

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bulsara, Sanket J.	2. Court or Organization U.S. District Court for the Eastern District of New York	3. Date of Report 05/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court Eastern District of New York 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2012	Self-directed retirement account with WILMERHALE (former law firm)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	SUNY Stonybrook (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. WELLS FARGO MONEY MARKET (CASH ACCOUNT)	A	Interest	J	T					
2. LEDYARD NATIONAL BANK (CASH)	A	Interest	K	T					
3. CITIBANK, N.A. (CASH)	A	Interest	N	T					
4. GUARDIAN LIFE INSURANCE WHOLE LIFE POLICY	A	Dividend	J	T					
5. 45 GRAND ST FAMILY LLC	F	Rent	L	W					
6. IRA #1 (H)									
7. ALPHABET INC. CLASS A (GOOGL)		None	J	T					
8. ALPHABET INC. CLASS C (GOOG)		None	J	T					
9. TIAA CREF HIGH YIELD FD RETAIL (TIYRX)	A	Dividend	J	T					
10. AMANA GROWTH FUND INV (AMAGX)	A	Dividend	J	T					
11. HANCOCK HORIZON BURKENROAD SM CAP INV (HHBUX)	A	Dividend	J	T					
12. LAZARD INTL STRATEGIC EQUITY OPEN SHARES (LISOX)	A	Dividend	J	T					
13. SCHWAB S&P 500 INDEX FD (SWPPX)	A	Dividend	J	T					
14. SCHWAB 1000 INDEX FUND (SNXFX)	A	Dividend	J	T					
15. IRA #2 (H)									
16. SCHWAB TARGET 2040 FUND (SWERX)	D	Int./Div.	L	T					
17. BROKERAGE #1 (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. CHARLES SCHWAB DEPOSIT ACCOUNTS (CASH)	A	Interest	K	T					
19. COMCAST CORP (CMCSA) (Y)									
20. CHARLES SCHWAB US REIT ETF (SCHH)	A	Dividend	J	T					
21. ISHARES CORE MSCI EMERGING ETF (IEMG)	A	Dividend	J	T					
22. ISHARES GOLD ETF (IAU)		None	J	T					
23. POWERSHARES QQQ TRUST SRS 1 ETF IV (QQQ)	A	Dividend	K	T					
24. PWRSHS FTSE RAFI US 1500 SML MID ETF (PRFZ)	A	Dividend	J	T					
25. SCHWAB FUNDA EMG MKTS LARGE ETF IV (FNDE)	A	Dividend	J	T					
26. SCHWAB FUNDAMENTAL INL LARGE COM ETF (FNDF)	A	Dividend	J	T					
27. SCHWAB FUNDAMENTAL INTL SMALL COM ETF (FNDC)	A	Dividend	J	T					
28. SCHWAB FUNDAMENTAL US LARGE ETF IV (FNDX)	A	Dividend	J	T					
29. SCHWAB INTERNATNAL SMALL CAP EQY ETF (SCHC)	A	Dividend	J	T					
30. SPDR BLMBRG BRCLY GHG YLD BND ETF (JNK)	A	Dividend	J	T					
31. SPDR NUVEEN BLMBRG BARCLAYS MUN BD ETF (TFI)	A	Dividend	J	T					
32. VANGUARD EMERGING MKT GOV BND ETF DV (VWOB)	A	Dividend	J	T					
33. VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)	A	Dividend	J	T					
34. VANGUARD GLBAL EX US REAL EST ETF IV (VNQI)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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35. VANGUARD S&P 500 ETF (VOO)	A	Dividend	J	T					
36. VANGUARD SMALL CAP ETF (VB)	A	Dividend	J	T					
