

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Juneau, Michael J.	2. Court or Organization Western District of Louisiana	3. Date of Report 05/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 800 Lafayette Street, Suite 4200 Lafayette, LA 70501		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Family Trust #2
2.	Co-tTrustee	Family Trust #2
3.	Co-Trustee	Family Trust #3
4.	Co-Trustee	Family Trust #4
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Juneau, Michael J.	05/08/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Juneau, Michael J.

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. YACKX mutual fund	C	Dividend	L	T					
2. YACKX					Buy	02/11/19	K		
3. YACKX					Buy (add'l)	02/12/19	J		
4. GBFLX mutual fund	D	Dividend	M	T					
5. GBFLX					Buy (add'l)	02/12/19	J		
6. GBFLX					Buy (add'l)	08/21/19	K		
7. GBFLX					Buy (add'l)	08/22/19	J		
8. HASCX mutual funda	C	Dividend	L	T					
9. HASCX					Buy	02/11/19	K		
10. ODVYX mutual funda	C	Dividend	L	T					
11. ODVYX					Buy (add'l)	02/11/19	J		
12. IVWIX mutual funf	D	Dividend	M	T					
13. IVWIX					Buy	02/11/19	L		
14. IVWIX					Buy (add'l)	08/21/19	L		
15. IVWIX					Buy (add'l)	08/22/19	J		
16. PRWCX mutual und	D	Dividend	M	T					
17. PRWCX					Buy (add'l)	08/21/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. TRBCX mutual fund	C	Dividend	K	T					
19. TRBCX					Buy	02/11/19	J		
20. VWIAX mutual fund	D	Dividend	M	T					
21. VWIAX					Buy	08/21/19	J		
22. VWIAX					Buy (add'l)	08/22/19	J		
23. IWP ETF	D	Dividend	M	T					
24. AOM ETF	D	Dividend	N	T					
25. AOR ETF	D	Dividend	N	T					
26. SHM ETF	D	Dividend	N	T					
27. VNQ ETF	C	Dividend	L	T					
28. VB ETF	C	Dividend	L	T					
29. VB					Buy	08/22/19	L		
30. DODIX mutual fund	E	Dividend	O	T					
31. DODIX					Buy (add'l)	03/26/19	J		
32. SGIIX mutual fund	C	Dividend	L	T					
33. SGIIX					Buy (add'l)	02/11/19	J		
34. SGIIX					Buy (add'l)	08/21/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Juneau, Michael J.

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. JBALX mutual fund	D	Dividend	M	T					
36. FLTRX mutual fund	E	Dividend	O	T					
37. FLTRX					Buy (add'l)	08/22/19	J		
38. NUVBX mutual fund	D	Dividend	M	T					
39. NUVBX					Buy	08/22/19	M		
40. OAYLX mutual fund	D	Dividend	N	T					
41. NVHIX mutual fund	D	Dividend	N	T					
42. JTFXX mutul fund	C	Dividend	L	T					
43. JTFXX					Buy	02/06/19	K		
44. JTFXX					Buy (add'l)	07/02/19	K		
45. JTFXX					Buy (add'l)	10/01/19	K		
46. PRSMX mutual fund	D	Dividend	N	T					
47. TGEIX mutual fund	D	Dividend	M	T					
48. TGEIX					Buy	02/11/19	L		
49. TGEIX					Buy (add'l)	08/22/19	M		
50. VWIUX mutual fund	D	Dividend	N	T					
51. EISMX mutual fund	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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52. EISMX					Buy (add'l)	08/21/19	J		
53. CITAX mutual fund	A	Dividend	J	T					
54. CFAAX mutual fund	A	Dividend	J	T					
55. CIRAX mutual fund	C	Dividend	J	T					
56. CCWAX mutual fund	A	Dividend	J	T					
57. CIMAX mutual fund	C	Dividend	J	T					
58. Chase Bank cash accounts	B	Interest	O	T					
59. Capital One Bank cash account	A	Interest	J	T					
60. Wells Fargo cash account	A	Interest	J	T					
61. VWIUX mutual fund	D	Dividend	O	T					
62. VWIUX					Sold (part)	04/30/19	J	A	
63. VWIUX					Buy (add'l)	04/30/19	N		
64. VWIUX					Sold (part)	06/17/19	N	B	
65. VWIUX					Sold (part)	09/10/19	J	A	
66. VWIUX					Sold (part)	09/16/19	K	B	
67. VWIUX					Sold (part)	12/30/19	K	B	
68. VTTVX mutual fund	D	Dividend	O	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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Juneau, Michael J.

Date of Report

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69. VTTVX					Buy (add'l)	01/28/19	J		
70. VSMAX mutual fund	C	Dividend	M	T					
71. GABAX mutual fund	C	Dividend	M	T					
72. Prudential Whole Life Policies	A	None	M	T					
73. NW Mutual Whole Life Policy	A	None	K	T					
74. Ameritas Whole Life Policies	A	None	L	T					
75. CSRSX mutual fund	A	None			Sold	02/11/19	K	C	
76. EEM ETF	A	None			Sold	08/21/19	M	D	
77. NVHIX mutual fund	A	None			Sold	02/11/19	N	D	
78. TBGVX mutual fund	A	None			Sold	02/11/19	L	C	
79. SGRNX mutual fund	A	None			Sold	02/11/19	L	C	
80. PRFHX mutual fund					Sold	02/11/19	M	D	
81. AAFXX mutual fund	A	None			Sold	12/30/19	K	C	
82. CBOAX mutual fund	A	None			Sold	12/30/19	L	C	
83. CWMAX mutual fund	A	None			Sold	12/30/19	J	C	
84. DODBX mutual fund	A	None			Sold (part)	03/25/19	N	E	
85. DODBX					Sold	06/05/19	O	E	

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86. OAYLX (OAKLX)	A				Sold (part)	03/22/19	N	E	
87. OAYLX					Sold	06/05/19	O	E	
88. BVAOX - Y									
89. GACIX - Y									
90. HFMIX - Y									
91. HWMIX - Y									
92. JBLAX - Y									
93.									
94.									
95.									
96.									
97.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting	Date of Report
Juneau, Michael J.	05/08/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Juneau, Michael J.

Date of Report

05/08/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael J. Juneau**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544