

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                        |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Cassling, Donald R.                                                                                                                  | <b>2. Court or Organization</b><br><br>Northern District of Illinois                                                                                                                                                                   | <b>3. Date of Report</b><br><br>06/10/2019                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>United States Bankruptcy Judge                                                | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2018<br>to<br>12/31/2018 |
|                                                                                                                                                                                                           | <b>5b.</b> <input type="checkbox"/> Amended Report                                                                                                                                                                                     |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>219 South Dearborn Street<br>Suite 662<br>Chicago, IL 60604                                                                                                   |                                                                                                                                                                                                                                        |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                        |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |

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Name of Person Reporting

Cassling, Donald R.

Date of Report

06/10/2019

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

### A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*



NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*



NONE *(No reportable reimbursements.)*

|    | <u>SOURCE</u>                 | <u>DATES</u>        | <u>LOCATION</u> | <u>PURPOSE</u>                                                                    | <u>ITEMS PAID OR PROVIDED</u> |
|----|-------------------------------|---------------------|-----------------|-----------------------------------------------------------------------------------|-------------------------------|
| 1. | American Bankruptcy Institute | 06/06/18 - 06/09/18 | Lake Geneva, WI | Attendance as panelist and committee member at annual Central States' ABI program | Hotel and Registration        |
| 2. | Federal Judicial Conference   | 07/08/18 - 07/13/18 | Denver, CO      | Attendance at FJC educational seminar                                             | Travel and Hotel              |
| 3. |                               |                     |                 |                                                                                   |                               |
| 4. |                               |                     |                 |                                                                                   |                               |
| 5. |                               |                     |                 |                                                                                   |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Cassling, Donald R.      | 06/10/2019     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

|    | SOURCE | DESCRIPTION | VALUE |
|----|--------|-------------|-------|
| 1. |        |             |       |
| 2. |        |             |       |
| 3. |        |             |       |
| 4. |        |             |       |
| 5. |        |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

|    | CREDITOR               | DESCRIPTION                       | VALUE CODE |
|----|------------------------|-----------------------------------|------------|
| 1. | CNB Bank & Trust, N.A. | Property No. 1 in Homer, Illinois | M          |
| 2. |                        |                                   |            |
| 3. |                        |                                   |            |
| 4. |                        |                                   |            |
| 5. |                        |                                   |            |

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Name of Person Reporting

Cassling, Donald R.

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06/10/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |

