

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bloch, Alan N.	2. Court or Organization USDC/WDPA	3. Date of Report 11/17/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address Suite 8370 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Emeritus Board of Directors	Make-A-Wish Foundation of Western Pennsylvania (non-profit corporation)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 27

Name of Person Reporting

Bloch, Alan N.

Date of Report

11/17/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Academy of Trial Lawyers of Allegheny County	9-25-2019 to 9-27-2019	Farmington, PA	Attend the Annual Retreat	Room and meals
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Bloch, Alan N.	11/17/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 27

Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Philadelphia PA Gas Works Bond (12th Series)	A	Interest	J	T					
2. Philadelphia PA School District Bond	B	Interest			Redeemed	11/20/19	K		
3. Coatesville PA Area School District Bond	A	Interest	J	T					
4. Interest-PNC Bank- Checking; Virginia Manor Shops, Pgh.	A	Interest	L	T					
5. Vanguard PA Insured Tax Free Fund	A	Dividend			Sold	02/25/19	L		
6. Gabelli Equity Trust	B	Dividend	K	T	Buy	04/18/19	K		
7. AMG Funds (Yacktman Focused Funds)	A	Dividend	J	T	Sold (part)	01/11/19	J		
8. --					Sold (part)	02/20/19	J		
9. Columbia Dividend Inc. Fund	A	Dividend	J	T	Buy	02/20/19	J		
10. Federated Funds (Federated MDT Mid Cap Growth Fund - Instit. Class)	A	Dividend	J	T	Buy	02/20/19	J		
11. Fenimore Asset Mgmt. (Fm. Equity Income Fund)	A	Dividend	J	T	Buy	02/20/19	J		
12. First Eagle FDS, Inc.	A	Dividend	J	T	Buy (add'l)	02/20/19	J		
13. Wastach Funds (Small Cap Value Fund - Institutional Class I)	A	Dividend	J	T	Buy	02/20/19	J		
14. Harris Assoc. (Oakmark Equity and Income Fund)	A	Dividend			Sold	02/20/19	J	A	
15. Hotchkis & Wiley FDS (Mid Cap Value Fund - Class I)	A	Dividend			Sold	02/20/19	J	A	
16. Oppenheimer Dev. Markets	A	Dividend			Sold	02/20/19	J	A	
17. Parnassus Income (Core Equity Fund)	A	Dividend	J	T	Sold (part)	02/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 27

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18. --					Sold (part)	07/12/19	J	A	
19. Pimco Invt. Grade Corp. Class I	A	Dividend	J	T	Sold (part)	02/20/19	J		
20. Legg Mason Funds (Clearbridge Large Cap Growth Fund - Class I)	A	Dividend	J	T	Buy	02/20/19	J		
21. Royce Premier Fund (Investment Class)	A	Dividend			Sold	02/20/19	J	A	
22. Sunamerica Ser. Inc. (Focused Dividend Strategy Portfolio)	A	Dividend	J	T	Sold (part)	02/20/19	J		
23. Value Line Asset Allocation Fund (Institutional Class)	A	Dividend	J	T	Buy	02/20/19	J		
24. --					Buy (add'l)	02/21/19	J		
25. --					Sold (part)	04/12/19	J	A	
26. --					Sold (part)	10/11/19	J	A	
27. First Pacific Advisors FDS (FPA Crescent Portfolio - Institutional Cl)	A	Dividend			Sold (part)	02/15/19	J		
28. --					Sold	02/20/19	J	A	
29. Van Eck FDS (International Invs. Gold)	A	Dividend			Sold	02/20/19	J	A	
30. Vanguard Wellesley Income Fd.	A	Dividend			Sold	02/20/19	J	A	
31. Abbvie Inc. (common stock)	B	Dividend	K	T	Buy	03/28/19	K		
32. Altria Inc. (common stock)	B	Dividend			Buy	02/25/19	K		
33. --					Sold	08/26/19	K		
34. Aqua America Inc. PFD	B	Dividend	K	T	Buy	05/21/19	K		

