

FINANCIAL DISCLOSURE REPORT
FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Laporte, Elizabeth D.	2. Court or Organization U.S. District Court, N..D. Cal	3. Date of Report 02/10/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-time, Retired October 25, 2019	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2019 to 10/25/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address U.S. Courthouse 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust I
2. Member, Board of Governors	Assn. of Business Trial Lawyers, Northern California Chapter
3. Board Member	Federal Magistrate Judges Association
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1998	Participation in retirement plan for the California Public Employees Retirement System
2. 1998	Participation in City & County of San Francisco Employee Retirement Fund
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	National Judicial College (Writing Self-Study Materials)	\$2,600.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed Attorney
2. 2019	State of California - Pension
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	ALM Media	1/27/2019 to 1/29/19	New York, NY	Speaker on Judicial Panel	Transportation and lodging
2.	ALM / Legal Tech	1/30/2019 to 2/3/19	New York, NY	Speaker on Keynote Panel	Transportation, meals, lodging
3.	Federal Magistrate Judges Assn.	3/23/19 to 3/27/19	Washington, DC	Board Meeting	Transportaion, meals, lodging
4.	Sandpiper	4/10/19 to 4/11/19	San Francisco, CA	Speaker on Judicial Panel	Transportation and lodging
5.	Sedona Conference	6/14/19 to 6/21/19	Hong Kong	Speaker on Judcial Panel on management and cross-border issues	Transportation, meals, lodging

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6.	Zapproved	9/17/19 to 9/20/19	Chicago, IL	Speaker, E-Discovery Conference	Transportation, meals, lodging
7.	CHIPS Network	9/24/19 to 9/27/19	Washington, DC	Summit on Technology Law & Privacy	Transportation and lodging
8.	Electronic Discovery Institute	10/16/19 to 10/17/19	Incline Village, NV	Speaker on panels	Meals and lodging

