

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tostrud, Eric C.	2. Court or Organization U.S. District Court, Minnesota	3. Date of Report 05/04/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Warren E. Burger Federal Building, Room 334 316 North Robert Street St. Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Trustee	Trust #2
3. Trustee	Trust #3
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Tostrud, Eric C.	05/04/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Tostrud, Eric C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. US Bank Checking Account	A	Interest	K	T					
2. US Bank Money Market Account	A	Interest	J	T					
3. US Bank Managed Account 1 (H)									
4. First American Retail Prime Obligations Fund	C	Interest	M	T					
5. Congress Mid Cap Growth Fund	E	Dividend	N	T					
6. Health Care Select Sector SPDR Fund	A	Dividend			Sold	07/12/19	L	D	
7. Jensen Quality Growth Fund	E	Dividend	M	T					
8. JPM 24M EEM 1.5X LBR 5/21/20		None	L	T					
9. RBC Medium Term Note		None			Matured	03/29/19	L	D	
10. RBC 24M SPX 1.5X LBR 6/3/20		None	M	T					
11. Vanguard Dividend Appreciation Index Fund	C	Dividend	N	T					
12. Vanguard Growth Index Fund	A	Dividend	L	T					
13. Vanguard 500 Index Fund	C	Dividend	M	T					
14. MFS International Value Fund	D	Dividend	N	T					
15. Causeway Emerging Markets Fund	A	Dividend	K	T					
16. Vanguard Intermediate Term Tax-Exempt Fund	D	Interest	M	T					
17. Vanguard Total Bond Market Index Fund	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard Inflation-Protected Securities Fund	A	Interest	K	T					
19. Loomis Sayles Strategic Alpha Fund	B	Interest	L	T					
20. Ishares Ibonds Term Muni	A	Interest			Sold	07/12/19	M	A	
21. SPDR S&P 500 Index	C	Dividend	N	T					
22. Ishares Core MSCI Eafe	C	Dividend	N	T					
23. Nueberger Berman Long/Short Fund		None			Sold	07/11/19	M	E	
24. Schwab U.S. REIT	C	Dividend	M	T					
25. Ipath DJ Commodity Index		None			Sold	07/12/19	K	B	
26. JPM 24M BCOM 2X LBR 5/29/20		None	K	T					
27. Fidelity intermediate municipal income fund	B	Interest	M	T					
28. US Bank Managed Account 2 (H)									
29. First American Retail Prime Obligations Fund	C	Interest	M	T					
30. Congress Mid Cap Growth Fund	E	Dividend	N	T					
31. Health Care Select Sector SPDR Fund	A	Dividend			Sold	07/12/19	L	D	
32. Jensen Quality Growth Fund	E	Dividend	M	T					
33. JPM 24M EEM 1.5X LBR 5/29/20		None	L	T					
34. JPM 24M ECOM 2X LBR 5/29/20		None	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. RBC Medium Term Note		None			Matured	03/29/19	L	D	
36. RBC 24M SPX 1.5X LBR 6/3/20		None	M	T					
37. Vanguard Dividend Appreciation Index Fund	C	Dividend	N	T					
38. Vanguard Growth Index Fund	A	Dividend	L	T					
39. Vanguard 500 Index Fund	C	Dividend	M	T					
40. MFS International Value Fund	D	Dividend	N	T					
41. Causeway Emerging Markets Fund	A	Dividend	K	T					
42. Vanguard Intermediate Term Tax-Exempt Fund	D	Interest	M	T					
43. Vanguard Total Bond Market Fund	B	Interest	K	T					
44. Vanguard Inflation-Protected Securities Fund	A	Interest	K	T					
45. Loomis Sayles Strategic Alpha Fund	B	Interest	L	T					
46. Ishares Ibonds Term Muni Bond	A	Interest			Sold	07/12/19	M		
47. SPDR S&P 500 ETF	D	Dividend	N	T					
48. Schwab U.S. Reit	C	Dividend	M	T					
49. Ipath DJ Commodity Index		None			Sold	07/12/19	K	B	
50. Ishares Core MSCI EAFE ETF	C	Dividend	M	T					
51. US Bank Managed Account 3 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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52. First American Retail Prime Obl	D	Interest	N	T					
53. Vanguard Total Bond Market Fund	C	Dividend	L	T					
54. Jensen Quality Growth Fund	F	Dividend	O	T					
55. MFS International Value Fund Class 1	E	Dividend	O	T					
56. Vanguard Dividend Appreciation Index Fund	D	Dividend	O	T					
57. Vanguard Growth Index Fund	D	Dividend	O	T					
58. Vanguard 500 Index Fund	E	Dividend	O	T					
59. Vanguard Short-term Bond Fund	D	Interest	N	T					
60. Fidelity Intermediate Municipal Income Fund	E	Interest	P1	T					
61. Ishares Ibond Term Muni-Bonds	B	Interest			Sold	07/12/19	N		
62. Nuveen MN Municipal Bond Fund	D	Interest	N	T					
63. Neuberger Berman Genesis Fund	E	Dividend	N	T					
64. SPDR S&P 500 ETF	E	Dividend	P1	T					
65. Ishares Core MSCI EAFE	E	Dividend	O	T					
66. Causeway Emerging Markets Fund	D	Dividend	N	T					
67. Neuberger Berman Long/Short Fund		None			Sold	07/11/19	N	E	
68. Schwab U.S. Reit	E	Dividend	N	T					