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Page 6 of 27

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35. AT&T Inc. (common stock)	B	Dividend	K	T	Buy (add'l)	02/01/19	K		
36. --					Sold (part)	02/25/19	K		
37. AT&T Inc. PFD (unsecured)	B	Dividend	K	T	Buy	01/02/19	K		
38. --					Buy (add'l)	02/25/19	K		
39. --					Sold (part)	05/21/19	K	B	
40. Bank of America Corp. PFD	A	Dividend			Sold	01/02/19	K	A	
41. Becton Dickinson PFD	B	Dividend	K	T					
42. Crown Castle PFD	B	Dividend	K	T	Buy	01/02/19	K		
43. Dominion Energy Inc. PFD	B	Dividend	K	T	Buy	08/26/19	K		
44. Ebay Inc. PFD	B	Dividend	K	T					
45. Energizer Holdings Inc. PFD	B	Dividend	K	T	Buy	02/01/19	K		
46. Exxon Mobil Corp. (common stock)	B	Dividend	K	T	Buy	08/26/19	K		
47. Goldman Sachs PFD	A	Dividend			Sold	01/02/19	K	A	
48. Goldman Sachs FLT RT (PFD)	A	Dividend			Buy	01/02/19	K		
49. --					Sold	08/26/19	K	B	
50. Int'l Flavors & Fragrances PFD	B	Dividend	K	T					
51. JP Morgan PFD	A	Dividend			Sold	12/04/19	K		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 7 of 27

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52. PNC Fin. Svs. PFD	B	Dividend	K	T					
53. Qwest Corp. PFD	B	Dividend	K	T	Buy	01/08/19	K		
54. Stanley Black & Decker PFD	B	Dividend	K	T	Buy (add'l)	12/04/19	K		
55. US Bancorp PFD	B	Dividend	K	T					
56. Abbvie Inc.	A	Dividend	J	T	Sold (part)	01/10/19	J		
57. --					Sold (part)	12/05/19	J		
58. --					Buy (add'l)	01/30/19	J		
59. --					Buy (add'l)	07/02/19	J		
60. Altria Group, Inc.	A	Dividend	J	T	Sold (part)	01/14/19	J		
61. --					Sold (part)	01/30/19	J		
62. --					Sold (part)	09/13/19	J		
63. --					Buy (add'l)	01/10/19	J		
64. AIG	A	Dividend	J	T	Buy (add'l)	02/25/19	J		
65. --					Buy (add'l)	03/14/19	J		
66. --					Buy (add'l)	12/20/19	J		
67. AmCorp	A	Dividend			Sold	12/05/19	J		
68. American Electric Power	A	Dividend			Sold (part)	03/04/19	J		

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NONE (No reportable income, assets, or transactions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. --					Sold (part)	06/25/19	J		
70. --					Sold	06/28/19	J		
71. American Tower Corp.	A	Dividend	J	T	Sold (part)	09/06/19	J		
72. AmGen Inc.	A	Dividend	J	T	Buy (add'l)	10/07/19	J		
73. --					Buy (add'l)	10/09/19	J		
74. Analog Devices Inc.	A	Dividend	J	T					
75. Anheuser-Busch Imbev.	A	Dividend	J	T	Sold (part)	12/05/19	J		
76. --					Buy (add'l)	01/02/19	J		
77. Apollo Global Mgmt.	A	Dividend	J	T	Buy (add'l)	09/05/19	J		
78. --					Buy (add'l)	10/03/19	J		
79. Apple Inc.	A	Dividend	K	T					
80. Ares Capital Corp.	A	Dividend	J	T					
81. Astrazenica	A	Dividend			Sold (part)	01/09/19	J		
82. --					Sold	01/14/19	J		
83. AT&T Inc.	B	Dividend	K	T	Sold (part)	06/04/19	J		
84. --					Buy (add'l)	01/10/19	K		
85. Bank of America Corp.	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 27

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86. BCE Inc.	A	Dividend	J	T	Buy (add'l)	01/10/19	J		
87. Black Knight Inc.	A	Dividend			Sold	01/25/19	J		
88. Blackrock	A	Dividend			Sold (part)	07/22/19	J		
89. --					Sold (part)	07/23/19	J		
90. --					Sold (part)	09/03/19	J		
91. --					Sold (part)	09/04/19	J		
92. --					Sold	09/05/19	J	A	
93. Blackstone Group Inc.	A	Dividend	J	T	Buy (add'l)	07/01/19	J		
94. --					Buy (add'l)	07/02/19	J		
95. --					Buy (add'l)	07/22/19	J		
96. --					Buy (add'l)	07/23/19	J		
97. BNY Mellon	A	Dividend			Sold (part)	06/18/19	J		
98. --					Sold (part)	06/19/19	J		
99. --					Sold	06/20/19	J		
100. BP PLC	A	Dividend	J	T	Sold (part)	12/05/19	J		
101. British American. Tob.	A	Dividend	J	T	Sold (part)	07/02/19	J	A	
102. --					Sold (part)	07/23/19	J	A	

