

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ludington, Thomas L.	2. Court or Organization U.S. District Court MI (Eastern)	3. Date of Report 05/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse 1000 Washington Ave Bay City, MI 48708		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary	R. M. Gerstacker Foundation
2. Trustee	Albion College
3. President & Director	Ludington Family Foundation
4. Advisory Trustee	John Ludington Beneficial Trust
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Michigan Judges Retirement Services	\$38,807.00
2. 2019	Michigan County Employees Pension Fund	\$6,296.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Chemical Bank & Trust checking & savings	A	Interest	K	T					
2. Dow Chemical Employees Credit Union	A	Interest	K	T					
3. TD Ameritrade Money Market (Y)									
4. Merrill Lynch Money Market	A	Interest	L	T					
5. -Amgen stock	A	Dividend	J	T					
6. -Laboratory CP American Hldg		None	J	T					
7. -Blackrock Global Stock Fund	A	Dividend	M	T					
8.	D	Distribution	M	T					
9. -IBM stock	B	Dividend	K	T					
10. -Quest Diagnostics stock	A	Dividend	K	T					
11. Interest Income Fund 401K	D	Int./Div.	N	T					
12. Vanguard Convertible See 401K	C	Int./Div.	K	T					
13. Am Cent US Real Estate 401K		None	K	T					
14. Fidelity Contrafund Pool Cl 2 401K		None	L	T					
15. SSga Stable Value-State of Michigan 457 Plan		None			Sold	01/10/19	K		
16. PIMCO Total Return-State of Michigan 457		None			Sold	01/10/19	J		
17. Dodge & Cox Stock State of Michigan 457 Plan		None			Sold	01/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. SSga Stable Value State of Michigan 401K Plan		None			Sold	01/10/19	M		
19. SSgaCash Series Treasury State of MI 401K		None			Sold	01/10/19	J		
20. PIMCO Total Return State of MI 401K		None			Sold	01/10/19	L		
21. Dodge & Cox Stock-St of MI 401K		None			Sold	01/10/19	L		
22. Ridge Worth Funds-St of MI 401K		None			Sold	01/10/19	K		
23. American Funds Europacific Gr-St of MI 401K		None			Sold	01/10/19	K		
24. Artisan Mid Cap-St of MI 401K		None			Sold	01/10/19	L		
25. TRP Is Mid Cap 401K	D	Int./Div.	M	T					
26. Vanguard Dev Mkt IndexIP 401K	B	Int./Div.	L	T					
27. MTL Insurance Co (ordinary) Life Policy		None	K	T					
28. Trust Mark (ordinary) Life Policy		None	L	T					
29. Indianapolis (ordinary) Life Policy		None	K	T					
30. Fidelity Roth IRA #1 (H)	A	Interest	M	T					
31. -Apple Inc stock		None			Sold	01/17/19	K	D	
32. -Plains All American Pipeline stock		None			Sold	01/17/19	J		
33. -AER Cap Holdings stock		None			Sold	01/17/19	K	A	
34. -AMG TimesSquare Intl Small Cap		None			Sold	01/17/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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NONE (No reportable income, assets, or transactions.)

