

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ahler, James R.	2. Court or Organization Indiana Northern Bankruptcy Court	3. Date of Report 08/02/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 5400 Federal Plaza Hammond, IN 46230		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Trustee	Trust #2
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2015	Indiana Public Retirement System
2.	
3.	

Name of Person Reporting	Date of Report
Ahler, James R.	08/02/2019

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Fifth Third Bank (cash)	A	Interest	M	T					
2. Chase Bank (cash)	A	Interest	K	T					
3. Trust Account #1 (H)									
4. DFA Tax Mgd Mktwide Val Port (DTMMX)	D	Dividend	L	T					
5. SSGA FDS S&P 500 Index (SVSPX)	A	Dividend			Sold	08/09/18	J		
6. Trust Account #2 (H)									
7. DFA Tax Managed US Equity Port (DTMEX)	B	Dividend	M	T					
8. DFA Tax Mgd US Sm Cap Fund (DFTSX)	A	Dividend	J	T					
9. SSGA FDS S&P 500 Index (SVSPX)	A	Dividend			Sold	08/09/18	J		
10. IRA #1 (H)									
11. DFA Emerging Markets Small Cap PTF (DEMSX)	A	Dividend	J	T					
12. DFA Intl Sm Cap Val Port (DISVX)	B	Dividend	K	T					
13. DFA Emerging Mkts PTF (DFEMX)	A	Dividend	J	T					
14. IRA #2 (H)									
15. DFA US Micro Cap FD (DFSCX)	B	Dividend	K	T					
16. DFA US Sm Cap Val Port (DFSVX)	A	Dividend	J	T					
17. DFA Five-Year Global Fixed PTF (DFGBX)	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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18. IRA #3 (H)									
19. DFA Emerging Mkts Value PTF (DFEVX)	A	Dividend	J	T					
20. DFA Intl SML PTF (DFISX)	A	Dividend	J	T					
21. IRA #4 (H)									
22. DFA Short Term Govt PTF (DFFGX)	A	Dividend	K	T					
23. DFA One-Year Fixed Income PTF (DFIHX)	A	Dividend	K	T					
24. DFA Intl Sml PTF (DFISX)	A	Dividend	J	T					
25. DFA Two-Year Global Fixed PTF (DFGFX)	A	Dividend	K	T					
26. DFA US Small Cap Value Port (DFSVX)	C	Dividend	K	T					
27. DFA Global Real Estate SEC PTF (DFGEX)	B	Dividend	K	T					
28. DFA International Value (DFIVX)	B	Dividend	K	T					
29. Indiana Public Employees Deferred Comp Plan (H)									
30. Fidelity Diversified International Core Equity Fund (FDIVX)		None			Sold	06/30/18	L		
31. Fidelity Diversified Intl K6 (FKIDX)	A	Dividend	L	T	Buy	06/30/18	L		
32. Ironbridge Frontegra SMID Fund (IBSMX)		None			Sold	03/31/18	K		
33. Vanguard Capital Opportunity Fund (mid cap growth) (VHCOX)	D	Dividend	L	T					
34. MFS Mid Cap Value R6 (MVCKX)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Vanguard Extended Mkt Idx I (VEXMX)	A	Dividend	K	T	Buy	03/31/18	K		
36. EB Dynamic Value Equity Fund Cl II		None	K	T					
37. Pimco Total Return Instl (PTTNX)	B	Dividend	L	T					
38. IRA #5 (H)									
39. PNC Bank Deposit Sweep Account (Cash)	A	Interest			Closed	06/11/18	L		