|     |                                                 |   |          |   |   |                |          |   |   |
|-----|-------------------------------------------------|---|----------|---|---|----------------|----------|---|---|
| 1.  | IRA #1 (H)                                      |   |          |   |   |                |          |   |   |
| 2.  | - Vanguard Total Stock Market ETF VTI           | E | Dividend | O | T | Sold<br>(part) | 01/25/18 | K | E |
| 3.  |                                                 |   |          |   |   | Sold<br>(part) | 07/16/18 | J | D |
| 4.  |                                                 |   |          |   |   | Sold<br>(part) | 09/05/18 | K | D |
| 5.  |                                                 |   |          |   |   | Buy<br>(add'l) | 12/07/18 | K |   |
| 6.  |                                                 |   |          |   |   | Buy<br>(add'l) | 12/18/18 | L |   |
| 7.  | - Vanguard Dividend Appreciation ETF-VIG        | D | Dividend | N | T | Sold<br>(part) | 01/25/18 | J | C |
| 8.  |                                                 |   |          |   |   | Sold<br>(part) | 09/05/18 | J | D |
| 9.  |                                                 |   |          |   |   | Sold<br>(part) | 12/07/18 | J | A |
| 10. |                                                 |   |          |   |   | Buy<br>(add'l) | 07/06/18 | J |   |
| 11. | - Blackrock Global Allocation Inst. Class Malox | A | Dividend | J | T | Buy<br>(add'l) | 01/25/18 | J |   |
| 12. |                                                 |   |          |   |   | Buy<br>(add'l) | 07/06/18 | J |   |
| 13. |                                                 |   |          |   |   | Buy<br>(add'l) | 07/23/18 | J |   |
| 14. |                                                 |   |          |   |   | Buy<br>(add'l) | 09/05/18 | J |   |
| 15. |                                                 |   |          |   |   | Sold<br>(part) | 12/07/18 | J | A |
| 16. |                                                 |   |          |   |   | Sold<br>(part) | 12/18/18 | M | A |
| 17. |                                                 |   |          |   |   | Sold<br>(part) | 12/20/18 | J | A |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. - Bank of America, California N.A. RASP                                                                                | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 19. - Vanguard Total Int'l STK-VXUS                                                                                        | D                                      | Dividend                               | O                                              | T                                  | Sold<br>(part)                            | 01/25/18         | J                        | C                       |                                                            |
| 20.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/06/18         | K                        |                         |                                                            |
| 21.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/05/18         | J                        |                         |                                                            |
| 22.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/07/18         | J                        |                         |                                                            |
| 23. - Eaton Vance Floating Rate Fund - EIBL                                                                                | D                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 01/02/18         | J                        |                         |                                                            |
| 24.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/25/18         | J                        |                         |                                                            |
| 25.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/01/18         | J                        |                         |                                                            |
| 26.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/01/18         | J                        |                         |                                                            |
| 27.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/02/18         | J                        |                         |                                                            |
| 28.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/01/18         | J                        |                         |                                                            |
| 29.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/01/18         | J                        |                         |                                                            |
| 30.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/02/18         | J                        |                         |                                                            |
| 31.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/01/18         | J                        |                         |                                                            |
| 32.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/04/18         | J                        |                         |                                                            |
| 33.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/05/18         | J                        |                         |                                                            |
| 34.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/01/18         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 11/01/18                | J                               |                                |                                                                   |
| 36.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/03/18                | J                               |                                |                                                                   |
| 37.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 07/06/18                | J                               | A                              |                                                                   |
| 38.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/07/18                | J                               | A                              |                                                                   |
| 39. - Vanguard Total Bond Mkt - BND                                                                                        | D                                      | Dividend                                      |                                                |                                           | Buy<br>(add'l)                                  | 01/25/18                | K                               |                                |                                                                   |
| 40.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 07/06/18                | J                               |                                |                                                                   |
| 41.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 04/04/18                | L                               | A                              |                                                                   |
| 42.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 09/05/18                | M                               | A                              |                                                                   |
| 43.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/07/18                | J                               | A                              |                                                                   |
| 44.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 12/14/18                | M                               | A                              |                                                                   |
| 45. - Blackrock Low Duration - BFMSX                                                                                       | B                                      | Dividend                                      |                                                |                                           | Buy<br>(add'l)                                  | 01/25/18                | J                               |                                |                                                                   |
| 46.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 07/02/18                | J                               |                                |                                                                   |
| 47.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/01/18                | J                               |                                |                                                                   |
| 48.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/04/18                | J                               |                                |                                                                   |
| 49.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 07/06/18                | J                               | A                              |                                                                   |
| 50.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 09/05/18                | M                               | A                              |                                                                   |
| 51.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 09/20/18                | L                               | A                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. - AB Income Fund - ACGYX (X)                                                                                           | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 09/05/18         | L                        |                         |                                                            |
| 53.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/01/18         | J                        |                         |                                                            |
| 54.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/01/18         | J                        |                         |                                                            |
| 55.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/07/18         | J                        | A                       |                                                            |
| 56. - I Shares 1-3 Year Treasury Bond - SHY (X)                                                                            | A                                      | Dividend                               | M                                              | T                                  | Buy                                       | 12/14/18         | M                        |                         |                                                            |
| 57.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/18/18         | K                        |                         |                                                            |
| 58. - Lord Abbett Short Duration Income Fund - LDLFX (X)                                                                   | B                                      | Dividend                               | M                                              | T                                  | Buy                                       | 09/05/18         | M                        |                         |                                                            |
| 59.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/01/18         | J                        |                         |                                                            |
| 60.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/01/18         | J                        |                         |                                                            |
| 61.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/03/18         | J                        |                         |                                                            |
| 62.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/07/18         | J                        | A                       |                                                            |
| 63. - Lord Abbett Floating Rate Fund - LFRFX (X)                                                                           | B                                      | Dividend                               | M                                              | T                                  | Buy                                       | 09/05/18         | M                        |                         |                                                            |
| 64.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/01/18         | J                        |                         |                                                            |
| 65.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/01/18         | J                        |                         |                                                            |
| 66.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/03/18         | J                        |                         |                                                            |
| 67.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/07/18         | J                        | A                       |                                                            |
| 68. - Oakmark Int'l Fund - DAYI (X)                                                                                        | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 04/04/18         | L                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

Cassling, Donald R.

