

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ferguson, Kathryn C.	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 06/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES BANKRUPTCY COURT JUDGE - FULL TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address CLARKSON S. FISHER FEDERAL BUILDING 402 EAST STATE STREET TRENTON, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Turnaround Management Association Mid-Atlantic Regional Symposium	June 6, 2019 and June 7, 2019	Atlantic City, New Jersey	Conference	Lodging, registration, meals
2.	New York Intellectual Property Law Association	March 22, 2019 and March 23, 2019	New York, NY	Conference and Annual Dinner	Transportation, lodging, registration, meals
3.	AIRA 18th Annual Advanced Restructuring & Plan of Reorganization Conference	November 18, 2019	New York, NY	Conference	Transportation, registration, meals
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. US Savings Bonds	A	Interest	J	T					
2. BMO Intermediate Tax-Free Fund	A	Dividend			Sold	1/9/2019	J	A	
3. Delaware Tax Free USA Intermediate	A	Dividend			Sold	01/09/19	J	A	
4. Dodge & Cox Stock Fund	A	Dividend			Sold	01/09/19	J	A	
5. Eaton Vance Floating Rate Fund	A	Dividend			Sold	01/09/19	J	A	
6. Europacific Growth Fund F2	A	Dividend			Sold	01/09/19	J	A	
7. Goldman Sachs Short Duration Tax Free	A	Dividend			Sold	01/09/19	J	A	
8. JP Morgan Short-Intermediate Muni Bond	A	Dividend			Sold	01/09/19	J	A	
9. Western Asset Intermediate Term Muni	A	Dividend			Sold	01/09/19	J	A	
10. Western Asset NJ Municipals Fund	A	Dividend			Sold	01/09/19	J	A	
11. T Rowe Price Growth Stock	A	Dividend			Sold	01/09/19	J	A	
12. T Rowe Price Emerging Markets	A	Dividend			Sold	01/09/19	J	A	
13. Vanguard Short Term Tax Exempt Fund	A	Dividend			Sold	01/09/19	J	A	
14. Vanguard Intermediate Term Tax Exempt	A	Dividend			Sold	01/09/19	J	A	
15. Vanguard 500 Index Fund Admiral Shares	A	Dividend			Sold	01/09/19	J	A	
16. Vanguard Specialized Funds ETF	A	Dividend			Sold	01/09/19	J	B	
17. Vanguard INTL Equity Index	A	Dividend			Sold	01/09/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Vanguard Index FDS Vanguard Mid Cap	A	Dividend			Sold	01/09/19	J	B	
19. Vanguard Index FDS Small Cap	A	Dividend			Sold	01/09/19	J	B	
20. Wells Fargo Checking Account	A	Interest	J	T					
21. Wells Fargo Savings Account	A	Interest	K	T					
22. Santander Bank Checking Account	A	Interest	J	T					
23. Santander Bank Money Market Account	A	Interest	O	T					
24. Manasquan Bank Checking Account	A	Interest	J	T					
25. AXA Equi-VEST Variable Annuity Series Fixed INcome	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kathryn C. Ferguson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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