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103. --					Sold (part)	07/26/19	J	A	
104. --					Buy (add'l)	01/10/19	J		
105. --					Buy (add'l)	01/30/19	J		
106. --					Buy (add'l)	02/25/19	J		
107. Broadridge Fin. Solutions	A	Dividend	K	T					
108. CDN Imperial Bank	A	Dividend	K	T	Buy (add'l)	01/10/19	J		
109. --					Buy (add'l)	08/27/19	J		
110. --					Buy (add'l)	09/13/19	J		
111. --					Buy (add'l)	09/18/19	J		
112. Chevron Corp.	B	Dividend	K	T	Buy (add'l)	01/10/19	J		
113. Chubb Ltd.	A	Dividend	J	T					
114. Cisco Systems	A	Dividend			Sold	12/05/19	J		
115. Coca-Cola Co.	A	Dividend	K	T	Sold (part)	01/09/19	J		
116. --					Sold (part)	01/10/19	J		
117. --					Sold (part)	09/13/19	J		
118. --					Sold (part)	12/11/19	J		
119. --					Buy (add'l)	03/04/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. --					Buy (add'l)	04/24/19	J		
121. Colgate-Palmolive Co.	A	Dividend	J	T					
122. Comcast Corp.	A	Dividend	J	T	Buy (add'l)	06/20/19	J		
123. --					Buy (add'l)	10/31/19	J		
124. --					Buy (add'l)	12/20/19	J		
125. Corteva Inc.	A	Dividend			Spinoff (from line 147)	06/03/19	J		
126. --					Sold	06/12/19	J		
127. Crown Castle	A	Dividend			Sold (part)	01/10/19	J		
128. --					Sold (part)	03/22/19	J		
129. --					Sold (part)	04/24/19	J		
130. --					Sold (part)	05/30/19	J		
131. --					Sold (part)	06/25/19	J		
132. --					Sold (part)	06/28/19	J		
133. --					Sold (part)	07/12/19	J		
134. --					Sold (part)	07/17/19	J		
135. --					Sold (part)	08/27/19	J	A	
136. --					Sold (part)	09/18/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 12 of 27

Name of Person Reporting

Bloch, Alan N.

Date of Report

11/17/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --					Sold	10/01/19	J	A	
138. DIAGEO PLC	A	Dividend	K	T					
139. Digital Realty Trust Inc.	A	Dividend	J	T	Buy (add'l)	01/22/19	J		
140. Walt Disney Co.	A	Dividend	J	T					
141. Dominion Res.. Inc.	A	Dividend	K	T	Sold (part)	09/06/19	J		
142. --					Buy (add'l)	01/10/19	J		
143. --					Buy (add'l)	01/30/19	J		
144. Dow Inc.	A	Dividend	J	T	Sold (part)	04/05/19	J		
145. --					Sold (part)	04/10/19	J		
146. --					Sold (part)	12/05/19	J		
147. Dow Dupont	A	Dividend			Sold (part)	04/09/19	J		
148. --					Distributed	06/03/19	J		
149. Dupont De Nemours	A	Dividend			Spinoff (from line 147)	06/03/19	J		
150. --					Sold (part)	06/18/19	J		
151. --					Sold	06/19/19	J		
152. Duke Energy Corp.	A	Dividend	K	T	Buy (add'l)	01/10/19	J		
153. Ecolab Inc.	A	Dividend	J	T	Sold (part)	06/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 27

Name of Person Reporting

Bloch, Alan N.

