

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wheeler, Thomas C.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 05/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Court of Federal Claims 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2006	DLA Piper Retirement Trust Plan with former law firm, no control.
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	DLA Piper Law Firm Retirement Plan.	\$7,588.56
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Wheeler, Thomas C.	05/11/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 43

Name of Person Reporting

Wheeler, Thomas C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. SIT Tax Exempt Bond Mutual Fund	B	Dividend	K	T					
3. Bank of America (cash)	A	Interest	K	T					
4. Potomac Cliffs at Watson House Real Estate Partnership		None	K	R					
5. Hartford Life Second-to-Die Whole Life Insurance Policy		None	M	T					
6. Commonwealth Annuity Whole Life Policy		None	K	T					
7. Brokerage Account #1 (H)									
8. Morgan Stanley Bank NA (Cash) (X)	A	Interest	J	T					
9. Altria Group Common Stock (MO)	A	Dividend	J	T					
10. Daimler AG Common Stock (DDAIF)	A	Dividend	J	T					
11. Davis New York Venture A (NYVTX)	C	Dividend	K	T					
12. Brokerage Account #2 (H)									
13. Citigroup Common Stock (C)	A	Dividend	J	T					
14. Clearbridge All Cap Value A (SHFVX)	A	Dividend	K	T					
15. Brokerage Account #3 (H)									
16. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
17. 3M Common Stock (MMM)	D	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18. Alphabet, Inc. Common Stock (GOOG)		None	M	T					
19. American Express Common Stock (AXP)	B	Dividend	M	T					
20. Apple Common Stock (AAPL)	A	Dividend	L	T					
21. Bank of America Common Stock (BAC)	B	Dividend	L	T					
22. Blackstone Group LP (BX)	A	Dividend			Sold	06/19/19	K		
23. Bristol Myers Squibb Common Stock (BMY)	B	Dividend	L	T					
24. Carnival CP New Paired Com (CCL)	A	Dividend	K	T	Buy	06/24/19	K		
25. Cisco Systems Common Stock (CSCO)	B	Dividend	L	T					
26. Comcast Common Stock (CMCSA)	C	Dividend	M	T					
27. Emerson Electric Common Stock (EMR)	B	Dividend	K	T					
28. Halliburton Common Stock (HAL)	B	Dividend	K	T					
29. Home Depot Common Stock (HD)	C	Dividend	M	T					
30. Service PPTYS Tr Sh Ben Int (SVC)	C	Dividend	L	T	Buy (add'l)	03/18/19	J		
31.					Buy (add'l)	04/24/19	K		
32. J.P. Morgan Chase Common Stock (JPM)	C	Dividend	M	T					
33. Marriott Int'l Common Stock (MAR)	B	Dividend	M	T					
34. Microsoft Common Stock (MSFT)	B	Dividend	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 43

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Mondelez Int'l Inc (MDLZ)	A	Dividend	K	T					
36. Newell Brands Inc. (NWL)		None			Sold	01/03/19	J		
37. Pepsico Common Stock (PEP)	C	Dividend	M	T					
38. Pfizer Inc. (PFE)	C	Dividend	L	T					
39. Philip Morris Int'l Common Stock (PM)	B	Dividend	K	T					
40. Southwest Airlines Common Stock (LUV)	A	Dividend	L	T					
41. TE Connectivity Ltd. New (TEL)	A	Dividend	K	T					
42. Verizon Common Stock (VZ)	B	Dividend	L	T					
43. Viacom Inc New (VIAB)	A	Dividend			Buy (add'l)	09/25/19	K		
44.					Merged (with line 45)	12/05/19	K		
45. Viacomcbs Inc CL B (VIAC) (X)		None	L	T					
46. Walmart Common Stock (WMT)	C	Dividend	M	T					
47. Walt Disney Common Stock (DIS)		None	M	T					
48. Williams Co Inc (WMB)	D	Dividend	L	T	Buy (add'l)	01/03/19	K		
49. Apollo Investment Common Stock (AINV)	B	Dividend	J	T					
50. Cohen & Steers Infrastructure Fund (UTF)	B	Dividend			Sold	07/10/19	K	D	
51. DBX ETF TR Xtrack MSCI Europe Fund (DBEU)		None			Sold	02/07/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 43

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Eaton Vance LTD Duration Income Fund (EVV)	B	Dividend	K	T					
53. Gabelli Div & INCM TR (GDV)	C	Dividend	L	T	Buy	02/07/19	K		
54.					Redeemed (part)	10/28/19	J		
55. Kayne and Midstream/Engy Fd (KMF)	B	Dividend	K	T					
56. New Germany Fund (GF)	E	Dividend	K	T	Sold (part)	04/24/19	M		
57. Financial Sel Sect SPDR Fund (XLF)	B	Dividend	L	T					
58. ACAP Strategic Interval (XCAPX)	B	Dividend	L	T					
59. Skybridge Mul-Ad Ser G (CAI01)		None	L	T					
60. Brokerage Account #4 (H)									
61. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
62. Adobe Systems Common Stock (ADBE)		None	J	T					
63. Advanced Auto Parts (AAP) (X)	A	Dividend	J	T	Sold (part)	12/10/19	J		
64. AES Corp. Common Stock (AES)	A	Dividend	J	T					
65. Akamai Technologies Common Stock (AKAM)		None	J	T					
66. Alaska Air Group Common Stock (ALK)	A	Dividend	J	T					
67. Alexion Pharm Inc. (ALXN)		None	J	T	Buy (add'l)	02/15/19	J		
68.					Sold (part)	06/14/19	J		

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Table with 2 columns: Name of Person Reporting, Date of Report. Content: Wheeler, Thomas C., 05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and sub-columns for each. Rows include transactions for Alibaba HLOG Ltd (BABA), Allergan Common Stock (AGN), and others.

1 Income Gain Codes: A =\$1,000 or less, B =\$1,001 - \$2,500, C =\$2,501 - \$5,000, D =\$5,001 - \$15,000, E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 43

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Wheeler, Thomas C.

