

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davison, Paul E.	2. Court or Organization US District Court, SDNY	3. Date of Report 6/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 Quarropas Street White Plains, New York 10601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Davison, Paul E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Hoguet Newman Regal & Kenney - Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Davison, Paul E.	6/15/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. JP Morgan Chase Bank Account		None	K	T					
2. Aberdeen Asia Pacific Income Fund (FAX)	B	Int./Div.	K	T					
3. BlackRock Intl Index Instl (MAIIX)	C	Int./Div.	M	T	Buy (add'l)	01/04/19	J		
4.					Sold (part)	11/18/19	J		
5. Columbia Small Cap Index R5 (CXXRX)	C	Int./Div.	L	T	Sold (part)	01/30/19	J		
6. Consolidated Edison (ED)	A	Dividend	K	T					
7. DFA Emerging Markets Core Equity (DFCEX)	A	Int./Div.	K	T					
8. DFA Emerging Markets Value (DFEVX)	B	Int./Div.	L	T					
9. DFA Targeted Credit Portfolio (DTCPX)	A	Int./Div.			Sold	09/19/19	K		
10. Disney (DIS)	A	Dividend	L	T					
11. Dreyfus S&P 500 Stock Index R (DSPIX)	C	Int./Div.	N	T	Buy (add'l)	01/04/19	J		
12.					Sold (part)	11/18/19	K		
13. Fidelity Cash Reserve (Qual) (FDRXX)	A	Int./Div.	K	T					
14. Fidelity Government Money Market (SPAXX)	A	Int./Div.	K	T					
15. Fidelity Inflation Protected Bond Index (FIPDX) (Formerly FSIYX)	B	Int./Div.	L	T					
16. Fidelity Short Term Treasury Bond Index (FUMBX)	A	Int./Div.	K	T	Sold (part)	04/29/19	J		
17. Fidelity Treasury Fund (FZFXX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Davison, Paul E.

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. iShares 0-5 Year Investment Grade Corporate Bond ETF (SLQD)	B	Int./Div.	L	T	Buy	03/20/19	K		
19. iShares Core MSCI Emerging Markets (IEMG)	B	Int./Div.	K	T					
20. iShares Core US Value (IUSV)	A	Int./Div.	K	T					
21. iShares MBS ETF (MBB)	A	Int./Div.	K	T	Buy	09/19/19	K		
22. iShares S&P 500 (IVV)	B	Int./Div.	K	T					
23. Johnson & Johnson (JNJ)	B	Int./Div.	L	T					
24. Lord Abbett Short Duration Income R5 (LDLTX)	B	Int./Div.	M	T	Buy (add'l)	01/04/19	J		
25.					Buy (add'l)	11/18/19	L		
26. Nuveen Build Amer Bd Fd (NBB)	B	Int./Div.			Redeemed (part)	02/19/19	K		
27.					Sold (part)	04/29/19	K		
28.					Sold	08/01/19	K		
29. Nuveen NY Select (NXN)	B	Int./Div.			Sold	12/02/19	J	A	
30. Nuveen Select Tax-Free Income (NXQ)	A	Int./Div.			Sold	12/02/19	K	B	
31. PIMCO Income Institutional (PIMIX)	C	Int./Div.	L	T					
32. Powershares Build America Bond (BAB)	B	Int./Div.	L	T	Buy	03/20/19	K		
33.					Buy (add'l)	08/01/19	K		
34. SPDR Barclays Municipal (TFI)	B	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Stone Ridge Reinsurance Reinsurance Risk Premium (SRRIX)	A	Int./Div.	K	T					
36. Vanguard GNMA Admiral (VFIJX)	B	Int./Div.	L	T	Buy (add'l)	04/29/19	L		
37. Zimmer Biomet Holdings Inc (ZBH)	A	Dividend	K	T					
38. TRUST #1 (H)									
39. DFA Emerging Market Value (DFEVX)	B	Int./Div.	L	T					
40. -Fidelity New York Municipal Income (FTFMX)	B	Int./Div.	L	T					
41. -Fidelity Spartan 500 Index (FXAIX)	C	Int./Div.	M	T					
42. -Fidelity Extended Market Index (FSMAX)	B	Int./Div.	K	T					
43. Fidelity Short Term Treasury Bond Index (FUMBX)	B	Int./Div.	M	T					
44. -Fidelity Spartan Tax-Free Bond (FTABX)	B	Int./Div.	K	T					
45. -Fidelity Total Market Index (FSKAX)	D	Int./Div.	N	T					
46. Fidelity Treasury Fund (FZFXX)	B	Int./Div.	M	T					
47. -iShares MSCI EAFE Index (EFA)	C	Int./Div.	M	T					
48. -iShares MSCI Emerging Markets Index (EEM)	B	Int./Div.	M	T					
49. -iShares Russell 1000 Index (IWB)	B	Int./Div.	L	T					
50. -iShares S&P 500 Index (IVV)	C	Int./Div.	M	T	Sold (part)	07/25/19	K	D	
51. -Nuveen Energy MLP Total Return (JMF)	C	Int./Div.	K	T					

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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. -Nuveen NY Municipal Dividend Advantage (NAN)	C	Int./Div.	K	T	Sold (part)	06/05/19	J	B	
53.					Sold (part)	11/01/19	J	C	
54.					Sold (part)	12/20/19	K	D	
55. -S&P Dep Receipts (SPY)	B	Int./Div.	L	T					
56. Schwab Intermediate Term Treasury Fund (SCHR)	B	Int./Div.	M	T					
57. Schwab US TIPs Fund (SCHP)	C	Int./Div.	M	T					
58. -SPDR Barclays Municipal (TFI)	B	Int./Div.	L	T					
59. -SPDR Dow Jones Industrial Average (DIA)	B	Int./Div.	L	T					
60. -Vanguard FTSE (VSS)	B	Int./Div.	K	T					
61. -Vanguard Short Term Corp Bond (VCSH)	B	Int./Div.	L	T					
62. NY 529 Account (H)									
63. Interest Accumulation Portfloio	A	Int./Div.	J	T	Buy	12/06/19	J		
64.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On line 26, shares of NBB were tendered as part of an offer by the fund company.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Paul E. Davison**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544