

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dawson, Kent J.	2. Court or Organization District of Nevada	3. Date of Report 05/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 333 Las Vegas Boulevard South Room 4085 Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. General Partner	Multistate Properties
2. Officer/Director	Kent J. Dawson Chtd., a professional law corporation
3. Manager/Member	Los Feliz Estates, LLC
4. Manager/Member	Six Sixteen South Third Street, LLC
5. Officer/Director	Multistate Properties, Inc.
6. Officer	Los Feliz Owners Association
7. General Partner	K & R Dawson, L.P.
8. Director	Henderson Nevada Historical Society, Inc.
9. Manager/Member	Dawson Orchards, LLC
10. Manager/Member	New Zealand Personal Tours, L.L.C.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 11/1/72	Kent Dawson/Nevada Public Employees Retirement System-retirement plan w/former employer - no control

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2. 2/1/95 Kent J. Dawson, Chtd./Kent Dawson - rental income and compensation for services rendered before becoming a judge

3.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Nevada Public Employees Retirement System/Retirement benefits	\$31,594.20
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Net Med Stock	C	Dividend	M	W					
2. Vacant Land BrianHead Utah		None	O	W					
3. 616 S. Third Street, LLC Rental Property, Las Vegas, Nevada	E	Rent	O	W					
4. Los Feliz Estates, LLC Building Lots, Las Vegas, Nevada		None	M	W					
5. - Los Feliz Estates, LLC Building Lot, Las Vegas, Nevada					Sold (part)	10/15/19	M	F	Carrasco
6. - Los Feliz Estates, LLC Building Lot, Las Vegas, Nevada					Sold (part)	10/15/19	M	F	Stafford
7. Los Feliz Estates, LLC Vacant Land, Las Vegas, Nevada		None	P1	W					
8. Vacant Land #2, Henderson, Nevada		None	M	W					
9. - Vacant Land #2, Henderson, Nevada					Sold (part)	04/08/19	O	G	Beazer
10. Kent J. Dawson, Chtd. Stock		None	L	U					
11. Irrevocable Trust									
12. - Real Estate, Las Vegas, Nevada	A	Rent	K	W					
13. - Real Estate, Roy, Utah	D	Rent	L	W					
14. Bank of Nevada Account	A	Interest	M	T					
15. Bank of New Zealand Accounts	A	Interest	J	T					
16. Lewis Manor Apartments		None	K	W					
17. Dawson Orchards LLC, Real Estate, Genola, Utah		None	O	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Serengetti Springs, LP Apartments		None	N	W					
19. Vacant Land Mohave County Arizona		None	J	W					
20. Wells Fargo - Various Accounts	B	Interest	J	T					
21. Mountain America CU	A	Interest	J	T					
22. Pennock Note	C	Interest	L	T					
23. ExchangeRight NLP 26	E	Interest	O	W	Buy	04/08/19	O		Exchange Right
24. ExchangeRight NLP 30	B	Interest	M	W	Buy	10/15/19	M		Exchange Right
25. ExclusiveRight NLP 30	B	Interest	M	W	Buy	10/15/19	M		Exchange Right
26. Sodus, New York Orchard		None	L	R	Buy	09/03/19	L		Hillis

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. Positions, Line 3 - "Los Feliz Estates" is a residential subdivision not a "trust or estate" entity. See VII, Lines 4 and 5.

VII. Investments and Trusts

Line 22 - "Pennock Note" relates to the transaction reported in Section VII. Investments and Trusts, Line 4 of the Financial Disclosure Report for Calendar year 2016. That transaction consisted of a cash down payment for a portion of the purchase price with the balance in the form of the "promisory note," secured by a Deed of Trust; and

Line 26 - "Sodus, New York Orchard" consisted of the purchase of an orchard in Sodus, New York on September 3, 2019 for a cash price of \$80,632.00

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kent J. Dawson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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