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust I									
2. --NY State Thruway Authority Due 4/1/20	B	Interest	K	T					
3. --Bethlehem NY CSD Due 7/15/21	B	Interest	K	T					
4. --Metropolitan Transit Authority NY Due 11/15/21	A	Interest	K	T					
5. --Util Debt Sec Authority NY Due 6/15/24	B	Interest	K	T					
6. --NY State Environ, Clean Water Comb Util Due 6/15/25	A	Interest	K	T					
7. --NY State Bridge Authority Due 1/1/26	B	Interest	K	T					
8. --NYC NY Transit Authority Due 2/1/27	B	Interest	L	T					
9. --DFA CA Short Term Muni Bond Portfolio (DFCMX)	A	Dividend	L	T					
10. --DFA T.A. World Ex U.S.(DFTWX)	E	Dividend	P1	T					
11. --DFT T.A. US Core Equity 2 Portfolio (DFTCX)	E	Dividend	P1	T	Buy (add'l)	05/29/19	K		
12. --Vanguard CA Intermediate Term Bond Fund (VCADX)	D	Dividend	O	T	Sold (part)	03/19/19	M	C	
13. --Vanguard Total Bond Mkt Index Fund (VBTLX)	D	Dividend	N	T					
14. --iShares Short Term Corporate Bond ETF (IGSB) formerly CSJ	B	Dividend	K	T	Sold (part)	03/19/19	L	A	
15. --Vanguard Total Stock Market ETF (VTI)		None			Sold	01/03/19	M	A	
16. --DFA Emerging Markets Core Equity Portfolio (DFCEX)	C	Dividend	M	T	Sold (part)	03/19/19	M	D	
17. --DFA Emerging Markets Core Equity Portfolio (DFCEX)					Buy (add'l)	07/15/19	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --DFA Emerging Markets Core Equiry Portfolio (DFCEX)					Buy (add'l)	09/30/19	K		
19. --DFA International Core Equity Portfolio (DFIEX)	E	Dividend	O	T	Sold (part)	03/19/19	M	A	
20. --DFA International Core Equity Portfolio (DFIEX)					Buy (add'l)	07/15/19	K		
21. --DFA Short Term Extended Portfolio (DFEQX)	A	Dividend	M	T					
22. --Dodge & Cox Income Fund (DODIX)	A	Dividend			Sold	03/19/19	J	A	
23. --Schwab Bank Cash (Trust I) (formerly Schwab Money Mkt Fund Trust I)	A	Dividend	L	T					
24. IRA Rollover Account #1 (formerly PS Account #1)									
25. --Dodge & Cox Income Fund (DODIX)	C	Dividend	M	T					
26. --JP Morgan Core Bond I (WOBSZ)	D	Dividend	N	T	Buy (add'l)	05/29/19	K		
27. --iShares Short-Term Corporate Bond Fund (IGSB) formerly CSJ	B	Dividend	L	T					
28. --DFA Global Real Estate Securities Portfolio (DFGEX)		None	M	T	Sold (part)	05/29/19	K	C	
29. --DFA International Core Equity Portfolio (DFIEX)	A	Dividend	K	T					
30. --DFA Emerging Markets Core Equity Portfolio (DFCEX)	A	Dividend	J	T	Buy	07/15/19	J		
31. --DFA US Core Equity 2 Portfolio (DFQTX)	A	Dividend	K	T	Buy	02/13/19	J		
32. --DFA US Core Equity 2 Portfolio (DFQTX)					Buy (add'l)	05/29/19	K		
33. --Schwab Bank Cash #5 (formerly Schwab Money Market Fund #5)	A	Dividend	J	T					
34. 401k Account #1									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --DFA Short-Term Extended Quality Portfolio (DFEQX)	A	Dividend	K	T					
36. --Dodge & Cox Income Fund (DODIX)	D	Dividend	N	T	Sold (part)	02/14/19	L	A	
37. --DFA Emerging Markets Core Portfolio (DFCEX)	C	Dividend	M	T	Buy (add'l)	03/20/19	M		
38. --DFA International Core Equity Portfolio (DFIEX)	D	Dividend	N	T					
39. --JP Morgan Core Bond Fund I (WOBSZ)	B	Dividend	L	T	Buy (add'l)	07/16/19	J		
40. --DFA Two Year Global Fixed Income Portfolio (DFGFX)	A	Dividend	J	T	Buy	04/12/19	J		
41. --DFA US Core Equity 2 Portfolio (DFQTX)		None			Buy (add'l)	02/14/19	L		
42. --DFA US Core Equity 2 Portfolio (DFQTX)					Sold	03/19/19	M	C	
43. --Schwab Bank Cash #6 (formerly Schwab Money Market Fund #6)	A	Dividend	K	T					
44. Roth IRA Account #1									
45. --DFA International Core Equity Portfolio (DFIEX) See note in Pt. VIII	A	Dividend	J	T					
46. Roth IRA Account #2									
47. --DFA International Core Equity Portfolio (DFIEX)	A	Dividend	J	T					
48. Learning Quest 529 Ed. Sav. Plan #2 Short-Term Portfolio		None	J	T	Sold (part)	01/07/19	J	A	
49. Learning Quest 529 Ed. Sav. Plan #2 Short-Term Portfolio					Sold (part)	03/07/19	J	A	
50. Learning Quest 529 Ed. Sav. Plan #2 Short-Term Portfolio					Sold (part)	04/02/19	J	A	
51. Learning Quest 529 Ed. Sav. Plan #2 Short-Term Portfolio					Sold (part)	06/26/19	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. First Republic Bank Checking Account	A	Interest	J	T					
53. Bank of America cash accounts	A	Interest	J	T					
54. American Express Savings Account	C	Interest	M	T	Open	01/18/19	N		

1. Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
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3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII: Investments and Trusts

Lines 23, 33, 43: Schwab Money Market Fund (cash sweep accounts) were rolled over into Schwab Bank accounts (cash sweep accounts).

Lines 45: In the 2018 Report, this asset was described as "Vanguard International Core Equity Portfolio (DFIEX)." It should have been described as "DFA International Core Equity Portfolio (DFIEX)."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Elizabeth D. Laporte**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544