

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Atlas, Nancy F.	2. Court or Organization U.S.D.C. - S.D. Tx.	3. Date of Report 08/27/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States District Court 515 Rusk Street, Room 9015 Houston, TX 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Associate Member	VICTORY (Houston American Cancer Society)
2. Co-Trustee	Trust 1
3. Co-Trustee	Trust 2
4. Co-Trustee	Trust 3
5. Co-Trustee	Trust 4
6. Co-Trustee	Trust 5
7. Task Force Chair	American Bar Association Section of Litigation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2. American Bar Association, Section of Litigation ("SOL")	01/16-01/18/14	San Diego, CA	Winter Leadership Meeting	transportation, lodging, meals
3. New York University	03/27-03/29/14	New York, NY	Admitted Students Program	transportation, lodging
4. American Bar Association, SOL	04/9-04/11/14	Scottsdale, AZ	Section Annual Conference	transportation, lodging
5. American Bar Association, SOL	06/19-06/21/14	Santa Fe, NM	Spring Leadership Meeting	transportation, lodging, meals

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6.	American Bar Association, SOL	09/18-09/20/14	Nashville, TN	Fall Leadership Meeting	transportation, lodging, meals
7.	American Bar Association, SOL	11/5-11/7/14	Chicago, IL	Women of SOL Conference	transportation, lodging, meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	(Section V Note 1)	See Section VIII	\$0.0
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. [REDACTED]		None	K	T					
2. AXA Equitable Universal Life Insurance		None	L	T					
3. BBVA Compass Bank, Houston, TX	A	Interest	N	T					
4. Blind Squirrel Partners LLC	E	Royalty	K	U					
5. Diamond Castle Partner IV, L.P. (FN 1)	B	Int./Div.	J	U	Sold (part)	11/20/14	L		
6. Dreyfus Appreciation Fund (FN 2)	C	Dividend	L	T					
7. GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index FD	A	Int./Div.	K	T	Sold (part)	09/09/14	J	A	
8.					Sold (part)	10/17/14	J		
9. IRA #2	E	Int./Div.	P1	T					
10. -GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index					Buy	01/16/14	J		
11.					Sold (part)	02/21/14	J		
12.					Buy (add'l)	10/21/14	J		
13. -NFRA - MFC Flexshares Tr Stoxx Global Broad Infrastructure Index FD					Buy	02/26/14	K		
14. -NGREX - MFB Northern FDS Global Real Estate Index FD					Buy	01/10/14	J		
15.					Sold (part)	10/17/14	J	A	
16. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD					Buy	10/17/14	J		
17.					Buy (add'l)	12/19/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	12/23/14	J		
19. -NOBOX - MFB Northern FDS BD Index FD					Buy	01/10/14	J		
20.					Buy (add'l)	10/17/14	K		
21.					Buy (add'l)	11/21/14	K		
22.					Buy (add'l)	12/23/14	K		
23. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD					Buy	01/10/14	J		
24.					Sold (part)	02/21/14	J		
25.					Sold (part)	10/17/14	K		
26.					Sold (part)	12/23/14	J		
27. -NOINX - MFB Northern Intl Equity Index FD					Buy	01/10/14	J		
28.					Buy (add'l)	01/15/14	J		
29.					Sold (part)	10/17/14	K	A	
30.					Sold (part)	11/21/14	K	C	
31.					Buy (add'l)	12/23/14	J		
32. -NOMIX - MFB Northern Mid Cap Index FD					Buy	10/17/14	J		
33.					Buy (add'l)	11/21/14	J		