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69. iPath Dow Jones US Commodity ETN		None			Sold	07/12/19	M	D	
70. Pimco Low Duration Fund		None			Sold	02/20/19	J	A	
71. Trust #1 (H)									
72. First American Retail Prime Obligations Fund Class Y	A	Interest	K	T					
73. Coho Relative Value Fund Inst'l Class		None			Sold	03/14/19	M	A	
74. Vanguard Mid-Cap Index Fund	B	Dividend	L	T					
75. JP Morgan U.S. Small Company Fund	A	Dividend	L	T					
76. Vanguard 500 Index Fund	C	Dividend	M	T					
77. Fidelity Advisor International Discovery Fund	B	Dividend	L	T					
78. Parametric Emerging Markets Inst'l Fund	A	Dividend	K	T					
79. Boston Partners Long/Short Research Fund	B	Distribution	L	T	Sold (part)	01/14/19	K		
80. Nuveen All American Municipal Bond Fund	B	Interest	K	T					
81. Nuveen Intermediate Duration Municipal Bond Fund	C	Interest	M	T					
82. Nuveen Inflation Protected Securities Fund	A	Interest			Sold	03/14/19	K		
83. Nuveen Real Estate Securities Fund	C	Dividend	K	T					
84. Goldman Sachs Commodity Strategy Fund	B	Dividend	K	T	Sold (part)	03/14/19	J		
85. iShares iBonds Term Muni Bond Fund	A	Interest			Sold	03/15/19	L	A	

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86. iShares MSCI EAFE	C	Dividend	L	T					
87. Columbia Dividend Income Fund	B	Interest	L	T					
88. T. Rowe Price Growth Stock Fund	B	Dividend	L	T					
89. Trust #2 (H)									
90. First American Retail Prime Obligations Fund Class Y	A	Interest	K	T					
91. Coho Relative Value Equity Fund Inst'l Class		None			Sold	03/14/19	M	A	
92. JP Morgan U.S. Small Company Fund	A	Dividend	L	T					
93. Vanguard Mid-Cap Index Fund	B	Dividend	L	T					
94. Vanguard 500 Index Fund	C	Dividend	M	T					
95. Fidelity Advisor International Discovery Fund	B	Dividend	L	T					
96. Parametric Emerging Markets Fund	A	Dividend	K	T					
97. Boston Partners Long/Short Research Fund	B	Dividend	L	T	Sold (part)	01/14/19	K		
98. Nuveen All American Municipal Bond Fund	B	Interest	K	T					
99. Nuveen Intermediate Duration Municipal Bond Fund	C	Interest	M	T					
100. Nuveen Inflation Protected Securities Fund	A	Interest			Sold	03/14/19	K		
101. Nuveen Real Estate Securities Fund	C	Dividend	K	T					
102. Goldman Sachs Commodity Strategy Fund	B	Dividend	K	T	Sold (part)	03/14/19	K		

