

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gravelle, Christine M.	2. Court or Organization Bankruptcy Court for New Jersey	3. Date of Report 05/07/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address U.S. Bankruptcy Court 402 East State Street Trenton, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Gravelle, Christine M.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage account #1 (H)									
2. Federated Prime Cash Obligations Cl SS	A	Interest			Sold	01/23/19	J		
3. PNC Bank Deposit Sweep	A	Interest	J	T	Buy	01/23/19	J		
4. Apple Inc	A	Dividend	J	T					
5. ATT	A	Dividend	J	T					
6. American AMCAP CL A	A	Dividend	J	T					
7. American New World FD CL A	A	Dividend	J	T					
8. American Washington Mutual Investors FD CL A	A	Dividend	J	T					
9. American High Inc Trust CL A	A	Dividend	J	T					
10.									
11. Brokerage account IRA (H)									
12. Federated Prime Cash Obligations Fund SS X	A	Interest			Sold	01/23/19	J		
13. American Century Mid Cap Value	A	Dividend			Sold	05/10/19	K	A	
14. American Europacific Growth Class F1	A	Dividend			Sold	05/08/19	L	A	
15. American Washington Mutual Investors F-2	A	Dividend			Sold	06/14/19	L	D	
16. Delaware Value Fund	A	Dividend			Sold	05/14/19	L	A	
17. Oppenheimer Dev Markets Class Y	A	Dividend			Sold	05/08/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PGIM Jennison Grwth CL Z Name change from Prudential Jennison Gr	A	Dividend			Sold	05/08/19	L	B	
19. T Rowe Price Growth Stock	A	Dividend			Sold	06/04/19	L	D	
20. T Rowe Price Intl Discovery Fund	A	Dividend			Sold	05/08/19	K	A	
21. T Rowe Price Mid Cap Growth	A	Dividend			Sold	05/10/19	K	A	
22. T Rowe Price Real Estate Fund	A	Dividend			Sold	05/10/19	K	A	
23. Federated Total Return Bond IS	A	Int./Div.			Sold	05/08/19	K	A	
24. Lazard Global Listed	A	Dividend			Sold	05/08/19	K	A	
25. Lord Abbett Total Returned Class F	A	Dividend			Sold	05/08/19	K	A	
26. PIMCO Real Return Fund CL P	A	Dividend			Sold	04/02/19	J	A	
27. PGIM Total Return Bond CL Zd NAME CHANGE from Prudential Ttl Rtn Bd Fd	A	Dividend			Sold	05/08/19	L	A	
28. T Rowe Price Instl Floating Rate CL F	A	Dividend			Sold	04/02/19	K	A	
29. Vanguard Index Fds Vanguard Small Cap Growth VBK	A	Dividend			Sold	05/10/19	J	B	
30. Vanguard Index Fds Vanguard Small Cap Growth VBR	A	Dividend			Sold	05/10/19	J	A	
31.									
32. Wells Fargo Expanded Bank Deposit	A	Interest	K	T	Buy	05/01/19	K		
33. US Treasury Note Due 2/29/20	A	Interest	K	T	Buy	06/14/19	K		
34. US Treasury Bill due 5/21/20	A	Interest	K	T	Buy	11/12/19	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Accenture PLC Ireland	A	Dividend	K	T	Buy	8/22/19	K		
36. American Express Company	A	Dividend	K	T	Buy	06/05/19	K		
37. Apple Inc	A	Dividend	K	T	Buy	08/19/19	K		
38. Arthur J Gallagher & Co	A	Dividend	K	T	Buy	05/22/19	K		
39. Comcast Corp	A	Dividend	K	T	Buy	06/14/19	K		
40. Crown Castle	A	Dividend	K	T	Buy	06/05/19	K		
41. Docusign	A	Dividend	K	T	Buy	11/12/19	K		
42. Dollar General	A	Dividend	K	T	Buy	05/22/19	K		
43. Generac Holdings	A	Dividend	L	T	Buy	10/10/19	L		
44. IDEX Corp	A	Dividend	K	T	Buy	06/14/19	K		
45. Lulumon Athletica	A	Dividend	K	T	Buy	05/22/19	K		
46. Mid-Amer Apt Communities	A	Dividend	K	T	Buy	05/22/19	K		
47. Pacific Premier Bancorp	A	Dividend	K	T	Buy	10/24/19	K		
48. Pepsico Inc	A	Dividend	K	T	Buy	08/30/19	K		
49. SiteOne Landscape	A	Dividend	K	T	Buy	05/22/19	K		
50. Toro Company	A	Dividend	K	T	Buy	07/22/19	K		
51. Trade Desk Inc	A	Dividend	K	T	Buy	12/23/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. World Fuel Service Corp	A	Dividend	K	T	Buy	11/12/19	K		
53. Xylem Inc	A	Dividend	K	T	Buy	09/25/19	K		
54.									
55. Brokerage #3 (H)									
56. Wells Fargo Sweep X (inherited)	A	Interest	J	T					
57. Advansix Inc	A	Dividend			Sold	05/07/19	J	A	
58. American Water Works	A	Dividend	J	T					
59. FEDEX Corporation	A	Dividend			Sold	01/02/19	J	A	
60. Garrett Motion Inc. SPIN/OFF Honeywell	A	Dividend			Sold	05/07/19	J	A	
61. Home Depot	A	Dividend			Sold	07/22/19	K	A	
62. Honeywell International	A	Dividend	J	T					
63. Kimberly Clark Corp	A	Dividend			Sold	01/03/19	J	A	
64. Microsoft Corp	A	Dividend	K	T	Sold (part)	05/07/19	J	A	
65. Resideo Technologies SPIN/OFF Honeywell	A	Dividend			Sold	05/07/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christine M. Gravelle**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544