Date of Report

11/17/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Edison Int'l	A	Dividend	J	T	Buy (add'l)	07/31/19	J		
155. --					Buy (add'l)	12/02/19	J		
156. --					Buy (add'l)	12/03/19	J		
157. Enbridge Inc.	A	Dividend	K	T	Sold (part)	04/22/19	J		
158. --					Sold (part)	04/26/19	J		
159. --					Sold (part)	04/30/19	J		
160. --					Buy (add'l)	04/24/19	J		
161. --					Buy (add'l)	05/30/19	J		
162. --					Buy (add'l)	06/28/19	J		
163. --					Buy (add'l)	07/17/19	J		
164. Entergy Corp.	A	Dividend	K	T	Buy (add'l)	03/13/19	J		
165. --					Buy (add'l)	03/15/19	J		
166. Exxon Mobil Corp.	B	Dividend			Sold	12/05/19	K		
167. Fastenal Co.	A	Dividend	K	T	Sold (part)	02/20/19	J		
168. FNF Group	A	Dividend	K	T					
169. General Mills	A	Dividend			Sold (part)	04/24/19	J	A	
170. --					Sold (part)	05/30/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. --					Sold (part)	07/12/19	J	A	
172. --					Sold	07/17/19	J	A	
173. General Motors	A	Dividend			Sold (part)	11/13/19	J		
174. --					Sold (part)	11/14/19	J		
175. --					Sold (part)	11/15/19	J		
176. --					Sold (part)	11/18/19	J		
177. --					Sold (part)	11/19/19	J		
178. --					Sold (part)	11/20/19	J		
179. --					Sold (part)	11/21/19	J		
180. --					Sold	11/22/19	J		
181. Gilead Sciences Inc.	A	Dividend	K	T	Buy (add'l)	07/23/19	J		
182. --					Buy (add'l)	08/01/19	J		
183. --					Buy (add'l)	08/06/19	J		
184. --					Buy (add'l)	08/27/19	J		
185. --					Buy (add'l)	09/06/19	J		
186. GlaxoSmithKline PLC	A	Dividend	K	T	Buy (add'l)	01/10/19	J		
187. Graco Inc.	A	Dividend	J	T	Sold (part)	04/09/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Healthcare TR Amer.	A	Dividend			Sold (part)	01/28/19	J		
189. --					Sold (part)	01/31/19	J		
190. --					Sold (part)	02/05/19	J		
191. --					Sold (part)	02/22/19	J		
192. --					Sold (part)	02/28/19	J		
193. --					Sold (part)	03/05/19	J		
194. --					Sold (part)	03/12/19	J		
195. --					Sold (part)	03/21/19	J		
196. --					Sold	03/22/19	J		
197. Home Depot Inc.	A	Dividend	J	T	Sold (part)	12/16/19	J		
198. Huntington Bancshares	A	Dividend	J	T	Buy (add'l)	02/25/19	J		
199. --					Buy (add'l)	03/22/19	J		
200. --					Buy (add'l)	08/27/19	J		
201. Kraft Heinz Co.	A	Dividend			Sold (part)	02/22/19	J		
202. --					Sold	02/27/19	J		
203. Intel Corp.	A	Dividend	J	T					
204. International Paper Co.	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. Investco	A	Dividend			Sold	12/05/19	J		
206. Iron Mountain Inc.	A	Dividend			Sold	03/13/19	J		
207. I Shares ETF (Core S&P)	A	Dividend	J	T	Buy (add'l)	12/06/19	J		
208. I Shares Select ETF (Dividend Fund)	C	Dividend	L	T	Sold (part)	01/10/19	L		
209. --					Buy (add'l)	12/05/19	L		
210. Johnson & Johnson	A	Dividend	J	T	Sold (part)	01/22/19	J		
211. Kimberly-Clark Corp.	A	Dividend	J	T	Sold (part)	07/17/19	J		
212. --					Sold (part)	07/23/19	J		
213. --					Sold (part)	09/06/19	J		
214. --					Buy (add'l)	01/10/19	J		
215. --					Buy (add'l)	01/14/19	J		
216. Kinder Morgan Inc.	B	Dividend	K	T	Buy (add'l)	04/22/19	J		
217. --					Buy (add'l)	04/23/19	J		
218. --					Buy (add'l)	04/24/19	J		
219. --					Buy (add'l)	04/25/19	J		
220. --					Buy (add'l)	04/26/19	J		
221. --					Buy (add'l)	04/29/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 17 of 27

Name of Person Reporting

Bloch, Alan N.

Date of Report

11/17/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. --					Buy (add'l)	04/30/19	J		
223. Linde PLC	A	Dividend	K	T	Buy (add'l)	06/12/19	J		
224. Lockheed Martin Corp.	A	Dividend	K	T	Buy (add'l)	03/01/19	J		
225. --					Buy (add'l)	03/04/19	J		
226. Lyondellbasell	A	Dividend	J	T	Buy (add'l)	09/06/19	J		
227. --					Buy (add'l)	09/13/19	J		
228. --					Buy (add'l)	10/01/19	J		
229. Martin Marietta	A	Dividend			Sold (part)	08/12/19	J		
230. --					Sold (part)	10/03/19	J		
231. --					Sold (part)	10/08/19	J		
232. --					Sold	10/17/19	J		
233. Mastercard Inc.	A	Dividend	J	T	Sold (part)	03/07/19	J		
234. McDonald's	A	Dividend	J	T					
235. Merck & Co. Inc.	A	Dividend	J	T					
236. MetLife Inc.	A	Dividend	J	T					
237. Microsoft Corp.	A	Dividend	K	T	Sold (part)	05/15/19	J		
238. --					Sold (part)	06/19/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 18 of 27

Name of Person Reporting

Bloch, Alan N.

