

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) CHRISTENSEN, DANA L.	2. Court or Organization U.S. District Court - Montana	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court Missoula 201 E. Broadway Missoula, MT 59802		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

CHRISTENSEN, DANA L.

Date of Report

05/15/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Montana Teachers Retirement System - Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
CHRISTENSEN, DANA L.	05/15/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

CHRISTENSEN, DANA L.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Vacation Rental - Whitefish, MT (Assessed Value \$278,200)	D	Rent	N	S					
2. Royal Bank of Canada	A	Interest	J	T					
3. First Interstate Bank - Lake A/C	A	Interest	J	T					
4. First Interstate Bank - Govt A/C	A	Interest	J	T					
5. Royal Bank of Canada - US A/C		None	J	T					
6. Prudential - Variable Life Policy (H)									
7. - Prudential Value Fund	A	Int./Div.	K	T					
8. - Prudential Global	A	Int./Div.	K	T					
9. - Janus Portfolio InShr	A	Int./Div.	K	T					
10. - AST Total Return Bond	A	Int./Div.	J	T					
11. - AST BlackRock Gbl Strat	A	Int./Div.	J	T					
12. Principal Life Ins - Adjustable Life	A	Dividend	L	T					
13. Brokerage Account #1 (H)									
14. - Edward Jones - Money Market	A	Dividend	J	T					
15. - Forsyth Mt Pollution Ctl Rev 5%	A	Interest	J	T					
16. - Invesco Mun Prem Income Tr	A	Dividend	K	T					
17. - MS Corporate Bond I	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - American Balanced Fund Cl A	B	Dividend	K	T	Sold (part)	04/10/19	J	A	
19.					Sold (part)	11/18/19	J	B	
20. - Amern High Income Trust Cl A	A	Dividend	J	T	Sold (part)	04/10/19	J		
21. - Capital Income Build	A	Dividend	J	T	Sold (part)	04/10/19	J		
22. - Growth Fund of America Cl A	A	Dividend	J	T	Sold (part)	04/10/19	J	A	
23.					Sold (part)	11/13/19	J	B	
24.					Sold (part)	11/18/19	J	B	
25. - Income Fund of America Fund A	B	Dividend	K	T	Sold (part)	04/10/19	J	A	
26.					Sold (part)	11/18/19	J	A	
27. - Investment Co of America Fd A	A	Dividend	J	T	Sold (part)	04/10/19	J	A	
28.					Sold (part)	11/18/19	J	A	
29. - Lord Abbett Balances Strat A	B	Dividend	K	T	Sold (part)	04/10/19	J	A	
30.					Sold (part)	11/18/19	J	A	
31. - Lord Abbett Short Dur Inc Fd C	A	Dividend	J	T					
32. - Virtus Multi Sector Shs Trm Bd	A	Dividend	J	T	Sold (part)	04/10/19	J		
33.					Sold (part)	11/18/19	J	A	
34. - Natixis LS Invst Grade Bd A	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. Brokerage A/C #2 - SEP-IRA (Selected Low Risk Funds) (H)									
36. - MGD Assets DIA Multi CAP EQ	E	Int./Div.	O	T					
37. - MGD Assets DIA Equity Income	E	Int./Div.	O	T					
38. Brokerage Account #3 (H)									
39. - Bank of Nova Scotia	D	Dividend	M	T					
40. - BCE Inc New	D	Dividend	M	T					
41. - Brookfield Asset Mngt Class A Ltd Vtg Shs	B	Dividend	M	T	Donated (part)				
42. - CAD Cash		Interest	L	T					
43. - Canadian Apartment PPTYS RE Inv Tr	C	Dividend	M	T					
44. - Canadian National Railway Co	B	Dividend	L	T					
45. - Canadian Utilities LTD 4.5% Cum RDM Secnd Prf Sr CC	C	Dividend	K	T					
46. - Dividend 15 Split Corp 5.25% PFS SHS	B	Dividend	K	T					
47. - Emera Inc	B	Dividend	K	T					
48. - Fortis Inc	C	Dividend	M	T					
49. - Franco-Nevada Corporation	B	Dividend	M	T					
50. - Great-West Lifco Inc	C	Dividend	L	T	Sold (part)	10/28/19	K	C	
51. -Great-West Lifeco Inc 4.5% Non Cum 1st Pfd Ser I	C	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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05/15/2020

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52. - Pembina Pipeline Corp	D	Dividend	M	T					
53. - Royal Bank of Canada	D	Dividend	M	T	Sold (part)	10/28/19	K	D	
54. - Royal Bank of Canada 4.45% Non Cum 1st Pfd Ser AA	B	Dividend	K	T	Buy	05/28/19	K		
55.					Buy (add'l)	06/11/19	K		
56. - Toronto Dominion Bank	C	Dividend	M	T					
57. - TC Energy Corp (Formerly Transcanada Corporation)	D	Dividend	M	T					
58. - United Corporations Ltd	C	Dividend	M	T	Sold (part)	05/28/19	K	D	
59. - Westcoast Energy Inc 5.5% Cum 1st Pfd Ser 7 Perp	A	Dividend			Sold	03/20/19	K		
60. 529 College Savings #1 (H)									
61. - Growth Fund of America	A	Dividend	K	T					
62. 529 College Savings #2 (H)									
63. - Growth Fund of America	A	Dividend	K	T					
64. 529 College Savings #3 (H)									
65. - Growth Fund of America	A	Dividend	K	T					
66. 529 College Savings #4 (H)									
67. - Growth Fund of America	A	Dividend	K	T					
68. 529 College Savings #5 (H)									

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69. - Growth Fund of America	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

CHRISTENSEN, DANA L.

Date of Report

05/15/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

CHRISTENSEN, DANA L.

Date of Report

05/15/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DANA L. CHRISTENSEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544