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35. -Vanguard Consumer Dis ETF		None			Sold	01/17/19	J		
36. -Gastonia, NC Bond 4/1/2020		None			Sold	02/07/19	J		
37. -New Hampshire Bond 9/1/21		None			Sold	02/07/19	J		
38. -Palm Beach, FL Bond 11/1/22		None			Sold	02/07/19	J		
39. -Goldman Sachs CD 12/10/19		None			Sold	02/07/19	J		
40. -DFA Emerging Markets Core Equities Fund	A	Dividend	K	T	Buy	01/18/19	K		
41.		None	K	T	Buy (add'l)	02/11/19	J		
42. -Janus Henderson Global Income Fund	A	Dividend	K	T	Buy	01/18/19	K		
43.	A	Distribution	K	T	Buy (add'l)	02/11/19	J		
44. -Matthews Emerging Asia Fund	A	Distribution	K	T	Buy	01/18/19	K		
45.		None	K	T	Buy (add'l)	02/11/19	J		
46.		None	K	T	Buy (add'l)	12/11/19	J		
47. -PrimeCap Odyssey Growth Fund	A	Dividend	K	T	Buy	01/18/19	K		
48.	B	Distribution	K	T	Buy (add'l)	02/11/19	J		
49. -DFA International Value Fund	A	Dividend	J	T	Buy	02/11/19	J		
50. -New Perspective Fund	B	Dividend	L	T	Buy	12/11/19	L		
51.	B	Distribution	L	T					

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3 Value Method Codes (See Column C2)					

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52. Fidelity IRA #1 Rollover (H)		None	P1	T	Distributed (part)	12/09/19	L		
53. -Johnson & Johnson stock		None			Sold	01/17/19	K	B	
54. -MFS Intl New Discovery A Fund		None			Sold	01/17/19	K	D	
55. -T Price Media & Tele Fund		None			Sold	01/17/19	L	E	
56. -Magellan Midstream Partners stock		None			Sold	01/17/19	J		
57. -SPDR Utilities ETF		None			Sold	01/17/19	K		
58. -Old Dominion Freight Line stock		None			Sold	01/17/19	K	D	
59. -American Century Intl Opportunity Fund		None			Sold	01/17/19	K		
60. -Fidelity Cycl Indust Fund		None			Sold	01/17/19	K		
61. -Vanguard S&P 500 ETF		None			Sold	01/17/19	L	B	
62. -Invesco Sm Cap Health ETF		None			Sold	01/17/19	K	D	
63. -Sherwin Williams Co stock		None			Sold	01/17/19	K	C	
64. -Snap-On Inc stock		None			Sold	01/17/19	K	A	
65. -Vanguard Spc Health Fund		None			Sold	01/17/19	K		
66. -Prologis stock		None			Sold	01/17/19	J	A	
67. -Avalon Bay Communities stock		None			Sold	01/17/19	J	B	
68. -Thrivent Mid Cap stock		None			Sold	01/17/19	L		

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69. -AMG TimesSquare Intl SmCap Fund		None			Sold	01/17/19	K		
70. -AQR Global Equity Fund	B	Dividend	L	T	Buy	01/17/19	L		
71.		None	L	T	Buy (add'l)	09/04/19	J		
72. -Blackrock Strategic Income Fund	C	Dividend	M	T	Buy	01/17/19	K		
73.	A	Distribution	M	T	Buy (add'l)	02/07/19	L		
74.		None	M	T	Buy (add'l)	05/03/19	J		
75.		None	M	T	Buy (add'l)	10/15/19	J		
76. -Carillon Reams Unconstrained Bond Fund	C	Dividend	M	T	Buy	01/17/19	K		
77.		None	M	T	Buy (add'l)	02/07/19	L		
78.		None	M	T	Buy (add'l)	07/02/19	J		
79. -DFA Intl Small Cap Value Fund	A	Dividend	K	T	Buy	01/17/19	K		
80.	A	Distribution	K	T	Buy (add'l)	02/07/19	J		
81. -DFA International Value Fund	C	Dividend	L	T	Buy	01/17/19	L		
82.		None	L	T	Buy (add'l)	09/04/19	J		
83. -DFA U.S. Large Cap Growth Port Fund	B	Dividend	L	T	Buy	01/17/19	L		
84.	A	Distribution	L	T	Buy (add'l)	02/07/19	J		
85.		None	L	T	Sold (part)	10/14/19	J	B	

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86. -DFA US Large Cap Value Fund	A	Dividend	K	T	Buy	01/17/19	L		
87.	B	Distribution	K	T					
88. -Doubleline Total Return Bond Fund	D	Dividend	M	T	Buy	01/17/19	K		
89.		None	M	T	Buy (add'l)	02/07/19	L		
90.		None	M	T	Buy (add'l)	04/03/19	J		
91.		None	M	T	Buy (add'l)	10/21/19	J		
92. -Johcm International Select Fund	A	Dividend	K	T	Buy	01/17/19	J		
93.		None	K	T	Buy (add'l)	02/07/19	J		
94. -JPMorgan Global Bond Opportunities	B	Dividend	L	T	Buy	01/17/19	K		
95.		None	L	T	Buy (add'l)	02/07/19	K		
96.		None	L	T	Buy (add'l)	10/15/19	J		
97. -New Perspective Fund		None			Buy	01/17/19	L		
98.		None			Sold	12/06/19	L	E	
99. -PIMCO Investment Grade Credit Bond Fund	C	Dividend	M	T	Buy	01/17/19	K		
100.		None	M	T	Buy (add'l)	02/07/19	L		
101.		None	M	T	Buy (add'l)	07/03/19	J		