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86.					Buy (add'l)	03/01/19	J		
87. American Express Common Stock (AXP)	A	Dividend	J	T					
88. American Homes 4 Rent Common Stock (AMH)	A	Dividend	J	T	Buy (add'l)	01/31/19	J		
89. Anadarko Petroleum Common Stock (APC)	A	Dividend			Buy (add'l)	03/01/19	J		
90.					Redeemed	08/09/19	J	C	
91. Anheuser Busch InBev SA Spon. Common Stock (BUD)	A	Dividend	J	T					
92. Apache Corp (APA)	A	Dividend			Buy	01/11/19	J		
93.					Sold	02/06/19	J		
94. Apple, Inc. Common Stock (AAPL)	A	Dividend	J	T	Buy (add'l)	01/11/19	J		
95.					Sold (part)	04/12/19	J	A	
96. Arista Networks Inc (ANET)		None	J	T	Buy	11/12/19	J		
97. Autodesk Inc Delaware (ADSK)		None	J	T	Sold (part)	02/07/19	J	C	
98. Autozone Inc. (AZO)		None	J	T					
99. Bank OZK (OZK)	A	Dividend	J	T	Buy	01/11/19	J		
100. Biogen Idec Inc. Common Stock (BIB)		None	K	T	Sold (part)	02/14/19	J		
101.					Sold (part)	02/15/19	J		
102.					Sold (part)	06/14/19	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 43

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103.					Buy (add'l)	07/15/19	J		
104. Biomarin Pharmac SE (BMRN)		None	J	T	Sold	09/13/19	J		
105.					Buy	10/14/19	J		
106. Blackrock, Inc. Common Stock (BLK)	A	Dividend	J	T	Sold (part)	03/19/19	J		
107. Bristol Myers Squibb Common Stock (BMY)	A	Dividend	J	T	Buy (add'l)	01/11/19	J		
108.					Sold (part)	06/14/19	J		
109.					Buy (add'l)	07/15/19	J		
110. Broadcom Corp. Common Stock (AVGO)	A	Dividend	J	T	Sold (part)	02/11/19	J	A	
111.					Buy (add'l)	05/22/19	J		
112.					Buy (add'l)	06/14/19	J		
113. Caterpillar Inc (CAT)	A	Dividend			Buy	01/11/19	J		
114.					Sold	09/06/19	J		
115. Viacomcbs Inc CL B (VIAC)	A	Dividend	J	T	Sold (part)	03/15/19	J		
116.					Buy (add'l)	04/15/19	J		
117.					Sold	09/13/19	J		
118.					Buy	10/14/19	J		
119. Cerence Inc (CRNC) (X)		None	J	T					

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Page 11 of 43

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120. CH Robinson Worldwide Inc New (CHRW) (X)	A	Dividend	J	T					
121. Celgene Corp. Common Stock (CELG)		None			Sold	02/08/19	J		
122. Charles Schwab New (SCHW)	A	Dividend	J	T					
123. Chipotle Common Stock (CMG)		None			Sold	10/10/19	J	C	
124. Cisco Systems Inc. Common Stock (CSCO)	A	Dividend			Sold (part)	05/28/19	J	A	
125.					Sold (part)	10/02/19	J	A	
126.					Sold (part)	11/12/19	J	B	
127.					Sold (part)	11/19/19	J	A	
128.					Sold	11/20/19	J	B	
129. CitiGroup Common Stock (C)	A	Dividend	J	T					
130. Citrix Systems, Inc. Common Stock (CTXS)	A	Dividend	J	T					
131. Coca Cola Inc. Common Stock (KO) (Y)									
132. Comcast Corp. CL A Special New Common Stock (CMCSA)	A	Dividend	J	T					
133. Conagra Brands Inc (CAG) (X)	A	Dividend	J	T					
134. Costco Wholesale Corp New (COST)	A	Dividend	J	T					
135. Covetrus Inc (CVET)		None	J	T	Buy	10/15/19	J		
136. Cree Research Inc. Common Stock (CREE)		None	J	T	Sold (part)	12/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 12 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. CVS Health Corp Com (CVS)	A	Dividend	J	T	Buy	08/15/19	J		
138.					Buy (add'l)	01/16/19	J		
139. Discover Financial Services Common Stock (DFS)		None			Sold (part)	08/15/19	J		
140.					Sold	08/16/19	J		
141. Discovery Inc (formerly Discovery Comm. Serv. Common Stock) (DISCA)		None	J	T					
142. Dolby CL A Common Stock (DLB)	A	Dividend	J	T					
143. Dupot De Nemours Inc. (DD) was DowDuPont Inc. Common Stock (DWDP)	A	Dividend	J	T	Buy (add'l)	03/01/19	J		
144.					Buy (add'l)	10/14/19	J		
145. DXC Technology Company (DXC) (X)	A	Dividend	J	T	Sold	06/14/19	J		
146.					Buy	07/15/19	J		
147.					Sold (part)	12/10/19	J		
148. E*Trade Financial Corp New Com (ETFC) (X)	A	Dividend	J	T					
149. Ecolab Common Stock (ECL)	A	Dividend	J	T					
150. Encana Corp (ECA)	A	Dividend	J	T	Buy	01/11/19	J		
151.					Sold	06/14/19	J		
152.					Buy	07/15/19	J		
153. EQT Corporation Com New (EQT) (X)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 13 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Equinix Inc (EQIX)	A	Dividend	J	T					
155. Euronet Worldwide Inc (EFTT)		None	J	T	Buy	09/30/19	J		
156. Exelon Corp. Common Stock (EXC)	A	Dividend	J	T					
157. Facebook Common Stock (FB)		None	J	T					
158. Fairfax Financial Hldg Sub Vtg (FRFHF)	A	Dividend	J	T	Buy (add'l)	01/11/19	J		
159. Fidelity National Information SE (FIS) (X)	A	Dividend	J	T					
160. Fireeye Inc (FEVE) (X)		None	J	T					
161. First American Finl Corp (FAF)	A	Dividend	J	T	Buy	01/11/19	J		
162. Fluor Corp New (FLR)	A	Dividend			Buy	01/11/19	J		
163.					Sold	06/14/19	J		
164.					Buy	07/15/19	J		
165.					Sold	09/13/19	J		
166. Foot Locker Inc (FL)	A	Dividend	J	T	Sold (part)	06/14/19	J		
167.					Buy (add'l)	07/15/19	J		
168. Freeport McMoran Common Stock (FCX)	A	Dividend	J	T					
169. GATX Corp. Common Stock (GATX)	A	Dividend	J	T					
170. Gray Television CL B Com (GTM) (X)		None	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 14 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Gentex Common Stock (GNTX)	A	Dividend			Sold	02/01/19	J		
172. Halliburton Common Stock (HAL)	A	Dividend			Buy	01/11/19	J		
173.					Sold	03/15/19	J		
174.					Buy	04/15/19	J		
175.					Sold	06/14/19	J		
176.					Buy	07/15/19	J		
177.					Sold	09/13/19	J		
178. Home Depot Inc. Common Stock (HD)	A	Dividend	J	T					
179. Honeywell Intl. Inc. Common Stock (HON)	A	Dividend	J	T	Buy (add'l)	01/31/19	J		
180. IHS Markit Ltd (INFO)		None	J	T					
181. Invesco Ltd (IVZ)		None			Buy	01/11/19	J		
182.					Sold	02/01/19	J		
183. Ionis Pharmaceuticals Common Stock (IONS)		None	J	T	Sold (part)	02/11/19	J		
184.					Buy (add'l)	05/08/19	J		
185.					Sold (part)	06/14/19	J		
186. Johnson & Johnson Common Stock (JNJ)	A	Dividend	K	T					
187. Johnson Ctls Intl PLC (JCI)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 15 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Keycorp New Common Stock (KEY)	A	Dividend	J	T	Buy (add'l)	03/01/19	J		
189. Kinder Morgan Incorp (KMI)	A	Dividend	J	T	Buy	01/11/19	J		
190.					Buy (add'l)	07/30/19	J		
191. L3Harris Technologies Inc. (LHX) was L3 Technologies, Inc. (LLL)	A	Dividend	J	T	Sold (part)	10/07/19	J	C	
192. Qurate Retail Inc (QRTEA) formerly Liberty Interactive Corp (QVCA)		None			Buy (add'l)	04/15/19	J		
193.					Buy (add'l)	07/15/19	J		
194.					Sold	09/13/19	J		
195.					Buy	10/14/19	J		
196.					Sold	12/10/19	J		
197. Liberty Media C Ser C Sirius XM Common Stock (LSXMK)		None	J	T					
198. Linde Plc (LIN)	A	Dividend	J	T					
199. McCormick and Co. Non Voting (MCK)	A	Dividend	J	T					
200. Medtronic PLC Common Stock (MDT)	A	Dividend	J	T					
201. Merck & Co. Inc. New Common Stock (MRK)	A	Dividend	J	T	Sold (part)	10/02/19	J	A	
202. Metlife Common Stock (MET) (Y)									
203. MGIC Investment Corp. Common Stock (MTG)	A	Dividend	J	T					
204. Microsoft Corp. Common Stock (MSFT)	A	Dividend	J	T					

