

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DLOTT, SUSAN J.	2. Court or Organization U.S. SOUTHERN DISTRICT OF OHIO	3. Date of Report 09/21/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address U.S. COURTHOUSE, ROOM 227 100 EAST FIFTH STREET CINCINNATI, OHIO 45202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. GENERAL MANAGER	MILFORD ONE LLC
2. BOARD MEMBER	NATIONAL UNDERGROUND RAILROAD FREEDOM CENTER
3. BOARD MEMBER	SWEL FOUNDATION
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Investment Account #1 (H)									
2. CASH HELD IN CUSTODIAN - CHARLES SCHWAB	C	Interest	P1	T					
3. BOSTON PROPERTIES LP 3.8% due 2/1/24; BONDS	B	Interest	K	T					
4. BP CAPITAL MARKETS PLC 2.237% DUE 5/10/19; BONDS	A	Interest			Sold	01/23/19	K		
5. ECOLAB INC. 2.25% due 01-12-20; BONDS	A	Interest	K	T					
6. ENTERGY LOUISIANA 3.3% due 12-01-22; BONDS	B	Interest	K	T					
7. ESSEX PORTFOLIO, L.P. 3.875% due 5/1/24; BONDS	A	Interest	K	T					
8. GATX CORP 2.5% DUE 7/30/19; BONDS	A	Interest			Matured	07/30/19	K		
9. INTERCONTINENTALEXCHANGE GROUP INC 2.75% DUE 12-01-20; BONDS	B	Interest	K	T					
10. JB HUNT TRANSPORT SVCS 2.4% DUE 3/15/19; BONDS	A	Interest			Sold	01/23/19	K		
11. JM SMUCKER CO 3.000% Due 3/15/22; BONDS	A	Interest	K	T					
12. NEXTERA ENERGY C 3.15% 04-01-24; BONDS	A	Interest	K	T	Buy	07/31/19	K		
13. ROPER TECHNOLOGIES 3.0% DUE 12-15-20; BONDS	A	Interest	K	T					
14. UNITEDHEATH GROUP INC 2.875% DUE 12-15-21; BONDS	B	Interest	K	T					
15. US BANCORP 3.6% DUE 9/11/24; BONDS	A	Interest	K	T					
16. ZOETIS INC 3.25% DUE 02-01-23; BONDS	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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17. AIR PROD & CHEMICAL (Versum Materials); EQUITIES	A	Dividend			Sold	09/23/19	K	D	
18. ALPHABET INC. CLASS C; EQUITIES		None			Sold	09/23/19	K	D	
19. BANK OF AMERICA CORP; EQUITIES	A	Dividend			Sold	09/23/19	K	A	
20. BANK OF NY MELLON CO; EQUITIES	A	Dividend			Sold	09/23/19	J	B	
21. TRUIST FINANCIAL CORP PFD (BB&T CORPORATION 5.2% PFD); EQUITIES	A	Dividend			Buy	01/23/19	K		
22.					Sold	09/23/19	K	B	
23. TRUIST FINANCIAL CORP (BB&T CORPORATION); EQUITIES	A	Dividend			Sold	09/23/19	K	D	
24. BECTON DICKINSON & CO; EQUITIES	A	Dividend			Sold	09/23/19	K	C	
25. BOEING CO; EQUITIES	A	Dividend			Buy	01/23/19	K		
26.					Sold	03/11/19	K	B	
27. CARNIVAL CORP NEW F PAIRED STK SPECIAL VTG; EQUITIES	A	Dividend			Sold	09/05/19	J		
28. CHEVRON CORP; EQUITIES	A	Dividend			Sold	09/23/19	J	D	
29. CITIZENS FINL GROUP; EQUITIES	A	Dividend			Sold	09/23/19	K	C	
30. EMERSON ELECTRIC CO; EQUITIES	A	Dividend			Sold	09/23/19	J	C	
31. FASTENAL CO; EQUITIES	A	Dividend			Sold	09/23/19	K	D	
32. FEDEX CORP; EQUITIES	A	Dividend			Buy	03/20/19	J		
33.					Sold	09/23/19	J		