Date of Report

11/17/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. Mondelez Int'l	A	Dividend	J	T					
240. National Grid PLC	A	Dividend	J	T	Buy (add'l)	01/10/19	J		
241. Nestle	A	Dividend	K	T	Sold (part)	06/10/19	J		
242. Nextera Energy Inc.	A	Dividend	J	T	Sold (part)	06/10/19	J		
243. Northern Trust Corp.	A	Dividend	K	T					
244. NXP Semiconductors	A	Dividend	K	T					
245. Occidental Pete	A	Dividend			Sold	12/05/19	J		
246. PPG Indus. Inc.	A	Dividend	J	T					
247. Paychex Inc.	A	Dividend	K	T					
248. Pepsico Inc.	A	Dividend	K	T	Sold (part)	01/23/19	J		
249. --					Sold (part)	02/13/19	J		
250. --					Sold (part)	10/07/19	J		
251. --					Sold (part)	10/09/19	J		
252. --					Sold (part)	12/11/19	J		
253. --					Buy (add'l)	03/22/19	J		
254. Pfizer Inc.	B	Dividend	K	T	Buy (add'l)	06/25/19	J		
255. --					Buy (add'l)	06/28/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. --					Buy (add'l)	07/12/19	J		
257. --					Buy (add'l)	07/17/19	J		
258. --					Buy (add'l)	07/23/19	J		
259. --					Buy (add'l)	07/26/19	J		
260. --					Buy (add'l)	10/07/19	J		
261. --					Buy (add'l)	11/26/19	J		
262. --					Buy (add'l)	12/11/19	J		
263. Philip Morris	A	Dividend	K	T	Sold (part)	08/27/19	J		
264. --					Sold (part)	12/05/19	J		
265. --					Buy (add'l)	01/10/19	J		
266. --					Buy (add'l)	01/14/19	J		
267. Phillips 66	A	Dividend			Sold (part)	06/12/19	J		
268. --					Sold (part)	07/24/19	J		
269. --					Sold	07/25/19	J		
270. PNC Fin. Svcs.	A	Dividend	K	T	Buy (add'l)	01/10/19	J		
271. --					Buy (add'l)	09/13/19	J		
272. --					Buy (add'l)	10/07/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 20 of 27

Name of Person Reporting

Bloch, Alan N.

Date of Report

11/17/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. Polaris Inc.	A	Dividend	K	T					
274. PPL Corp.	A	Dividend	J	T	Buy (add'l)	01/10/19	J		
275. --					Buy (add'l)	03/04/19	J		
276. Procter & Gamble Co.	A	Dividend	J	T	Sold (part)	03/04/19	J		
277. --					Sold (part)	04/24/19	J		
278. --					Sold (part)	05/30/19	J		
279. --					Sold (part)	07/23/19	J		
280. --					Sold (part)	07/26/19	J		
281. --					Sold (part)	08/01/19	J		
282. --					Sold (part)	08/06/19	J		
283. --					Buy (add'l)	01/09/19	J		
284. Progressive Corp.	A	Dividend	K	T					
285. Qualcomm	A	Dividend			Sold (part)	05/22/19	J		
286. --					Sold (part)	05/28/19	J		
287. --					Sold	06/03/19	J		
288. Rayonier Inc.	A	Dividend	J	T					
289. Raytheon Co.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 21 of 27

Name of Person Reporting

Bloch, Alan N.

Date of Report

11/17/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Realty Income Corp.	A	Dividend	J	T	Buy (add'l)	01/10/19	J		
291. Regions Fin. Corp.	A	Dividend	J	T	Buy (add'l)	04/24/19	J		
292. --					Buy (add'l)	05/30/19	J		
293. Royal Dutch Shell	A	Dividend	J	T	Buy (add'l)	10/01/19	J		
294. Schlumberger	A	Dividend			Sold (part)	08/29/19	J		
295. --					Sold	08/30/19	J		
296. SL Green Realty Corp.	A	Dividend	K	T	Buy (add'l)	10/09/19	J		
297. --					Buy (add'l)	10/18/19	J		
298. The Southern Co.	B	Dividend	K	T	Sold (part)	08/27/19	J		
299. --					Sold (part)	08/30/19	J		
300. --					Sold (part)	12/11/19	J		
301. --					Buy (add'l)	01/09/19	J		
302. SPDR S&P 500	A	Dividend	J	T	Sold (part)	01/02/19	K		
303. --					Buy (add'l)	12/05/19	J		
304. Sysco Corp.	A	Dividend	J	T	Sold (part)	07/31/19	J		
305. TC Energy Corp.	A	Dividend	J	T	Buy (add'l)	08/27/19	J		
306. --					Buy (add'l)	08/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 27

Name of Person Reporting

Bloch, Alan N.

