

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dein, Judith G.	2. Court or Organization U.S. District Court, MA	3. Date of Report 05/04/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court One Courthouse Way Boston, Massachusetts 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Fellow	Massachusetts Bar Foundation
2. Trustee	Union College, Schenectady, NY
3. Fellow	American Bar Association
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Goulston & Storrs - lawyer
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Agilent Technologies Inc. stock		None	J	T					
2. SBC Communications Inc.		None	J	T					
3. Bank of America cash equivalent account	A	Interest	N	T					
4. Bank of America cash equivalent account	A	Interest	L	T					
5. Vodafone Airtouch PLC	A	Dividend	J	T					
6. Israeli Bonds	A	Dividend	J	T					
7. AT&T stock	A	Dividend	J	T					
8. Mass. ST CONS LN	A	Interest	L	T					
9. Needham Bank cash equivalent accountt	A	Interest	L	T					
10. Phoenix Concierge cash equivalent account	A	Interest	K	T					
11. First Eagle Global Fund Class A M/F	B	Dividend	M	T					
12. IVA Worldwide Fund Class C M/F	A	Int./Div.			Sold	05/15/19	J		
13. Nuveen Limited Term Mun Bond Fund Class A & C M/F	A	Interest	K	T	Buy (add'l)	05/16/19	K		
14. Thornburg Ltd Term Mun. Fund Class C M/ F	A	Interest	L	T					see note 1
15. Western Asset Managed Municipals Fund Class I	E	Dividend	M	T	Buy (add'l)	10/24/19	J		
16. Clearbridge Dividend Strategy Fund Class C	A	Interest	L	T					
17. Thornburg Investment Income Builder Fund Class C M/F	A	Interest			Sold	05/16/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Thornburg Limited Term Municipal Fund Class A	B	Interest	L	T					see note 1
19. UBS Bank USA Dep. cash equivalent accounts (various)	A	Interest	M	T					
20. Carillon Eagle Growth & Income Fund Class C	A	Dividend	K	T					
21. Pioneer Fundamental Growth Class Y	A	Dividend	K	T	Buy (add'l)	03/19/19	J		
22.					Sold (part)	10/25/19	J		
23. Pioneer Fundamental Growth Class Y	A	Dividend	K	T	Buy (add'l)	03/19/19	J		
24.					Sold (part)	10/25/19	J		
25. Vanguard Total Intl BD Index Fund ETF	B	Dividend	K	T	Buy (add'l)	03/20/19	J		
26. Loomis Sayles Strategic Income Fund Class Y	A	Dividend	K	T	Buy (add'l)	03/19/19	J		
27.					Buy (add'l)	10/25/19	J		
28. Loomis Sayles Strategic Income Fund Class Y	A	Dividend	K	T	Buy (add'l)	03/19/19	J		
29.					Buy (add'l)	10/25/19	J		
30. Wisdomtree Trust SmallCap Dividend Fund	C	Dividend	K	T	Buy (add'l)	03/20/19	J		
31.					Buy (add'l)	10/28/19	J		
32. Natixis Oakmark Intl Fund Class Y	A	Dividend			Sold	03/19/19	J		
33. Natixis Oakmark Intl Fund Class Y	A	Dividend			Sold	03/19/19	J		
34. Domini Impact Bond Fund Class Investor (exch. for Institutional)	A	Dividend			Sold	03/19/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Columbia Dividend Income Fund Class Institutional	A	Dividend	K	T	Buy (add'l)	03/19/19	J		
36.					Sold (part)	10/15/19	J		
37. Columbia Dividend Income Fund Class Institutional	A	Dividend	K	T	Buy (add'l)	03/19/19	J		
38.					Sold (part)	10/25/19	J		
39. Wisdomtree Small Cap Divid ETF	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
40.					Buy (add'l)	10/28/19	J		
41. Vanguard Total Bond Market ETF	B	Dividend	K	T	Sold (part)	03/20/19	J		
42. Principal FDS Inc Global Diversified Income Fund Cl I	B	Dividend	K	T	Buy (add'l)	03/19/19	J		
43.					Buy (add'l)	10/25/19	J		
44. Vanguard Total Bond Market ETF	B	Dividend	K	T	Sold (part)	03/20/19	J		
45.					Sold (part)	10/28/19	J		
46. Build NYC Resource Corp Assur RV CR 5	C	Dividend	L	T					
47. Dunkirk NYC Sch Assur 2011 BE/R/4	A	Dividend			Redeemed	06/17/19	K		
48. Goldman Sachs Group Inc 05.500%	B	Dividend	K	T					
49. Morgan Stanley B/E 03.875%	A	Dividend	J	T					
50. NYC Transition SR E BE/R/4	A	Dividend	K	T					
51. UBS Cash Account	A	Interest	L	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. Vanguard Total Intl Bond ETF	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
53. Dodge & Cox Stock Fund	D	Dividend			Sold	09/09/19	N		
54. Vanguard Institutional Index Fund	D	Dividend			Sold	09/09/19	N		
55. Loomis Sayles Core Plus Bond Y	D	Dividend			Sold	09/09/19	O		
56. NY Life Ins Co Anchor Acct IV	C	Dividend			Sold	09/09/19	N		
57. Hartford Schroders Tax-Aware Bond Fund Class 1	B	Dividend	M	T	Buy (add'l)	03/19/19	J		
58.					Buy (add'l)	10/25/19	J		
59. Invesco Municipal Income Fund Class Y	C	Dividend	L	T	Sold (part)	03/19/19	J		
60.					Buy (add'l)	10/25/19	J		
61. Natixis Mcdonnell Intermediate Municipal Bond Fund Class Y	B	Dividend			Sold	03/19/19	J		
62. Vanguard Shorti-term Tax-exempt Fund Admiral	A	Dividend	L	T	Buy (add'l)	03/19/19	K		
63.					Buy (add'l)	10/25/19	J		
64. Vanguard FTSE All World Ex-US ETF	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
65.					Buy (add'l)	10/28/19	J		
66. Vanguard S&P 500 Growth ETF	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
67. Vanguard S&P 500 Value ETF	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
68.					Sold (part)	10/28/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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69. Oppenheimer Steelpath MLP Select 40 Fund Y (now Invesco Oppenheimer)	B	Dividend	K	T	Buy (add'l)	10/25/19	J		
70. Western Asset Core Plus Bond Fund Class I	B	Dividend	K	T	Sold (part)	03/19/19	J		
71.					Sold (part)	10/25/19	J		
72. Vanguard FTSE All World Ex-US ETF	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
73.					Buy (add'l)	10/28/19	J		
74. Vanguard S&P 500 Growth ETF	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
75. Vanguard S&P 500 Value ETF	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
76. Oppenheimer Steelpath MLP Select 40 Fund Y(Invesco Oppenheimer)	C	Dividend	K	T	Buy (add'l)	03/19/19	J		
77.					Buy (add'l)	10/25/19	J		
78. Western Asset Core Plus Bond Fund Class I	B	Dividend	K	T	Sold (part)	03/19/19	J		
79.					Sold (part)	10/25/19	J		
80. iShares MSCI KLD 400 Social ETF	A	Dividend	K	T	Sold (part)	03/20/19	J		
81.					Sold (part)	10/28/19	J		
82. Invesco Global Clean Energy Portfolio ETF	A	Dividend	J	T	Sold (part)	03/20/19	J		
83.					Sold (part)	10/28/19	J		
84. Invesco Global Water Portfolio ETF	A	Dividend	J	T	Sold (part)	10/28/19	J		
85. PAX Ellevest Global Women's Index Fund Class Institutional	A	Dividend	K	T	Buy (add'l)	03/19/19	J		

