

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Barnes, Timothy A.	2. Court or Organization US Bankruptcy Court, Northern District of Illinois	3. Date of Report 04/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address 219 S. Dearborn St. Chicago, IL 60604		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	IIT Chicago Kent School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1. 2011-2018	CMP Retirement Plan (retirement plan with former law firm -- no control)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	IIT Chicago Kent School of Law - teaching salary	\$1,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Justworks (PEO of Egan Tax & Books Ltd) - accountant salary
2. 2018	CCB XIV LLC - member/self-employed accountant
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Administrative Office of US Courts/Federal Judicial Center	07/09/2018 - 07/14/2018	Denver, CO	Education and retirement seminar	Travel, lodging and food
2. Federal Judicial Center	09/11/2018 - 09/14/2018	Irvine, CA	Education seminar	Travel, lodging and food
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. American Express	Credit card	J
2. Citibank	Credit card	J
3. JP Morgan Chase Co.	Credit card	K
4. Wells Fargo Bank	Credit Card	K
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Life Strategy Growth	A	Int./Div.			Distributed	11/01/18	J		
2. JP Morgan Chase Co. IRA #1 (H)									
3. -- JPM Investor Growth & Income FD	A	Int./Div.			Distributed	01/01/18	J		
4. JP Morgan Chase Co. IRA #2	A	Int./Div.	J	T					
5. JP Morgan Chase Co. Roth IRA (H)									
6. -- JPM Investor Growth & Income FD - SEL	A	Int./Div.	J	T					
7. CMP HR-10 Retirement Plan (Sentinel Benefits) (H)									
8. -- Hartford International Opportunities	A	Int./Div.	K	T					
9. -- American Beacon Lg Cap Value	A	Int./Div.	L	T					
10. -- T. Rowe Price Equity Inc. (Y)									
11. -- Fidelity Government Money Market (Y)									
12. -- BlackRock Equity Index Fund CI R	A	Int./Div.	J	T					
13. -- More Stable Value 25	A	Int./Div.	L	T					
14. -- Fidelity Worldwide	A	Int./Div.	J	T					
15. CMP 401k (Sentinel Benefits) (H)									
16. -- Artisan International	A	Int./Div.	K	T					
17. -- Fidelity Blue Chip	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -- American Beacon Large Cap Value	A	Int./Div.	L	T					
19. -- Prudential Jennison Natural Resources Z	A	Int./Div.	J	T					
20. -- Morley Stable Value 50	A	Int./Div.	K	T					
21. BlackRock College Advantage 529 Plan (OH) #1 (H)									
22. -- Blackrock Equity Dividend Fund	A	Int./Div.	J	T					
23. -- BlackRock Base Value Fund, Inc.	A	Int./Div.	J	T					
24. -- BlackRock Large Cap Growth Fund	A	Int./Div.	J	T					
25. -- BlackRock Capital Appreciation Fund, Inc.	A	Int./Div.	J	T					
26. -- BlackRock U.S. Opportunities Portfolio	A	Int./Div.	J	T					
27. -- BlackRock Valye Cap Growth Equity Portfolio	A	Int./Div.	J	T					
28. -- BlackRock International Opportunities Fund	A	Int./Div.	J	T					
29. -- BlackRock Global Allocation Fund, Inc.	A	Int./Div.	J	T					
30. -- BlackRock Total Return Fund	A	Int./Div.	J	T					
31. -- BlackRock Bond Portfolio	A	Int./Div.	J	T					
32. -- BlackRock Long Duration Bond Portfolio	A	Int./Div.	J	T					
33. -- BlackRock Low Duration Bond Portfolio	A	Int./Div.	J	T					
34. -- BlackRock Money Market Portfolio	A	Int./Div.	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. BlackRock College Advantage 529 Plan (OH) #2 (H)									
36. -- BlackRock Equity Divident Fund	A	Int./Div.	J	T					
37. -- BlackRock Base Value Fund, Inc.	A	Int./Div.	J	T					
38. -- BlackRock Large Cap Growth Fund	A	Int./Div.	J	T					
39. -- BlackRock Capital Appreciation Fund, Inc.	A	Int./Div.	J	T					
40. -- BlackRock U.S. Opportunities Portfolio	A	Int./Div.	J	T					
41. -- BlackRock Value Cap Growth Equity Portfolio	A	Int./Div.	J	T					
42. -- BlackRock International Opportunities Fund	A	Int./Div.	J	T					
43. -- BlackRock Global Allocation Fund	A	Int./Div.	J	T					
44. -- BlackRock Total Return Fund	A	Int./Div.	J	T					
45. -- BlackRock Bond Portfolio	A	Int./Div.	J	T					
46. -- BlackRock Long Duration Bond Portfolio	A	Int./Div.	J	T					
47. -- BlackRock Low Duration Bond Portfolio	A	Int./Div.	J	T					
48. -- BlackRock Money Market Portfolio	A	Int./Div.	J	T					
49. JP Morgan checking account	A	Interest			Closed	06/01/18	J		
50. Wells Fargo checking account	A	Interest	J	T					
51. Wells Fargo savings account	A	Interest	J	T					

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52. Wells Fargo checking account	A	Interest	J	T					
53. Wells Fargo savings account	A	Interest	J	T					
54. Standing Stone National Bank	A	Interest	J	T					
55. TransAmerica 401K 2040 Fund (H)									
56. -- Schwab US Large-Cap ETF	A	Int./Div.			Closed	01/01/18	J		
57. -- Vanguard FTSE Developed Markets ETF	A	Int./Div.			Closed	01/01/18	J		
58. -- iShares Core US Aggregate Bond ETF	A	Int./Div.			Closed	01/01/18	J		
59. -- Schwab US Small Cap ETF	A	Int./Div.			Closed	01/01/18	J		
60. -- Vanguard FTSE Emerging Markets ETF	A	Int./Div.			Closed	01/01/18	J		
61. -- Schwab US REIT ETF	A	Int./Div.			Closed	01/01/18	J		
62. -- Vangaurd Total International Bond ETF	A	Int./Div.			Closed	01/01/18	J		
63. Slavic 401K Moderate Plan (H)									
64. -- DFA Emerging Markets Core Equity	A	Int./Div.	J	T	Open	01/01/18	J		
65. -- American Funds EuroPacific	A	Int./Div.	J	T	Open	01/01/18	J		
66. -- Vanguard Industrial Index Admiral	A	Int./Div.	J	T	Open	01/01/18	J		
67. -- Vanguard Information Technology Index	A	Int./Div.	J	T	Open	01/01/18	J		
68. -- Vanguard Federal Money Market	A	Int./Div.	J	T	Open	01/01/18	J		

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69. -- Vanguard Short Term Federal Admiral	A	Int./Div.	J	T	Open	01/01/18	J		
70. -- Vanguard Total Stock Market Index	A	Int./Div.	J	T	Open	01/01/18	J		
71.									
72.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Timothy A. Barnes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544