40. ADVISORS EDGEWOOD GROWTH FD INSTL CL (EGFIX) (X)		None			Buy	06/12/18	J		
41.					Sold	12/03/18	J		
42. BLACKROCK FDS ISHARES MSCI DEVELOPED WORLD INDX (BWKX) (X)	A	Dividend			Buy	06/12/18	J		
43.					Sold (part)	07/11/18	J		
44.					Sold	10/26/18	J		
45. BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX (BTMKX) (X)	A	Dividend			Buy	06/12/18	J		
46.					Sold (part)	08/14/18	J		
47.					Buy (add'l)	10/26/18	J		
48.					Sold	12/03/18	J		
49. CAUSEWAY CAP MGMT TR INTL VALUE FD INSTL (CIVIX) (X)	A	Dividend	J	T	Buy	06/12/18	J		
50. CLEARBRIDGE APPRECIATION FUND CLASS IS (LMESX) (X)		None			Buy	06/12/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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51.					Sold	12/03/18	J		
52. DIAMOND HILL FDS LARGE CAP FD CL I (DHLRX) (X)		None			Buy	06/12/18	J		
53.					Sold (part)	10/26/18	J		
54.					Sold	12/03/18	J		
55. FIDELITY SALEM STR 500 IDX FD INST PREM (FXAIX) (X)	A	Dividend	J	T	Buy	06/12/18	J		
56.					Buy (add'l)	12/03/18	J		
57. FIDELITY SALEM STR TR INTL IDX FD (FSPSX)	A	Dividend	J	T	Buy	12/03/18	J		
58. HARRIS ASSOC INVT TR OAKMARK INTL FD (OANIX) (X)	A	Dividend	J	T	Buy	06/12/18	J		
59. INVESTMENT MANAGERS SER TR WCM FOCUSED INTL GROWTH (WCMIX) (X)	A	Dividend	J	T	Buy	06/12/18	J		
60. ISHARES INC ISHARES MSCI JAPAN ETF (EWJ) (X)	A	Dividend	J	T					
61. ISHARES MSCI CANADA ETF (EWC) (X)	A	Dividend	J	T					
62. VANGUARD INDEX TR VANG 500 IDX ADM (VFIAX) (X)	A	Dividend	K	T	Buy	06/12/18	J		
63.					Buy (add'l)	07/11/18	J		
64.					Buy (add'l)	10/26/18	J		
65. DODGE & COX INCOME FUND (DODIX) (X) (Y)									
66. PGIM TOTAL RETURN BOND (PTRQX)	A	Dividend	J	T	Buy	06/12/18	J		

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67. VANGUARD FIXED INC SECS INTER TERM INVT ADM (VFIDX)	A	Dividend	J	T	Buy	06/12/18	J		
68. VANGUARD SHORT TERM BOND ETF (BSV)	A	Dividend	J	T	Buy	06/12/18	J		
69. VANGUARD TOTAL INTL BD ETF (BNDX)	A	Dividend	J	T	Buy	06/12/18	J		
70. WELLS FARGO FDS TR BD FD R6 (WTRIX)	A	Dividend	J	T	Buy	06/12/18	J		
71. IRA #6 (H)									
72. JPMorgan IRA Deposit Sweep Mgd (cash equiv)	A	Interest	K	T	Open	03/29/18	P1		
73. ADVISORS EDGEWOOD GROWTH FD IN STL CL DIV & CAP GAIN (EGFIX)		None			Buy	04/02/18	K		
74.					Sold (part)	10/26/18	J		
75.					Sold	12/03/18	K	C	
76. BLACKROCK FDS ISHARES MSCI DEVELOPED WORLD INDX (BWKX)	B	Dividend			Buy	04/02/18	M		
77.					Sold (part)	07/11/18	L	C	
78.					Sold	10/26/18	L		
79. BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX (BTMKX)	A	Dividend			Buy	04/02/18	M		
80.					Sold (part)	06/13/18	K	A	
81.					Sold (part)	07/11/18	J		
82.					Sold (part)	08/14/18	K		
83.					Sold (part)	09/20/18	J	A	

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84.					Buy (add'l)	10/26/18	K		
85.					Sold (part)	11/14/18	J		
86.					Sold	12/03/18	M		