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103. Ishares ibonds SEP 2019 Munit Bond ETF	A	Interest			Sold	03/14/19	L		
104. Ishares MSCI EAFE ETF	C	Dividend	L	T					
105. Columbia Dividend Income Fund	B	Interest	L	T					
106. T. Rowe Price Growth Stock Fund	B	Dividend	L	T					
107. US Bank IRA (H)									
108. First American Retail Prime Obl	C	Interest	K	T					
109. Baird Aggregate Bond Fund	B	Interest	N	T					
110. Oppenheimer Senior Floating Rate Fund	A	Interest	L	T					
111. SPDR S&P500 ETF	C	Interest	M	T					
112. Vanguard Total Stock Market Fund	B	Dividend	M	T					
113. Ishares MSCI EAFE ETF	B	Dividend	M	T					
114. Causeway Emerging Markets Fund	A	Dividend	K	T					
115. Boston Partners Long/Short Fund		None			Sold	07/10/19	K		
116. Neuberger Berman Long/Short Fund		None			Sold	07/10/19	K		
117. Vanguard Real Estate ETF	B	Dividend	M	T					
118. Vanguard Global exUS Real Estate ETF	C	Dividend	L	T					
119. Trust #3 (H)									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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120. First American Retail Prime Obligations Fund	D	Interest	M	T					
121. 2UInc		None	J	T					
122. 3M Co	C	Dividend	M	T					
123. A E S Corp	A	Dividend			Sold	01/08/19	J	C	
124. Abbott Labs	A	Dividend			Sold	01/08/19	J	C	
125. Adidas Ag ADR	A	Dividend			Sold	01/08/19	K	E	
126. Allstate Corp	A	Dividend			Sold	01/08/19	J	C	
127. Alphabet Inc Cl A		None	M	T					
128. Alphabet Inc Cl C		None			Sold	01/08/19	K	D	
129. Ansys Inc		None			Sold	01/08/19	K	D	
130. Anthem Inc		None			Sold	01/08/19	J	C	
131. Apogee Enterprises		None			Sold	01/08/19	J		
132. Apple Inc	C	Dividend	N	T					
133. Aptar Group INc		None			Sold	01/08/19	J	C	
134. Astec Industries Inc		None			Sold	01/08/19	J		
135. AT&T		None			Sold	01/08/19	J		
136. AthenaHealth Inc		None			Sold	01/08/19	K	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Tostrud, Eric C.

Date of Report

05/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Atrion Corp		None			Sold	01/08/19	K	D	
138. Badger Meter Inc		None			Sold	01/08/19	J	B	
139. Bank of America	B	Dividend	L	T					
140. Beacon Roofing Supply Inc		None			Sold	01/08/19	K	D	
141. Berkshire Hathaway Inc		None	M	T					
142. Best Buy Co		None			Sold	01/08/19	J	B	
143. Bio Techne Corp		None			Sold	01/08/19	K	D	
144. Booking Holdings		None	L	T					
145. Cabot Microelectronics Corp	A	Dividend			Sold	01/17/19	J		
146. Capital One		None			Sold	01/08/19	J	B	
147. Causeway Emerging Markets Intl Fund	D	Dividend	N	T					
148. Chevron Corp		None			Sold	01/08/19	J	A	
149. Chem Ed		None			Sold	01/08/19	K	E	
150. Cigna Corp		None			Sold	01/08/19	J	C	
151. Cisco Systems Inc	A	Dividend			Sold	01/08/19	J	C	
152. Citigroup Inc		None			Sold	01/08/19	K	C	
153. Cognex Corp		None			Sold	01/08/19	J	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Tostrud, Eric C.

Date of Report

05/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Cognizant Tech Solutions A		None			Sold	01/08/19	L	D	
155. Conocophillips		None			Sold	01/08/19	J	C	
156. Costar Group Inc		None	L	T					
157. Crown Castle Int'l Corp		None			Sold	01/08/19	K	C	
158. CVS Health Corp	B	Dividend	K	T					
159. Delta Air Lines Inc		None			Sold	01/08/19	J	C	
160. Deutsche X Trackers MSCI Europe ETF	D	Dividend	M	T					
161. Diamondback Energy Inc		None			Sold	01/08/19	J	C	
162. Discover Finl Svcs		None			Sold	01/08/19	J	B	
163. Dorman Products		None			Sold	01/08/19	J	D	
164. DowDupont Inc		None			Sold	01/08/19	K	B	
165. DXC Technology Co	A	Dividend			Sold	01/08/19	K	D	
166. E Bay Inc		None			Sold	01/08/19	J	B	
167. Ecolab Inc	B	Dividend	M	T					
168. Edwards Lifesciences Corp		None			Sold	01/08/19	J	B	
169. Ellie Mae Inc		None			Sold	01/08/19	J		
170. Fastenal Co		None			Sold	01/08/19	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Tostrud, Eric C.