34.					Buy (add'l)	12/19/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold (part)	12/23/14	J	A	
36. -NORXX - MFB Northern Funds Money Market Fund									
37. -NOSIX - MFB Northern FDS STK Index FD					Buy	01/10/14	J		
38.					Buy (add'l)	01/15/14	J		
39.					Sold (part)	02/21/14	J	B	
40.					Buy (add'l)	10/17/14	J		
41.					Buy (add'l)	11/21/14	J		
42.					Buy (add'l)	12/19/14	J		
43. -NSIDX - MFB Northern FDS Small Cap Index FD					Buy	10/17/14	J		
44.					Buy (add'l)	12/19/14	J		
45.					Sold (part)	12/23/14	J	A	
46. -NUSFX - Northern FDS Ultra Short Fixed Income FD					Sold	10/17/14	J	A	
47. -TDTT - MFC Flexshares TR IBOX 3 Yr Target Duration TIPS Index									
48. IRA #3	F	Int./Div.	P1	T					
49. -GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index F					Buy	01/14/14	J		
50.					Sold (part)	02/21/14	J		
51.					Buy (add'l)	07/08/14	J		

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52.					Buy (add'l)	10/21/14	J		
53. -NFRA - MFC Flexshares Tr Stock Global Broad Infrastructure Index FD					Buy	02/26/14	L		
54.					Sold (part)	10/17/14	J	A	
55. -NGREX - MFB Northern FDS Global Real Estate Index FD					Buy	01/09/14	J		
56.					Sold (part)	02/21/14	J		
57.					Buy (add'l)	07/02/14	J		
58.					Sold (part)	10/17/14	J	A	
59. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD					Buy	07/02/14	J		
60.					Buy (add'l)	10/17/14	J		
61.					Buy (add'l)	12/19/14	J		
62.					Sold (part)	12/23/14	J		
63. -NOBOX - MFB Northern FDS BD Index FD					Buy	01/17/14	M		
64.					Sold (part)	02/21/14	J	A	
65.					Buy (add'l)	07/02/14	J		
66.					Buy (add'l)	10/17/14	L		
67.					Buy (add'l)	11/21/14	L		
68.					Buy (add'l)	12/23/14	K		

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69. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD					Buy	01/09/14	J		
70.					Sold (part)	02/21/14	K		
71.					Buy (add'l)	07/02/14	J		
72.					Sold (part)	10/17/14	L		
73.					Sold (part)	12/23/14	K		
74. -NOINX - MFB Northern Intl Equity Index FD					Buy	01/09/14	J		
75.					Buy (add'l)	07/02/14	J		
76.					Sold (part)	02/21/14	J	A	
77.					Sold (part)	10/17/14	K	A	
78.					Sold (part)	11/21/14	M	D	
79.					Buy (add'l)	12/23/14	J		
80. -NOITX - MFB Northern FDS Inter Tax Exempt FD					Buy	01/09/14	J		
81.					Sold	01/17/14	M		
82. -NOMIX - MFB Northern Mid Cap Index FD					Sold (part)	01/09/14	J	A	
83.					Buy	10/17/14	K		
84.					Buy (add'l)	11/21/14	J		
85.					Buy (add'l)	12/19/14	J		

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86.					Sold (part)	12/23/14	J	A	
87. -NORXX - MFB Northern FDS Money Market FD									
88. -NOSIX - MFB Northern FDS STK Index FD					Buy	01/09/14	J		
89.					Sold (part)	02/21/14	J	C	
90.					Buy (add'l)	07/02/14	J		
91.					Buy (add'l)	10/17/14	K		
92.					Buy (add'l)	11/21/14	K		
93.					Buy (add'l)	12/19/14	J		
94.					Sold (part)	12/23/14	J	A	
95. -NSIDX - MFB Northern FDS Small Cap Index FD					Sold (part)	01/09/14	J	A	
96.					Buy (add'l)	02/21/14	J		
97.					Buy (add'l)	10/17/14	J		
98.					Buy (add'l)	12/19/14	J		
99.					Sold (part)	12/23/14	J	A	
100. -NTAUX - MFB Northern FDS Tax Advantaged Ultra Short Fixed					Sold	01/17/14	K	A	
101. -NUSFX - MFB Northern FDS Ultra Short Fixed Income FD					Buy	01/17/14	K		