87. BROWN ADVISORY FDS JPAN ALPHA OPPTY FUND INVT (BAFJX)		None			Buy	04/02/18	J		
88.					Sold	10/26/18	J		
89. CAUSEWAY CAP MGMT TR INTL VALUE FD INSTL (CIVIX)	B	Dividend	L	T	Buy	04/02/18	L		
90.					Buy (add'l)	12/03/18	J		
91. CLEARBRIDGE APPREC FD IS (LMESX) (FORMERLY I (SAPYX))		None			Buy	04/02/18	K		
92.					Sold (part)	10/26/18	K	B	
93.					Sold	12/03/18	K	C	
94. DIAMOND HILL FDS LARGE CAP FD CL I SHS (DHLRX)	A	Dividend			Buy	04/02/18	L		
95.					Sold (part)	10/26/18	K		
96.					Sold (part)	11/14/18	J	A	
97.					Sold	12/03/18	K	C	
98. FID SALEM STR TR FID 500 INDX FD (FXAIX)	B	Dividend	M	T	Buy	04/02/18	K		
99.					Sold (part)	11/14/18	J	A	
100.					Buy (add'l)	12/03/18	L		

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101. FID SALEM STR TR INTL INDX FD (FSPSX)	C	Dividend	M	T	Buy	12/03/18	M		
102. HARRIS ASSOC INVT TR OAKMARK INTL FD INSTL CL (OANIX)	C	Dividend	K	T	Buy	04/02/18	L		
103.					Sold (part)	09/20/18	J		
104.					Buy (add'l)	12/03/18	J		
105. INVESTMENT MANAGERS SER TR WCM FOCUSED INTL GROWTH (WCMIX)	B	Dividend	K	T	Buy	04/02/18	L		
106.					Sold (part)	09/20/18	J	A	
107. ISHARES INC ISHARES MSCI JAPAN ETF (EWJ)	A	Dividend	K	T	Buy	04/02/18	J		
108.					Buy (add'l)	07/11/18	K		
109.					Sold (part)	10/26/18	J		
110.					Sold (part)	12/03/18	J		
111. ISHARES MSCI CANADA ETF (EWC)	A	Dividend	K	T	Buy	08/14/18	K		
112.					Buy (add'l)	10/26/18	K		
113. ISHARES MSCI PACIFIC EX JAPAN (EPP)	A	Dividend	J	T	Buy	08/14/18	K		
114. VANGUARD INDEX TR VANGUARD 500 INDEX FD ADM (VFIAX)	D	Dividend	O	T	Buy	04/02/18	N		
115.					Buy (add'l)	07/18/18	K		
116.					Buy (add'l)	09/26/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
117.					Buy (add'l)	10/26/18	L		
118.					Sold (part)	11/14/18	K	A	
119. VANGUARD INTL EQUITY INDX FD (VGK)	A	Dividend	J	T	Buy	07/11/18	K		
120. DODGE & COX INCOME FUND (DODIX)	A	Dividend			Buy	04/02/18	J		
121.					Sold	12/17/18	J		
122. LORD ABBETT INVT TR SHT DUR INC (LOLDX)	A	Dividend	K	T	Buy	11/09/18	K		
123.					Sold (part)	11/14/18	J		
124. PGIM (FORMERLY PRUDENTIAL) TOTAL RETURN BD FD (PTRQX)	A	Dividend			Buy	04/02/18	K		
125.					Sold	12/03/18	K		
126. VANGUARD BOND INDEX FD TOT BD MKT (VBTLX)	A	Dividend	K	T	Buy	07/11/18	K		
127.					Buy (add'l)	11/09/18	K		
128.					Sold (part)	11/14/18	J		
129.					Buy (add'l)	12/03/18	J		
130. VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE (VFIDX)	A	Dividend	K	T	Buy	04/02/18	J		
131.					Buy (add'l)	12/03/18	J		
132. VANGUARD SHORT TERM BD ETF (BSV)	A	Dividend	K	T	Buy	06/13/18	K		
133.					Sold (part)	11/14/18	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
134.					Buy (add'l)	12/17/18	J		
135. VANGUARD TOTAL INTL BD ETF (BNDX)	B	Dividend	L	T	Buy	04/02/18	K		
136.					Buy (add'l)	07/11/18	J		
137.					Sold (part)	11/14/18	J		
138. WELLS FARGO CORE BD FD R6 (WTRIX) (FORMERLY INST (MBFIX))	A	Dividend	K	T	Buy	04/02/18	K		