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34. FISERV INC; EQUITIES		None			Sold	09/23/19	K	E	
35. GENERAL MILLS; EQUITIES	A	Dividend			Sold	09/23/19	K	C	
36. ISHARES CORE S&P 500; ETF	B	Dividend			Buy (add'l)	01/23/19	L		
37.					Sold	09/23/19	M	E	
38. ISHARES MSCI EAFE; ETF	C	Dividend			Sold	09/23/19	M	D	
39. ISHARES RUSSELL 2000; ETF	A	Dividend			Sold	09/23/19	K	D	
40. JOHNSON & JOHNSON; EQUITIES	A	Dividend			Sold	09/23/19	K	D	
41. JP MORGAN CHASE & CO; EQUITIES	A	Dividend			Sold	09/23/19	J	D	
42. KEYCORP 5.625% PFD; EQUITIES	A	Dividend			Buy	05/22/19	K		
43.					Sold	09/23/19	K	B	
44. KRAFT HEINZ CO; EQUITIES	A	Dividend			Buy	01/23/19	K		
45.					Sold	03/20/19	J		
46. LAS VEGAS SANDS CORP; EQUITIES	A	Dividend			Sold	09/23/19	K		
47. LEAR CORPORATION; EQUITIES	A	Dividend			Buy	04/08/19	K		
48.					Sold	09/23/19	J		
49. LOWES COMPANIES INC; EQUITIES	A	Dividend			Sold	09/23/19	K	D	
50. MARSH & MCLENNAN COMPANIES, INC; EQUITIES	A	Dividend			Sold	09/23/19	K	D	

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51. MEDTRONIC PLC F; EQUITIES	A	Dividend			Sold	09/23/19	K	D	
52. MERCK & CO INC; EQUITIES	A	Dividend			Sold	09/23/19	K	D	
53. METLIFE INC; EQUITIES	A	Dividend			Sold	09/23/19	K	A	
54. MICROCHIP TECHNOLOGY; EQUITIES	A	Dividend			Sold	09/23/19	K	C	
55. MICROSOFT CORPORATION; EQUITIES	A	Dividend			Sold	09/23/19	K	E	
56. MORGAN STANLEY; EQUITIES	A	Dividend			Sold	09/23/19	K	C	
57. PARTNERRE 5.875% Pfd Ser I; EQUITIES	A	Dividend			Sold	09/23/19	K	C	
58. PAYCHEX INC; EQUITIES	A	Dividend			Sold	09/23/19	K	C	
59. PEPSICO INC; EQUITIES	A	Dividend			Sold	09/23/19	K	D	
60. PFIZER INC; EQUITIES	A	Dividend			Sold	09/23/19	J	B	
61. PHILIP MORRIS INTL; EQUITIES	A	Dividend			Sold	01/23/19	J		
62. PROCTER & GAMBLE CO; EQUITIES	A	Dividend			Sold	09/23/19	J	D	
63. ROCKWELL AUTOMATION INC.; EQUITIES	A	Dividend			Sold	09/23/19	K		
64. ROYAL DUTCH SHELL; EQUITIES	A	Dividend			Sold	09/23/19	J		
65. SECTOR SPDR TECHNOLOGY SELECT SHARE; ETF	A	Dividend			Sold	09/23/19	K	D	
66. STRYKER CORP; EQUITIES	A	Dividend			Sold	09/23/19	K	D	
67. SYSCO CORPORATION; EQUITIES	A	Dividend			Sold	09/23/19	K	C	

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68. TEXAS INSTRUMENTS; EQUITIES	A	Dividend			Sold	09/23/19	K	D	
69. TJX COMPANIES INC; EQUITIES	A	Dividend			Sold	09/23/19	J	D	
70. UNION PACIFIC CORPORATION; EQUITIES	A	Dividend			Sold	09/23/19	J	D	
71. UNITED TECHNOLOGIES CORP; EQUITIES		None			Sold	01/23/19	K		
72. UNITEDHEALTH GROUP INC; EQUITIES	A	Dividend			Sold	09/23/19	J	D	
73. VERIZON COMMUNICATIONS; EQUITIES	A	Dividend			Sold	09/23/19	K	C	
74. VISA INC CLASS A; EQUITIES	A	Dividend			Sold	09/23/19	K	E	
75. WALT DISNEY CORPORATION; EQUITIES	A	Dividend			Sold	09/23/19	J	D	
76. 3M CO; EQUITIES	A	Dividend			Sold	09/23/19	J	A	
77. Investment Account #2 (H)									
78. CASH HELD IN CUSTODIAN - CHARLES SCHWAB	B	Interest	O	T					
79. BANK OF AMERICA FIX TO FLOAT PREF 5.125% DUE 12/29/49; BONDS	C	Interest			Sold (part)	08/2/19	L	A	
80.					Sold	10/28/19	J	A	
81. BEACHWOOD OH CITY SCHOOL DISTRICT GO 3.0% DUE 12/1/24; BONDS	C	Interest	M	T					
82. BEAVERCREEK OH CITY SCHOOL GO 4.75% DUE 12/1/24; BONDS	D	Interest			Redeemed	06/01/19	M		
83. BURLINGTON CNTY NJ GO Ser A 2.0% DUE 1/15/21; BONDS	C	Interest	M	T					