102. -Lehman Bond Escrow 01/18/12	A	Dividend	K	T					

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103. -Griffith, IN Bond 7/5/2020	A	Interest	J	T					
104. -New York, NY Bond 11/1/2020	A	Interest	K	T					
105. -Decatur, GA Bond 05/01/19	A	Interest			Matured	05/01/19	J		
106. -U of OK Bond 7/1/19	A	Interest			Matured	07/01/19	J		
107. -Tucson, AZ Bond 1/1/19		None			Matured	01/01/19	J		
108. -Alabama St Bond 9/1/19	A	Interest			Matured	09/01/19	J		
109. -Rockdale, GA Bond 7/1/19	A	Interest			Matured	07/01/19	J	A	
110. -State Bank India CD 10/17/19	A	Interest			Matured	10/17/19	J		
111. -Jackson, MS Bond 05/1/21	A	Interest	K	T					
112. -Pembroke Pines, FL Bond 10/1/22	A	Interest	J	T					
113. -HSBC Bond 4/5/21	A	Interest	J	T					
114. -Kane McHenry, IL Bond 12/1/21		None	J	T					
115. -Kentucky St Bond 4/1/19	A	Interest			Matured	04/01/19	J		
116. -Los Angeles, Co CA Bond 09/1/21	A	Interest	J	T					
117. -Michigan Hsg Auth Bond 10/1/21	A	Interest	K	T					
118. -MTA of NY Bond 11/15/21	A	Interest	J	T					
119. -Pennsylvania St Bond 7/15/21, callable 7/15/20	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

Date of Report

05/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -Georgia St Bond 01/01/21	A	Interest	J	T					
121. -Iowa Student, IA Bond 12/1/22	B	Interest	K	T					
122. Merrill Lynch Trust (FBO #1) Y									
123. -MFS Intl New Disc A Fund (FBO#1) Y									
124. -T Price Media & Tele Fund (FBO#1) Y									
125. -Alerian Infrastructure Fund (FBO#1) Y									
126. -Magellan Midstream Partners (FBO#1) Y									
127. -SPDR Utilites ETF (FBO#1)Y									
128. -Avalon Bay Comm Inc (FBO#1) Y									
129. MI Ed Savgs Plan Moderate Age-Based 18 FBO #2No Control		None	J	T	Distributed (part)	02/19/19	K		
130.		None	J	T	Distributed (part)	05/28/19	J		
131.		None	J	T	Distributed (part)	09/12/19	K		
132. MI Ed Savings Plan 100% Equity Opt (FBO#2)No control		None			Distributed	02/13/19	J		
133. -Georgia State Bond 10/1/2020(FBO#1) Y									
134. -Connecticut State Bond 11/1/2020(FBO#1) Y									
135. -Kansas St Bond 7/1/19 (FBO #1) Y									
136. -Pennsylvania St Bond 06/1/19 (FBO #1) Y									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -UNC Chapel Hill Bond 8/1/19 (FBO #1) Y									
138. -Washington St Bond 7/1/19 (FBO #1) Y									
139. -Alamo, TX Bond 2/1/21 (FBO #1) Y									
140. -Kern Co, CA Bond 8/15/21 (FBO #1) Y									
141. -Oregon St Bond 6/30/21 (FBO #1) Y									
142. -Cass Co, MO Bond 05/1/20 (FBO #1) Y									
143. -American Century Intl Opp Fund (FBO #1) Y									
144. -Matthews Pacific Tiger Fund (FBO#1) Y									
145. -AER Cap Holdings (FBO#1) Y									
146. -Invesco Pharma ETF (FBO #1) Y									
147. -Ross Stores Inc (FBO #1) Y									
148. -Shire PLC (FBO#1) Y									
149. -Taiwan Semiconductor (FBO#1) Y									
150. -Fidelity Slct Portfolio Fund (FBO #1) Y									
151. -Oakmark Intl I Fund (FBO #1) Y									
152. -T Rowe Price New Horizons Fund (FBO #1) Y									
153. -Wasatch Intl Growth Fund (FBO #1) Y									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. -Apple Inc (FBO #1) Y									
155. -Fidelity Cycl Indust Fund (FBO #1) Y									
156. -Vanguard S&P 500 ETF (FBO #1) Y									
157. -Invesco Ppty & Cas Ins ETF (FBO #1) Y									
158. -Invesco Sm Cap Health ETF (FBO #1) Y									
159. -Sherwin Williams Co stock (FBO #1) Y									
160. -Vanguard REIT Index ETF (FBO #1) Y									
161. -Invesco S&P Small Cap Util (FBO #1) Y									
162. -FedEx Corp (FBO #1) Y									
163. -Invesco QQQ Trust ETF (FBO #1) Y									
164. -iShares Floating Bond ETF (FBO #1) Y									
165. Chemical Bank Savings (FBO#2)		None	J	T					
166. TD Ameritrade Trust (FBO #2) (Y)									
167. Chemical Bank Trust (H) (FBO #2)	A	Interest	N	T					
168. -Ross Stores Inc (FBO#2)	A	Dividend	J	T					
169. -MFS Intl New Disc A Fund (FBO#2)		None			Sold	06/26/19	J	B	
