

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gettleman, Robert W.	2. Court or Organization U.S. District Court, N.D. Illinois	3. Date of Report 06/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 219 South Dearborn Street Chambers 1788 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2012	General Partner: Bob Jo Investments (passive investment partnership with Joel Rubin in single real estate venture; we each own 50% of one limited partnership)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Northwestern University School of Law; taught courses	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	LCSW, Private Social Work Practice
2. 2012	Teachers' Retirement Pension
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank (Chicago)	A	Interest	J	T					
2. Bob Jo Investments (Real Estate)		None	J	T					
3. Morgan Stanley Liq. Asset Fund	A	Interest	J	T					
4. MS Natural Resources	A	Interest	K	T					
5. Morgan Stanley Active Assets Money Trust	C	Interest	J	T					
6. Ill. St. Civic CTR REF-SPL (Bond)	A	Interest	J	T					
7. Illinois St. Gen. Oblig. (Bond)	A	Interest	J	T					
8. Ill. Health Facs. Auth. (Bond)	A	Interest	J	T					
9. Sacred Silks	A	Interest	J	T					
10. Eldorado Gold CP	A	Dividend	J	T					
11. Bristol Myers Squibb Co.	A	Dividend	J	T					
12. ING Plus	A	Interest	J	T					
13. GE Money BK	A	Interest	J	T					
14. MS Bank CD	A	Interest	J	T					
15. Giga Tronics	A	Dividend	J	T					
16. McEwen Mng Inc Com (MUX)	A	Dividend	J	T	Buy	06/25/12	J		
17. Minera Andes Acquisition Corp (MCEE)	A	Dividend	J	T	Buy	03/23/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000 R = More than \$5,000,000	M = \$100,001 - \$250,000 Q = \$250,001 - \$500,000	P = \$500,001 - \$1,000,000 R = More than \$1,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Stora Enso SP ADR Ser R (SEQAY)	A	Dividend	J	T	Buy	06/12/12	J		
19. Turquoise Hill Res Ltd Com (RRQ)	A	Dividend	J	T	Buy	12/20/12	J		
20. BB&T Corporation	A	Dividend	J	T	Buy	07/31/12	J		
21. Start of IRA American Mutual Fund									
22. Amer. FDS Cap. Bldrs.	C	Dividend	K	T					
23. Morgan Stanley Growth Fund of Amer.	C	Dividend	K	T					
24. U.S. Treas. Bonds	A	Interest	L	T					
25. Morgan Stanley U.S. Govt. Sec.	C	Dividend	M	T					
26. Morgan Stanley Liq. Asset Fund	B	Dividend	K	T					
27. Morgan Stanley European Growth	A	Dividend	K	T					
28. Morgan Standly Pacific Grouwth B	A	Dividend	J	T					
29. Sacramento Cnty Calif Go Pens FDG-A Taxable OY 7.53 BE	A	Dividend	J	T					
30. Tenn VY Auth 6.75% 6-1-28 SR D	A	Dividend			Redeemed	01/05/12	J	A	
31. Bristol Myers Squibb Co.	A	Dividend	J	T					
32. SLM Corp Ser CPI/Floater	A	Dividend	J	T					
33. Intl Bk for Recon & Dev	A	Dividend	J	T					
34. Novartis AG ADR	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Raytheon Co (New)	A	Dividend	J	T					
36. GMAC Bank CD	A	Dividend	J	T					
37. Devon Energy Corp New	A	Dividend			Sold	01/10/12	J	A	
38. Eli Lilly & Co.	A	Dividend	J	T					
39. Intel Corp.	A	Dividend	J	T					
40. Isis Pharm Inc.	A	Dividend	J	T					
41. Sanofi Aventis Ads	A	Dividend	J	T					
42. Seabridge Gold Inc	A	Dividend	J	T					
43. American Mutual A	A	Interest	J	T					
44. Columbia Global Value B	A	Dividend	J	T					
45. Agnico Eagle Mines	A	Dividend	J	T					
46. Banro Corp	A	Dividend	J	T					
47. Barrick Gold	A	Dividend			Sold	03/08/12	J	A	
48. Dresser Rand	A	Dividend	J	T					
49. Echelon	A	Dividend	J	T					
50. Eldorado Gold	A	Dividend	J	T					
51. Silver Wheaton Corp.	A	Dividend	J	T					