Date of Report

06/10/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/06/18         | J                        |                         |                                                            |
| 70.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/05/18         | J                        |                         |                                                            |
| 71.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/07/18         | J                        |                         |                                                            |
| 72. - Invesco Equally Weighted S&P 500 Fund<br>- VADDX (X)                                                                 | C                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 01/25/18         | J                        |                         |                                                            |
| 73.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/07/18         | J                        |                         |                                                            |
| 74.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/17/18         | J                        |                         |                                                            |
| 75.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/06/18         | J                        | A                       |                                                            |
| 76.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 09/05/18         | J                        | A                       |                                                            |
| 77. - Ishare TIPS Bond ETF - TIP (X)                                                                                       | B                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 01/25/18         | J                        |                         |                                                            |
| 78.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/06/18         | J                        | A                       |                                                            |
| 79.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 09/05/18         | L                        | A                       |                                                            |
| 80.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 81. HSA IRA (H)                                                                                                            |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 82. - IShares MSCI EAFE - EFA                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 83. - Vanguard Total Stk Mkt - VTI                                                                                         | A                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 08/28/18         | J                        | B                       |                                                            |
| 84. - Vanguard Dividend Appreciation - VIG                                                                                 | A                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 08/28/18         | J                        | B                       |                                                            |
| 85. - ML Bank Deposit Program                                                                                              | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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Name of Person Reporting

Cassling, Donald R.

Date of Report

06/10/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. - Vanguard Total Int'l Stk - VXUS                                                                                      | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 87. - Invesco Equally Weighted S&P 500 Fund<br>- VADD (Y)                                                                  |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 88. - Ishare TIPS Bond ETF - TIP (Y)                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 89.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 90. Roth IRA (H)                                                                                                           |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 91. - Vanguard Total Stk Mkt - VTI                                                                                         | A                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 01/17/18         | J                        | A                       |                                                            |
| 92.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/06/18         | J                        | A                       |                                                            |
| 93.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 09/05/18         | J                        | A                       |                                                            |
| 94.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/07/18         | J                        |                         |                                                            |
| 95.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/18/18         | J                        |                         |                                                            |
| 96. - Vanguard Dividend Appreciation - VIG                                                                                 | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 01/17/18         | J                        | A                       |                                                            |
| 97.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 09/05/18         | J                        | A                       |                                                            |
| 98.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/07/18         | J                        | A                       |                                                            |
| 99. - Blackrock Global Allocation - MALOX                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 01/17/18         | J                        |                         |                                                            |
| 100.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/16/18         | J                        |                         |                                                            |
| 101.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/05/18         | J                        |                         |                                                            |
| 102.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/07/18         | J                        | A                       |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Cassling, Donald R.

Date of Report

06/10/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/18/18                | J                               | A                              |                                                                   |
| 104. - Bank of America RASP                                                                                                | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 105. - Vanguard Total Int'l Stk - VXUS                                                                                     | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 01/17/18                | J                               |                                |                                                                   |
| 106.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 07/06/18                | J                               |                                |                                                                   |
| 107.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/05/18                | J                               |                                |                                                                   |
| 108.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/07/18                | J                               |                                |                                                                   |
| 109. - Vanguard Total Bond Mkt - BND                                                                                       | A                                      | Dividend                                      |                                                |                                           | Buy<br>(add'l)                                  | 01/17/18                | J                               |                                |                                                                   |
| 110.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 07/06/18                | J                               |                                |                                                                   |
| 111.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 04/04/18                | J                               | A                              |                                                                   |
| 112.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 09/05/18                | J                               | A                              |                                                                   |
| 113.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/07/18                | J                               | A                              |                                                                   |
| 114.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/14/18                | J                               | A                              |                                                                   |
| 115. - Invesco Equally Weighted S&P 500 Fund<br>- VADDX                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 07/06/18                | J                               | A                              |                                                                   |
| 116.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 09/05/18                | J                               | A                              |                                                                   |
| 117.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/17/18                | J                               |                                |                                                                   |
| 118. - Blackrock Low Duration - BFMSX                                                                                      | A                                      | Dividend                                      |                                                |                                           | Sold<br>(part)                                  | 07/06/18                | J                               | A                              |                                                                   |
| 119.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 09/05/18                | J                               | A                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

Cassling, Donald R.