170. -T Price Media & Tele Fund (FBO#2)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

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05/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171.	A	Distribution	J	T					
172. -AER Cap Holdings(FBO#2)		None			Sold	06/26/19	J	A	
173. -Alerian Infrastructure Fund (FBO#2)	A	Distribution			Sold	06/26/19	J		
174. -Invesco QQQ ETF (FBO #2)	A	Dividend	J	T					
175. -American Century Intl Opp Fund (FBO #2)		None			Sold	06/26/19	J		
176. -Wasatch Intl Growth Fund (FBO #2)	A	Distribution	J	T					
177. -Apple Inc (FBO #2)	A	Dividend	J	T					
178. -Vanguard S&P 500 ETF (FBO #2)	A	Dividend	J	T					
179. -Invesco Ppty & Cas Ins ETF (FBO #2)	A	Dividend	J	T					
180. -Invesco Sm Cap Health ETF (FBO #2)		None	J	T					
181. -Fidelity Cycl Indust Fund (FBO #2)		None			Sold	06/26/19	J	A	
182. -Sherwin Williams Co stock (FBO #2)	A	Dividend	J	T					
183. -Invesco S&P Small Cap ETF (FBO #2)	A	Dividend			Sold	06/26/19	J		
184. -SPDR Utilities ETF (FBO #2)	A	Dividend	J	T					
185. -FMI International (FBO #2)	A	Dividend	J	T					
186. -Taiwan Semiconductor (FBO #2)	A	Dividend	J	T					
187. -FedEx Corp (FBO #2)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Ludington, Thomas L.

Date of Report

05/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. -Magna Intl stock (FBO #2)	A	Dividend			Sold	06/26/19	J		
189. -Carillon Eagle Mid Cap Growth Fund (FBO #2)	A	Distribution	J	T					
190.	A	Dividend	J	T					
191. -Fidelity Select Port Fund (FBO #2)	A	Distribution	J	T					
192.	A	Dividend	J	T					
193. -RMB Financial Services Fund (FBO #2)		None			Sold	06/26/19	J		
194. -iShares Core S&P Small Cap ETF (FBO #2)	A	Dividend	J	T					
195. -Vanguard Health Care Investors Fund (FBO #2)	A	Dividend			Sold	06/26/19	J		
196.	A	Distribution							
197. -Vanguard Consumer Dis ETF (FBO #2)	A	Dividend	J	T					
198. -AMG TimesSquare Intl Small Cap Fund (FBO #2)		None			Sold	06/26/19	J		
199. -Chevron Corp stock (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
200. -CSX stock (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
201. -Enterprise Products Partners stock (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
202. -United Technologies stock (FBO #2)	A	Distribution	J	T	Buy	06/26/19	J		
203. -BlackRock Health Science Opportunities Fund (FBO #2)	A	Dividend	J	T	Buy	07/08/19	J		
204.	A	Distribution	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

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05/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. -T Rowe Price Health Sciences Fund (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
206.	A	Distribution	J	T					
207. -Vanguard Info Technology Index Fund (FBO #2)	A	Dividend	J	T	Buy	07/08/19	J		
208. -Vanguard Small Cap Index Fund (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
209. -Invesco Oppenheimer Intl Small Mid Co Fund (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
210.	A	Distribution	J	T					
211. -Tweedy Brown Global Value Fund (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
212.	A	Distribution	J	T					
213. -Vanguard International Growth Fund (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
214. -iShares DJ US Industrials Sec ETF (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
215. -SPDR S&P Dividend ETF (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
216. -Vanguard Consumer Staples (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
217. -Vanguard Financials ETF (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
218. -Vanguard Utilities ETF (FBO #2)	A	Dividend	J	T	Buy	06/26/19	J		
219. -Kentucky State Bond 1/1/2020 (FBO #2)	A	Interest			Redeemed	08/23/19	J		
220. -Gooding Co, ID Bond 9/15/19 (FBO #2)	B	Interest			Matured	09/15/19	J		
221. -Morgantown, WV Bond 12/1/19 (FBO #2)	A	Interest			Matured	12/01/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Ludington, Thomas L.