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52. Goldman Sachs GRP Inc.	A	Dividend	J	T					
53. Market Vectors Gold Mines	A	Dividend	J	T					
54. Sequenom Inc New	A	Dividend	J	T					
55. Morgan ST III Cum	A	Dividend	J	T					
56. Yamana Gold Ind.	A	Dividend	J	T					
57. Chicago Go-EsD BE	A	Dividend	J	T					
58. Duke Energy Corp	A	Dividend	J	T					
59. Windstream Corp.	A	Dividend			Sold	05/04/12	J	A	
60. BP PLC ADS	A	Dividend	J	T					
61. Genuine Parts Co.	A	Dividend	J	T					
62. Bunge Ltd	A	Dividend	J	T					
63. IBM	A	Dividend	J	T					
64. Proctor & Gamble	A	Dividend	J	T					
65. Protective Life	A	Dividend	J	T					
66. Royal Dutch Shell	A	Dividend			Sold	01/10/12	J	A	
67. Rubicon Minerals	A	Dividend	J	T					
68. Waste Management	A	Dividend	J	T					

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69. Aqua Amer Inc	A	Dividend	J	T					
70. Echelon (ELON)	A	Dividend	J	T					
71. Frontier Commun Corp	A	Dividend			Sold	05/14/12	J	A	
72. Kansas City Life Ins.	A	Dividend	J	T					
73. Verizon Comm	A	Dividend	J	T					
74. Calif St. Genl Oblig	A	Dividend	J	T					
75. Invesco Comm Strat	A	Dividend			Sold	05/14/12	J	A	
76. Thornburg Inv. Inc.	A	Dividend	J	T					
77. ASA Gold and Precious Metals (ASA)	A	Dividend	J	T					
78. Check Point Software Tech Ltd. (CHKP)	A	Dividend			Sold	05/14/12	J	A	
79. Giga Tronics (GUGA)	A	Dividend	J	T					
80. Inergy LP (NRGY)	A	Dividend	J	T	Sold (part)	05/18/12	J	A	
81. Ivanho Mines Ltd (IVN)	A	Dividend			Sold	07/12/12	J	A	
82. US Gold Corp (UXG)	A	Dividend			Sold	04/19/12	J	A	
83. Albuquerque Bernalillo Cnty Wtr Util Au N M JT WTR Rev-A-1	A	Interest	J	T					
84. Will Cnty Ill Cmnty Cons Sch Dist. No. 30- C Ser B	A	Interest	J	T					
85. Baltimore Cnty MD Genl Oblig Ref Met Dist	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. San Diego Cnty Calif Wtr Auth Fing Agy Wt Rev-A	A	Interest	J	T					
87. San Antonio Tex Wtr Sys Rev Ref	A	Interest	J	T					
88. 3M Company (MMM)	A	Dividend	J	T					
89. Accelrys Inc (ACCL)	A	Dividend	J	T					
90. AGL Resources IN (GAS)	A	Distribution	J	T					
91. Amer Nat Ins Co (ANAT)	A	Dividend	J	T					
92. Calamp Corp (CAMP)	A	Dividend	J	T					
93. Cisco Syst Inc (CSCO)	A	Dividend	J	T					
94. Clorox CO DE (CLX)	A	Dividend	J	T					
95. Colgate Palmolive Co (CL)	A	Dividend	J	T					
96. Compass Div TR SHS Beneficial (CODI)	A	Dividend	J	T					
97. Corsite Realty Corp (COR)	A	Dividend			Sold	02/16/12	J	A	
98. Covidien PLC New (COV)	A	Distribution	J	T					
99. Goldcorp Inc (GG)	A	Dividend			Sold	05/14/12	J	A	
100. Health Cre Reit Inc (HCN)	A	Dividend	J	T					
101. Honda Motor Company Ltd ADR (HMC)	A	Dividend	J	T					
102. Johnson & Johnson	A	Dividend	J	T					