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86.					Sold (part)	10/25/19	J		
87. PAX Global Environmental Markets Fund Class Instl	A	Dividend	J	T	Sold (part)	03/19/19	J		
88.					Sold (part)	10/25/19	J		
89. Calvert Bond Fund Class I	A	Dividend	K	T	Buy (add'l)	03/19/19	J		
90.					Sold (part)	10/25/19	J		
91. Dreyfus Equity Income Fund Class I (now BNY Mellon)	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
92.					Buy (add'l)	10/28/19	J		
93. Dreyfus Int'l Bond Fund Class I (now BNY Mellon)	A	Dividend	K	T	Buy (add'l)	03/19/19	J		
94.					Buy (add'l)	10/25/19	J		
95. PGIM Global Total Return Class Z	C	Dividend	M	T	Buy (add'l)	03/19/19	K		
96.					Buy (add'l)	10/25/19	J		
97. PIMPCO Income Fund Class I2	A	Dividend	M	T	Buy (add'l)	03/19/19	K		
98.					Buy (add'l)	10/25/19	J		
99. UBS Select Prime Investor Fund	B	Dividend			Sold	03/18/19	L		
100. Dreyfus Equity Income Fund Class I (now BNY Mellon)	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
101.					Buy (add'l)	10/28/19	J		
102. Dreyfus Internationall Bond Fund Class I (BNY Mellon)	A	Dividend	K	T	Buy (add'l)	03/19/19	J		