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05/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. -New York, NY Bond 3/1/19 (FBO #2)	A	Interest			Matured	03/01/19	J		
223. -Memphis, TN Bond 11/1/21 (FBO #2)	A	Interest	J	T					
224. -Dallas, TX Bond 2/15/20 (FBO #2)	A	Interest	J	T					
225. -Washington, PA Bond 9/1/21 (FBO #2)	A	Interest	K	T					
226. -Atlanta, GABond 01/01/22 (FBO #2)	A	Interest	J	T					
227. -Colorado HSG Bond 05/01/23 (FBO #2)	A	Interest	J	T					
228. -Maine Muni Bond 09/01/21 (FBO #2)	A	Interest	J	T					
229. -Oakland, CA Bond 12/15/22 (FBO #2)		None	J	T					
230. -Missouri St Bond 10/01/20 (FBO #2)	A	Interest	J	T					
231. -S Carolina St Bond 12/01/22 (FBO #2)	A	Interest	J	T	Redeemed (part)	10/16/19	J	A	
232. -Elkhart IN Comty Schools Bond 7/20/23 (FBO #2)	A	Interest	J	T					
233. Chemical Bank Thomas Ludington Cust AGY (H)	B	Interest	P1	T	Distributed (part)	04/11/19	K		
234.		None	P1	T	Distributed (part)	05/20/19	K		
235.		None	P1	T	Distributed (part)	06/20/19	J		
236.		None	P1	T	Distributed (part)	07/24/19	J		
237.		None	P1	T	Distributed (part)	08/28/19	K		
238.		None	P1	T	Distributed (part)	09/20/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.		None	P1	T	Distributed (part)	10/16/19	K		
240.		None	P1	T	Distributed (part)	10/31/19	K		
241.		None	P1	T	Distributed (part)	11/18/19	J		
242. -Apple Inc	B	Dividend	M	T					
243. -Bristol-Myers Squibb Co	A	Dividend	K	T					
244. -Celgene Corp Com		None			Merged (with line 243)	11/21/19	K	E	
245. -Conocophillips	A	Dividend	J	T					
246. -Energizer Hldgs Inc	A	Dividend			Sold	10/17/19	J	B	
247. -Exxon Mobil Corp	A	Dividend	K	T					
248. -Intel Corp	A	Dividend	K	T					
249. -Lowes	A	Dividend	J	T					
250. -Boeing Co	A	Dividend	K	T					
251. -Walgreens Boots Alliance	A	Dividend	J	T					
252. -Aflac, Inc	A	Dividend	K	T					
253. -Aetna US Healthcare Inc (Y)									
254. -CVS Health Corp stock	A	Dividend			Sold	10/17/19	K		
255. -Boston Properties Inc	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. -Clorox Co	A	Dividend	J	T					
257. -Invesco QQQ Trust	A	Dividend	M	T					
258. -Johnson & Johnson	A	Dividend	K	T					
259. -Microsoft Corp	B	Dividend	M	T					
260. -Nextera Energy Inc	A	Dividend	K	T					
261. -Oppenheimer Intl Growth Fund		None			Sold	06/26/19	L	E	
262. -Pepsi Co	A	Dividend	K	T					
263. -Phillips 66	A	Dividend	K	T					
264. -Prudential Financial	A	Dividend	K	T					
265. -SPDR Gold Shares ETF		None	K	T					
266. -Deere & Co	A	Dividend	J	T					
267. -Disney	A	Dividend	K	T					
268. -Fastenal Co Com	A	Dividend	J	T					
269. -Principal Mid Cap Blend Fund	A	Dividend	K	T					
270.	B	Distribution	K	T					
271. -Union Pac Corp	A	Dividend	K	T					
272. -T Rowe Price New Horizons Fund	D	Distribution	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. -Vanguard Inst Index Fund	B	Dividend	L	T					
274.	A	Distribution	L	T					
275. -JP Morgan Chase stock	A	Dividend	K	T					
276. -Vanguard Strategic Small Cap Fund	A	Dividend	J	T					
277.	A	Distribution	J	T					
278. -Amazon Inc stock		None	K	T					
279. -McDonalds stock	A	Dividend	J	T					
280. -AbbVie Inc stock	A	Dividend	J	T					