Date of Report

06/10/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 09/20/18         | J                        | A                       |                                                            |
| 121.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/17/18         | J                        |                         |                                                            |
| 122. - Eaton Vance Floating Rock - EIBLX                                                                                   | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 01/17/18         | J                        |                         |                                                            |
| 123.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/05/18         | J                        |                         |                                                            |
| 124.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/10/18         | J                        | A                       |                                                            |
| 125.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/07/18         | J                        | A                       |                                                            |
| 126. - Ishares TIPS Bond ETF - TIP                                                                                         | A                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 01/17/18         | J                        |                         |                                                            |
| 127.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 09/05/18         | J                        | A                       |                                                            |
| 128. - AB Income Fund ACGYX (X)                                                                                            | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 09/05/18         | J                        |                         |                                                            |
| 129.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/07/18         | J                        | A                       |                                                            |
| 130. - I Shares 1-3 Year Treasury Bond ETF - SHY (X)                                                                       | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 12/14/18         | J                        |                         |                                                            |
| 131.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/18/18         | J                        |                         |                                                            |
| 132. - Lord Abbett Short Duration Income - LDLFX (X)                                                                       | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 09/05/18         | J                        |                         |                                                            |
| 133.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/01/18         | J                        |                         |                                                            |
| 134.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/01/18         | J                        |                         |                                                            |
| 135.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/03/18         | J                        |                         |                                                            |
| 136.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/07/18         | J                        | A                       |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

Cassling, Donald R.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. - Lord Abbett Floating Rate Fund - LFRFX (X)                                                                          | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 09/05/18         | J                        |                         |                                                            |
| 138.                                                                                                                       |                                        |                                        |                                                |                                    | Sold (part)                               | 12/07/18         | J                        | A                       |                                                            |
| 139. - Oakmark Fund - OAYIX (X)                                                                                            | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 04/04/18         | J                        |                         |                                                            |
| 140.                                                                                                                       |                                        |                                        |                                                |                                    | Buy (add'l)                               | 07/06/18         | J                        |                         |                                                            |
| 141.                                                                                                                       |                                        |                                        |                                                |                                    | Buy (add'l)                               | 09/05/18         | J                        |                         |                                                            |
| 142.                                                                                                                       |                                        |                                        |                                                |                                    | Buy (add'l)                               | 12/14/18         | J                        |                         |                                                            |
| 143.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 144. Brokerage Account (H)                                                                                                 |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 145. - Vanguard Total Stk Mkt - VTI                                                                                        | A                                      | Dividend                               | K                                              | T                                  | Sold (part)                               | 10/10/18         | J                        | A                       |                                                            |
| 146.                                                                                                                       |                                        |                                        |                                                |                                    | Buy (add'l)                               | 12/18/18         | J                        |                         |                                                            |
| 147. - Vanguard Dividend Appreciation - VIG                                                                                | A                                      | Dividend                               | K                                              | T                                  | Sold (part)                               | 10/10/18         | J                        | A                       |                                                            |
| 148.                                                                                                                       |                                        |                                        |                                                |                                    | Buy (add'l)                               | 12/10/18         | J                        |                         |                                                            |
| 149. - Lincoln Am Legacy III & Annuity (Variable) (H)                                                                      |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 150. * AF Growth                                                                                                           |                                        | None                                   | M                                              | T                                  | Sold (part)                               | 02/28/18         | J                        | A                       |                                                            |
| 151.                                                                                                                       |                                        |                                        |                                                |                                    | Sold (part)                               | 05/31/18         | J                        | A                       |                                                            |
| 152.                                                                                                                       |                                        |                                        |                                                |                                    | Sold (part)                               | 08/31/18         | J                        | A                       |                                                            |
| 153.                                                                                                                       |                                        |                                        |                                                |                                    | Sold (part)                               | 10/16/18         | K                        | D                       |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

Cassling, Donald R.