102.					Sold	10/17/14	K	A	

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103. -TDTT - MFC Flexshares TR IBOX 3 Yr Target Duration TIPS Index									
104. IRA #5	A	Dividend	K	T					
105. -Vanguard Small Cap Index Fund									
106. Kinder Morgan Inc. (common)	A	Dividend	J	T					
107. Nexus Resources, LLC-Preferred	E	Royalty	K	U					
108. NFRA - MFC Tr Stoxx Global Broad Infrastructure Index FD	A	Dividend	J	T	Buy	02/26/14	J		
109. NGREX - MFB Northern FDS Global Real Estate Index FD	A	Dividend	K	T	Sold (part)	09/05/14	J	A	
110. NHFIX - MFB Northern Hi Yield Fxd Inc. FD	C	Interest	K	T	Sold (part)	09/05/14	J		
111.					Buy	10/17/14	J		
112. NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD	A	Dividend	K	T	Sold (part)	02/21/14	J		
113.					Sold (part)	09/05/14	J	A	
114.					Sold (part)	10/17/14	J		
115.					Sold (part)	12/23/14	J		
116. NOINX - MFB Northern Intl Equity Index FD	B	Dividend	L	T	Buy	01/10/14	J		
117.					Sold (part)	01/14/14	J	A	
118.					Sold (part)	02/26/14	J	A	
119.					Sold (part)	09/05/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Atlas, Nancy F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	10/17/14	J	B	
121.					Sold (part)	11/21/14	K		
122. NOITX - MFB Northern FDS Inter Tax Exempt FD	B	Interest	M	T	Buy	01/10/14	J		
123.					Sold (part)	01/14/14	J		
124.					Sold (part)	02/21/14	J		
125.					Sold (part)	02/26/14	J		
126.					Sold (part)	09/05/14	J		
127.					Buy (add'l)	10/17/14	K		
128.					Buy (add'l)	11/21/14	J		
129.					Buy (add'l)	12/23/14	J		
130. NOMIX - MFB Northern Mid Cap Index FD	A	Dividend	K	T	Sold (part)	09/05/14	J	A	
131.					Buy	10/17/14	J		
132. NOMXX - MFB Northern Funds Mun Money Mkt	A	Interest	K	T					
133. NOSIX - MFB Northern FDS STK Index FD	B	Dividend	M	T	Buy	01/10/14	J		
134.					Sold (part)	01/14/14	J		
135.					Sold (part)	02/21/14	J	A	
136.					Sold (part)	02/26/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Atlas, Nancy F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	09/05/14	J	B	
138.					Buy (add'l)	10/17/14	J		
139.					Buy (add'l)	11/21/14	J		
140. NSIDX - MFB Northern FDS Small Cap Index FD	A	Dividend	J	T	Buy	10/17/14	J		
141. NTAUX - MFB Northern FDS Tax Advantaged Ultra Short Fixed	A	Interest			Sold	10/17/14	J	A	
142. Roth IRA #1	C	Int./Div.	M	T					
143. -Vanguard 500 Index Fund (FN 11)									
144. -Vanguard Small Cap Index Fund									
145. -Vanguard Treasury Money Market Fund									
146. SEP IRA #1	A	Int./Div.	K	T					
147. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD					Buy	09/22/14	J		
148.					Buy (add'l)	09/30/14	J		
149.					Buy (add'l)	11/12/14	J		
150.					Buy (add'l)	09/30/14	J		
151.					Buy (add'l)	11/12/14	J		
152. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD					Buy	09/22/14	J		
153.					Buy (add'l)	09/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Atlas, Nancy F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	11/12/14	J		
155. -NOINX-Northern Intl Equity Index FD					Buy	09/30/14	J		
156. -NORXX - MFB Northern FDS Money Market FD									
157. -NOSIX - MFB Northern FDS STK Index FD					Buy	09/22/14	J		
158.					Buy	09/30/14	J		
159.					Buy	11/12/14	J		