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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 16 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. Murphy USA Common Stock (MUSA)		None	J	T					
206. Mylan N V (MYL)		None			Buy	01/11/19	J		
207.					Buy (add'l)	03/01/19	J		
208.					Sold	06/14/19	J		
209.					Buy	07/15/19	J		
210.					Sold (part)	09/27/19	J		
211.					Sold	09/30/19	J		
212. National Oilwell Varco Inc (NOV)	A	Dividend	J	T	Buy	01/11/19	J		
213.					Sold	03/15/19	J		
214.					Buy	04/15/19	J		
215.					Sold	06/14/19	J		
216.					Buy	07/15/19	J		
217. Netapp Inc Com (NTAP)	A	Dividend	J	T	Sold	06/14/19	J		
218.					Buy	07/15/19	J		
219.					Sold	09/13/19	J		
220.					Buy	10/14/19	J		
221. Now Inc (DNOW)		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 17 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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222. Nuance Comm. Common Stock (NUAN)		None	J	T					
223. Nucor Corp. Common Stock (NUE)	A	Dividend	J	T					
224. Nutanix Inc (NTNX)		None	J	T	Sold	06/14/19	J		
225.					Buy	07/15/19	J		
226. Nvidia Corporation (NVDA)	A	Dividend	J	T	Sold (part)	06/14/19	J		
227.					Buy (add'l)	07/15/19	J		
228. OneMain Holdings Common Stock (OMF)	A	Dividend	J	T					
229. Oracle Common Stock (ORCL)	A	Dividend	K	T	Buy (add'l)	01/31/19	J		
230.					Sold (part)	06/19/19	J		
231.					Sold (part)	10/02/19	J	A	
232. Owens Corning Inc (OC)	A	Dividend	J	T	Sold (part)	03/15/19	J		
233.					Buy (add'l)	04/15/19	J		
234. Palo Alto Networks Inc (PANW)		None	J	T					
235. PayPal Holdings Common Stock (PYPL)		None			Sold (part)	05/23/19	J		
236.					Sold	07/22/19	J		
237. Pebblebrook Hotel Tr. Com. Common Stock (PEB)	A	Dividend	J	T					
238. Pentair Ltd. Common Stock (PNR)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 18 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

