

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MATSCH, RICHARD P.	2. Court or Organization U.S. DISTRICT COURT, COLORADO	3. Date of Report 05/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ARTICLE III SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address BYRON WHITE COURTHOUSE 1823 STOUT STREET, COURTROOM A DENVER, CO 80257		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Wells Fargo Cash Account (X)		None	L	T					
2. Apple Inc. (X)	A	Dividend	L	T					
3. Archer-Daniels-Midland Co. (X)		None	J	T					
4. Cisco Systems Inc. (X)	A	Dividend	L	T					
5. Clorox Company (X)		None	J	T					
6. Intel Corp. (X)	A	Dividend	K	T					
7. Lyondell Basell Industries (X)	A	Dividend	K	T					
8. Oracle Corp. (X)		None	K	T					
9. Western Digital Corp. (X)	A	Dividend	K	T					
10. Baron Select Funds Emerging Markets (X)	A	Dividend	K	T					
11. Matthews Asia Growth Fund (X)	A	Dividend	K	T					
12.	A	Distribution							
13. Fidelity Investments Money Market Funds (X)	C	Dividend	O	T					
14. Glenmede Small Cap Equity Fund (X)	A	Dividend	J	T					
15.	B	Distribution							
16. JP Morgan Treasury Securities (X)	B	Dividend	M	T					
17. Prime Cash Obligations Fund	B	Dividend			Buy	04/16/18	M		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,00
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold	07/27/18	M	A	
19.									
20.									
21. Wells Fargo Cash Account (X)		None	K	T					
22. Time Warner Inc.	A	Interest	K	T	Buy	09/06/18	K		
23. Ebay Inc.		None	K	T	Buy	09/06/18	K		
24. American Tower Corp.	A	Interest	K	T	Buy	09/06/18	K		
25. Vmware Inc.		None	L	T	Buy	09/06/18	L		
26. JP Morgan Liquid Assets Class I	C	Dividend	M	T	Buy	04/16/18	N		
27.					Sold (part)	09/06/18	L	A	
28. Goldman Sachs Financial Square Government Fund	A	Dividend			Sold	09/06/18	L	A	
29.									
30.									
31. Wells Fargo Cash Account (X)	A	Interest	K	T					
32. Alphabet Inc.		None	J	T	Buy	10/31/18	J		
33. Amgen Inc.		None	J	T	Buy	09/06/18	J		
34. Apple Inc.	A	Dividend	J	T	Buy	09/06/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,00
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Booz Allen Hamilton Holding Co.		None	J	T	Buy	09/06/18	J		
36. Brunswick Corp.		None	J	T	Buy	09/06/18	J		
37.					Buy	10/31/18	J		
38. CBS Corp.		None	J	T	Buy	09/06/18	J		
39. Centene Corp.		None	M	T	Buy	09/06/18	J		
40. Check Point Software Tech Ltd.		None	J	T	Buy	09/06/18	J		
41. Cisco Systems Inc. (X)		None	J	T					
42. Costco Corp.		None	J	T	Buy	09/06/18	J		
43. First Trust Dow Jones ETF		None	J	T	Buy	09/06/18	J		
44. Home Depot Inc.		None	J	T	Buy	09/06/18	K		
45.					Buy	10/31/18	J		
46. Icon PLC		None	J	T	Buy	09/06/18	J		
47. Invesco TR II	B	Dividend	K	T	Buy	09/06/18	L		
48. Invesco TR II S&P Small Cap Comsumer Discretionary Fund		None	J	T	Buy	09/06/18	K		
49.					Buy	10/31/18	J		
50. Invesco TR II S&P Small Cap Health Care Fund		None	J	T	Buy	09/06/18	J		
51. Invesco TR II S&P Small Cap Information Technology Fund		None	J	T	Buy	09/06/18	K		