160. -NSIDX - MFB Northern FDS Small Cap Index FD					Buy	09/30/14	J		
161. St. Clair, Patricia & Kellee (FN 9)		None	J	T	Buy	02/19/14	J		
162.					Buy (add'l)	02/24/14	J		
163.					Buy (add'l)	08/16/14	J		
164.					Buy (add'l)	09/30/14	J		
165. TD Ameritrade Money Market Acct. (Y)									
166. TDTT - MFC Flexshares TR IBOXX 3 Yr Target Duration TIPS Index FD	A	Interest	K	T	Sold (part)	09/09/14	K		
167. TPG Partners V, L.P. (FN 3)	D	Int./Div.	L	U					
168. 401K		None	P1	T					
169. 401(K) PIMCO Total Ret-Inst (FN 4)					Buy	07/18/14	K		
170. 401(K) Vanguard Infl-Prot Secs (FN 4)					Buy	01/22/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	07/18/14	K		
172. -401(K) Vanguard Institutional Index (FN 4)					Sold (part)	07/18/14	K		
173. -401(K) Vanguard Mid Cap Index (FN 4)					Sold (part)	01/23/14	K		
174. -401(K) Vanguard Total International Stock Index (FN 4)					Buy	07/18/14	K		
175. VFF II FAF L.P. (FN 5)		None	M	U					
176. Vinson & Elkins Pension Plan (FN 6)		None			Redeemed	12/19/14	O		
177. Wegoma Berkshire VII, L.P. (FN 7)	E	Int./Div.	K	U					
178. WG&M Capital Account (FN 10)	A	Interest			Closed	02/10/14	L		
179. TRUST 1	E	Dividend	O	T					
180. -Dreyfus Appreciation Fund (FN 2)									
181. TRUST 2	C	Int./Div.	M	T					
182. -BBVA Compass Bank, Houston, TX Acct. # 4									
183. -GUNR: MFC Flexshares TR Morningstar Global Upstream Nat. Res. Ind									
184. -NFRA - MFC Flexshares Tr Stoxx Global Broad Infrastructure					Buy	02/26/14	J		
185. -NGREX: MFB Northern Fds Global Real Estate Index Fund									
186. -NHFIX: MFB Northern High Yield Fixed Income Fund									
187. -NOEMX: MFB Northern FDS Emerging Mkts Equity Index FD					Sold (part)	02/21/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	10/17/14	J		
189.					Sold (part)	12/23/14	J		
190. -NOINX: MFB Northern Intl Equity Index FD					Sold (part)	10/17/14	J		
191.					Sold (part)	11/21/14	J	A	
192. -NOITX: MFB Northern Funds Inter Tax Exempt Fund					Buy	10/17/14	J		
193.					Buy (add'l)	11/21/14	J		
194.					Buy (add'l)	12/23/14	J		
195. -NOMIX: MFB Northern Mid Cap Index FD									
196. -NOMXX: MFB Northern Funds Mun Money Mkt Fund									
197. -NOSIX: MFB Northern FDS Stk Index FD					Buy	10/17/14	J		
198. -NSIDX: MFB Northern FDS Small Cap Index FD									
199. -NTAUX: MFB Northern FDS Tax Advantaged Ultra Short Fixed					Sold	10/17/14	J	A	
200. -TDTT: MFC Flexshares Tr IBOX 3 yr Target Duration TIPS Index Fd									
201. TRUST 3	E	Int./Div.	L	T					
202. -BBVA Compass Bank, Houston, TX Acct. # 5									
203. -GUNR: MFC Flexshares TR Morningstar Global Upstrm Nat Res Ind (FN 12)									
204. -NFRA: MFC Flexshares Tr Stoxx Global Broad Infrastructure					Buy	03/14/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -NGREX: MFB Northern Fds Global Real Estate Index Fund									
206. -NHFIX: MFB Northern Fds High Yield Fixed Income Fund									
207. -NOEMX:MFB Northern Fds Emerging Mkts Equity Index Fund					Sold (part)	10/17/14	J		
208.					Sold (part)	12/23/14	J		
209. -NOINX:MFB Northern Fds Intl Equity Index Fund					Sold (part)	10/17/14	J		
210.					Sold (part)	11/21/14	J	A	
211. -NOITX: MFB Northern Fds Inter Tax Exempt Fund					Buy	10/17/14	J		