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103.					Buy (add'l)	10/25/19	J		
104. PGIM Global Total Return Class Z	C	Dividend	M	T	Buy (add'l)	03/19/19	K		
105.					Sold (part)	10/25/19	J		
106. UBS Select Prime Investor Fund	B	Dividend			Sold	03/18/19	L		
107. Calvert Green Bond Fund Class I	A	Dividend	K	T	Sold (part)	03/19/19	J		
108.					Buy (add'l)	10/25/19	J		
109. Columbia Dividend Income Fund Class Institutional	A	Dividend	K	T	Buy (add'l)	03/19/19	J		
110.					Sold (part)	05/24/19	J		
111.					Sold (part)	09/16/19	J		
112. Delaware Smal Cap Core I	A	Dividend			Buy (add'l)	03/19/19	J		
113.					Sold	05/24/19	J		
114. Dreyfus Equity Income Fund Class I (now BNY Mellon)	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
115.					Sold (part)	05/28/19	J		
116.					Sold (part)	09/19/19	J		
117. Natixis Oakmark International Fund Class Y	B	Dividend			Sold	03/19/19	K		
118. Vanguard FTSE All World EX-US ETF	B	Dividend	J	T	Sold (part)	03/20/19	J		
119.					Sold (part)	05/28/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	09/17/19	J		
121. Vanguard S&P 500 Growth ETF	A	Dividend	J	T	Sold (part)	03/20/19	J		
122.					Sold (part)	05/28/19	K		
123.					Sold (part)	09/17/19	J		
124. Vanguard S&P 500 Value ETF	A	Dividend	K	T	Sold (part)	05/28/19	J		
125.					Sold (part)	09/17/19	J		
126. Vanguard S&P Mid-Cap 400 ETF	A	Dividend			Sold (part)	03/20/19	J		
127.					Sold	05/28/19	K		
128. Pioneer Pioneer Fundamental Growth Fund Class Y FBOID	A	Dividend	J	T	Sold (part)	03/18/19	J		
129.					Sold (part)	05/24/19	J		
130.					Sold (part)	09/17/19	J		
131. T Rowe Price Blue Chip Growth Fund Inc.	A	Dividend			Sold (part)	03/19/19	J		
132.					Sold	05/24/19	K		
133. Principal Gobal Diversified Income Fund Class I	B	Dividend	K	T	Sold (part)	03/19/19	J		
134.					Buy (add'l)	05/24/19	J		
135.					Sold (part)	09/16/19	J		
136. Calvert Equity Fund Class C	C	Interest	K	T	Buy	05/16/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Dein, Judith G.

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05/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. FIMM Money Market Part Fund INST Class M/M Cash equivalent	A	Interest	J	T	Buy	05/21/19	J		
138. Loomis Sayles Intermediate Municipal Bond Fund Cl. Y	A	Interest	K	T	Buy	07/19/17	K		see note 2
139. MFS Intl Diversification FD CLI	B	Interest	K	T	Buy	03/18/19	J		
140.					Buy (add'l)	10/24/19	J		
141. PIMCO Short-term Fund Class I2	A	Interest	K	T	Buy	03/18/19	K		
142.					Buy (add'l)	10/24/19	J		
143. PIMCO Short Asset Investment Fund Class I2	A	Interest	O	T	Buy	10/31/19	O		
144. MFS International Diversification FD CLI	A	Interest	J	T	Buy	03/19/19	K		
145. PIMCO Short-term Fund Class I2	A	Interest	K	T	Buy	03/18/19	K		
146.					Buy (add'l)	10/24/19	J		
147. Neuberger Berman Sustainable Equity Fund INST Class	B	Interest	K	T	Buy	10/24/19	K		
148. TIAA-CREF Social Choice Bond Fund Class ADV	A	Interest	K	T	Buy	03/17/19	K		
149.					Sold (part)	10/25/19	J		
150. Wisdomtree US Small Cap Divident Fund ETF	A	Dividend	K	T	Buy	05/23/19	K		
151.					Sold (part)	09/17/19	J		
152. Invesco Oppenheimer Steelpath MLP Select 40	A	Interest	J	T	Buy	05/23/19	K		
153.					Sold (part)	09/16/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Dein, Judith G.