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84. CAPITAL ONE FINL VAR; BONDS	C	Interest	L	T					
85. CITIZENS FINANCIAL GROUP VAR 99; BONDS	D	Interest	M	T					
86. COWLITZ CNTY WA GO 3.0% DUE 11/1/19; BONDS	B	Interest			Matured	11/01/19	L		
87. IOWA LAKES IA COMMUNITY COLLEGE GO BAM 2.0% Due 06/01/20; BONDS	C	Interest	M	T					
88. IOWA STATE WESTERN COMMUNITY COLLEGE SER A GO 3.0% DUE 6/1/21; BONDS	C	Interest	M	T					
89. JEFFERSON CNTY OH GO AGC 2.125% DUE 12/1/20; BONDS	B	Interest	M	T					
90. KENTON CNTY KY SCHOOL DIST 4.5% DUE 2/1/23; BONDS	D	Interest			Redeemed	02/01/19	M	A	
91. KENTUCKY ST RURAL FINANCE WATER 4.0% DUE 2/1/24; BONDS	D	Interest	M	T					
92. KENTUCKY STATE ASSN OF COUNTIES FINANCING 4.25%; BONDS	D	Interest	N	T					
93. MCCRAKEN CNTY KY GO 2.5% DUE 12/1/22; BONDS	D	Interest	N	T					
94. MEDINA CNTY OH LIBRARY DISTRICT GO 3.0% DUE 12/1/23; BONDS	C	Interest	M	T					
95. NATIONAL POWER CORP 9.625% DUE 5/15/28; BONDS	A	Interest	J	T					
96. OHIO UNIVERSITY GNRL RCPTS ATHENS REV 2.0% DUE 12/1/19; BONDS	B	Interest			Matured	12/01/19	L		
97. PIERCE CNTY WA SEWER REV SER A 3.0% DUE 8/1/19; BONDS	C	Interest			Matured	08/01/19	M		
98. TRUIST FINL CORP VAR (SUNTRUST FIX TO FLOAT 5.125% DUE 12/15/27); BOND	D	Interest	M	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
99. UNIVERSITY OF TOLEDO OH SER C REV 5.0% DUE 6/1/26; BONDS	D	Interest	M	T					
100. WYOMING MI WATER SUPPLY SYSTEM REV 3.125% DUE 6/1/22; BONDS	C	Interest	M	T					
101. AIR PRODUCTS AND CHEMICALS; EQUITIES	C	Dividend	M	T					
102. ALPHABET INC. CLASS C; EQUITIES		None	M	T					
103. BECTON DICKINSON & CO; EQUITIES	B	Dividend	M	T					
104. CHEVRON CORP; EQUITIES	B	Dividend	L	T					
105. CINCINNATI FINANCIAL CORP; EQUITIES	B	Dividend	M	T					
106. CITIZENS FINL GROUP; EQUITIES	D	Dividend	M	T					
107. DANAHER CORP; EQUITIES	A	Dividend	M	T					
108. DELTA AIR LINES; EQUITIES	A	Dividend	J	T					
109. EMERSON ELECTRIC CO; EQUITIES	B	Dividend	L	T					
110. EXXON MOBIL CORP; EQUITIES	C	Dividend	L	T					
111. FASTENAL CO; EQUITIES	C	Dividend	M	T					
112. ISHARES MSCI EAFE; ETF	C	Dividend	M	T	Sold (part)	01/23/19	K	B	
113. ISHARES RUSSELL 2000; ETF	C	Dividend	M	T					
114. JOHNSON & JOHNSON; EQUITIES	D	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