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52.					Buy	10/31/18	J		
53. Johnson & Johnson		None	K	T	Buy	09/06/18	K		
54.					Sold (part)	10/31/18	J	A	
55. Medtronic PLC		None	J	T	Buy	09/06/18	J		
56. Microsoft Corp.		None	J	T	Buy	09/06/18	J		
57. Pfizer Incorporated		None	J	T	Buy	09/06/18	J		
58.					Sold (part)	10/31/18	J	A	
59. United Technologies Corp.		None	J	T	Buy	09/06/18	K		
60. Vanguard FTSE Pacific Fund	B	Dividend	L	T	Buy	09/06/18	L		
61. Vanguard FTSE Developed Markets Fund	B	Dividend	L	T	Buy	09/06/18	M		
62.					Buy	10/31/18	J		
63. Vanguard Index Fund	B	Dividend	M	T	Buy	09/06/18	M		
64.					Sold (part)	10/31/18	J	A	
65. Vanguard International Equity Fund	B	Dividend	M	T	Buy	09/06/18	M		
66.					Buy	10/31/18	J		
67. Vanguard Mid Cap Fund	B	Dividend	M	T	Buy	09/06/18	M		
68.					Buy	10/31/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500
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69. Vanguard Small Cap Fund	A	Dividend	L	T	Buy	09/06/18	M		
70.					Buy	10/31/18	J		
71. Walgreens Boots Alliance Inc.		None	J	T	Buy	09/06/18	J		
72.					Sold (part)	10/31/18	J	A	
73. 3M Co.		None	J	T	Buy	09/06/18	J		
74. Guggenheim Total Return Bond Fund	A	Dividend	K	T	Buy	09/06/18	K		
75.					Sold (part)	10/31/18	J	A	
76. Fidelity New Market Income Class I (X)	D	Dividend	L	T					
77. Oppenheimer Senior Floating Rate Fund	B	Dividend	K	T	Buy	09/06/18	K		
78.					Sold (part)	09/05/18	L	B	
79.					Sold (part)	10/31/18	J	A	
80. Lord Abbett Short Duration Income Fund	A	Dividend	K	T	Buy	09/06/18	K		
81.					Sold (part)	10/31/18	J	A	
82. Vanguard Intermediate Term Bond Index Fund	A	Dividend	L	T	Buy	09/06/18	L		
83.					Sold (part)	11/01/18	J	A	
84. Vanguard Short Term Bond Index Fund		None	K	T	Buy	09/06/18	K		
85.					Sold (part)	11/01/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$10
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86. Vanguard Emerging Markets Fund (X)	B	Dividend							
87. Fidelity Emerging Markets Income Class I	A	Dividend			Buy	09/06/18	L		
88.					Sold	10/31/18	J	A	
89. Cardinal Health Inc.		None			Sold	09/04/18	J	A	
90. Causeway Emerging Markets Fund		None			Sold	09/05/18	J	A	
91. Goldman Sachs	D	Dividend			Buy	04/16/18	L		
92.					Sold	09/05/18	N	A	
93. JP Morgan Treasury Securities Fund	C	Dividend			Sold (part)	07/02/18	M	A	
94.					Sold	09/05/18	M	A	
95. MetLife Inc.		None			Sold	07/18/18	J	A	
96. Neuberger Berman Equity		None			Sold	09/04/18	J	A	
97. Advisors Inner Circle Fund		None			Sold	09/04/18	K	C	
98. Alliant Energy Corp.		None			Sold	08/20/18	J	D	
99. British Ameren PLC		None			Sold	09/04/18	J	A	
100. Citi Group Inc.		None			Sold	07/18/18	K	D	
101. Doubleline Total Return Bond Fund	A	Dividend			Sold	09/04/18	M	A	
102. Eaton Vance Global Macro Absolute Return Fund	A	Distribution			Sold	09/04/18	L	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. American Funds EuroPacific Growth Fund		None			Sold	09/04/18	J	C	
104. Federated High Yield Bond Fund		None			Sold	09/04/18	J	A	
105. Ford Motor Company		None			Sold	09/04/18	J	A	
106. Oakmark Global Fund		None			Sold	09/04/18	J	B	
107. ING Group		None			Sold	09/04/18	J	B	
108. Iowa Higher Education Municipal Fund		None			Sold	09/06/18	J	A	
109. Iowa State Rec Services Municipal Fund		None			Sold	09/06/18	J	A	
110. McKesson Corp.		None			Sold	09/04/18	K	A	
111. Neuberger Berman Equity		None			Sold	09/04/18	J	A	
112. Nuveen Small Cap Value Fund		None			Sold	09/04/18	K	D	
113. Nuveen Short Term Bond Fund		None			Sold	09/04/18	K	A	
114. Nuveen Preferred Securities & Income Fund	A	Dividend			Sold	09/04/18	L	A	
115. Principal Funds Inc.		None			Sold	09/04/18	J	A	
116. Prudential Financial Inc.		None			Sold	07/18/18	K	D	
117. Boston Partners Research Fund		None			Sold	09/04/18	K	D	
118. Target Corp.		None			Sold	09/04/18	K	D	
119. Valero Energy Corp.		None			Sold	07/18/18			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Wells Fargo Cash Account (X)	G	None							
121. Scholars Choice College Savings Program 529 Account (X)	G	None							

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ RICHARD P. MATSCH**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544