

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Conner, Christopher C.	2. Court or Organization Middle District Pennsylvania	3. Date of Report 12/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☒ Amended Report	
7. Chambers or Office Address P.O. Box 847 Harrisburg, PA 17108-0847		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Custodian	Fidelity Advisor 529 College Savings Plan #2
2.	Trustee	Trustee Account #1
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Pennsylvania State Employees Credit Union	Mortgage equity loan on Rental Property, Mechanicsburg, PA (Part VII. Line 5)	J
2.	Riverview Bank	LOC secured by personal real estate, Harrisburg PA - Refinanced in 2018	None
3.	PenFed Credit Union (X)	Mortgage secured by personal real estate, Scranton, PA	K
4.	PenFed Credit Union (X)	Mortgage secured by personal real estate, Scranton, PA -Sold July 2018	None
5.	PenFed Credit Union (X)	Line of credit secured by personal real estate, Scranton, PA - Sold July 2018	None

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. First National Bank cash accounts (formerly referred to as FNB)	A	Interest	J	T					
2. RENTAL PROPERTY, Mechanicsburg, PA (2004, \$302,250)	E	Rent	N	R					
3. - DowDuPont Inc. common stock	A	Dividend	J	T					
4. Charles Schwab Investment Portfolio #1(H)									
5. - Schwab Value Advantage Money Fund	A	Dividend	J	T	Buy	01/03/18	M		
6.					Sold (part)	01/22/18	K		
7.					Sold (part)	03/29/18	K		
8.					Sold (part)	07/20/18	L		
9.					Sold (part)	12/12/18	J		
10. Charles Schwab Investment Portfolio #2(H)									
11. - Schwab Value Advantage Money Fund	B	Dividend	M	T	Buy	07/20/18	M		
12.									
13. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #2 (H)									
14. - FA 529 Dividend Growth Portfolio Class A	A	Int./Div.			Distributed	12/31/18	J	A	
15. - FA 529 Stock Selector Mid Cap Portfolio Class A	A	Int./Div.			Distributed (part)	11/08/18	J	A	
16.					Distributed	12/31/18	J	A	
17. - FA 529 Limited Term Bond Portfolio Cl A (formerly Intermediate Bond)	C	Int./Div.			Distributed (part)	11/16/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Distributed	12/31/18	J	A	
19. TRUSTEE ACCOUNT #1 (H)									
20. - Schwab One money market account	A	Interest	K	T					
21. - US Treasury Bill		None	L	T	Buy	10/10/18	L		
22. - Berkshire Hathaway Class B common stock		None	K	T	Sold (part)	01/11/18	J	C	
23. - Pimco Income Fund Class A&D	B	Dividend	J	T	Sold (part)	05/21/18	J	B	
24.					Sold (part)	06/11/18	K		
25. - Schwab Fundamental International Large Company Index Fund	A	Dividend	J	T	Sold (part)	06/28/18	J	B	
26. - Vanguard Dividend Growth Fund Investor shares	A	Dividend	J	T	Sold (part)	03/09/18	J	B	
27. - Vanguard Selected Value Fund	A	Dividend	J	T	Sold (part)	01/18/18	J	B	
28. - T Rowe Price Blue Chip Growth Fund		None			Sold	01/18/18	J	C	
29. - BBH Core Select Fund Class N		None			Sold	05/29/18	K		
30. - Glenmede Large Cap Growth Fund	A	Dividend			Sold	01/18/18	J	C	
31. - Select STR Financial Select SPDR ETF	A	Dividend	K	T	Buy (add'l)	09/28/18	J		
32. - Powershares QQQ Trust 1 ETF IV	A	Dividend			Sold	01/18/18	J	B	
33. - Invesco QQQ Trust	A	Dividend	J	T	Spinoff (from line 32)	06/04/18	J		
34.					Sold (part)	07/31/18	J	C	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. -Invesco Senior Loan ETF	B	Dividend	K	T	Buy	01/26/18	K		
36. - IShares US Healthcare ETF	A	Dividend	J	T	Sold (part)	01/18/18	J	A	
37. - IShares US Medical Devices ETF	A	Dividend	J	T	Buy	08/08/18	J		
38. - Xtrackers MSCI All World Ex US Hedged Equity ETF	A	Dividend			Sold	05/29/18	J	A	
39. - iShares MSCI Eurozone ETF		None			Sold	05/29/18	J	A	
40. - SPDR Gold Shares		None	J	T	Sold (part)	01/29/18	J	A	
41. - iShares China Large Cap ETF	A	Dividend			Sold	06/28/18	J		
42. - iShares TIPS Bond ETF	A	Dividend			Sold	10/03/18	J		
43. - Vanguard Value ETF	A	Dividend	J	T	Buy	10/03/18	K		
44. CHARLES SCHWAB IRA #1 (H)									
45. - Charles Schwab Bank Sweep insured deposit account	A	Interest	J	T					
46. - Schwab Value Advantage Money Fund	C	Dividend	L	T	Redeemed (part)	07/20/18	N		
47.					Redeemed (part)	12/11/18	J		
48. CHARLES SCHWAB IRA #2 (H)									
49. - Schwab Value Advantage Money Fund	C	Dividend	N	T	Open	07/20/18	N		
50.									
51. FIDELITY IRA ACCOUNT #2 (H)									

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52. - Fidelity Extended Market Index Investor Fund (X)	A	Dividend	J	T					
53. - Fidelity Select Biotechnology Fund (X)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher C. Conner**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544