

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Drain, Gershwin A.	2. Court or Organization U.S. District Court, Eastern District of Michigan	3. Date of Report 04/03/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge; active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court Theodore Levin United States Courthouse 231 W. Lafayette Blvd., Room 707 Detroit, MI 48226		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Wayne County, Michigan Pension Payments	\$14,192.04
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	George Mason University School of Law	05/03/19 to 05/07/19	Arlington, VA	Education	Flight, Hotel, Meals and Shuttle
2.	George Mason University School of Law	10/16/19 to 10/20/19	Santa Fe, NM	Education	Flight, Hotel, Meals and Shuttle
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IIRA #1 (H)									
2. - First Trust Sabrient Baker's Dozen Port	A	Dividend			Sold	03/22/19	K		
3. - AMCAP Fund Class C Am. Funds	A	Dividend	L	T					
4. - American Balanced Fund/Am. Funds	A	Dividend	K	T					
5. - Cap. Income Builder Fd./ Class C - Am. Funds	B	Dividend	L	T					
6. - Cap. World Growth & Inc. Fd./ Am. Funds	A	Dividend	M	T					
7. - Europacific Growth Fund/Am Funds	A	Dividend			Sold	03/29/19	K		
8. - Growth Fund of America/Am. Funds	A	Dividend	K	T					
9. - Hartford Floating Rate Fund	A	Dividend			Sold	11/19/19	K		
10. - Income Fund of Amer. Class C - Am. Funds	C	Dividend	M	T					
11. - New Economy Fund/Am. Funds	A	Dividend	K	T					
12. - New Perspective Fund Class C - Am. Funds	A	Dividend	M	T					
13. IRA #2 (H)									
14. - Am. Funds Amcap Fund Class C	A	Dividend			Sold	04/02/19	J		
15. - Am. Funds - American Balance Fund	A	Dividend			Sold	04/02/19	J		
16. - American Funds Capital Income Builder	A	Dividend			Sold	04/02/19	J		
17. - Am. Funds - Growth Fund of America	A	Dividend			Sold	04/02/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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18. - American Funds New World Fund	A	Dividend			Sold	04/02/19	J		
19. - American Balanced Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
20. - American Global Bal Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
21. - Bond Fund of America Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
22. - Cap. World Growth & Income - Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
23. - New Perspective Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
24. - Washington Mutual Investors Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
25. IRA #3 (H)									
26. - Am. Funds - Capital Income Builder	A	Dividend			Sold	04/02/19	J		
27. - Am Funds Capital World Growth & Inc.	A	Dividend			Sold	04/02/19	J		
28. - Am. Funds New Economy Fund	A	Dividend			Sold	04/02/19	J		
29. - Am. Funds New Perspective Fund	A	Dividend			Sold	04/02/19	J		
30. - American Balanced Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
31. - American Global Bal Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
32. - Bond Fund of America Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
33. - Capital World Growth & Income Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
34. - New Perspective Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		

1 Income Gain Codes:	A =\$1,000 or less (See Columns B1 and D4)	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes	F =\$50,001 - \$100,000 J =\$15,000 or less (See Columns C1 and D3)	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes	N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment T =Cash Market	
			P4 =More than \$50,000,000 W =Estimated		

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Washington Mutual Investors Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
36. IRA #4 (H)									
37. - Amer. Funds AMCAP Fund Class C	A	Dividend			Sold	04/02/19	J		
38. - Franklin Rising Dividends Fund Class C	A	Dividend			Sold	04/02/19	J		
39. - Franklin Mutual Global Discovery Fund	A	Dividend			Sold	04/02/19	J		
40. - American Balanced Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
41. - American Global Balanced Fund - Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
42. - Bond Fund of America Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
43. - Capital World Growth & Income Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
44. - New Perspective Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
45. - Washington Mutual Investors Fund Class F2 - Amer. Funds	A	Dividend	J	T	Buy	04/03/19	J		
46. IRA #6 (H)									
47. - First Trust ETF II Utilities Alphadex Fund	A	Dividend			Sold	03/29/19	J		
48. - First Trust ETF IV Senior Loan Fund	A	Dividend			Sold	03/12/19	J		
49. - Global X U.S. Infrastructure Dev. ETF	A	Dividend			Sold	03/29/19	J		
50. - Goldman Sachs ETF Activebeta US Large Cap	A	Dividend			Sold	03/29/19	L		
51. - Invesco QQQ f/k/a Powershares QQQ Trust	A	Dividend			Sold	03/29/19	J		