212.					Buy (add'l)	11/21/14	J		
213.					Buy (add'l)	12/23/14	J		
214. -NOMIX: MFB Northern Fds Mid Cap Index Fund									
215. -NOMXX: MFB Northern Funds Mun Money Mkt Fund									
216. -NOSIX: MFB Northern Fds Stk Index Fund									
217. -NSIDX: MFB Northern Fds Small Cap Index Fund									
218. -NTAUX: MFB Northern Fds Tax Advantaged Ultra Short Fixed Fund (Y)									
219. -TDTT: MFC Flexshares Tr IBOXX 3 yr Target Duration TIPS Index Fd									
220. TRUST 4 (FN 8)		None	O	T					
221. -BBVA Compass Bank, Houston, TX Acct. #6									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. --Pacific Life Ins. (variable life) (FN 8)									
223. --Batterymarch Fin. Mgmt. Inc. Int'l Small Cap (X)									
224. --BlackRock Inv. Mgmt. LLC Large-Cap Growth (X)					Sold	12/10/14	L		
225. --ClearBridge Invs. LLC Var Mid Cap Core CL II (X)									
226. --Equity Index - BlackRock					Buy	12/10/14	L		
227. --Fidelity VIP Money Market FC					Buy	04/30/14	J		
228. --Franklin and BlackRock Small-Cap Equity (X)									
229. --MFS Inv Mgmt. Int'l Large-Cap (X)					Sold (part)	12/10/14	K		
230. --Morgan Stanley Real Estate (X)									
231. --Oppenheimer Funds Inc. Emerging Markets (X)									
232. --Pacific Asset Mgmt. Cash Mgmt. Fund (X)					Sold	04/30/14	J		
233. --Pacific Asset Mgmt. High Yield Bond (X)					Buy	12/10/14	J		
234. --PIMCO Inflation Managed (X)									
235. --PIMCO Managed Bond (X)									
236. --T Rowe Price Dividend Growth Portfolio (X)					Sold (part)	12/10/14	J		
237. --Van Eck Worldwide Ins. Trust VIP Global Hard Assets (X)									
238. --VIP Money Market SC - Fidelity					Buy	04/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. TRUST 5	A	Dividend	N	T					
240. -American General Life Ins. Co. (Universal Life Ins.)									
241. -Lincoln National (Universal Life Ins.)									
242. -Vanguard Index Trust 500 Portfolio									
243. TRUST 6	E	Int./Div.	P1	T					
244. -Adams Cnty Colo Sch Dist No. 050 Westminster 5% 12-01-2014 BEO (X)					Matured	12/01/14	K		
245. -Baltimore Cnty MD 4% 08-01-2020 BEO (X)					Buy	07/15/14	K		
246. -Carroll Cnty MD 5% 11-01-2021 BEO (X)					Buy	12/08/14	K		
247. -Denver COLO City & Cnty Sch Dist No 1 5% 12-01-2021 BEO (X)					Buy	07/21/14	K		
248. -Fairfax Cnty VA 5% 04-01-2020 BEO (X)									
249. -Florida St Brd Ed Lottery rev 5.25% 07-1-2026 BEO (X)									
250. -Florida St. Brd Ed Pub Ed 4% 06-01-2023 BEO (X)									
251. -Fort Lauderdale FLA 3% 01-01-2015 BEO (X)									
252. -Kansas St Dev Fin Auth Rev 5% 02-01-2021 BEO (X)									
253. -Kenosha Cnty Wis 3.0 due 08-01-2020/ 08-01-2018 BEO (X)					Sold	07/14/14	L	B	
254. -Massachusetts St. 4% 08-01-2019 BEO (X)					Buy	08/13/14	L		
255. -Minnesota St 5% 10-01-2020 BEO (X)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. -New Jersey St Transn Tr Fd Auth 5.25% 12-15-2020 BEO (X)									
257. -New York NY 5% 08-01-2020 BEO (X)					Buy	01/10/14	L		
258. -New York NY City Transitional Fin Auth Rev 5% 05-01-2028 BEO (X)									
259. -New York St Dorm Auth St Pers Income Tax Rev 5% 12-15-2020 BEO (X)									
260. -NOEMX: MFB Northern Funds Emerging Mkts Eqty Eqty Index Fd (X)									