139. ADVISORS INNER CIRCLE FD III CHILTON STRTGC ERPN (CHEUX)	A	Dividend			Buy	04/02/18	K		
140.					Sold (part)	07/11/18	J	A	
141.					Sold (part)	11/16/18	J		
142.					Sold	12/20/18	J		
143. AMERICAN BEACON FDS GLG TOTAL RETURN FD ULTRA (GLGUX)	A	Dividend			Buy	04/02/18	J		
144.					Sold	11/09/18	J		
145. AMERICAN BEACON FDS NUMERIC INTGRD ALPHA FD (NIAUX)		None			Buy	04/02/18	J		
146.					Sold	11/30/18	J		
147. AQR FDS MANAGED FUTURES STRATEGY FD (AQMRX)		None			Buy	04/02/18	J		
148.					Sold	07/11/18	J		
149. BLACKSTONE ALTRNTV INVT FDS ALT MULT STRAT (BXYMX)	A	Dividend	K	T	Buy	11/09/18	J		
150.					Buy (add'l)	11/30/18	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
151. CRM MUT FD TR LNG SHT OPPORTUNITIES FD (CRIHX)	A	Dividend	J	T	Buy	04/02/18	J		
152. DIAMOND HILL LONG-SHORT FD I (DHLX)	A	Dividend	J	T	Buy	11/16/18	J		
153. EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL (EQIPX)	A	Dividend	J	T	Buy	04/02/18	J		
154. GMO TR SGM MAJOR MKTS FD CL VI DIV (GSMHX)		None			Buy	04/02/18	J		
155.					Sold	11/09/18	J		
156. GOLDMAN SACHS STRATEGIC INCOME FUND INSTL CL (GSZIX)	A	Dividend			Buy	04/02/18	J		
157.					Sold	10/23/18	J		
158. NEUBERGER BERMAN ALTERNATIVE FDS LONG SHORT (NLSIX)		None			Buy	04/02/18	K		
159.					Sold (part)	07/11/18	J	A	
160.					Sold	11/09/18	J	A	
161. Brokerage Account #1 (H)									
162. ADVISORS EDGEWOOD GROWTH FD INSTL CL DIV & CAP GAIN (EGFIX)	A	Dividend	J	T	Buy	12/04/18	J		
163. BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX (BTMKX)	B	Dividend	K	T	Buy	12/04/18	K		
164. CAUSEWAY CAP MGMT TR INTL VALUE FD INSTL CL (CIVIX)	A	Dividend	J	T	Buy	12/04/18	J		
165. CLEARBRIDGE APPRECIATION FUND CLASS IS (LMESX)	A	Dividend	J	T	Buy	12/04/18	J		
166. DIAMOND HILL FDS LARGE CAP FD CL I SHS (DHLRX)	A	Dividend	J	T	Buy	12/04/18	J		
167. DODGE & COX INCOME FUND (DODIX)		None			Buy	12/04/18	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
168.					Sold	12/17/18	J	A	
169. FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND (FXAIX)	B	Dividend	L	T	Buy	12/04/18	M		
170. HARRIS ASSOC INVT TR OAKMARK INTL FD INSTL CL (OANIX)	A	Dividend	J	T	Buy	12/04/18	J		
171. INVESTMENT MANAGERS SER TR WCM FOCUSED INTL GROWTH (WCMIX)	A	Dividend	J	T	Buy	12/04/18	J		
172. ISHARES INC ISHARES MSCI JAPAN ETF (EWJ)	A	Dividend	J	T	Buy	12/04/18	J		
173. ISHARES MSCI CANADA ETF (EWC)	A	Dividend	J	T	Buy	12/04/18	J		
174. ISHARES MSCI PACIFIC EX JAPAN ETF (EPP)	A	Dividend	J	T	Buy	12/04/18	J		
175. VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF (VGK)	A	Dividend	J	T	Buy	12/04/18	J		
176. LORD ABBETT INVT TR SHORT DURATION INCOME FD CL (LOLDX)		None	J	T	Buy	12/04/18	J		
177. PGIM TOTAL RETURN BOND FD INC CL R6 (PTRQX)	A	Dividend	J	T	Buy	12/04/18	J		
178. VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX (VBTLX)		None	J	T	Buy	12/04/18	J		