281. -KLA-Tencor Corp stock	A	Dividend	K	T					
282. -Exelon Corp stock	A	Dividend	J	T					
283. -Vanguard Financials ETF	A	Dividend	K	T					
284. -Ross Stores, Inc stock	A	Dividend	K	T					
285. -Taiwan Semiconductor stock	A	Dividend	K	T					
286. -Auto Zone stock		None	K	T					
287. -Extra Space Storage stock	A	Dividend	J	T					
288. -Wasatch Int Growth Fund		None			Sold	06/29/19	K		
289. -Invesco Property & Casualty Ins ETF	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -RMB Financial Services Fund		None			Sold	06/27/19	K	B	
291. -John Hancock Intl Growth Fund	A	Dividend	K	T	Buy	06/26/19	K		
292. -Vanguard Total Intl Stock Index Fund	A	Dividend	K	T	Buy	06/26/19	K		
293. -iShares DJ US Fin Sec ETF	A	Dividend	K	T	Buy	06/26/19	K		
294. -Rockdale Co, GA Bond 7/1/19	A	Interest			Matured	07/01/19	J		
295. -UNC Chapel Hill Bond 8/1/19		None			Matured	08/01/19	J		
296. -Purdue University Bond 7/1/31, Pre-refunded 7/1/19	A	Interest			Redeemed	07/01/19	J		
297. -South Carolina St Public Util Bond 12/1/23	A	Interest	K	T					
298. -Burien, WA Bond 12/1/2020	A	Interest	K	T					
299. -Hempstead, NY Bond 7/15/2020	A	Interest	K	T					
300. -Oakland, CA Bond 12/15/21		None	J	T					
301. -San Mateo, CA Bond 8/1/21	A	Interest	J	T					
302. -American Express CD 09/06/22	A	Interest	K	T					
303. -Metro, NY Transit Bond 11/15/22	A	Interest	K	T					
304. -Pembroke Pines, FL Bond 10/1/22	A	Interest	K	T					
305. -Hisperia, CA Bond 2/1/23	A	Interest	J	T					
306. -Silver City, NM Bond 12/1/22	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. Chemical Bank [REDACTED] Trust AGY (H)	C	Interest	P1	T	Distributed (part)	10/31/19	K		
308.		None	P1	T	Distributed (part)	11/19/19	J		
309.		None	P1	T	Distributed (part)	12/20/19	K		
310. -Matthews Pacific Tiger Fund	A	Dividend	K	T					
311.	A	Distribution	K	T					
312. -FMI International Fund	A	Dividend	K	T					
313.	A	Distribution	K	T					
314. -Fidelity Select Portfolio Fund	A	Dividend	K	T					
315.	A	Distribution	K	T					
316. -Magna Intl stock	A	Dividend			Sold	10/17/19	K	C	
317. -Delaware Emerging Markets Fund	A	Dividend	J	T	Buy	06/26/19	J		
318. -Inv Opp Developing Markets Fund	A	Dividend	J	T	Buy	06/26/19	J		
319.	A	Distribution	J	T					
320. -Vanguard Dev Market Index	A	Dividend	K	T	Buy	06/26/19	K		
321. -Vanguard Small Cap Index	A	Dividend	K	T	Buy	06/26/19	K		
322. -Boston Properties stock	A	Distribution	J	T	Buy	06/26/19	K		
323. -Emerson Elec Co stock	A	Dividend	J	T	Buy	07/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. -CSX Corp stock	A	Dividend	J	T	Buy	07/11/19	J		
325. -Travelers Companies stock	A	Dividend	J	T	Buy	07/23/19	J		
326. -V.F. Corp stock	A	Dividend	J	T	Buy	08/01/19	J		
327. -Chevron Corp stock	A	Dividend	J	T	Buy	08/02/19	J		
328. -Cisco Systems stock	A	Dividend	J	T	Buy	08/02/19	J		
329. -Analog Devices Inc stock	A	Dividend	J	T	Buy	08/05/19	J		
330. -Comerica Inc stock	A	Dividend			Buy	08/05/19	J		
331.		None			Sold	10/17/19	J		
332. -Nike Inc stock	A	Dividend	K	T	Buy	08/07/19	J		
333. -Salesforce.com stock		None	J	T	Buy	08/05/19	J		
334. -Comcast Corp stock	A	Dividend	J	T	Buy	08/05/19	J		
