

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clark, Ron	2. Court or Organization District Court, E.D. Texas	3. Date of Report 06/10/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 Willow #104 Beaumont, TX 77701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Owner 529 Acct 1	529 Acct 1
2.	Owner 529 Acct 2	529 Acct 2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	State of Texas Retirement Plan, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self employed movie, video, & music recording producer as sole owner and operator of Take A Bow, LLC.
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	EDTX Bar Association	March 23, 2019	San Antonio TX	Judges Meeting	Meal
2.	EDTX Bar Association	May 6, 2019	New Orleans, LA	5th Circuit Conference	Meal
3.	EDTX Bar Association	May 14-16, 2019	Pottsboro & Plano TX	Planning meeting for Bench Bar Conference	Three meals
4.	EDTX Bar Association	October 22-25, 2019	Plano, TX	Bench Bar Conference	Two meals
5.	EDTX Bar Association	February 20-21, 2019	Sherman, TX	Speaker, Austin College Law Symposium	mileage, three meals, one night in hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. USAA Mutual Fund USEXX	A	Interest	J	T					
2. USAA Mutual Fund USSPX	A	Dividend	K	T					
3. Vanguard VMMXX Money Market	A	Dividend	K	T					
4. Vanguard Windsor II Fund	E	Dividend	N	T					
5. Vanguard Mutual Funds IRA 1 (H)									
6. -Health Care Fund	E	Dividend	M	T					
7. -Windsor II Fund	E	Dividend	N	T					
8. -Explorer Fund	A	Dividend	J	T					
9. -500 Index Fund	B	Dividend	M	T					
10. Vanguard Mutual fund IRA 2 - Mid-cap Index Fund	A	Dividend	K	T					
11. Vanguard Mutual fund IRA 3 - 500 Index Fund	A	Dividend	K	T					
12. Vanguard Mutual Fund IRA 4 - 500 Index Fund	B	Dividend	L	T					
13. American Mut. Fund IRA New Perspective Fund	E	Dividend	N	T					
14. Vanguard Variable Annuity Small Co. Growth		None	L	T					
15. XOM com. stock	D	Dividend	M	T					
16. BBVA USA (formerly CompassBank) Bank Accounts	A	Interest	L	T					
17. 529 Acct 1 - American Funds (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -New Perspective Fund	A	Dividend	J	T					
19. -New World Fund	A	Dividend	J	T					
20. -Capital Wrld Grwth & Income	A	Dividend	J	T					
21. 529 Acct 2 American Funds (H)									
22. -New Perspective Fund	A	Dividend	J	T					
23. -New World Fund	A	Dividend	J	T					
24. -Capital Wrld Grwth & Income	A	Dividend	J	T					
25. AMGN com. stk	D	Dividend	O	T					
26. AT&T com, stk	B	Dividend	K	T					
27. ADP. com. stk	D	Dividend	N	T					
28. CDK Global Inc. com.stk	A	Dividend	K	T					
29. CVX com. stk	B	Dividend	K	T					
30. KO com. stk	B	Dividend	L	T					
31. ETN com. stk	D	Dividend	M	T					
32. EMR com. stk	C	Dividend	L	T					
33. HD com. stk	C	Dividend	N	T					
34. LLY com. stk	B	Dividend	M	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. MCD com. stk	B	Dividend	L	T					
36. PEP com stk	C	Dividend	M	T					
37. PFE com. stk	B	Dividend	K	T					
38. SLB com. stk	B	Dividend	K	T					
39. American Mutual Fund, New Perspective Fund	D	Dividend	N	T					
40. American Mutual Fund Small Cap World	C	Dividend	L	T					
41. BNY Mellon (formerlyDreyfus) S&P 500 Index Fund	E	Dividend	M	T					
42. BNY Mellon (formerly Dreyfus) AMT-Free Mun. Bnd Fund	C	Dividend	M	T					
43. BNY Mellon (formerly Dreyfus) Mun. Bnd. Fund	C	Dividend	L	T					
44. UBS Bank USA, Deposit Account	B	Interest	M	T					
45. UBS AG Deposit Accoount	A	Interest	J	T					
46. Benficiary IRA (H)									
47. -AT&T com. stk	B	Dividend	K	T					
48. -CVX com. stk	D	Dividend	N	T					
49. -XOM com.stk	E	Dividend	N	T					
50. -WRE com. stk	B	Dividend	K	T					
51. -WRI com. stka	A	Dividend			Sold	10/31/19	J		

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52. -Nuveen S&P 500 Dyn. Overwrite Fund	B	Dividend	K	T					
53. -Eaton Vance En. Equity Inc Fund	A	Dividend	J	T					
54. -John Hancock Inc Sec. Fund	A	Dividend	J	T					
55. -Lord AbbotBond Deb. Fund	B	Dividend	K	T					
56. -Franklin H.I. Fund Class A	A	Dividend	J	T					
57. -Franklin US Govt Sec. A	A	Dividend			Sold	10/31/19	J		
58. - Bank USA, Deposit Account	A	Interest	J	T	Distributed (part)	11/04/19	K		
59. Vanguard Health Care VHTA	A	Dividend	K	T					
60. Schwab One cash ("sweep) account	A	Interest	K	T					
61. Schwab Money Market account	A	Interest	J	T					
62. Wells Fargo Bank		None	K	T					
63. Royalty Interest, Hardin County, Texas	A	Royalty	J	W					
64. First State Bank	A	Interest	J	T					
65. Equity points, "The Color Rose" movie		None	J	W	Buy	09/17/19	J		
66. Equity points, "2000 Miles Til Christmas" movie		None	M	W	Buy	11/14/19	L		
67.					Buy (add'l)	12/02/19	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ron Clark**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544