

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Timothy J.	2. Court or Organization U.S. District Court for the District of Columbia	3. Date of Report 07/22/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Prettyman U.S. Federal Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____	_____
2.	_____	_____
3.	_____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Salary from [REDACTED]
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.		Tuition Agreement	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Individual Assets (H)									
2. Wells Fargo (cash)	A	Interest	J	T					
3. Brokerage Acct #1 (H)									
4. SPDR Gold Trust ETF (GLD)		None	J	T					
5. Market Vectors Gold Miners ETF (GDX)	A	Dividend	J	T					
6. Diamond Hill Large Cap Fund I (DHLRX)	A	Dividend	J	T					
7. Franklin Fed. Intermediate-Term Tax-Free Income Fund Advisor (FITZX)	A	Dividend	J	T					
8. Goldman Sachs Investor Tax-Exempt Money Market Fund FST (FTXXX)	A	Dividend	J	T					
9. Harbor Small Cap Value Fund Institutional (HASCX)	A	Dividend	J	T					
10. Harbor Capital Appreciation Fund Institutional (HACAX)	A	Dividend	J	T					
11. American Funds New World Fund F-2 (NFFFX)	A	Dividend	J	T	Sold (part)	12/16/19	J	A	
12. John Hancock Funds Disciplined Value Mid Cap Fund I (JVMIX)	A	Dividend	J	T					
13. MFS Municipal High Income Fund I (MMIIX)	A	Dividend	K	T					
14. Nuveen Limited Term Municipal Bond Fund I (FLTRX)	A	Dividend	K	T					
15. Nuveen Intermediate Duration Municipal Bond Fund I (NUVBX)	A	Dividend	K	T					
16. Oppenheimer Senior Floating Rate Fund Y (OOSYX)	A	Dividend			Sold	03/12/19	K		
17. Nuveen Real Estate Securities Fund I (FARCX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Oakmark International Fund Investor Class (OAYIX)	A	Dividend	J	T	Sold (part)	12/16/19	J		
19. T. Rowe Price Equity Income Fund (PRFDX)	B	Dividend	J	T	Sold (part)	12/16/19	J		
20. T. Rowe Price Summit Mun Fds Inc-Mun FD (PRSMX)	A	Dividend	K	T	Buy	03/13/19	K		
21.					Sold (part)	06/18/19	J	B	
22. T. Rowe Price Blue Chip Growth Fund (TRBCX)	A	Dividend	J	T					
23. T. Rowe Price Mid-Cap Growth Fund (RPMGX)	A	Dividend	J	T					
24. Thornburg Limited Term Municipal Fund I (LTMIX)	A	Dividend	J	T					
25. Brokerage Acct #2 (H)									
26. Wells Fargo (cash) (X)	A	Interest	K	T					
27. Crown Banking (cash) (X)		None	J	T					
28. Invesco QQQ Tr ETF (QQQ) (X)	A	Dividend	J	T					
29. Ishares Core S&P Smallcap ETF (IJR) (X)	A	Dividend	J	T					
30. Ishares Edge MSCI Min Vol Emerg Mkts ETF (EEMV) (X)	A	Dividend	J	T					
31. SPDR Dow Jones Indl Avg ETF Tr (DIA) (X)	A	Dividend	J	T					
32. SPDR S&P 500 Trust ETF (SPY) (X)	A	Dividend	J	T					
33. Vanguard Dividend Appreciation ETF (VIG) (X)	A	Dividend	J	T					
34. Vanguard FTSE Developed Mkts ETF (VEA) (X)	A	Dividend	J	T					