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05/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. MFS International Diversification FD CLI	A	Interest	J	T	Buy	03/18/19	K		
155.					Sold (part)	05/24/19	K		
156.					Sold (part)	09/16/19	J		
157. Aberdeen Short Duration High Yield Municipal Fund Institutional Class	A	Interest	K	T	Buy	03/19/19	J		
158.					Buy (add'l)	05/24/19	K		
159.					Sold (part)	09/16/19	J		
160. Aberdeen Ultra Short Municipal Income Fund Institutional Class	A	Interest	J	T	Buy	03/19/19	J		
161.					Buy (add'l)	05/24/19	J		
162.					Sold (part)	09/16/19	J		
163. Mainstay Mackay High Yield Municipal Bond Fund Class I	A	Interest	K	T	Buy	03/19/19	J		
164.					Buy (add'l)	05/24/19	J		
165.					Sold (part)	09/16/19	J		
166. The Hartford Municipal Opportunities Fund Class I	A	Interest	K	T	Buy	03/19/19	K		
167.					Buy (add'l)	05/24/19	K		
168.					Sold (part)	09/16/19	K		
169. Western Asset Managed Municipals Fund Class I	A	Interest	K	T	Buy	03/19/19	K		
170.					Buy (add'l)	05/25/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Dein, Judith G.

Date of Report

05/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold (part)	09/16/19	K		
172. Mainstay Mackay Tax Free Bond Fund Class I	A	Interest			Buy	03/19/19	K		
173.					Buy (add'l)	05/24/19	K		
174.					Sold (part)	09/16/19	K		
175.					Sold	12/19/19	K		
176. Loomis Sayles Strategic Income Fund Class Y	A	Interest			Buy	05/24/19	K		
177.					Sold (part)	09/16/19	J		
178.					Sold	12/20/19	J		
179. Invesco Global Clean Energy ETF	A	Interest	K	T	Buy	11/04/19	J		
180.					Buy (add'l)	12/05/19	J		
181. Invesco Global Water ETF	A	Interest	K	T	Buy	11/04/19	J		
182.					Buy (add'l)	12/05/19	J		
183. IShares MSCI KLD 400 Social ETF	A	Interest	L	T	Buy	11/04/19	K		
184.					Buy (add'l)	12/05/19	K		
185. Neuberger Berman Sustainable Equity Fund Institutional Class	A	Interest	L	T	Buy	11/01/19	K		
186.					Buy (add'l)	12/04/19	K		
187. PAX Global Environmental Markets Fund Class Institutional	A	Interest	K	T	Buy	11/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	12/04/19	J		
189. PAXEllevate Global Women's Leadership Fund Institutional	A	Interest	L	T	Buy	11/01/19	K		
190.					Buy (add'l)	12/14/19	K		
191. Calvert Green Bond Fund Class I	A	Interest	L	T	Buy	11/01/19	K		
192.					Buy (add'l)	12/04/19	K		
193. Calvert Bond Fund Class I	A	Interest	L	T	Buy	11/01/19	K		
194.					Buy (add'l)	12/04/19	K		
195. TIAA-CREF Social Choice Bond Fund Class ADV	A	Interest	L	T	Buy	11/01/19	K		
196.					Buy (add'l)	12/04/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Dein, Judith G.

Date of Report

05/04/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

If the same asset is listed multiple times, it is because it is held in several accounts.

Note 1 - in the 2018 report I inadvertently switched the amounts in the accounts listed in lines 14 and 18.

Note 2 - this asset was inadvertently omitted

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Name of Person Reporting

Dein, Judith G.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Judith G. Dein**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544