Date of Report

05/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Fifth Third Bancorp	A	Dividend			Sold	01/08/19	J	B	
172. Five Below		None	K	T					
173. Foster LB Co Cl A		None			Sold	01/08/19	J	A	
174. General Dynamics Corp		None			Sold	01/08/19	K	C	
175. Gentex Corp	A	Dividend			Sold	01/08/19	K	D	
176. Grand Canyon Education Inc		None	K	T					
177. Granite Construction Inc	A	Dividend			Sold	01/08/19	J	A	
178. Healthcare Select SPDR	C	Dividend	M	T					
179. Healthcare Svcs Group INc		None			Sold	01/08/19	K	D	
180. Heico Corp	A	Dividend			Sold	01/08/19	K	D	
181. Hewlett Packard Enterprises Co	A	Dividend			Sold	01/08/19	J	C	
182. Hingham Institution for Savings	A	Dividend			Sold	01/08/19	J	C	
183. Home Depot Inc		None			Sold	01/08/19	J	A	
184. Hooker Furniture Corp		None			Sold	01/08/19	J	D	
185. ICU Medical Inc		None			Sold	01/08/19	K	E	
186. IHS Markit LTD		None			Sold	01/08/19	K	D	
187. Invesco Balanced Risk Commodity		None			Sold	12/11/19	M	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Ishares Core MSCI EAFE Index		None			Sold	01/08/19	M		
189. Ishares TIPS Bond Etf		None			Sold	01/08/19	M		
190. J&J Snack Foods Corp	A	Dividend			Sold	01/08/19	J	C	
191. JP Morgan Chase Co	D	Dividend	N	T					
192. Johnson Johnson	C	Dividend	M	T					
193. Johnson Outdoors Inc Cl A		None			Sold	01/08/19	J	D	
194. Kimball Electronics Inc		None			Sold	01/08/19	J	B	
195. Laboratory Corp of America Hldgs		None			Sold	01/08/19	J		
196. Lakeland Industries Inc		None			Sold	01/08/19	J	B	
197. LKQ Corp		None			Sold	01/08/19	K		
198. Loomis Sayles Strategic Alpha Fund		None			Sold	01/08/19	M		
199. M K S Instruments Inc		None			Sold	01/08/19	J	C	
200. Marathon Petroleum Corp		None			Sold	01/08/19	J	C	
201. Marcus Corp		None			Sold	01/08/19	K	D	
202. Maximus Inc	A	Dividend	K	T					
203. Methanex Corp		None			Sold	01/08/19	J	C	
204. Microsoft corp	C	Dividend	N	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. Middleby Corp		None			Sold	01/08/19	K	C	
206. Monarch Casino & Resort Inc		None			Sold	01/08/19	K	E	
207. MSA Safety Inc		None			Sold	01/08/19	J	C	
208. National Instrs Corp		None			Sold	01/08/19	K	D	
209. National Presto Inds Inc		None			Sold	01/08/19	J	C	
210. Neogen Corp		None			Sold	01/08/19	K	D	
211. Nike Inc	B	Dividend	M	T					
212. Nuveen All American Muni Bd Fd	D	Interest	N	T					
213. Nuveen High Yield Muni Bd Cl I	C	Interest	L	T					
214. Nuveen Inter Dur Muni Bond I	E	Interest	O	T					
215. Nuveen Short Dur Hi Yld Muni Bd I	D	Interest	N	T					
216. Oracle Corp		None			Sold	01/08/19	J	B	
217. Paypal Hldgs Inc		None	L	T					
218. Perspecta	A	Dividend			Sold	01/08/19	J	B	
219. Phillips 66		None			Sold	01/08/19	J	B	
220. Pioneer Nat Res o		None			Sold	01/08/19	J	A	
221. Pra Group Inc		None			Sold	01/08/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Tostrud, Eric C.

