

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Horan, Marilyn J.	2. Court or Organization U.S.District Court for the Western District of Pennsylvania	3. Date of Report 04/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Joseph F. Weiss, Jr. U.S.Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Power of Attorney	Mary Ann DePolo,individual member
2. Partner	DPP Management
3. Oversight Committee Member	Butler Emergency Relief Initiative, ?United Way of Butler County, PA
4. Board Member	University Of Pittsburgh School of Law, Alumni Association
5. Board Member	Community Health Clinic of Butler County

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2018	PA State Judiciary Retirement Pension-no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Commonwealth of Pennsylvania, Retirement Pension	\$94,833.60
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Family Bowlaway Inc-wages
2. 2019	Slippery Rock Garden Apts, Inc - wages
3. 2019	Valley View Estates, Inc-director fees
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Allegheny County Bar Asociaiton	June, 2019	Seven Springs Resort, Somerset, PA	Annual bench Bar meeting	overnoight hotel accomodation and meals
2. PA Associaiton of Trial Lawyers of Western PA	Sept, 2019	Nemacolin Woodlands Resort, Champion, PA	annual meeting	overnight hotel acomodation and meals
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)



NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)



NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Northwest Savings Bank	Mortgage-Rental #2	L
2. Nextier Bank	Mortgage (DPP MAnagement) - Rental #3	K
3. Nextier Bank	Guarantor of [REDACTED] business Mortgage	O
4. Nextier Bank	Mortgage - Rental #1	M
5. Progress Fund	Guarantor of [REDACTED] business loan	M
6. Southwest PA Corporation	Guarantor of [REDACTED] business loan	M
7.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Index 500 Admiral Shares (VFIAX)	C	Dividend	M	T					
2. IRA--Rollover--Pershing LLC (Hefren-Tilotson)									
3. -Money Market Pershing Government Accornt	C	Int./Div.	M	T					
4. -American Funds Global Balanced (GBLAX)	D	Dividend	M	T	Buy	05/16/19	K		intra portfolia of IRA
5. -Capital Builder Fund -Class A (CAIBX)	C	Dividend	M	T	Buy	02/29/19	K		intra portfolio of IRA
6. Fundamental Investors Class A (ANCFX)	B	Dividend	M	T					
7. -The Growth Fund of America CClass A (AGTHX)	A	Dividend	L	T					
8. The Income FUND of America Class A (AMECX)	C	Dividend	M	T					
9. New World Fund CClass A (NEWFX)	A	Dividend	L	T					
10. -American Balanced Fund Class A (ABALX) X	C	Int./Div.	N	T	Buy	09/11/19	L		intra portfolio of IRA
11. -New Perspective Fund Class A(ANWPX)	B	Dividend	M	T	Buy	09/11/19	K		intra portfolio of IRA
12. IRA Pershing LLC (Hefren-Tilotson)									
13. -Money Market Pershing Govenment Account	A	Dividend	J	T					
14. -AMCAP Fund, Class A (AMCPX)	A	Dividend	L	T					
15. -American Balanced Fund Class A (ABALX)	B	Dividend	L	T					
16. -AMerican Mutual Fund Class A (AMRMX)	A	Dividend	K	T					
17. -Capital Income Builders Fund Class A (CAIBX)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -New World Fund Class A (NEWFX)	A	Dividend	K	T					
19. -American Funds Global Balancerd FUND Class A (GBLAX)	A	Dividend	J	T					
20. Family Bowlaway, Inc (50%)	C	Dividend	P1	W					
21. Marnette, Inc. (50%)	C	Dividend	M	W					
22. Valley View Estates, Inc (20%)	D	Dividend	M	W					
23. 356 Land LP (20%)	A	Dividend	N	W					
24. Personal Residence with Rental #1, Butler County, Butler City, PA	D	Rent	N	W					
25. Rental Property #2, Butler County, Butler City, PA	D	Rent	L	W					
26. Commercial Property-DPP Management, Rental #3,Butler Count Butler, PA	E	Rent	N	W					
27. Nextier Bank Accounts	A	Int./Div.	J	T					
28. PNC Bank Accounts	A	Int./Div.	J	T					
29. Guardian Life Insurance Comapny of America: Universal life	D	Dividend	M	T					
30. Guardian Life Insurance Company of America: Universal Life	B	Dividend	N	T					
31. Guardian Life InsuranceCompany of America/: Universal Life	C	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marilyn J. Horan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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