335. -Becton Dickinson stock	A	Dividend	K	T	Buy	08/05/19	J		
336. -Broadcom Inc stock	A	Dividend	K	T	Buy	08/07/19	J		
337. -Abbott Labs stock	A	Dividend	K	T	Buy	10/08/19	J		
338. -AT&T Inc stock		None	J	T	Buy	10/17/19	J		
339. -Royal Dutch Shell stock	A	Dividend	J	T	Buy	10/17/19	J		
340. -Alliant Corp stock	A	Dividend	J	T	Buy	10/17/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341. -Vanguard Comm Service ETF	A	Dividend	J	T	Buy	10/17/19	J		
342. -Clark Cnty Nev Arpt Bond 7/1/20	B	Interest	K	T					
343. -Fenton, MI Bond 5/1/2022	A	Interest	K	T					
344. -Fidelity Inter Muni Inc Fund #036	A	Dividend	K	T					
345.	A	Distribution	K	T					
346. -Fidelity MI Muni Inc Fund #081	A	Dividend	K	T					
347. -Illinois ST Agm Bond 1/1/21	B	Interest	K	T					
348. -Manistique,MI Bond 5/1/2020	A	Interest	K	T					
349. -Bangor Mich Public Bond School 5/1/19	A	Interest			Matured	05/01/19	K		
350. -Green Oak Twp Mich Bond 11/1/19	A	Interest			Matured	11/01/19	L		
351. -Green Oak Twp Mich Bond 11/1/21	A	Interest			Redeemed	11/01/19	L		
352. -Rapid River Mich Public Schools Bond 5/1/2020	A	Interest		T	Redeemed (part)	05/01/19	J		
353. -Waterford Mich School Dist Bond 5/1/19	A	Interest			Matured	05/01/19	K		
354. -Waterford Mich School Dist Bond 5/1/2022	A	Interest	K	T					
355. -White Cloud Mich Public Schools Bond 5/1/2020	A	Interest	K	T					
356. -Houghton-Portage MI Bond 5/1/23	A	Interest	K	T					
357. -Wyoming, MI Bond 5/1/20	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. -Petosky, MI Bond 4/1/23	A	Interest	K	T					
359. -Lansing, MI Board Water Bond 7/1/20	A	Interest	K	T					
360. -Saginaw Valley MI Bond 7/1/19	A	Interest			Matured	07/01/19	K		
361. -Niles, MI Community Schools Bond 5/1/22	A	Interest	K	T					
362. -Grand Blanc, MI Community Bond 11/1/21	A	Interest	K	T					
363. -Western MI Univ Bond 11/15/19	A	Interest			Matured	11/15/19	K		
364. -Southwest, TX Higher Ed Auth Bond 10/1/23	A	Interest	J	T					
365. -Oregon St Bd of Edu Bond 6/30/22		None	J	T					
366. -Lawrence Co, PA Bond 11/15/23	A	Interest	J	T					
367. -Southern Methodist Uni Bond 10/1/23 (Y)									
368. -Newark, NJ Bond 4/1/2020	B	Interest	L	T					
369. -Goldman Sachs CD 12/10/19	A	Interest			Matured	12/10/19	K		
370. -State Bank of India CD 10/17/19	A	Interest			Matured	10/17/19	K		
371. -Salmon River, NY Bond 6/15/20	A	Interest	K	T					
372. -Florida St Bond 7/1/21	A	Interest	J	T					
373. -Jackson, MS Bond 5/1/21	A	Interest	J	T					
374. -Los Angeles, CA Bond 11/1/21	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. -Oneida Co, NY Bond 08/1/22	A	Interest	J	T					
376. -Passaic Co NJ Bond 4/1/21	A	Interest	J	T					
377. -Pembroke Pines, FL Bond 10/1/22	A	Interest	K	T					
378. Chemical Bank Savings	A	Int./Div.	L	T					
379. Fidelity Bene IRA (H)	A	Interest	K	T	Distributed (part)	11/01/19	J		
380. -Doubleline Total Return Bond Fund	A	Dividend	K	T	Buy	01/18/19	K		
381.		None	K	T	Sold (part)	10/31/19	J		
382. Fidelity Bene Roth IRA (H)	A	Interest	K	T	Distributed (part)	11/01/19	J		
383. -EQT Midstream Partners stock	A	Dividend			Sold	01/17/19	J		
384. -FedEx Corp stock		None			Sold	01/22/19	J		