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239. Pioneer Natural Resources Co. (PXD)	A	Dividend	J	T	Buy (add'l)	01/11/19	J		
240.					Buy (add'l)	01/22/19	J		
241.					Sold (part)	09/13/19	J		
242.					Buy (add'l)	10/14/19	J		
243. Qualcomm Inc (QCOM)	A	Dividend	J	T	Buy (add'l)	04/17/19	J		
244.					Sold (part)	06/14/19	J		
245.					Buy (add'l)	07/15/19	J		
246.					Sold (part)	11/18/19	J	A	
247. Realogy Holdings Common Stock (RLGY)	A	Dividend			Sold	03/15/19	J		
248.					Buy	04/15/19	J		
249.					Sold	05/15/19	J		
250. Red Hat Common Stock (RHT)		None			Sold	06/21/19	J		
251. Regeneron Pharm Common Stock (REGN)		None			Sold	03/11/19	J		
252. Salesforce.com Inc. (CRM) (X)		None	J	T					
253. Sanderson Farms (SAFM)	A	Dividend	J	T					
254. Schlumberger Ltd (SLB)	A	Dividend	J	T	Buy	01/11/19	J		
255. Seagate Technology PLC Common Stock (STX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 19 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. Service Corp. Intl. Common Stock (SCI)	A	Dividend	J	T					
257. Splunk Inc (SPLK)		None	J	T					
258. Sprout Farmers Market Inc (SFM)		None	J	T	Buy (add'l)	07/15/19	J		
259. Stanley Black & Decker Common Stock (SWK)	A	Dividend	J	T					
260. Suncor Energy Inc (SU)	A	Dividend	J	T	Buy (add'l)	03/01/19	J		
261.					Sold (part)	06/14/19	J		
262.					Buy (add'l)	07/15/19	J		
263. Synchrony Fin. Common Stock (SYF)	A	Dividend	J	T	Sold (part)	02/14/19	J		
264.					Buy (add'l)	10/08/19	J		
265. TE Connectivity Ltd. New (TEL)	A	Dividend	J	T					
266. Texas Instruments Common Stock (TXN)	A	Dividend	J	T					
267. Thermo Fisher Scientific Inc. Common Stock (TMO)	A	Dividend	J	T					
268. Twitter Common Stock (TWTR)		None	J	T	Sold (part)	10/07/19	J	B	
269.					Buy (add'l)	10/31/19	J		
270. US Bancorp Com (USB)	A	Dividend	J	T					
271. Uber Technologies Inc (UBER) (Y)									
272. Unilever PLC (New) ADS Common Stock (UL)	A	Dividend	J	T	Buy (add'l)	06/03/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273.					Sold (part)	12/30/19	J		
274. United Parcel Service Inc. CL B Common Stock (UPS)	A	Dividend	J	T					
275. Unitedhealth Group Inc (UNH)	A	Dividend	K	T					
276. Verizon Communications (VZ)	A	Dividend	J	T	Buy (add'l)	01/31/19	J		
277.					Buy (add'l)	08/15/19	J		
278. Vertex Pharmaceuticals Common Stock (VRTX)		None	J	T	Sold (part)	02/11/19	J		
279.					Buy (add'l)	04/18/19	J		
280. Visa Inc. CL A Common Stock (V)	A	Dividend	J	T					
281. Vistra Energy Corp (VST) (X)	A	Dividend	J	T					
282. VMWare Common Stock (VMW)		None	J	T					
283. Voya Finl Inc (VOYA)	A	Dividend	J	T					
284. W.W. Grainger Common Stock (GWW)	A	Dividend	J	T					
285. Walt Disney Co. Holding Common Stock (DIS)	A	Dividend	J	T					
286. Wells Fargo Common Stock (WFC)	A	Dividend	K	T	Buy (add'l)	01/11/19	J		
287.					Buy (add'l)	03/01/19	J		
288.					Buy (add'l)	04/15/19	J		
289.					Sold (part)	06/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	07/15/19	J		
291.					Sold (part)	08/15/19	J		
292. Western Digital Common Stock (WDC)	A	Dividend	J	T	Buy (add'l)	01/11/19	J		
293.					Sold (part)	06/14/19	J		
294.					Buy (add'l)	07/15/19	J		
295.					Buy (add'l)	11/20/19	J		
296. Weyerhaeuser Co. Common Stock (WY)	A	Dividend	J	T	Buy (add'l)	01/11/19	J		
297. Yum China Holdings Common Stock (YUMC)	A	Dividend			Sold	05/17/19	J		
298. Zoetis Common Stock (ZTS)	A	Dividend	J	T					
299. Volkswagen AG RP PRF ADR (VWAPY) (X)	A	Dividend	J	T					
300. Ishares Core S&P US Growth (IUSG)	A	Dividend	J	T	Sold	01/11/19	J		
301.					Buy	03/15/19	J		
302.					Sold	04/15/19	J	C	
303.					Buy	06/14/19	K		
304.					Sold	07/15/19	K	A	
305.					Buy	09/13/19	J		
306.					Sold (part)	10/07/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Sold	10/14/19	J		
308.					Buy	12/10/19	J		
309. Ishares Core S&P US Value (IUSV)	A	Dividend	J	T	Sold	01/11/19	L		
310.					Buy	03/15/19	J		
311.					Sold	04/15/19	J	D	
312.					Buy	06/14/19	K		
313.					Sold	07/15/19	K	B	
314.					Buy	09/13/19	K		
315.					Sold	10/14/19	K		
316.					Buy	12/10/19	J		
317.					Buy (add'l)	12/30/19	J		
318. Ishares Russell 1000 Grw ETF (IWF)	A	Dividend	J	T	Sold (part)	01/11/19	J		
319.					Sold (part)	03/15/19	J		
320.					Sold	04/15/19	J		
321.					Buy	10/08/19	J		
322.					Sold	10/14/19	J	A	
323.					Buy	12/10/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 23 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. Brokerage Account #5 (H)									
325. Davis New York Venture A Mutual Fund (NYVTX) (X)	E	Dividend	O	T					
326. Davis New York Venture C Mutual Fund (NYVCX)	E	Dividend	L	T					
327. Brokerage Account #6 (H)									
328. UBS Bank USA Bus Acct (Cash)	A	Interest	J	T					
329. Dominion Resources Common Stock (D)	C	Dividend	L	T					
330. Exxon Mobile Common Stock (XOM)	C	Dividend	L	T					
331. Pepsico Common Stock (PEP)	A	Dividend	K	T					
332. Walmart Common Stock (WMT)	B	Dividend	L	T					
333. MFS Maryland Municipal Bond Fund (MFSMX)	B	Dividend	L	T					
334. Brokerage Account #7 (H)									
335. UBS Bank USA (cash)	A	Interest	K	T					
336. First Trust Financial Alphadex Fund ETF (FXO)	A	Dividend	L	T	Sold (part)	03/26/19	J	B	
337. IShares US Technology ETF (IYW)	A	Dividend	M	T	Buy (add'l)	03/26/19	J		