179. VANGUARD FIXED INCOME SECS INTER TERM INVT (VFIDX)		None	J	T	Buy	12/04/18	J		
180. VANGUARD SHORT TERM BOND ETF (BSV)	A	Dividend	J	T	Buy	12/04/18	J		
181.					Buy (add'l)	12/17/18	J		
182. VANGUARD TOTAL INTERNATIONAL BOND ETF (BNDX)	A	Dividend	J	T	Buy	12/04/18	J		
183. BLACKSTONE ALTRNTV INVT FDS ALTRNTV MULTI STRTGY FD (BXYMX)	A	Dividend	J	T	Buy	12/04/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
184. CRM MUT FD TR LNG SHT OPPORTUNITIES FD(CRIHX)	A	Dividend	J	T	Buy	12/04/18	J		
185. DIAMOND HILL LONG-SHORT FUND CLASS I (DHL SX)	A	Dividend	J	T	Buy	12/04/18	J		
186. EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL (EQIPX)	A	Dividend	J	T	Buy	12/04/18	J		
187. Brokerage Account #2 (H)									
188. JPMorgan US Govt Money Mkt Fd Inst (IJGXX) (cash equiv)	A	Dividend	M	T	Open	11/29/18	L		
189. Brokerage Account #3 (H)									
190. JPMorgan US Govt Money Mkt Fd Inst (IJGXX) (cash equiv)		None	N	T	Open	12/18/18	N		
191. 529 Account #1 (H)									
192. my529 (X)	B	Dividend	L	T					
193. UESP Vanguard Total Stock Mkt Indx (VITPX) (Y)									
194. UESP Vanguard Total Bond Mkt Indx (VBMPX) (Y)									
195. UESP Vanguard Total Intl Stock Indx (VTPSX) (Y)									
196. 529 Account#2 (H)									
197. my529 (X)	B	Dividend	L	T					
198. UESP Vanguard Total Stock Mkt Indx (VITPX) (Y)									
199. UESP Vanguard Total Intl Stock Indx (VTPSX) (Y)									
200. UESP Vanguard Total Bond Mkt Indx (VBMPX) (Y)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
201. 529 Account #3 (H)									
202. College Choice US Equity Index Portfolio		None			Sold	08/17/18	K		
203. College Choice International Portfolio		None			Sold	08/17/18	J		
204. College Choice Bond Index Portfolio		None			Sold	08/17/18	J		
205. 529 Account #4 (H)									
206. College Choice US Equity Index Portfolio		None			Sold	08/17/18	K		
207. College Choice International Portfolio		None			Sold	08/17/18	J		
208. College Choice Bond Index Portfolio		None			Sold	08/17/18	J		
209. 529 Account #5 (H)									
210. Brightstart Aggressive Age Based 13-14		None	K	T	Buy	08/24/18	K		
211. 529 Account #6 (H)									
212. Brightstart Aggressive Age Based 9-10		None	K	T	Buy	08/24/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 16: Formerly DFA Intl Sm Cap Val Port (DFSVX).

Part VII, lines 30-32 and 35: Transaction dates are the closing dates for the corresponding quarterly statements, as more specific information is not available from the state plan manager.

Part VII, lines 192 and 197: UESP became "my529." There are no underlying holdings; the investment is now exclusively in units of my529. There are no corresponding reportable transactions.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James R. Ahler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544