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103. Maxim Integrated Products Inc (MXIM)	A	Dividend			Sold	01/25/12	J	A	
104. Microsoft Corp (MSFT)	A	Dividend	J	T					
105. Monsanto CO/New (MON)	A	Dividend	J	T					
106. Rackspace Hosting Inc Com (RAX)	A	Dividend			Sold	08/09/12	J	A	
107. Seadrill Ltd (SDRL)	A	Dividend	J	T					
108. SPDR Global Dow ETF (DGT)	A	Dividend	J	T					
109. Talisman Energy Inc (TLM)	A	Dividend	J	T					
110. Toyota Motor CP ADR New (TM)	A	Dividend	J	T					
111. UIL Hldgs Corp (UIL)	A	Dividend	J	T					
112. United Fire & Casualty (UFCS)	A	Dividend	J	T					
113. Vector Group LTD (VGR)	A	Dividend			Sold	07/26/12	J	A	
114. Weatherford International LTD (WTF)	A	Dividend	J	T					
115. Xilinx Inc (XLNX)	A	Dividend	J	T					
116. New York City Genl Oblig Ser-F Subseries F-1 Build America	A	Interest	J	T					
117. Pennsylvania St. Build America Bond	A	Interest	J	T					
118. New York St. Mun Bd Bk Agy Recovery Act Build America	A	Interest	J	T					
119. New York City Go Build America C-1	A	Interest	J	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Alcoa Inc (AA)	A	Interest	J	T	Buy	12/24/12	J		
121. AngloGold Ashanti Limited (AU)	A	Interest	J	T	Buy	12/24/12	J		
122. Aqua Ameri Inc (WTR)	A	Dividend	J	T	Buy	09/20/12	J		
123. Banro Corp (BAA)	A	Dividend	J	T	Buy	03/22/12	J		
124. Corning Inc (GLW)	A	Dividend	J	T	Buy	01/27/12	J		
125. Encana Corp (ECA)	A	Dividend	J	T	Buy	12/24/12	J		
126. Facebook Inc CL-A (FB)	A	Dividend	J	T	Buy	05/18/12	J		
127. Ford Motor Co New (F)	A	Dividend	J	T	Buy	12/07/12	J		
128. General Mtrs Co (GM)	A	Dividend	J	T	Buy	12/24/12	J		
129. Golden Minerals Co (AUMN)	A	Dividend	J	T	Buy	06/21/12	J		
130. Hanmi Financial Corp New (HAFC)	A	Dividend	J	T	Buy	05/23/12	J		
131. Isis Pharm Inc (ISIS)	A	Dividend	J	T	Buy	08/22/12	J		
132. KB Home (KBH)	A	Dividend	J	T	Buy	01/24/12	J		
133. Mellanox Tech Ltd (MLNX)	A	Dividend	J	T	Buy	09/18/12	J		
134. Merck & Co Inc New Com (MRK)	A	Dividend	J	T	Buy	01/26/12	J		
135. Mercury Genl CP New (MCY)	A	Dividend	J	T	Buy	03/20/12	J		
136. Omega Healthcare Inv Inc.	A	Dividend	J	T	Buy	05/21/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Gettleman, Robert W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Paramount Gold & Silver Corp (PZG)	A	Dividend	J	T	Buy	12/24/12	J		
138. Southern Co (SO)	A	Dividend	J	T	Buy	12/07/12	J		
139. Statoil Asa ADR (STO)	A	Dividend	J	T	Buy	01/30/12	J		
140. Synergy Res Corp Com (SYRG)	A	Dividend	J	T	Buy	12/07/12	J		
141. Teradata Corp (TDC)	A	Dividend	J	T	Buy	05/17/12	J		
142. Vanguard European MSCI ETF (VGK)	A	Dividend	J	T	Buy	06/14/12	J		
143.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

II. Agreements

No. 1: interest).

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert W. Gettleman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544