

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WALKER, CHARLES M.	2. Court or Organization USBC (M.D. TENN.)	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 701 BROADWAY CUSTOMS HOUSE, 2D FLOOR NASHVILLE, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner	G Investment Group
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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WALKER, CHARLES M.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Conference of Bankruptcy Judges	October 30 - Nov. 2, 2019	Washington, DC	Speaker at CLE Seminar	Food, lodging and travel
2.	Judicial Conf. Comm. on Adm of Bankr. System, Roadway to Federal Bench	Oct. 24 - 25, 2019	Atlanta, GA	Speaker at CLE Seminar	Food, lodging and travel
3.	Federal Judicial Center Workshop	July 7 - 10, 2019	New York, NY	Speaker at CLE Seminar	Food, lodging and travel
4.	Federal Judicial Center Workshop	April 23 - 26, 2019	Boston, MA	Speaker at CLE Seminar	Food, lodging and travel
5.	Law Economics Center, George Mason Univ.	April 14 - 16, 2019	Arlington, DC	Attendee	Travel

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6.	Tennessee Bar Ass'n	April 5 - 7, 2019	Gatlinburg, TN	Speaker at CLE seminar	Food, lodging and travel
7.	American Bankruptcy Institute	Feb. 6 - 8, 2019	Tampa, FL	Speaker at CLE Seminar	Food, lodging and travel

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PIMCO INCM CL A	A	Dividend			Sold	12/19/19	J	A	
2. ALPS SECTOR DIVIDEND DOGS ETF	A	Dividend			Sold	12/19/19	J	A	
3. GUGGENHEIM S&P 500 EQUALWEI UTI ETF	A	Dividend			Sold	12/19/19	J	A	
4. POWERSHARES SENIOR LOAN ETF	A	Dividend			Sold	12/19/19	J	A	
5. PIMCO 0-5 YEAR HIGH YLD BND IDX ETF	A	Dividend			Sold	12/19/19	J	A	
6. POWERSHARES INTL DVDND ACHVRS ETF	A	Dividend			Sold	12/19/19	J	A	
7. DIREXION NASDAQ 100 EQL WGHT IDX ETF	A	Dividend			Sold	12/19/19	J	A	
8. SPDR BLMBERG BRCLY CONV SECRT ETF	A	Dividend			Sold	12/19/19	J	A	
9. WISDOMTREE US SMALLCAP DVD GRW ETF	A	Dividend			Sold	12/19/19	J	A	
10. ALPS EMERGING SECTOR DVDDOGS ETF	A	Dividend			Sold	12/19/19	J	A	
11. CHARLES SCHWAB US REIT ETF	A	Dividend			Sold	12/19/19	J	A	
12. SCHWAB INT'L EQUITY ETF	A	Dividend			Sold	12/19/19	J	A	
13. SCHWAB EMERGING MARKETS EQUITY ETF	A	Dividend	J	T					
14. CHARLES SCHWAB US MC ETF	A	Dividend	J	T					
15. SCHWAB US SMALL CAP ETF	A	Dividend			Sold	12/19/19	J	A	
16. WISDMTRE US SMLCP QLT DIV GRW ETF IV	A	Dividend			Sold	12/19/19	J	A	
17. AQR LONG SHORT EQTY FD CL N	A	Dividend			Sold	12/19/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. MADISON COVERED CALL & EQTY INCM Y	A	Dividend			Sold	12/19/19	J	A	
19. FTEC FIDELITY MSCI INFO TECH	A	Dividend	J	T					
20. IUSV ISHARES CORE US VALUE ET	A	Dividend	J	T					
21. USMV ISHARES EDGE MSCI MIN VO	A	Dividend	J	T					
22. LQD ISHARES IBOXX INVT GRADE	A	Dividend	J	T					
23. IHI ISHARES US MEDICAL DEVC	A	Dividend	J	T					
24. GOVT ISHARES US TREASURY BON	A	Dividend	J	T					
25. SCHE SCHWAB EMERGING MARKET	A	Dividend	J	T					
26. SCHF SCHWAB INT'L EQ	A	Dividend	J	T					
27. SCHB SCHWAB US BROAD MARKET	A	Dividend	J	T					
28. SCHX SCHWAB US LARGE CAP ETF	A	Dividend	J	T					
29. SPTL SPDR LONG TERM TREASURY	A	Dividend	J	T					
30. SPSB SPDR SHORT TERM CORP	A	Dividend	J	T					
31. BSV VANBUARD SHORT TERM BO	A	Dividend	J	T					
32. SWVXX SCHWAB VALUE ADVANTAGE	A	Dividend	J	T					
33.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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R =Cost (Real Estate Only)

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C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

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P4 =More than \$50,000,000

S =Assessment

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M =\$100,001 - \$250,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CHARLES M. WALKER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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