338. IShares US Healthcare ETF (IYH)	B	Dividend	L	T					
339. IShares US Real Estate ETF (IYR)	A	Dividend	K	T	Sold (part)	03/26/19	J	A	
340. IShares U.S. Consumer Goods ETF (IYK)	B	Dividend	M	T	Buy (add'l)	03/26/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. IShares US Consumer Services ETF (IYC)	A	Dividend	M	T	Sold (part)	03/26/19	J	C	
342. IShares US Energy ETF (IYE)	B	Dividend	K	T	Sold (part)	03/26/19	J	A	
343. IShares Floating Rate Bond ETF (FLOT)	B	Dividend	M	T	Buy (add'l)	03/26/19	K		
344. Vanguard Short-term Corporate Bond ETF (VCSH)	B	Dividend	M	T	Buy (add'l)	03/26/19	K		
345. Vanguard Total Bond Mkt ETF (BND)	B	Dividend	L	T	Sold (part)	03/26/19	K	B	
346. Brokerage Account #8 (H)									
347. UBS Bank USA Dep Acct (Cash)	A	Interest	J	T					
348. Blackrock Munivest Fund II (MVT)	B	Dividend	K	T					
349. Blackrock Munivest Fund (MVF)	B	Dividend	K	T					
350. Nuveen Select Tax Free Income Port 3 (NXR)	B	Dividend	K	T					
351. BNY Mellon AMT-Free Mun Bond Fd Class A (DMUAX) was Dreyfus AMT-Free M	B	Dividend	L	T					
352. Brokerage Account #9 (H)									
353. UBS Bank USA (cash)	A	Interest	J	T					
354. First Trust Financial Alphadex Fund ETF (FXO)	A	Dividend	K	T	Sold (part)	03/22/19	J	B	
355.					Sold (part)	06/19/19	J	B	
356. IShares US Technology ETF (IYW)	A	Dividend	L	T	Buy (add'l)	03/22/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
357.					Sold (part)	06/19/19	J	A	
358. IShares US Healthcare ETF (IYH)	B	Dividend	L	T	Sold (part)	03/22/19	J	A	
359.					Sold (part)	06/19/19	J	A	
360. IShares US Consumer Goods ETF (IYK)	B	Dividend	L	T	Buy (add'l)	03/22/19	K		
361.					Sold (part)	06/19/19	J	A	
362. IShares US Real Estate ETF (IYR)	A	Dividend	K	T	Sold (part)	03/22/19	J	A	
363.					Sold (part)	06/19/19	J	A	
364. IShares US Consumer Services ETF (IYC)	A	Dividend	L	T	Sold (part)	03/22/19	J	A	
365.					Sold (part)	06/19/19	J	D	
366. IShares US Energy ETF (IYE)	B	Dividend	K	T	Sold (part)	03/22/19	J	A	
367.					Buy (add'l)	06/19/19	J		
368. IShares Floating Rate Bond ETF (FLOT)	C	Dividend	L	T	Buy (add'l)	03/22/19	K		
369.					Sold (part)	06/19/19	J		
370. Vanguard Short-term Corporate Bond ETF (VCSH)	C	Dividend	L	T	Buy (add'l)	03/22/19	K		
371.					Sold (part)	06/19/19	J	A	
372. Vanguard Total Bond Mkt ETF (BND)	B	Dividend	L	T	Sold (part)	03/22/19	K	B	
373.					Sold (part)	06/19/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 26 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
374. Brokerage Account #10 (H)									
375. Morgan Stanley Bank NA (cash) (Y)									
376. Baker Hughes A GE (BHGE)	A	Dividend	K	T					
377. Bank of America Corp. (BAC)	A	Dividend	K	T					
378. Bristol Myers Squibb Common Stock (BMY)	B	Dividend	L	T					
379. CBRE Clarion Global Real Estate Fund (IGR)	C	Dividend	L	T					
380. Cohen & Steers CEF Opp Fd. Inc. (FOF)	B	Dividend	K	T					
381. Kayne and Midstream/Engy FD (KMF)	C	Dividend	K	T					
382. ACAP Strategic Interval (XCAPX)	C	Dividend	M	T					
383. Hartford Municipal Opportunity (HHMCX)	A	Dividend	K	T					
384. Principal Investment Fund (PRFCX)	B	Dividend	L	T					
385. Brokerage Account #11 (H)									
386. Bank of America Common Stock (BAC)	B	Dividend	M	T					
387. Exxon Mobile Common Stock (XOM)	B	Dividend	K	T					
388. U.S. Steel Common Stock (X)	A	Dividend	J	T					
389. Brokerage Account #12 (H)									
390. Ishares 20+ Yr Treasu Bond ETF (TLT)	A	Dividend	J	T	Buy	04/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
391.					Sold (part)	06/20/19	J	A	
392.					Buy (add'l)	07/22/19	J		
393. IShares Barclays 1-3 Yr Trs Bd (SHY)	A	Dividend	J	T					
394. IShares Core U.S. Aggregate Fd (AGG)	A	Dividend	J	T					
395. IShares MSCI Japan ETF (EWJ)	A	Dividend	J	T	Sold (part)	04/18/19	J		
396. IShares TIPS Bond ETF (TIP)	A	Dividend	J	T					
397. PIMCO Enhanced Shrt Mtrt Exc (MINT)	A	Dividend	J	T					
398. Alger Small Cap Growth 22 (AISZX) (X)	A	Dividend	J	T					
399. Artisan Midcap Value Inv. (APDQX)	A	Dividend	J	T	Sold (part)	04/18/19	J		
400. Blackrock Equity Dividend I (MADVX)	C	Dividend	K	T	Sold (part)	06/20/19	J		
401.					Buy (add'l)	07/22/19	J		
402. Columbia Select Large Cap Growth (UMLGX)	C	Dividend	J	T	Sold (part)	04/18/19	J		
403.					Buy (add'l)	06/17/19	J		
404.					Buy (add'l)	12/10/19	J		
405. Invesco Opp Intl Growth Y (OIGYX)	A	Dividend	K	T	Sold (part)	04/18/19	J		
406.					Sold (part)	06/20/19	J		
407. PGIM Jennison Mid Cap GRW Z (PEGZX)	A	Dividend	J	T	Sold (part)	04/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
408. Royce Premier Inv. (RYPRX) (X)	A	Dividend	J	T					
409. Wells Fargo Emerg. Mkts. (EMGNX)	A	Dividend	J	T					
410. Brokerage Account #13 (H)									
411. Ishares 20+ Yr Treasu Bond ETF (TLT)	A	Dividend	K	T	Buy	04/18/19	K		
412.					Sold (part)	06/20/19	J	A	
413. IShares Barclays 1-3 Yr TSY Bd (SHY)	A	Dividend	J	T					
414. IShares Core U.S. Aggregate Fd (AGG)	A	Dividend	K	T	Sold (part)	06/20/19	J	A	
415. IShares MSCI Japan ETF (EWJ)	A	Dividend	J	T	Sold (part)	04/18/19	J	A	
416. IShares TIPS Bond ETF (TIP)	A	Dividend	J	T					
417. PIMCO Enhanced Shrt Mtrt Exc (MINT)	A	Dividend	J	T					
418. Alger Small Cap Growth Inst. (AISZX)	A	Dividend	J	T	Buy (add'l)	04/18/19	J		