385. -Oregon State Bond 1/1/19	A	Interest			Matured	01/01/19	J		
386. -New York, NY Bond 11/1/21	A	Interest			Sold	02/07/19	J		
387. -Janus Henderson Global Income Fund	B	Dividend	K	T	Buy	01/18/19	K		
388.	A	Distribution	K	T	Buy (add'l)	02/11/19	J		
389.		None	K	T	Sold (part)	10/31/19	J	A	
390. Fidelity IRA #2		None	J	T	Distributed (part)	10/11/19	J		
391. Fidelity IRA Roth #2 (H)	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392. -Home Depot stock		None			Sold	01/17/19	J	C	
393. -American Tower Corp stock	A	Dividend			Sold	01/17/19	J	B	
394. -Invesco S&P Small Cap Util ETF					Sold	01/17/19	J		
395. -Universal Health Services stock		None			Sold	01/17/19	J	A	
396. -Jackson, MS Bond 5/1/21					Sold	02/11/19	K		
397. -Iowa Student, IA Bond 12/1/22	A	Interest			Sold	02/11/19	J		
398. -Connecticut State Bond 12/1/2020	A	Interest			Sold	02/07/19	K		
399. -Cook Co, IL Bond 12/1/24 callable 12/1/19	A	Interest			Sold	02/07/19	J		
400. -Nashville, Co TN Bond 7/1/21	A	Interest			Sold	02/07/19	J		
401. -Connecticut St Bond 11/1/20	A	Interest			Sold	02/07/19	J		
402. -Fullerton, CA Bond 09/01/20	A	Interest			Sold	02/07/19	J		
403. -Elkhart, IN Sch Dist Bond 7/20/23		None			Sold	02/07/19	J		
404. -JohnCM Intl Select Fund	A	Dividend	J	T	Buy	01/18/19	J		
405.		None	J	T	Buy (add'l)	01/28/19	J		
406. -JP Morgan Europe Dynamic Fund	A	Dividend	K	T	Buy	01/18/19	K		
407.			K	T	Buy (add'l)	02/11/19	J		
408. -PIMCO RAE Plus Small Fund	A	Dividend	K	T	Buy	01/18/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409.		None	K	T	Buy (add'l)	02/11/19	J		
410. -AQR Global Equity Fund	A	Dividend	K	T	Buy	02/11/19	K		
411. -DFA Intl Value Fund	A	Dividend	J	T	Buy	02/11/19	J		
412. -DFA US Large Cap Growth Fund	A	Dividend	J	T	Buy	02/11/19	J		
413.	A	Distribution	J	T	Buy (add'l)	10/15/19	J		
414. -DFA US Large Cap Value Fund	A	Dividend	K	T	Buy	02/11/19	K		
415.	A	Distribution	K	T					
416. -New Perspective Fund	A	Dividend	K	T	Buy	02/11/19	K		
417.	A	Distribution	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Lehman Brothers Bond Escrow (Line 102) is still held. Some principle has been returned, with the possibility of future repayment of principle.
2. Line 3 & 166 - TDAM accounts were closed at the end of all transfers in December 2018 and should have been reflected in the 2018 report.
3. Lines 15-24 - All State of MI 401k & 457 investments were sold on 1/10/19. All proceeds were deposited into Fidelity IRA Rollover #1 (Line 52). Investment gain/loss info was not available on these transactions.
4. Lines 122-128 & Lines 132-164 / Part I. Positions Line 4 -- Trust has no reportable assests.
5. Line 367 - Southern Meth Uni Bond 10/1/23 is the same investment as Southwest TX Higher Edu Bond 10/1/23 (Line 365) and was listed twice during the conversion to new custodian in 2018 report.
6. Line 253 - Aetna merged with CVS on November 28, 2018. Line 254 - CVS was incorrectly reported as a buy on November 28, 2018. This stock was part of the merger.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas L. Ludington**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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