261. -NOINX: MFB Northern Intl Equity Index FD (X)					Buy	04/03/14	K		
262. -NOMXX: MFB Northern FDS Mun Money Mkt FD (X)									
263. -NOMXX: MFB Northern Funds Mun Money Mkt Fund (X)									
264. -North Kansas City MO Sch Dist No 74 5% 03-01-2019 BEO (X)									
265. -NOSIX: MFB Northern FDS STK Index Fd (X)					Buy	04/03/14	K		
266. -Ohio State 5% 08-01-2020 BEO (X)									
267. -PCRIX: MFO PIMCO Fds Pac Invnt Mgmt Ser Commodity RealReturn Strat (X)									
268. -Pennsylvania St 5% 05-01-2020 BEO (X)									
269. -Phoenix Ariz Civic Impt Corp Tran Excise Tax Rev 5% 07-1-2019 BEO (X)									
270. -Real Property, Travis Cty, TX					Buy	12/10/14	N		
271. -TDTT: MFC Flexshares Tr Iboxx 3 yr Target Duration Tips Index Fd (X)									
272. -Triborough Brdg & Tunl Auth NY Revs 5% 11-15-2021 BEO (X)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. -Williamson Cnty Tax 5.25% due 02-15-2017 BEO (X)					Sold	07/31/14	L	A	
274. TRUST 7	E	Int./Div.	P1	T					
275. -GUNR: MFC Flexshares TR Mningstr Global Upstrm Nat Res Index FD (X)					Sold (part)	02/21/14	J		
276.					Buy	04/10/14	J		
277.					Sold (part)	04/15/14	J		
278.					Buy (add'l)	10/21/14	J		
279. -NFRA: MFC Flexshares Tr Stoxx Global Broad Infstrcture Index FD (X)									
280. -NGREX: MFB Northern FDS Global Real Estate Index FD (X)					Sold (part)	04/11/14	J	A	
281.					Sold (part)	10/17/14	J		
282. -NHFIX: MFB Northn Hi Yield Fxd Inc FD (X)					Sold (part)	02/21/14	J		
283.					Sold (part)	04/11/14	J	A	
284.					Sold (part)	07/18/14	J	A	
285.					Sold (part)	10/17/14	J		
286. -NOEMX: MFB Northn Funds Emerging Mkts Eqty Eqty Index FD (X)					Sold (part)	02/21/14	J	B	
287.					Sold (part)	04/11/14	J		
288.					Sold (part)	07/18/14	K	A	
289.					Sold (part)	10/17/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -NOINX: MFB Northn Intl Eqty Index FD (X)					Sold (part)	04/11/14	J	A	
291.					Sold (part)	10/17/14	K		
292.					Sold (part)	11/21/14	K	B	
293. -NOMIX: MFB Northn Mid Cap Index FD (X)					Sold (part)	02/21/14	J	A	
294.					Sold (part)	04/11/14	J	A	
295. -NOMXX: MFB Northern FDS Mun Money Mkt FD (X)									
296. -NOSIX: MFB Northern FDS Stk Index FD (X)					Sold (part)	2/21/14	J	B	
297.					Sold (part)	04/11/14	J	B	
298. -NSIDX: MFB Northern FDS Small Cap Index FD (X)									
299. -Real Property, Travis Cy, TX					Buy	12/10/14	N		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section V Notes:

(1) A few times each year, friends invite [REDACTED] me to sit with them at tables they have purchased at charity events. We occasionally invite friends to do the same, i.e., join us at tables we have purchased for charity events. Since my confirmation, each time a friend has invited us to sit at his/her table [REDACTED] has made a contribution to the organization hosting the event equal to or greater than the fair market value of two tickets to the event. Each time, the invitation has come from a close friend whose friendship long predated my nomination. A few of those friends are lawyers and could someday represent clients in my court. To my knowledge, none of those friends was a party or represented clients in my court at the time of the event at which we sat at their table.