419. Artisan Midcap Value Inv. (APDQX)	A	Dividend	J	T	Sold (part)	04/18/19	J		
420. Blackrock Equity Dividend (MADVX)	D	Dividend	L	T	Buy (add'l)	04/18/19	J		
421.					Sold (part)	06/20/19	J		
422.					Buy (add'l)	07/22/19	J		
423. Columbia Select Large Cap Growth (UMLGX)	D	Dividend	K	T	Buy (add'l)	06/17/19	J		
424.					Sold (part)	06/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 29 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
425.					Buy (add'l)	12/10/19	J		
426. Invesco Opp Intl Growth Y (OIGYX) was Oppenheimer Int'l Growth (OIGYX)	B	Dividend	L	T	Sold (part)	04/18/19	K		
427.					Sold (part)	06/20/19	J	A	
428. PGIM Jennison Mid Cap GRW Z (PEGZX)Prudential Jennison MD Cap. (PEGZX)	B	Dividend	J	T	Sold (part)	04/18/19	J		
429.					Buy (add'l)	12/17/19	J		
430. Royce Premier Inv. (RYPRX)	A	Dividend	J	T					
431. Virtus Insight Emerg. Mkts. (HIEMX)	A	Dividend	K	T	Buy (add'l)	04/18/19	J		
432. Wells Fargo Emerg. Mkts. (EMGNX)	A	Dividend	K	T	Buy (add'l)	04/18/19	J		
433.					Sold (part)	06/20/19	J		
434. Brokerage Account #14 (H)									
435. Morgan Stanley Bank NA (cash)	A	Interest	K	T					
436. Blackstone Group Inc CL A (BX) was Blackstone Group Common Stock (BX)	C	Dividend	M	T					
437. Carnival CP New Paired Com (CCL)	A	Dividend	K	T					
438. Celgene Common Stock (CELG) (Y)									
439. Corteva Inc. (CTVA)	A	Dividend	J	T	Spinoff (from line 442)	06/05/19	J		
440. CVS Caremark Common Stock (CVS)	C	Dividend	M	T	Buy (add'l)	01/03/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
441. Dow Inc (DOW)	A	Dividend	J	T	Spinoff (from line 442)	04/04/19	J		
442. Dupont De Nemours Inc. (DD) was Dowdupont Inc. (DWDP)	A	Dividend	K	T	Buy	01/03/19	K		
443.					Buy	01/03/19	J		
444. Enterprise Products Partners LP (EPD)	D	Dividend	M	T					
445. General Motors Common Stock (GM)	B	Dividend	K	T					
446. Merck Common Stock (MRK)	B	Dividend	L	T					
447. Murphy Oil Common Stock (MUR)	A	Dividend	J	T					
448. Murphy USA Inc. Com. (MUSA)		None	J	T					
449. Starbuck's Corp. Common Stock (SBUX)	B	Dividend	M	T					
450. United Technologies Corp (UTX)	B	Dividend	L	T					
451. United Health Group Common Stock (UNH)	C	Dividend	M	T					
452. DBX ETF TR Xtrack MSCI Europe Fund (DBEU)		None			Sold	02/07/19	K		
453. First Tr MLP & Eng Fund (FEI)	E	Dividend	M	T					
454. IShares NASDAQ Biotech ETF (IBB)	A	Dividend	L	T	Buy (add'l)	01/03/19	J		
455. Federated Prime Csh Oblgtn WS (PCOXX)	A	Dividend			Buy	01/03/19	L		
456.					Buy (add'l)	04/24/19	K		
457.					Sold (part)	06/24/19	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 31 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
458.					Sold	07/30/19	K		
459. Hartford Floating Rate BD A (HFLAX)	C	Dividend	L	T					
460. Legg Mason WA S/D Mun Inc A (SHDAX) (X)	A	Dividend	L	T					
461. Putnam Equity Income A (PAYAX) (X)	B	Dividend	K	T					
462. Putnam Equity Income C (PEQCX)	B	Dividend	L	T					
463. Western Asset Int. Term Muni C (SMLLX) (Y)									
464. Western Ast Int Term Muni A (SBLTX) (X)	A	Dividend	L	T					
465. Western Asset Short Duration Muni Income C (SHDLX) (Y)									
466. NATIONWIDE LIFE DESTINATION NAVIGATOR VARIABLE ANNUITY (H)									
467. NW NVIT SP 500 INDEX II		None	M	T					
468. BLACKROCK GLOBAL ALLOCATION V.I. III		None	L	T					
469. FIDVIP EQ INC SVC 2		None	M	T					
470. NW NVIT CARDINAL AFFR II		None	L	T					
471. Brokerage Account #15 (H)									
472. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
473. IShares Core S&P US Value (IUSV)		None			Sold	01/11/19	K		
474. IShares Inc. MSCI Japan ETF (EWJ)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 32 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
475. Ishares JP Morgan EM Bond ETF (EMB)	A	Dividend	J	T	Buy	04/05/19	J		
476. IShares Russell 1000 GRW ETF (IWF)	A	Dividend			Buy (add'l)	01/02/19	J		
477.					Sold	01/11/19	J	A	
478. IShares Russell 1000 Value ETF (IWD)		None			Buy (add'l)	01/02/19	J		
479.					Sold	01/11/19	J	A	
480. IShares Russell 2000 Value ETF (IWN)		None			Sold	01/11/19	J		
481. IShares Russell Midcap G ETF (IWP)		None	J	T	Sold	01/11/19	J		
482.					Buy	12/30/19	J		
483. PIMCO Enhanced Short Mtrt (MINT)	B	Dividend	L	T	Buy (add'l)	04/05/19	J		
484.					Buy (add'l)	06/25/19	J		
485.					Buy (add'l)	12/31/19	J		
486. SPDR BBG Barclays High Yield Bond (JNK)		None			Sold	01/11/19	J	A	
487. SPDR Nuveen BBG Short-Term (SHM)	B	Dividend	L	T	Buy (add'l)	06/25/19	J		
488.					Buy (add'l)	12/31/19	J		
489. Vanguard FTSE Developed Mkts E (VEA)		None			Buy (add'l)	01/02/19	J		
490.					Sold	01/11/19	K	A	
491. Vanguard FTSE Emerging Markets (VWO)		None			Sold	01/11/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 33 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
492. Alger Small Cap Growth Inst. (AISZX)	A	Dividend	J	T					
493. American Century FOC Dynamic GRW I (ACFSX)		None	K	T	Buy	11/15/19	K		
494. American Century Intl. Bond (AIBHX)		None	J	T					
495. American Europacific Growth F1 (AEPFX)	B	Dividend	K	T	Buy (add'l)	01/11/19	J		
496.					Sold (part)	04/05/19	J	A	
497.					Buy (add'l)	06/25/19	J		
498. American Growth Fund of America F2 (GFFFX)	B	Dividend	K	T	Buy (add'l)	01/11/19	J		