Date of Report

06/10/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/30/18                | J                               | A                              |                                                                   |
| 155. * AF Blchp Inc & Gr                                                                                                   |                                        | None                                          | L                                              | T                                         | Sold<br>(part)                                  | 02/28/18                | J                               | A                              |                                                                   |
| 156.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 05/31/18                | J                               | A                              |                                                                   |
| 157.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 08/31/18                | J                               | A                              |                                                                   |
| 158.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/16/18                | J                               | C                              |                                                                   |
| 159.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/30/18                | J                               | A                              |                                                                   |
| 160. * AF Grwth - Inc                                                                                                      |                                        | None                                          | M                                              | T                                         | Sold<br>(part)                                  | 02/28/18                | J                               | A                              |                                                                   |
| 161.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 05/31/18                | J                               | A                              |                                                                   |
| 162.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 08/31/18                | J                               | A                              |                                                                   |
| 163.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/16/18                | J                               | D                              |                                                                   |
| 164.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/30/18                | J                               | A                              |                                                                   |
| 165. * AF Int'l                                                                                                            |                                        | None                                          | L                                              | T                                         | Sold<br>(part)                                  | 02/28/18                | J                               | A                              |                                                                   |
| 166.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 05/31/18                | J                               | A                              |                                                                   |
| 167.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 08/31/18                | J                               | A                              |                                                                   |
| 168.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/16/18                | J                               | B                              |                                                                   |
| 169.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/30/18                | J                               | A                              |                                                                   |
| 170. * AF Gbl Grwth                                                                                                        |                                        | None                                          | M                                              | T                                         | Sold<br>(part)                                  | 02/28/18                | J                               | A                              |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Cassling, Donald R.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 05/31/18         | J                        | A                       |                                                            |
| 172.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 08/31/18         | J                        | A                       |                                                            |
| 173.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/16/18         | J                        | D                       |                                                            |
| 174.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/30/18         | J                        | A                       |                                                            |
| 175. * AF Asset Alloc                                                                                                      |                                        | None                                   | L                                              | T                                  | Sold<br>(part)                            | 02/28/18         | J                        | A                       |                                                            |
| 176.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 05/31/18         | J                        | A                       |                                                            |
| 177.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 08/31/18         | J                        | A                       |                                                            |
| 178.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/16/18         | J                        | C                       |                                                            |
| 179.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/30/18         | J                        | A                       |                                                            |
| 180. - Metlife Series LVA Annuity (Variable)<br>(H) (Y)                                                                    |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 181. - Brighthouse Financial Variable Annuity<br>SCRL (H) (X)                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 182. * SSGA Growth ETF Portfolio                                                                                           |                                        | None                                   |                                                |                                    | Sold                                      | 01/05/18         | M                        | E                       |                                                            |
| 183. - ML Bank Deposits Program                                                                                            | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 184. - Preferred Deposit Money Market (X)                                                                                  | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 185. - Vanguard Total Int'l Stk - VXUS                                                                                     | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 10/10/18         | J                        |                         |                                                            |
| 186.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/10/18         | J                        | A                       |                                                            |
| 187. - Blackrock Global Allocation Fund -<br>MALOX                                                                         | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 07/23/18         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

Cassling, Donald R.

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06/10/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/10/18         | J                        |                         |                                                            |
| 189.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/18/18         | J                        | A                       |                                                            |
| 190.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/20/18         | J                        | A                       |                                                            |
| 191. - Ishares MSCI ACWI ETF - ACINX (X)                                                                                   | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 12/14/18         | J                        |                         |                                                            |
| 192. - Invesco Equally Weighted S&P 500 Fund<br>- VADDX (X)                                                                | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 10/10/18         | J                        | A                       |                                                            |
| 193.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/17/18         | J                        |                         |                                                            |
| 194.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 195. Property No. 1 in Homer, IL                                                                                           | F                                      | Rent                                   | P1                                             | W                                  |                                           |                  |                          |                         |                                                            |
| 196.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 197. Genworth Life and Annuity universal life<br>insurance policy                                                          | C                                      | Interest                               | L                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 198.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 199. Chase Bank checking/savings account                                                                                   | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 200.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 201. Bank of America checking/savings account<br>(X)                                                                       | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 202.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 203. Secured note for loan made to non-<br>dependent relative (X)                                                          | D                                      | Interest                               | M                                              | V                                  |                                           |                  |                          |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

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Name of Person Reporting

Cassling, Donald R.

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Line 45 of Part VII -- The title of this asset should be "Blackrock Low Duration" rather than "Blackrock Low Discretion." I have corrected the title of that asset in this year's report.
2. Lines 87-88 of Part VII -- These two assets were incorrectly allocated in last year's report to the HSA IRA. They should have been allocated to IRA #1. That has been corrected in this year's report, and those two assets are now located at Lines 72-80 of Part VII.
3. Lines 180-181 of Part VII -- The name of the asset listed on Line 180 was changed on March 6, 2017. I failed to note the name change on last year's report, but have corrected it in this year's report. The new and correct name is the one listed on Line 181 of Part VII.
4. Lines 192-193 of Part VII -- Assets of the same name are held in my IRA #1 account and in my Roth IRA account. Due to confusion on my part arising from having the same-named assets in three separate accounts, I failed to list this asset in my Brokerage Account in last year's report. I made the initial purchase of this asset for the Brokerage account on 10/03/16. Lines 192-193 of this year's report lists the transactions for this asset in my Brokerage Account that took place in 2018.
5. Line 203 of Part VII -- When this loan was made in 2015, I created an amortization schedule reflecting the monthly payments of principal and interest due under the note. The value given in column C(2) is the amount of principal remaining due and owing as of 12/31/18 according to that amortization schedule.

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Name of Person Reporting

Cassling, Donald R.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Donald R. Cassling**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544