DLOTT, SUSAN J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
115. JP MORGAN CHASE & CO; EQUITIES	C	Dividend	M	T					
116. KRAFT HEINZ CO; EQUITIES	C	Dividend	L	T	Buy	01/23/19	L		
117. LAS VEGAS SANDS CORP; EQUITIES	C	Dividend	L	T					
118. LOWES COMPANIES INC; EQUITIES	B	Dividend	M	T					
119. MARRIOTT INTL INC CLASS A; EQUITIES	B	Dividend	M	T					
120. MARSH & MCLENNAN COMPANIES, INC; EQUITIES	B	Dividend	M	T					
121. MEDTRONIC PLC F; EQUITIES	B	Dividend	M	T					
122. MERCK & CO INC; EQUITIES	D	Dividend	M	T					
123. METLIFE INC; EQUITIES	C	Dividend	M	T					
124. MICROCHIP TECHNOLOGY; EQUITIES	B	Dividend	M	T					
125. MICROSOFT CORP; EQUITIES	C	Dividend	N	T					
126. MORGAN STANLEY; EQUITIES	C	Dividend	M	T					
127. NESTLE ADR; EQUITIES	B	Dividend	L	T					
128. NORFOLK SOUTHERN CO; EQUITIES	C	Dividend	M	T					
129. PEPSICO INC; EQUITIES	C	Dividend	M	T					
130. PFIZER INC; EQUITIES	C	Dividend	L	T					
131. PROCTER & GAMBLE CO; EQUITIES	D	Dividend	N	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
132. ROYAL DUTCH SHELL; EQUITIES	D	Dividend	L	T					
133. SCHWAB INTERNATIONAL EQUITY; ETF	D	Dividend	N	T					
134. SECTOR SPDR TECHNOLOGY SELECT SHARE; ETF	B	Dividend	M	T					
135. TJX COMPANIES INC; EQUITIES	B	Dividend	M	T					
136. TORONTO DOMINION BNK F; EQUITIES	C	Dividend	M	T					
137. UNITED PARCEL SRVC CLASS B; EQUITIES		None			Sold	01/23/19	L		
138. UNITED TECHNOLOGIES CORP; EQUITIES		None			Sold	01/23/19	L	C	
139. VERIZON COMMUNICATIONS; EQUITIES	C	Dividend	M	T					
140. VISA INC CLASS A; EQUITIES	B	Dividend	M	T					
141. WALGREEN CO; EQUITIES	C	Dividend	L	T					
142. WALT DISNEY CORPORATION; EQUITIES	B	Dividend	M	T					
143. WASTE MANAGEMENT INC; EQUITIES	C	Dividend	M	T					
144. XILINX INC; EQUITIES	C	Dividend	M	T					
145. ZIMMER BIOMET HOLDGS; EQUITIES	A	Dividend	M	T	Buy	01/23/19	L		
146. 3M CO; EQUITIES	C	Dividend	M	T					
147. WOMEN'S CAPITAL CLUB (H)									
148. CASH HELD IN CUSTODIAN - CHARLES SCHWAB	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
149. ALPHABET INC CL A VOTING; EQUITIES		None	L	T					
150. AMERICAN WATER WORKS; EQUITIES	A	Dividend	K	T	Buy	01/11/19	K		
151. ANTHEM INC; EQUITIES	A	Dividend	L	T					
152. APPLE INC; EQUITIES	B	Dividend	M	T					
153. JOHNSON & JOHNSON; EQUITIES	B	Dividend	K	T					
154. NETFLIX.COM INC; EQUITIES		None	L	T	Sold (part)	10/18/19	K	E	
155. SKYWORKS SOLUTIONS INC; EQUITIES	A	Dividend	L	T					
156. TATA MOTORS LTD - SPNS ADR; EQUITIES		None			Sold	10/18/19	J		
157. TESLA MOTORS INC; EQUITIES		None	L	T					
158. TREASURY WINE ESTATE F; EQUITIES	A	Dividend	K	T					
159. VALVOLINE INC; EQUITIES	A	Dividend			Sold	10/18/19	K	C	
160. WALT DISNEY CO; EQUITIES		None	K	T	Buy	10/18/19	K		
161. ISHARES MSCI USA ESG SELECT, ETF	A	Dividend	L	T					
162. RYDEX BIOTECHNOLOGY FUND, EQUITY FUND	B	Distribution	K	T					
163. CROWN CASTLE INTL CO, REIT	B	Dividend	K	T					
164. Fifth Third Checking Account	A	Interest	L	T					
165. WOMENS CAPITAL CLUB PARTNERSHIP (2.2727% OWNERSHIP)		None	K	W					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
166. Milford One LLC		None	M	W					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I

NATIONAL UNDERGROUND RAILROAD FREEDOM CENTER BOARD MEMBER; TERM ENDED IN OCTOBER 2019

PART VII

LISTED STOCKS ARE COMMON SHARES UNLESS OTHERWISE NOTED.

ALL WOMEN'S CAPITAL CLUB INVESTMENTS ARE REPORTED AT THE AGGREGATE VALUE. JUDGE DLOTT OWNS 2.2727%.

DURING 2019, INVESTMENTS WERE REORGANIZED BY INVESTMENT ACCOUNT, THEN ALPHABETICALLY BY INVESTMENT TYPE

LINES 2, 78 AND 148 ARE CASH BALANCES HELD IN INVESTMENT ACCOUNTS FOR FUTURE PURCHASES.

JUDGE DLOTT FILES A TAX RETURN SEPARATE FROM HER [REDACTED] (I.E. NOT A JOINT TAX RETURN). AS SUCH, THE ASSETS OF HER [REDACTED] ARE NOT REPORTED WITHIN THIS FEDERAL DISCLOSURE REPORT, WHICH IS CONSISTENT WITH HISTORICAL FILINGS.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ SUSAN J. DLOTT

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544