

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alston, Christopher M.	2. Court or Organization U.S. Bankruptcy Court, Western District of Washington	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address 700 Stewart Street, Suite 6301 Seattle, WA 98101		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Center for Children and Youth Justice
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bar Association-Business Law Section	April 12-14, 2018	Orlando, FL	Business Bankruptcy Committee meeting	Transportation, meals, hotel, registration
2.	American Bar Association-Business Law Section	September 12-15, 2018	Austin, TX	Business Law Section Annual Meeting	Transportation, meals, hotel, registration
3.	American Bar Association-Business Law Section	October 27-31, 2018	San Antonio, TX	Business Bankruptcy Committee meeting	Meals, hotel, registration
4.	National Conference of Bankruptcy Judges	October 27-31, 2018	San Antonio, TX	Attend judges conference	Hotel, transportation
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. American Express	Credit Card	J
2. Westside School	Tuition	J
3. Seattle Preparatory Academy	Tuition	J
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BlackRock Muni Inc Qty Trust (BYM) Closed-End Fund	A	Dividend			Sold	07/10/18	J		
2. iShares Currency Hedged MSCI EAFE (HEFA) Exchange-Traded Fund	A	Dividend	J	T					
3. PIMCO Low Duration Active ETF (LDUR) Exchange-Traded Fund	A	Dividend			Sold (part)	07/10/18	J		
4.					Sold	07/10/18	K		
5. Fidelity Municipal Money Market (FTEXX) Money Market Fund	A	Dividend			Sold	07/10/18	J		
6. Fidelity® Government Cash Reserves (FDRXX) Money Market Fund	A	Dividend	K	T					
7. American Beacon Bridgeway Lg Cp Val Inst (BRLVX) Open-End Fund	C	Dividend	K	T					
8. American Funds Capital World Bond F1 (WBFFX) Open-End Fund	A	Dividend			Sold (part)	07/10/18	J	A	
9.					Sold	07/10/18	J		
10. American Funds Capital World Bond F2 (BFWFX) Open-End Fund	B	Dividend	K	T	Buy (add'l)	07/10/18	J		
11.					Buy (add'l)	07/10/18	J		
12. American Funds Europacific Growth F1 (AEGFX) Open-End Fund	A	Dividend			Sold	07/10/18	J	A	
13. American Funds Europacific Growth F2 (AEPFX) Open-End Fund	B	Dividend	K	T	Buy (add'l)	07/10/18	J		
14. Dodge & Cox Income (DODIX) Open-End Fund	A	Dividend	K	T					
15. Fidelity® Contrafund® (FCNTX) Open-End Fund	B	Dividend	K	T	Sold (part)	07/10/18	K		
16. Fidelity® Interm Muni Inc (FLTMX) Open-End Fund	A	Dividend	K	T					
17. Fidelity® International Index Premium (FSIVX) Open-End Fund	A	Dividend			Sold (part)	07/10/18	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Merged (with line 59)	11/02/18	J		
19. Harbor International Institutional (HAINX) Open-End Fund		None			Sold	07/10/18	K	D	
20. Harbor International Investor (HIINX) Open-End Fund		None			Sold	07/10/18	J	A	
21. Loomis Sayles Bond Instl (LSBDX) Open-End Fund	B	Dividend	K	T					
22. Loomis Sayles Bond Retail (LSBRX) Open-End Fund	A	Dividend	J	T	Buy (add'l)	07/10/18	J		
23. Merger Institutional (MERIX) Open-End Fund	B	Dividend	K	T					
24. Merger Investor (MERFX) Open-End Fund	A	Dividend	J	T					
25. Metropolitan West Total Return Bd I (MWTIX) Open-End Fund	B	Dividend	K	T	Buy (add'l)	07/10/18	J		
26. Metropolitan West Total Return Bd M (MWTRX) Open-End Fund	A	Dividend	J	T	Buy (add'l)	07/10/18	J		
27. Northern Small Cap Value (NOSGX) Open-End Fund	B	Dividend	K	T	Sold (part)	07/10/18	J	A	