499.					Sold (part)	04/05/19	J	A	
500. Baron Small Cap Retail (BSFIX)	A	Dividend	J	T					
501. Columbia Select Large Cap Growth Fd (UMLGX)	C	Dividend			Buy (add'l)	01/11/19	J		
502.					Sold (part)	04/05/19	J	A	
503.					Buy (add'l)	06/25/19	J		
504.					Sold	11/15/19	K	A	
505. Davis New York Venture Y (DNVYX)	B	Dividend	K	T	Buy (add'l)	01/11/19	J		
506.					Sold (part)	04/05/19	J	A	
507.					Buy (add'l)	06/25/19	J		
508. Federated Strategy Val Div Inst Fd (SVAIX)	B	Dividend	K	T	Buy (add'l)	01/11/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 34 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
509.					Sold (part)	04/05/19	J	A	
510.					Buy (add'l)	06/25/19	J		
511. Franklin Fed Intm Trm Txfr Adv (FITZX)	B	Dividend	L	T	Buy (add'l)	04/05/19	J		
512.					Buy (add'l)	06/25/19	J		
513.					Buy (add'l)	12/31/19	J		
514. Goldman Sachs Growth Opp. 1 (GGOIX) (Y)									
515. Invesco American Value Y (MSAIX)	A	Dividend	J	T					
516. Invesco Opp Intl BD Y (OIBYX) (X)	A	Dividend	J	T					
517. Invesco Opp Intl Growth Y (OIGYX)	A	Dividend	L	T	Buy	06/25/19	J		
518. JP Morgan Emerging Markets Sel (JEMSX)	A	Dividend	K	T	Buy (add'l)	01/11/19	J		
519. JP Morgan Mid Cap Value S (JMV SX)	A	Dividend	J	T					
520. Lazard Emerging Markets 1 (LZEMX)	A	Dividend	K	T	Buy (add'l)	01/11/19	J		
521.					Buy (add'l)	06/25/19	J		
522. Nationwide Geneva MDCP GW F (NWHYX)	A	Dividend	J	T	Buy	01/11/19	J		
523. Oppenheimer International BD Y (OIBYX) (Y)									
524. Oppenheimer Intl Growth Y (OIGYX) (Y)									
525. Royce Total Return Inv. (RYTRX)	B	Dividend	K	T	Buy (add'l)	01/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 35 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
526.					Buy (add'l)	04/05/19	J		
527.					Buy (add'l)	06/25/19	J		
528. T Rowe Price High Yield (PRHYX)	A	Dividend	J	T	Buy (add'l)	01/11/19	J		
529.					Sold (part)	04/05/19	J	A	
530. Wells Fargo High Income Inv. (EKHIX)	A	Dividend	J	T	Buy (add'l)	01/11/19	J		
531.					Sold (part)	04/05/19	J	A	
532. Western Ast Int Term Muni (SBTYX)	B	Dividend	L	T	Buy (add'l)	04/05/19	J		
533.					Buy (add'l)	06/25/19	J		
534.					Buy (add'l)	12/31/19	J		
535. Brokerage Account #16 (H)									
536. Dish Network Corp Class A (DISH)		None	K	T					
537. Cohen & Steers CEF Opp Fd. Inc. (FOF)	B	Dividend	K	T					
538. Goldman Sachs Step-Up Callable Note (38148TNJ4)	A	Interest	K	T					
539. Skybridge Multi-Advisor Hedge Fund (CAI01)		None	L	T					
540. DELAWARE LIFE SUNLIFE MASTERS FLEX VARIABLE ANNUITY (H)									
541. FIDELITY VIP CONTRAFUND-SERV		None	K	T					
542. MFS VIT I RESEARCH SERIES SERV		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
543. FRANKLIN MUTUAL SHARES VIP FUND		None	K	T					
544. FIRST EAGLE OVERSEAS VARIABLE		None	K	T					
545. PIMCO VIT TOTAL RETURN BOND PO		None	J	T					
546. NATIONWIDE LIFE BOA ACHIEVER L SHARE VARIABLE ANNUITY (H)									
547. NEUBER AMT SHORT DURATION BOND I		None	K	T					
548. MFS VIT VALUE SER SVC		None	J	T					
549. NW NVIT VW FD II		None	J	T					
550. OPP MN ST VA SVC		None	J	T					
551. FIDVIP INVMT GRD BD SVC 2		None	K	T					
552. NW NVIT GOV'T BOND I		None	K	T					
553. PIMCO VIT LOW DURATION ADV		None	J	T					
554. NW NVIT MULTI MANAGER MIDCAP GROWTH II		None	J	T					
555. MULTI MANAGER MIDCAP VALUE II		None	J	T					
556. NW NVIT GOV MNY MKT I		None	J	T					
557. NW NVIT GOV MULTI MANAGER INT'L GROWTH II		None	J	T					
558. NW NVIT GOV MULTI MANAGER LARGE CAP GROWTH II		None	J	T					
559. NW NEUBER NVIT SOC RESP II		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 37 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
560. NW TMP NVIT INTL VAL I		None	J	T					
561. NW NVIT COR BD II		None	K	T					
562. Brokerage Account #17 (H)									
563. Abbvie Common Stock (ABBV)	A	Dividend	J	T	Sold (part)	03/18/19	J	A	
564. Align Technology Common Stock (ALGN)		None	J	T					
565. Alphabet Inc Cl A Common Stock (GOOGL)		None	J	T					
566. Alphabet Inc Cl C Common Stock (GOOG)		None	J	T					
567. Amazon Com. Inc. (AMZN)		None	J	T					
568. Amgen Inc (AMGN)	A	Dividend	J	T					
569. Apple Inc. Common Stock (AAPL)	A	Dividend	J	T	Sold (part)	01/24/19	J		
570. Booking Holdings (BKNG) former Priceline Com. Inc. Common Stock (PCLN)		None			Sold (part)	01/24/19	J		
571.					Sold	03/18/19	J	C	
572. Centene Corp (CNC)		None	J	T					
573. Cincinnati Financial. Corp. Common Stock (CINF)	A	Dividend	J	T					
574. Citigroup Common Stock (C)	A	Dividend	J	T					
575. CME Group Common Stock (CME)	A	Dividend	J	T					
576. EOG Resources Common Stock (EOG)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
577. Ecolab Inc. Common Stock (ECL)	A	Dividend	J	T					
578. Edwards Lifesciences Corp (EW)		None	J	T					
579. Electronic Arts Common Stock (EA)		None	J	T	Sold (part)	03/18/19	J	B	
580. Emerson Electric Co. Common Stock (EMR)	A	Dividend	J	T					
581. Exxon Mobile Corp. Common Stock (XOM)	A	Dividend	J	T					
582. Facebook Common Stock (FB)		None	J	T					
583. Fidelity National Information Services, Inc. (FIS)	A	Dividend	J	T					
584. Five Below (FIVE)		None	J	T	Buy	03/18/19	J		
585. Glenmeade Small Cap Equity Instl (GTSCX)	A	Dividend	J	T					