37. VANGUARD TOTAL INTERNATL BND ETF DV (BNDX)	A	Dividend	J	T					
38. WISDOMTREE EUROPE SMLCP DIVIDEND ETF (DFE)	A	Dividend	J	T					
39. BAIRD CORE PLUS BD INV (BCOSX)	A	Dividend	J	T					
40. BMO TCH CORPORATE INCOME FD CL Y (MCIYX)	A	Dividend	J	T					
41. LORD ABBETT INCOME FD CL A (LAGVX)	A	Dividend	J	T					
42. METROPOLITAN WEST TOTAL RETURN BOND M (MWTRX)	A	Dividend	J	T					
43. PIMCO FOREIGN BD USD HEDGED CL D (PFODX)	A	Dividend	J	T					
44. PIMCO INCM CL D (PONDY)	A	Dividend	J	T					
45. SCHWAB GNMA FUND (SWGSD)	A	Dividend			Sold	11/15/18	J		
46. WESTERN ASSET CORE BD FD (WATFX)	A	Dividend	J	T					
47. ALGER SPECTRA FUND CL A (SPECX)	B	Dividend	J	T					
48. FMI INTL FD (FMIJX)	B	Dividend	J	T					
49. GLENMEDE LARGE CAP GROWTH (GTLLX)	B	Dividend	K	T					
50. HANCOCK HORIZON BURKENROAD SM CAP INV (HHBUX)	B	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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51. JANUS HENDERSON (FORMERLY PERKINS) SMALL CAP VALUE T (JSCVX)	A	Dividend	J	T					
52. JPMORGAN EQUITY INCOME (OIEIX)	B	Dividend	K	T					
53. LAZARD INTL STRATEGIC EQUITY OPEN SHARES (LISOX)	A	Dividend	J	T					
54. MATTHEWS CHINA DIVIDEND FD INV (MCDFX)	B	Dividend	J	T					
55. NORTHERN SMALL CAP CORE FUND (NSGRX)	B	Dividend	J	T					
56. OBERWEIS INTL OPPTY FD (OBIOX)	B	Dividend	J	T					
57. PARNASSUS CORE EQTY FD INV (PRBLX)	B	Dividend	K	T					
58. SCHWAB FUNDAMENTAL US LARGE CO INDEX (SFLNX)		None	L	T					
59. SCHWAB INTL CORE EQUITY (SICNX)	A	Dividend	J	T					
60. SCHWAB S&P 500 INDEX FD (SWPPX)	C	Dividend	L	T					
61. SCHWAB SMALL CAP INDEX FUND (SWSSX)	A	Dividend	K	T					
62. SCHWAB TOTAL STOCK MKT INDEX (SWTSX)	A	Dividend	J	T					
63. SEAFARER OVERSEAS GWTH & INCM FD INV (SFGIX)	A	Dividend	J	T					
64. THIRD AVENUE REAL ESTATE VALUE FD INV (TVRVX)	A	Dividend	J	T					
65. VIRTUS CEREDEx MID CAP VALUE EQ I (SMVTX)	A	Dividend	J	T					
66. WASATCH CORE GROWTH FD INV (WGROX)	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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67. WELLS FARGO DISCOVERY FD A (WFDAX)	A	Dividend	J	T					
68. RETIREMENT PLAN #1 (H)									
69. TIAA TRADITIONAL	A	Interest	J	T					
70. CREF STOCK R3		None	J	T					
71. CREF GLOBAL EQUITIES R3		None	J	T					
72. TIAA ACC INTL EQ T1		None	J	T					
73. TIAA ACC S&P 500 IX T1		None	J	T					
74. TIAA ACC LG-CAP VAL IX T1		None	J	T					
75. TIAA ACC SM-CAP BL IX T1		None	J	T					
76. TIAA REAL ESTATE		None	J	T					
77. CREF BOND MARKET R3		None	J	T					
78. TIAA ACC LIFECYCLE 2040 T1		None	L	T					
79. TIAA ACC LIFECYCLE 2050 T1		None	J	T					
80. RETIREMENT PLAN #2 (H)									
81. CREF STOCK R3		None	J	T					
82. CREF GROWTH R3		None	J	T					
83. CREF EQUITY INDEX R3		None	J	T					