28. Oppenheimer Developing Markets Y (ODVYX) Open-End Fund		None			Sold (part)	07/10/18	J	D	
29.					Sold	07/10/18	K		
30. PIMCO CommoditiesPLUS® Strategy D (PCLDX) Open-End Fund	A	Dividend			Merged (with line 65)	03/26/18	J		
31. PIMCO CommoditiesPLUS® Strategy Instl (PCLIX) Open-End Fund	C	Dividend	K	T					
32. PIMCO Commodity Real Ret Strat D (PCRDY) Open-End Fund		None			Merged (with line 66)	03/26/18	J		
33. PIMCO Commodity Real Ret Strat Instl (PCRIX) Open-End Fund	A	Dividend	J	T					
34. Royce Total Return Invmt (RYTRX) Open-End Fund	B	Dividend	J	T					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. T. Rowe Price High Yield Adv (PAHIX) Open-End Fund	A	Dividend	J	T					
36. T. Rowe Price International Discovery (PRIDX) Open-End Fund	B	Dividend	K	T	Sold (part)	07/10/18	J	B	
37. T. Rowe Price Real Estate (TRREX) Open-End Fund	B	Dividend	K	T	Buy (add'l)	07/10/18	J		
38. Templeton Global Bond Adv (TGBAX) Open-End Fund	C	Dividend	K	T	Buy (add'l)	07/10/18	J		
39. Vanguard Inflation-Protected Secs Inv (VIPSX) Open-End Fund	A	Dividend	J	T					
40. Berkshire Hathaway Inc B (BRK.B) Stock		None	K	T					
41. CA Scholarshare 529 Plan Passive Age-Based Portfolio 11-12		None	J	T					
42. CA Scholarshare 529 Plan Passive Diversified Equity Portfolio		None	J	T					
43. iPath® S&P 500 VIX ST Futures™ ETN (VXX)		None			Sold (part)	02/06/18	J	B	
44.					Buy (add'l)	07/12/18	J		
45.					Buy (add'l)	08/07/18	J		
46.					Sold (part)	10/26/18	J		
47.					Sold (part)	12/20/18	J		
48.					Sold	12/26/18	J		
49. American Beacon Bridgeway Lg Cp Val Y (BWLYX) Open-End Fund	A	Dividend	J	T	Sold (part)	07/10/18	J	A	
50. iShares 0-5 Year TIPS Bond ETF (STIP)	A	Dividend	J	T					
51. iShares Russell 2000 Growth ETF (IWO)	A	Dividend	K	T	Sold (part)	07/10/18	J	A	

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52. Fidelity® Large Cap Growth Idx Prm (FSUPX) Open-End Fund	A	Dividend			Sold (part)	07/10/18	J	B	
53.					Merged (with line 67)	11/09/18	J		
54. PIMCO Short-Term Instl (PTSHX) Open-End Fund	A	Dividend	K	T	Sold (part)	07/10/18	J	A	
55.					Buy	07/10/18	J		
56.					Buy (add'l)	07/10/18	K		
57. Prudential High-Yield Z (PHYZX) Open-End Fund	B	Dividend	K	T	Buy (add'l)	07/10/18	J		
58. Vanguard Global ex-US Real Est ETF (VNQI)	B	Dividend	K	T	Buy (add'l)	07/10/18	J		
59. Fidelity® International Index (FSPSX) (X) Open-End Fund	A	Dividend	J	T					
60. T. Rowe Price Overseas Stock (TROX) Open-End Fund	A	Dividend	K	T	Buy	07/10/18	K		
61. Goldman Sachs Em Mkts Eq Insghts Intl (GERIX) Open-End Fund	A	Dividend	K	T	Buy	07/10/18	K		
62. T. Rowe Price Emerging Markets Stock (PRMSX) Open-End Fund	A	Dividend	J	T	Sold (part)	08/22/18	J		
63. Pioneer Short Term Income Y (PSHYX) Open-End Fund	A	Dividend	K	T	Buy	07/10/18	J		
64.					Buy (add'l)	07/10/18	K		
65. PIMCO CommoditiesPLUS® Strategy A (PCLAX) Open-End Fund		None			Sold	07/10/18	J		
66. PIMCO Commodity Real Ret Strat A (PCRAX) Open-End Fund		None			Sold	07/10/18	J		
67. Fidelity® Large Cap Growth Idx (FSPGX) (X) Open-End Fund	A	Dividend	K	T					
68. T. Rowe Price Blue Chip Growth (TRBCX) Open-End Fund	A	Dividend	K	T	Buy	07/10/18	K		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. BlackRock CA Muni 2018 Term (BJZ) Closed-End Fund	A	Dividend			Buy	07/11/18	K		
70.					Matured	12/31/18	K	A	
71. Northwestern Mutual: Whole Life Policy	A	Dividend			Redeemed	08/15/18	K	E	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher M. Alston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544