586. Intuit Common Stock (INTU)	A	Dividend	J	T					
587. JP Morgan Chase Co. Common Stock (JPM)	A	Dividend	J	T					
588. Johnson & Johnson Common Stock (JNJ)	A	Dividend	J	T					
589. Lincoln Nat'l Corp. Common Stock (LNC)	A	Dividend	J	T					
590. Lowe's Common Stock (LOW)	A	Dividend	J	T					
591. Mastercard (MA)	A	Dividend	J	T					
592. McDonald's Corp. Common Stock (MCD)	A	Dividend	J	T					
593. Microsoft Common Stock (MSFT)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 39 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
594. Mohawk Indus. Common Stock (MHK)		None			Sold	03/18/19	J	A	
595. Nextera Energy Inc. Common Stock (NEE)	A	Dividend	J	T					
596. PNC Financial Services Group Inc. (PNC)	A	Dividend			Sold	01/24/19	J	A	
597. Parsley Energy Inc (PE)	A	Dividend	J	T					
598. Paypal Holdings Inc (PYPL)		None	J	T					
599. Philip Morris Intl. Common Stock (PM)	A	Dividend	J	T					
600. Pioneer Natural Resources Common Stock (PXD)	A	Dividend	J	T					
601. Procter & Gamble Inc. Common Stock (PG)	A	Dividend	J	T					
602. Prudential Financial Inc. Common Stock (PRU)		None			Sold	01/24/19	J	B	
603. Schwab Charles Corp (SCHW)	A	Dividend	J	T	Buy	01/24/19	J		
604. Southwest Airlines Common Stock (LUV)	A	Dividend	J	T					
605. Splunk Inc (SPLK)		None	J	T	Buy	03/18/19	J		
606. T. Rowe Price Mid Cap Growth Fund (RPMGX)	A	Dividend	K	T	Buy (add'l)	06/28/19	J		
607.					Buy (add'l)	12/16/19	J		
608. Texas instruments Inc (TXN)	A	Dividend	J	T					
609. Thermo Fisher Scientific Inc. Common Stock (TMO)	A	Dividend	J	T					
610. United Health Group, Inc. Common Stock (UNH)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 40 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
611. Visa Inc. CL A Common Stock (V)	A	Dividend	J	T					
612. Walmart Inc. (WMT)	A	Dividend	J	T	Buy	03/18/19	J		
613. Walt Disney Common Stock (DIS)	A	Dividend	J	T					
614. Wells Fargo Special Mid Cap Value (WFMIX)	A	Dividend	K	T	Sold (part)	01/23/19	J		
615.					Sold (part)	06/28/19	J	A	
616. 3M Co. Common Stock (MMM)	A	Dividend	J	T					
617. Accenture PLC Cl A Common Stock (ACN)	A	Dividend	J	T					
618. Enbridge Inc. (ENB)	A	Dividend	J	T	Buy	01/24/19	J		
619. Chubb Ltd. (CB)	A	Dividend	J	T					
620. Harding Loevner International Equity (HLMIS)	A	Dividend	K	T					
621. Ingersoll Rand PLC (IR)	A	Dividend	J	T					
622. Linde Plc (LIN)	A	Dividend	J	T					
623. Magna International (MGA)	A	Dividend	J	T					
624. T. Rowe Prive International Value Equity Fund Int'l (TRIGX)	A	Dividend	K	T					
625. Baron Emerging Mkts. Fd. (BEXIX)	A	Dividend	J	T					
626. Causeway Emerging Mkts Fund (CEMIX)	A	Dividend	J	T					
627. AQR Long/Short Equity Fd (QLEIX)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 41 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
628. Robeco Boston Partners Long/Short Fd (BPIRX)	A	Dividend	J	T					
629. Nuveen Inter. Dur. Mun. Fund (NUVBX)	B	Dividend	L	T	Buy (add'l)	01/23/19	J		
630. Principal Preferred Sec. Ins (PPSIX)	A	Dividend	J	T	Buy (add'l)	06/28/19	J		
631. Pgim Sht Dur High Yld Inc CI R6 (HYSQX)	A	Dividend	K	T	Buy	06/28/19	J		
632. Tcw Emerging Markets Income Fund (TGEIX)	A	Dividend	J	T	Buy	06/28/19	J		
633. Federated Inst. High Yield Bond Fd (FIHBX)	A	Dividend			Sold	06/28/19	J		
634. Mainstay Floating Rate Fund (MXFIX)	A	Dividend			Sold (part)	01/23/19	J		
635.					Sold	06/28/19	K		
636. Loomis Sayles Strategic Alpha Fund Y (LASYX)	A	Dividend	K	T					
637. American Tower Common Stock (AMT)	A	Dividend	J	T					
638. Nuveen Real Estate Security Class I (FARCX)	A	Dividend	J	T	Sold (part)	06/28/19	J		
639.					Sold (part)	06/28/19	J	A	
640. Salient Mlp Energy Infrastructure I (SMLPX)	A	Dividend	K	T	Buy	06/28/19	K		
641. Principal G I R E Sec Ins (POSIX)	A	Dividend			Sold	06/28/19	J	A	
642. Invesco Bal Risk Commodity Fd (BRCYX)		None			Sold	06/28/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 42 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Potomac Cliffs at Watson House Real Estate Partnership, purchased on 4/20/1987 at cost of \$26,150 (1/2-share).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

The ticker symbol for U.S. Steel is (X).

Service PPTYS Tr Sh Ben Int (SVC) was Hospitality Properties Trust Common Stock (HPT).

Viacomcbs Inc CL B (VIAC) was CBS Corp New Cl B Shares (CBS).

Dupot De Nemours Inc. (DD) was DowDuPont Inc. Common Stock (DWDP).

L3Harris Technologies Inc. (LHX) was L3 Technologies, Inc. (LLL).

BNY Mellon AMT-Free Mun Bond Fd Class A (DMUAX) was Dreyfus AMT-Free Mun Bond Fd Class A (DMUAX).

Invesco Opp Intl Growth Y (OIGYX) was Oppenheimer Int'l Growth (OIGYX).

PGIM Jennison Mid Cap GRW Z (PEGZX) was Prudential Jennison MD Cap. (PEGZX).

Blackstone Group Inc CL A (BX) was Blackstone Group Common Stock (BX).

VII Line 308 of the 2018 Report (XL Group Ltd) is not reportable in 2019. There is not a corresponding reportable transaction.

FINANCIAL DISCLOSURE REPORT

Page 43 of 43

Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/11/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas C. Wheeler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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