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35. Vanguard Index Fds S&P 500 ETF (VOO) (X)	A	Dividend	J	T					
36. Vanguard Mid Cap ETF (VO) (X)	A	Dividend	J	T					
37. Vanguard Russell 1000 ETF (VONE) (X)	A	Dividend	J	T					
38. Vanguard Small Cap ETF (VB) (X)	A	Dividend	J	T					
39. IRA Acct #1 (H)									
40. Deutsche Enhanced Commodity Strategy Fund Institutional (SKIRX)	A	Dividend			Sold	08/06/19	J		
41. William Blair International Growth Fund I (BIGIX)		None			Sold	03/19/19	J	B	
42. Eagle Small Cap Growth Fund I (HSIIX)	A	Dividend	J	T					
43. Dodge & Cox FDS Intl Stk Fd (DODFX)	A	Dividend	J	T	Buy	03/20/19	J		
44.					Buy (add'l)	08/06/19	J		
45. Eaton Vance Income Fund of Boston I (EIBIX)	A	Dividend	J	T					
46. AMG Times Square Mid Cap Growth Fund N (TQMIX)	B	Dividend	J	T					
47. John Hancock Classic Value Fund I (JCVIX)	A	Dividend	J	T					
48. Hotchkis & Wiley Mid-Cap Value Fund I (HWMIX)		None			Sold	02/21/19	J	A	
49. American Funds Washington Mutual Investors Fund F-2 (WMFFX)	B	Dividend	J	T					
50. Lazard Emerging Market Equity Portfolio Institutional (LZEMX)	A	Dividend	J	T	Buy (add'l)	08/06/19	J		
51. MFS Value Fund Class I (MEIIX)	A	Dividend	J	T					

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52. MFS Emerging Markets Debt I (MEDIX)	A	Dividend	J	T					
53. MainStay Large Cap Growth Fund I (MLAIX)	B	Dividend	J	T					
54. Wells Fargo Emerging Growth Fund I (WEMIX)	A	Dividend	J	T					
55. Principal Global Real Estate Securities Fund (POSIX)	A	Dividend			Sold	08/06/19	J	A	
56. Invesco Oppenheimer Developing Markets Fund Y (ODVYX)	A	Dividend	J	T	Buy (add'l)	08/06/19	J		
57. T. Rowe Price Real Estate Fund (TRREX)	A	Dividend			Sold	08/06/19	J	A	
58. T Rowe Price Intl Fd Overseas Stk Fd (TROSX)	A	Dividend	J	T					
59. Virtus Asset TR Ceredex Mid Cap Value Equity Fd CL I (SMVTX)	A	Dividend	J	T	Buy	02/21/19	J		
60.					Buy (add'l)	08/06/19	J		
61. Victory Sycamore Small Company Opportunity Fund I (VSOIX)	B	Dividend	K	T	Buy (add'l)	08/07/19	J		
62. Voya Large-Cap Growth Fund I (PLCIX)	A	Dividend	J	T					
63. Wells Fargo Core Bond Fund I (MBFIX)	A	Dividend	J	T					
64. IRA Acct #2 (H)									
65. William Blair International Growth Fund I (BIGIX)		None			Sold	03/19/19	J	A	
66. Dodge & Cox FDS Intl Stk Fd (DODFX)	A	Dividend	J	T	Buy	03/20/19	J		
67. AMG Times Square Mid Cap Growth Fund N (TQMIX)	A	Dividend	J	T					
68. John Hancock Classic Value Fund I (JCVIX)	A	Dividend	J	T					

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69. American Funds Washington Mutual Investors Fund F-2 (WMFFX)	A	Dividend	J	T					
70. Lazard Emerging Market Equity Portfolio Institutional (LZEMX)	A	Dividend	J	T					
71. MFS Value Fund Class I (MEIIX)	A	Dividend	J	T					
72. MainStay Large Cap Growth Fund I (MLAIX)	A	Dividend	J	T					
73. Invesco Oppenheimer Developing Markets Fund Y (ODVYX)	A	Dividend	J	T					
74. T Rowe Price Intl Fd Overseas Stk Fd (TROSX)	A	Dividend	J	T					
75. Virtus Asset TR Ceredex Mid Cap Value Equity Fd CL I (SMVTX) (X)	A	Dividend	J	T					
76. Victory Sycamore Small Company Opportunity Fund I (VSOIX)	A	Dividend	J	T					
77. Voya Large-Cap Growth Fund I (PLCIX)	A	Dividend	J	T					
78. Roth IRA #1 (H)									
79. William Blair International Growth Fund I (BIGIX)		None			Sold	03/19/19	J	A	
80. Eagle Small Cap Growth Fund I (HSIIX)	A	Dividend	J	T					
81. Dodge & Cox FDS Intl Stk Fd (DODFX)	A	Dividend	J	T	Buy	03/20/19	J		
82. Eaton Vance Income Fund of Boston I (EIBIX)	A	Dividend	J	T					
83. AMG Times Square Mid Cap Growth Fund N (TQMIX)	A	Dividend	J	T					
84. John Hancock Classic Value Fund I (JCVIX)	A	Dividend	J	T					
85. Hotchkis & Wiley Mid-Cap Value Fund I (HWMIX)		None			Sold	02/21/19	J	A	

