

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Coleman, Sharon J.	2. Court or Organization Northern District of Illinois	3. Date of Report 6/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 219 S. Dearborn Street-Chambers 1260 Chicago, Illinois 60604		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct professor	Northwestern University School of Law, Chicago, Illinois
2. Member	The Women's Board of University of Chicago
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Coleman, Sharon J.

Date of Report

6/30/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Northwestern University School of Law - teaching	\$2,500.00
2. 2019	State of Illinois Employee Pension Fund: Pension upon retirement age 59.5	\$18,200.00
3. 2019	Cook County Employee Retirement Fund: Pension upon retirement age 59.5	\$2,700.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Executive Consultants United, LLC ; Consulting Business
2. 2019	Blue Cross Blue Shield of Michigan
3. 2019	Coleman Brothers Barber Shop, LLC
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Coleman, Sharon J.	6/30/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. PHH (transferred from Bank of America)	Rental Property - Mortgage #1, Chicago, IL (Pt. VII, line 4)	M
2. Cardmember Card	Credit Card	K
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Coleman, Sharon J.

Date of Report

6/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Citibank	A	Interest	L	T					
2. Chase	A	Interest	J	T					
3. Rental Property #1 - Chicago, Il (Purchased 2007 \$340,000)	E	Rent	O	W					
4. Merrill Lynch Money Market Account	A	Interest	J	T					
5. Merrill Lynch Wealth Management Joint Account	A	Dividend	J	T					
6. - First Eagle Global Class I	A	Dividend	J	T	Buy (add'l)	01/11/19	J		
7. Merrill Lynch Money Market Account	A	Interest	J	T					
8. Merrill Lynch Welath Management IRA	D	Dividend	J	T					
9. - First Eagle Global Class I	E	Dividend	K	T	Sold (part)	06/11/19	K	E	
10.					Buy (add'l)	09/13/19	K		
11. - FPA Crescent Fund Instl Cl	E	Dividend	K	T	Sold (part)	06/11/19	K	E	
12.					Buy (add'l)	09/13/19	K		
13. - Permanent Portfolio Fund	E	Dividend	K	T	Sold (part)	06/11/19	K	E	
14.					Buy (add'l)	09/13/19	K		
15. - Thornburg Global Opp Fund Cl I	D	Dividend	J	T	Buy (add'l)	01/11/19	J		
16.					Sold (part)	06/11/19	K	E	
17.					Buy (add'l)	09/13/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Coleman, Sharon J.

Date of Report

6/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Loomis Sayles Global Allocation FD CL Y	E	Dividend	K	T	Buy (add'l)	06/11/19	K		
19.					Buy (add'l)	09/13/19	K		
20. -Bank of Amrica, NA RASP (Money Market)	A	Interest	J	T					
21. Merrill Lynch Money Market Account	A	Interest	J	T					
22. Merrill Lynch Welath Management IRA #2	D	Dividend	J	T					
23. - Thornburg Global Opp Fund Cl I	D	Dividend	J	T	Buy (add'l)	01/11/19	J		
24.					Sold (part)	06/11/19	J	A	
25. -Bank of Amrica, NA RASP (Money Market)	A	Interest	J	T					
26. Merrill Lynch Money Market Account	A	Interest	J	T					
27. Merrill Lynch Wealth Management IRA #3	F	Dividend	L	T					
28. - Angel Oak Multi Strategy Income Fund	C	Dividend	J	T	Sold (part)	01/11/19	J	C	
29.					Sold (part)	08/07/19	J	C	
30.					Buy (add'l)	09/13/19	J		
31. - Deutsche Global Hi Inc Cl S	D	Dividend	J	T	Sold (part)	08/07/19	J	D	
32.					Buy (add'l)	09/13/19	J		
33. - First Eagle Global Class I	C	Dividend	J	T	Buy (add'l)	01/11/19	J		
34.					Buy (add'l)	08/07/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Coleman, Sharon J.

