T	Buy	02/06/19	M		
136. --Unit First Trust Capital Strength Buy-Write 43	A	Distribution	J	T	Buy	01/23/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. --Unit Guggenheim SMD Div Strength 11	A	Dividend	K	T	Buy	07/08/19	K		
138. --Unit First Trust Div Strength 44	A	Int./Div.	K	T	Buy	07/08/19	K		
139. --Unit Invesco Div Sustainability 2019-2	A	Int./Div.	K	T	Buy	07/08/19	K		
140. --Unit Morgan Stanley Stocks for 2021 Series 2	A	Dividend	M	T	Buy	04/02/19	L		
141. --Williams Co Inc	A	Dividend			Buy	01/22/19	K		
142.					Sold	12/04/19	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

CHASANOW, DEBORAH K.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 30: Appraisal of land as of 4/2/2017, conducted 6/20/2017 for estate. Transferred via inheritance. Line 52 : Asset sold in prior year, inadvertently not reported last year.

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Name of Person Reporting

CHASANOW, DEBORAH K.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DEBORAH K. CHASANOW**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544