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86. American Funds Washington Mutual Investors Fund F-2 (WMFFX)	A	Dividend	J	T					
87. Lazard Emerging Market Equity Portfolio Institutional (LZEMX)	A	Dividend	J	T					
88. MFS Value Fund Class I (MEIIX)	A	Dividend	J	T					
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90. MainStay Large Cap Growth Fund I (MLAIX)	A	Dividend	J	T					
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92. Principal Global Real Estate Securities Fund (POSIX)	A	Dividend			Sold	08/06/19	J	A	
93. Oppenheimer Invesco Developing Markets Fund Y (ODVYX)	A	Dividend	J	T					
94. T. Rowe Price Real Estate Fund (TRREX)	A	Dividend			Sold	08/06/19	J	A	
95. T Rowe Price Intl Fd Overseas Stk Fd (TROSX)	A	Dividend	J	T					
96. Virtus Asset TR Ceredex Mid Cap Value Equity Fd CL I (SMVTX)	A	Dividend	J	T	Buy	02/21/19	J		
97.					Buy (add'l)	08/06/19	J		
98. Victory Sycamore Small Company Opportunity Fund I (VSOIX)	A	Dividend	J	T					
99. Voya Large-Cap Growth Fund I (PLCIX)	A	Dividend	J	T					
100. Wells Fargo Core Bond Fund I (MBFIX)	A	Dividend	J	T					
101. 529 Plan #1 (H)									
102. American Funds The Growth Fund of America 529-A (CGFAX)	C	Dividend	L	T					

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103. American Funds The New Economy Fund 529-A (CNGAX)	C	Dividend	K	T					
104. American Funds New Perspective Fund 529-A (CNPAX)	B	Dividend	K	T					
105. American Funds New World Fund 529-A (CNWAX)	A	Dividend	K	T					
106. American Funds SmallCap World Fund 529-A (CSPAX)	B	Dividend	K	T					
107. American Funds College 2027 Fund 529-A (CSTAX)	B	Dividend	K	T					
108. 529 Plan #2 (H)									
109. American Funds The Growth Fund of America 529-A (CGFAX)	A	Dividend	J	T					
110. American Funds The New Economy Fund 529-A (CNGAX)	A	Dividend	J	T					
111. American Funds New Perspective Fund 529-A (CNPAX)	A	Dividend	J	T					
112. American Funds New World Fund 529-A (CNWAX)	A	Dividend	J	T					
113. American Funds SmallCap World Fund 529-A (CSPAX)	A	Dividend	J	T					
114. American Funds College 2030 Fund 529-A (CTHAX)	A	Dividend	K	T					
115. 457(b) Plan #1 (H)									
116. Vanguard Target Retirement 2035 Trust		None	K	T					
117. 401(A) Plan #1 (H)									
118. Vanguard Target Retirement 2035 Trust		None	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Kelly, Timothy J.

Date of Report

07/22/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Invesco Oppenheimer Developing Markets Fund Y (ODVYX) was Oppenheimer Developing Markets Fund Y (ODVYX).

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kelly, Timothy J.

Date of Report

07/22/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Timothy J. Kelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544