Date of Report

11/17/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. Texas Instruments Inc.	A	Dividend	J	T	Sold (part)	01/08/19	J		
308. --					Sold (part)	01/25/19	J		
309. Tiffany & Co.	A	Dividend	K	T	Buy (add'l)	08/12/19	J		
310. Total SA Spons. ADR	A	Dividend			Sold (part)	10/01/19	J		
311. --					Sold	10/07/19	J		
312. Travelers Cos.	A	Dividend	J	T					
313. Union Pacific Corp.	A	Dividend	J	T	Sold (part)	01/14/19	J		
314. UPS	A	Dividend	K	T	Sold (part)	10/07/19	J		
315. --					Sold (part)	11/20/19	J		
316. --					Sold (part)	11/26/19	J		
317. --					Buy (add'l)	01/14/19	J		
318. --					Buy (add'l)	07/25/19	J		
319. --					Buy (add'l)	09/25/19	J		
320. United Technologies Corp.	A	Dividend	J	T	Buy (add'l)	01/23/19	J		
321. --					Buy (add'l)	01/29/19	J		
322. --					Buy (add'l)	01/30/19	J		
323. --					Buy (add'l)	02/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 27

Name of Person Reporting

Bloch, Alan N.

Date of Report

11/17/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. --					Buy (add'l)	03/07/19	J		
325. --					Buy (add'l)	03/22/19	J		
326. --					Buy (add'l)	06/12/19	J		
327. --					Buy (add'l)	06/18/19	J		
328. United Health Group Inc.	A	Dividend	J	T	Buy (add'l)	06/19/19	J		
329. US Bancorp	A	Dividend	K	T	Buy (add'l)	01/23/19	J		
330. --					Buy (add'l)	01/25/19	J		
331. Ventas Inc.	A	Dividend	J	T	Sold (part)	01/10/19	J		
332. --					Sold (part)	12/05/19	J		
333. --					Sold (part)	10/09/19	J		
334. Verizon Communications	B	Dividend	K	T	Sold (part)	01/10/19	J		
335. --					Sold (part)	01/30/19	J		
336. --					Buy (add'l)	06/03/19	J		
337. --					Buy (add'l)	06/04/19	J		
338. --					Buy (add'l)	10/09/19	J		
339. Visa Inc.	A	Dividend	J	T	Sold (part)	05/15/19	J		
340. Vodafone Group	A	Dividend			Sold (part)	03/04/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 24 of 27

Name of Person Reporting

Bloch, Alan N.

Date of Report

11/17/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341. --					Sold (part)	03/22/19	J		
342. --					Sold	12/05/19	J		
343. Vulcan Materials	A	Dividend	J	T					
344. Waste Management Inc.	A	Dividend	J	T	Sold (part)	05/15/19	J		
345. --					Sold (part)	06/10/19	J		
346. --					Buy (add'l)	06/12/19	J		
347. WEC Energy Group Inc.	A	Dividend	J	T	Sold (part)	06/10/19	J		
348. --					Sold (part)	07/31/19	J		
349. --					Sold (part)	09/06/19	J		
350. --					Sold (part)	09/09/19	J		
351. Wells Fargo	A	Dividend	J	T	Buy (add'l)	07/24/19	J		
352. --					Buy (add'l)	07/25/19	J		
353. --					Buy (add'l)	09/27/19	J		
354. Welltower Inc.	A	Dividend	J	T					
355. Weyerhaeuser Co.	A	Dividend	K	T					
356. Williams Cos.	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
357. Zoetis Inc.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. 3M Co.	A	Dividend	K	T	Sold (part)	04/09/19	J		
359. (Lines 56-358 are common stock unless otherwise noted)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 26 of 27

Name of Person Reporting

Bloch, Alan N.

Date of Report

11/17/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 27 of 27

Name of Person Reporting

Bloch, Alan N.

Date of Report

11/17/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alan N. Bloch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544