

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Saylor, F. Dennis IV	2. Court or Organization U.S. District Court, Mass.	3. Date of Report 05/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address John Joseph Moakley U.S. Courthouse 1 Courthouse Way Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor of Law	Boston University School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Saylor, F. Dennis IV

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/19-5/19	Boston University School of Law - teaching	\$9,597.70
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	U.S. Department of Justice - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Saylor, F. Dennis IV	05/05/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank of America	A	Interest	J	T					
2. Bank of America	A	Interest	J	T					
3. Fidelity Cash Reserves	A	Dividend	K	T					
4. Fidelity Cash Reserves	A	Dividend	J	T					
5. Fidelity 500 Index Fund	B	Dividend	L	T					
6. Fidelity Growth Co. Mutual Fund	C	Dividend	L	T	Sold (part)	04/01/19	K		
7.					Sold (part)	07/01/19	J		
8. Fidelity UFund 429 Plan	A	Dividend			Sold	05/07/19	K		
9. IRA Fidelity: Japan Mutual Fund	A	Dividend	J	T					
10. IRA Fidelity: Contrafund Mutual Fund	B	Dividend	K	T					
11. IRA Fidelity: Growth Discovery Mutual Fund	B	Dividend	K	T					
12. IRA Fidelity: Puritan Mutual Fund	D	Dividend	M	T					
13. IRA Fidelity: Growth Discovery Mutual Fund	B	Dividend	K	T					
14. IRA Fidelity: Magellan Mutual Fund	C	Dividend	K	T					
15. IRA Fidelity: Blue Chip Growth Mutual Fund	B	Dividend	L	T					
16. IRA Fidelity: 500 Index Fund	B	Dividend	M	T					
17. IRA Fidelity: Capital Appreciation Fund	D	Dividend	M	T	Sold (part)	05/06/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	07/01/19	J		
19.					Sold (part)	12/23/19	J		
20. IRA Fidelity: Contrafund	E	Dividend	N	T	Sold (part)	07/10/19	K		
21.					Sold (part)	11/06/19	J		
22.					Sold (part)	12/23/19	J		
23. IRA Fidelity: Fidelity Fund	D	Dividend	M	T	Sold (part)	05/06/19	J		
24.					Sold (part)	07/01/19	J		
25.					Sold (part)	11/05/19	J		
26.					Sold (part)	12/23/19	J		
27. IRA Fidelity: Mid-Cap Stock Fund	B	Dividend	K	T					
28. IRA Fidelity: Real Estate Investment Fund	B	Dividend	K	T	Sold (part)	07/10/19	J		
29. IRA Fidelity: Value Fund	C	Dividend	M	T	Sold (part)	05/06/19	J		
30.					Sold (part)	07/01/19	J		
31.					Sold (part)	07/10/19	J		
32.					Sold (part)	12/23/19	J		
33. IRA Fidelity: Cash Reserves	B	Dividend	M	T					
34. Vanguard 500 Index Mutual Fund	D	Dividend	N	T	Sold (part)	07/10/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Saylor, F. Dennis IV

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold (part)	08/09/19	K		
36. Vanguard US Growth Mutual Fund	A	Dividend	K	T	Sold (part)	07/10/19	J		
37. Vanguard Prime Money Market Fund	A	Dividend	J	T					
38. Vanguard Prime Money Mkt. Fund	A	Dividend	J	T					
39. Vanguard Prime Money Mkt. Fund	A	Dividend	K	T					
40. IRA Vanguard: 500 Index Mutual Fund	D	Dividend	O	T					
41. Brown Forman Corp. stock	A	Dividend			Sold	07/26/19	J		
42. General Electric Co. stock	A	Dividend	J	T					
43. Verizon Communications Corp. stock	A	Dividend	J	T					
44. Stifel Bank CD	A	Interest			Buy	05/13/19	K		
45.					Redeemed	11/13/19	K		
46. Bank of China CD	A	Interest			Redeemed	01/18/19	J		
47. Bank of China CD	A	Interest			Redeemed	01/28/19	J		
48. Bank of China CD	A	Interest			Redeemed	09/27/19	K		
49. Bank of China CD	A	Interest			Redeemed	06/27/19	L		
50. Bank of China CD	A	Interest			Redeemed	03/15/19	K		
51. Franklin Templeton World Fund A Mutual Fund	A	Dividend			Sold	07/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Texas Capital Bank CD	A	Interest	K	T	Buy	12/11/19	K		
53. Seacoast Nat'l Bank CD	A	Interest			Buy	10/30/19	K		
54.					Redeemed	11/29/19	K		
55. Bank of China CD	A	Interest			Buy	02/13/19	K		
56.					Redeemed	11/15/19	K		
57. State Bank of India CD	A	Interest	K	T	Buy	10/17/19	K		
58. Bank of China CD	A	Interest	K	T	Buy	12/18/19	K		
59. Wabtec Stock	A	Dividend			Spinoff (from line 42)	03/01/19	J		
60.					Sold	07/12/19	J		
61. MONY: Whole Life Insurance Policies	A	Distribution	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ F. Dennis IV Saylor**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544