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84. CREF GLOBAL EQUITIES R3		None	J	T					
85. TIAA ACC INTL EQ T1		None	J	T					
86. TIAA ACC MD-CAP GR T1		None	J	T					
87. TIAA ACC S&P 500 IX T1		None	J	T					
88. TIAA REAL ESTATE		None	J	T					
89. CREF BOND MARKET R3		None	J	T					
90. TIAA ACC LIFECYCLE 2040 T1		None	L	T					
91. RETIREMENT PLAN #3 (H)									
92. T-C LIFECYCLE 2040-INST	B	Int./Div.	K	T					
93. RETIREMENT PLAN #4 (H)									
94. CREF STOCK R2		None	J	T					
95. CREF GROWTH R2		None	J	T					
96. CREF GLOBAL EQUITIES R2		None	J	T					
97. T-C LIFECYCLE 2040-INST	A	Int./Div.	J	T					
98. RETIREMENT PLAN #5 (H)									
99. SSGA CASH SERIES U.S. GOVERNMENT FUND CLASS C	A	Interest			Sold	03/16/18	J		
100. NYL INSURANCE ANCHOR IV		None	J	T	Buy	03/16/18	J		

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101. FRANKLIN TEMPLETON EMERGING MARKETS DEBT OPPORTUNITIES FUND	B	Dividend	K	T					
102. LOOMIS SAYLES GLOBAL BOND FUND INSTITUTIONAL CLASS	A	Interest	K	T					
103. JPMORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS R5	A	Interest	K	T					
104. VANGUARD TARGET RETIREMENT 2040 FUND	A	Interest	K	T	Buy	11/30/18	K		
105. AMERICAN CENTURY MID CAP VALUE FUND INSTITUTIONAL CLASS	B	Interest	K	T					
106. OAKMARK EQUITY AND INCOME FUND CLASS I		None			Sold	03/16/18	L		
107. MFS VALUE FUND CLASS R3	A	Interest	K	T					
108. INVESCO EQUITY & INCOME FUND	C	Dividend	L	T	Buy	03/16/18	L		
109. ALLIANZGI MICRO CAP FUND INSTITUTIONAL CALSS		None			Sold	03/16/18	J		
110. LOOMIS SAYLES GROWTH FUND CLASS A	A	Dividend	J	T					
111. AMG MANAGERS (FORMERLY ASTON) SILVERCREST SMALL CAP FUND CLASS I		None			Sold	03/16/18	J		
112. THE HARTFORD MIDCAP FUND CLASS Y	B	Dividend	K	T					
113. IVY SMALL CAP GROWTH FUND	A	Dividend	J	T	Buy	03/16/18	J		
114. VICTORY GLOBAL NATURAL RESOURCES FUND CLASS A		None			Sold	11/30/18	K		
115. T. ROWE PRICE REAL ESTATE FUND	A	Interest	J	T					
116. VANGUARD TAX-MANAGED SC ADM SH	A	Dividend	J	T	Buy	03/16/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
117. ACADIAN EMERGING MKTS FUND INVESTOR CLASS	A	Dividend	K	T	Buy	03/16/18	K		
118. OAKMARK INTERNATIONAL FUND INVESTOR CLASS	C	Dividend	K	T	Buy	03/16/18	K		
119. ABERDEEN EMERGING MARKETS FUND INSTITUTIONAL CLASS		None			Sold	03/16/18	K		
120. CAUSEWAY INTERNATIONAL VALUE FUND INSTITUTIONAL CLASS		None			Sold	03/16/18	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bulsara, Sanket J.

Date of Report

05/10/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II: Reportable holdings of this account are included in Part VII.

Part VII, line 5, Column C(2): The estimated value of this investment is determined by the LLC based upon the market value of its holdings (rental real estate); a more precise valuation method is not available.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Bulsara, Sanket J.

Date of Report

05/10/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sanket J. Bulsara**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544