Date of Report

05/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Pros Holdings Inc		None			Sold	01/08/19	J	C	
223. Proto Labs In		None			Sold	01/08/19	K	D	
224. Qualcomm Inc		None			Sold	01/08/19	K	D	
225. Raytheon Company	A	Dividend			Sold	01/08/19	K	D	
226. RBC 24M EFA 1.5X 6/3/20		None	M	T					
227. Regions Finl Corp	A	Dividend			Sold	01/08/19	J	A	
228. Ritchie Bros Autioneers Inc		None			Sold	01/08/19	K	D	
229. Rollins Inc	A	Dividend	K	T					
230. Ross Stores Inc		None			Sold	01/08/19	K	D	
231. Robeco Boston Partners Fund	D	Distribution	O	T					
232. Royal Dutch Shell PLC Adr		None			Sold	01/08/19	K	D	
233. Shlumberger Ltd	A	Dividend			Sold	01/08/19	K	D	
234. Schwab Charles Corp		None			Sold	01/08/19	K	D	
235. Steel Dynamics Inc	A	Dividend			Sold	01/08/19	J	B	
236. Sturm Ruger & Co		None			Sold	01/08/19	J	C	
237. Technology Select Sector SPDR		None			Sold	01/08/19	L		
238. Texas Instruments Inc		None			Sold	01/08/19	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Tostrud, Eric C.

Date of Report

05/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. Thor Industries Inc	A	Dividend			Sold	01/08/19	J	A	
240. Tjx Companies		None			Sold	01/08/19	K	C	
241. Tractor Supply Co		None			Sold	01/08/19	K	D	
242. Tributary Small Company Fund		None			Sold	01/08/19	M		
243. Twenty First Century Fox Inc		None			Sold	01/08/19	J	B	
244. Twin Disc Inc		None			Sold	01/08/19	J	A	
245. Tyler Technologies In		None			Sold	01/08/19	J	A	
246. Ulitmate Software Group In		None			Sold	01/08/19	K	E	
247. Unit Corp		None			Sold	01/08/19	J	D	
248. United Continental Hlgs In		None			Sold	01/08/19	J	D	
249. Unitedhealth Group Inc		None			Sold	01/08/19	K	D	
250. Vanguard Global ex US Real Estate		None			Sold	01/08/19	N		
251. Vanguard Intl Growth Fd	D	Dividend	O	T					
252. Vanguard Real Estate		None			Sold	01/08/19	M		
253. Veeva Systems In Cl A		None	K	T					
254. Verisk Analytics Inc	B	Dividend	M	T					
255. Visa Inc Class A	A	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Tostrud, Eric C.

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05/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Walt Disney	C	Dividend	N	T					
257. Watsxo Inx		None			Sold	01/08/19	J	A	
258. Westrock Co		None			Sold	01/08/19	J		
259. Federated Intst High Yield Bond Fd	C	Interest	M	T					
260. TIAA Cref Inflation Linked Bond Fund	D	Interest	M	T					
261. SPDR S&P 500 ETF	D	Dividend	P1	T					
262. Congress Midcap Growth Fund	E	Dividend	M	T					
263. T. Rowe Price Small cap Stock Fund	E	Dividend	O	T					
264. Nuveen Real Estate Securities Fund	E	Dividend	N	T					
265. Principal Fds Global Real Estate Securites	E	Dividend	N	T					
266. Crossingbridge Long Short Credit Fund	B	Dividend			Sold	08/28/19	M		
267. Trust #4 (H)									
268. First Am Govt Obligations Fund	C	Interest	M	T					
269. Baird Aggregate Bond Fund	C	Interest			Sold	12/12/19	M	D	
270. Nuveen MN Intermediate Muni Bond Fd	C	Interest	M	T					
271. Oppenheimer Senior Floating Rate Fund	C	Interest			Sold	12/12/19	L		
272. Healthcare Select SPDR	B	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. SPDR S&P 500 ETF	D	Dividend	O	T					
274. T. Rowe Price Midcap Growth Fund	E	Dividend	O	T					
275. T. Rowe Price Small Cap Stock Fund	D	Dividend	N	T					
276. Technology Select Sector SPDR	B	Dividend	M	T					
277. Vanguard Total Stock Market ETF	D	Dividend	O	T					
278. Harding Loevner Fund	D	Dividend	N	T					
279. iShares Core MSCI EAFE ETF	D	Dividend	N	T					
280. Causeway Emerging Markets Fund	D	Dividend	N	T					
281. Boston Partners Long/Short Research Fund		None			Sold	12/12/19	M		
282. Neuberger Berman Long Short Fund		None			Sold	12/12/19	M	C	
283. Vanguard Real Estate ETF	D	Dividend	M	T	Sold (part)	12/13/19	K	D	
284. Vanguard Global ex US Real Estate ETF	B	Dividend			Sold	12/13/19	L	D	
285. Mainstay Floating Rate Fund		None	N	T					
286.									
287.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Eric C. Tostrud**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544