Date of Report

6/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold (part)	09/13/19	K		
36. - FPA Crescent Fund Instl Cl	C	Dividend	J	T	Buy (add'l)	01/11/19	J		
37.					Buy (add'l)	08/07/19	J		
38.					Sold (part)	09/13/19	K	E	
39. - IShares RS 1000 Growth	D	Dividend	K	T	Buy (add'l)	01/14/19	J		
40.					Sold (part)	08/08/19	L	F	
41.					Buy (add'l)	09/16/19	J		
42. - IShares RS 1000 Value	D	Dividend	J	T	Buy (add'l)	01/14/19	J		
43.					Sold (part)	08/08/19	K	E	
44.					Buy (add'l)	09/16/19	J		
45. - IShares RS 2000 Growth	D	Dividend	J	T	Buy (add'l)	01/14/19	J		
46.					Sold (part)	08/08/19	K	E	
47.					Buy (add'l)	09/16/19	J		
48. - IShares RS 2000 Value	D	Dividend	J	T	Buy (add'l)	01/14/19	J		
49.					Sold (part)	08/08/19	J	D	
50.					Buy (add'l)	09/16/19	J		
51. - Loomis Sayles Global Allocation Fd	D	Dividend	J	T	Buy (add'l)	08/07/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Coleman, Sharon J.

Date of Report

6/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	09/13/19	K	E	
53. - Loomis Sayles Strategic Inc Fd	D	Dividend	J	T	Sold (part)	08/07/19	J	D	
54.					Buy (add'l)	09/13/19	J		
55. - Lord Abbett Short Dur Income Fd	D	Dividend	J	T	Sold (part)	01/11/19	J	D	
56.					Buy (add'l)	04/11/19	J		
57.					Sold (part)	08/07/19	K	E	
58.					Buy (add'l)	09/13/19	J		
59. - Lord Abbett Bond Debenture Fd	D	Dividend	J	T	Sold (part)	08/07/19	J	D	
60.					Buy (add'l)	09/13/19	J		
61. -Mainstay Short Duration Hi Yld Fund	D	Dividend	J	T	Sold (part)	08/07/19	J	D	
62.					Buy (add'l)	09/13/19	J		
63. - Oakmrk Intl Fund	E	Dividend	K	T	Buy (add'l)	01/11/19	K		
64.					Sold (part)	08/07/19	K	E	
65.					Buy (add'l)	09/13/19	J		
66. - Oppenheimer Dev Mkts Fund	C	Dividend	J	T	Sold (part)	01/11/19	J	C	
67.					Sold (part)	08/07/19	K	E	
68.					Buy (add'l)	09/13/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000 M =\$100,001 - \$250,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Coleman, Sharon J.

Date of Report

6/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - Oppenheimer Senior Floating Rate Fd	C	Dividend	J	T	Sold (part)	01/11/19	J	C	
70.					Sold (part)	08/07/19	J	D	
71.					Buy (add'l)	09/13/19	J		
72. - Oppenheimer Intl Small Company Fd	C	Dividend	J	T	Buy (add'l)	01/11/19	J		
73.					Sold (part)	08/07/19	J	D	
74.					Buy (add'l)	09/13/19	J		
75. - Pear Tree Polaris Foreign Val Sm Cap Fd	D	Dividend	J	T	Buy (add'l)	01/11/19	J		
76.					Sold (part)	08/07/19	J	C	
77.					Buy (add'l)	09/13/19	J		
78. - Permanent Portfolio Fund	C	Dividend	J	T	Buy (add'l)	01/11/19	J		
79.					Buy (add'l)	08/07/19	J		
80.					Sold (part)	09/13/19	K	E	
81. - PIMCO Total Return Fund	C	Dividend	J	T	Sold (part)	01/11/19	J	C	
82.					Sold (part)	08/07/19	J	D	
83.					Buy (add'l)	09/13/19	J		
84. - Prudential Short Trerm Corp Bond Fund	D	Dividend	J	T	Sold (part)	01/11/19	J	D	
85.					Buy (add'l)	04/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Coleman, Sharon J.

Date of Report

6/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold (part)	08/07/19	K	E	
87.					Buy (add'l)	09/13/19	J		
88. - Templeton Global Bond Fund	C	Dividend	J	T	Sold (part)	01/11/19	J	C	
89.					Sold (part)	08/07/19	J	D	
90.					Buy (add'l)	09/13/19	J		
91. - Thornburg Global Opp Fund Cl I	C	Dividend	J	T	Buy (add'l)	01/11/19	J		
92.					Buy (add'l)	08/07/19	J		
93.					Sold (part)	09/13/19	K	E	
94. - Wisdomtree Em Small Cap	D	Dividend	J	T	Sold (part)	08/08/19	J	D	
95.					Buy (add'l)	09/16/19	J		
96. -Bank of America, NA RASP (Money Market)	A	Interest	J	T					
97. Bright Start College Savings Program	A	Interest	K	W					
98.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Coleman, Sharon J.

Date of Report

6/30/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Coleman, Sharon J.

Date of Report

6/30/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sharon J. Coleman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544