Section VII Notes:

- (1) Diamond Castle Partner IV, L.P. is a private equity fund that makes periodic capital calls. In response to capital calls, we invested an amount within category J on 3/14/14. On 11/20/14, my entire interest was sold, and all but an amount within category J was distributed to me. In my original report, part VII, I listed an "A" in column B (1) based on an estimate provided by the fund managers. Subsequently, I received the final K-1, which reflected income of an amount within category B.
- (2) Every year in late March and late December, the income from the Dreyfus Appreciation Fund in Trust 1 is automatically swept into and invested in the Dreyfus Appreciation Fund held in my personal account.
- (3) TPG Partners V, L.P. is a private equity fund that makes periodic capital calls. In response to capital calls, we invested an amount within category J on 1/24/14.
- (4) Although [REDACTED] left the employ of [REDACTED] L.L.P. in 2006, [REDACTED] continues to participate in the Vinson & Elkins, L.L.P. Retirement Plans A and B. These are individual account defined contribution plans qualified under I.R.C. Section 401(a). The contributions by the firm were fixed during [REDACTED] employment by the firm. Retirement Plan A has a salary reduction I.R.C. Section 401(k) feature. The Trustee of the Plan is JPMorgan Chase Bank, N.A., Houston, Texas. [REDACTED] has no investment discretion with respect to the investments of this defined contribution plan, except for the after-tax contribution component as to which [REDACTED] has the limited discretion to choose any of a number of funds that are available. These funds are managed by outside investment advisors. I cannot determine how much of the change in total market value since last year was due to interest and/or dividends or the amount of capital gains on sale of shares in the fund. JP Morgan Chase informed [REDACTED] that they cannot provide me with this information.
- (5) Vista Equity Partners' VFF II FAF, L.P. is a private equity fund that makes periodic capital calls. In response to capital calls, we invested an amount within category J on 1/22, 3/28, 5/20, 7/15, and 9/24/14; and category K on 6/12, 7/7, and 7/8/2014.
- (6) Annual payment (beginning 1/15/15) of a fixed amount times years of service starting 1/1/95. Present value was provided [REDACTED] former law firm. There was no "gain."
- (7) Wegoma Berkshire VII, L.P. is a private equity fund that makes periodic capital calls. There were no capital calls this year. In my original report, part VII, I listed a "C" in column B (1) and an L in column C (1), which were both estimates based on the prior year because I had received no data from the fund managers. Subsequently, I received the K-1, which reflected income of E and a gross value at year-end of K.
- (8) For all the assets in Trust 4 except BBVA Compass Bank, Pacific Life Insurance Co. says that it cannot provide the income for any year. Company representatives state that because I hold an interest in funds in which the cash value of an insurance policy has been invested, and not an individual taxable investment, there are no individual dividends or realized gains to report; all that is reported are gains or losses to the policy cash value. In addition, the company states, under applicable government regulations, an insurance policy is considered an asset, not an investment.
- (9) Loans were made on the four listed dates.
- (10) The final distribution was made on 02/10/2014. There was no "gain."
- (11) Formerly "Rollover: Vanguard 500 Index Fund."
- (12) The 2013 FDR erroneously listed this asset as "Sold." It should have been listed as "Sold (part)."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nancy F. Atlas

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544