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52. - Invesco Aerospace & Def f./k/a Powershares ETF Tr. Aerosp, & Def,	A	Dividend			Sold	03/29/19	J		
53. - Invesco S&P 500 Equal Weight Energy ETF	A	Dividend			Sold	03/29/19	J		
54. - Invesco S&P 500 Equal Weight Energy ETF	A	Dividend			Sold	03/29/19	J		
55. - Invesco Emerging Markets f/ka/ Powershares Emerging Markets ETF	A	Dividend			Sold	03/29/19	J		
56. - Ishares MSCI Aust ETF	A	Dividend			Sold	03/29/19	J		
57. - Ishares MSCI CDA ETF	A	Dividend			Sold	03/29/19	J		
58. - Ishares TR Core S & P 500 ETF	A	Dividend			Sold	03/29/19	K		
59. - Ishares 20 Yr TR BD ETF	A	Dividend			Sold	03/22/19	J		
60. - Ishares TR MSCI EAFE ETF	A	Dividend			Sold	03/29/19	J		
61. - IShares TR U.S. TECH ETF	A	Dividend			Sold	03/29/19	K		
62. - Ishares TR U.S. Fin SVC ETF	A	Dividend			Sold	03/29/19	J		
63. - Ishares TR CORE S&P SCP ETF	A	Dividend			Sold	03/29/19	K		
64. - Ishares TR U.S. CNSM GD ETF	A	Dividend			Sold	03/29/19	J		
65. - Ishares TR MSCI Ac Asia ETF	A	Dividend			Sold	03/29/19	J		
66. - Ishares TR 10-20 YR TRS ETF	A	Dividend			Sold	03/29/19	J		
67. - Ishares TR U.S. MED DVC ETF	A	Dividend			Sold	03/29/19	J		
68. - Ishares TR EAFE Grwth ETF	A	Dividend			Sold	03/29/19	L		

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69. - Ishares TR Core MSCI EAFE	A	Dividend			Sold	03/29/19	K		
70. - Ishares Incorporated Core MSCI EMKT	A	Dividend			Sold	03/29/19	K		
71. - JPMorgan Betabuilders Japan ETF	A	Dividend			Sold	03/29/19	J		
72. - Pimco ETF Trust	A	Dividend			Sold	03/29/19	K		
73. - SPDR Blackstone f/k/a SSGA Active ETF Trust Blackston/GSO Sr Ln ETF	A	Dividend			Sold	03/12/19	J		
74. - SPDR Healthcare Select f/k/a Sector SPDR TR SBI Healthcare	A	Dividend			Sold	03/29/19	J		
75. - SPDR Con Disc Select f/k/a Sector SPDR TR SBI Cons Discr	A	Dividend			Sold	03/29/19	J		
76. - SPDR Fin Select f/k/a Sector SPDR TR SBI Int-Finl	A	Dividend			Sold	03/29/19	J		
77. - Vanguard Total Bond Market ETF	A	Dividend			Sold	03/29/19	J		
78. - Vanguard Intermediate Term Gov Bond ETF	A	Dividend			Sold	03/29/19	K		
79. - Wisdomtree U.S. Largecap Div Fund	A	Dividend			Sold	03/29/19	J		
80. - Wisdomtree Japan Smallcap Divid Fund	A	Dividend			Sold	03/12/19	K		
81. IRA #7 (H)									
82. - AT&T Incorporated	A	Dividend	J	T					
83. - Abbott Labs	A	Dividend	J	T					
84. - Adobe Systems Inc.	A	Dividend	J	T					
85. - Alibaba Group Hldg.	A	Dividend	J	T					

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86. - Allstate Corp.	A	Dividend	J	T	Buy	06/07/19	J		
87. - Alphabet Incorporated	A	Dividend	K	T					
88. - Amazon Com Inc.	A	Dividend	K	T					
89. - American Express Company	A	Dividend	J	T					
90. - Apple Inc.	A	Dividend	K	T					
91. - Bank Amer. Corp	A	Dividend			Sold	08/16/19	J		
92. - Becton Dickinson & Co.	A	Dividend	J	T					
93. - Boeing Company	A	Dividend	K	T					
94. - Boston Scientific Corp.	A	Dividend	J	T					
95. - Bristol Myers Squibb Companh	A	Dividend			Sold	03/15/19	J		
96. - CSX Corp.	A	Dividend			Sold	07/31/19	J		
97. - CVS Health Corp.	A	Dividend	J	T	Buy	09/05/19	J		
98. - Capital One Finl. Corp.	A	Dividend	J	T	Buy	07/30/19	J		
99. - Chevron Corp.	A	Dividend	J	T					
100. - Cisco Systems Inc.	A	Dividend	J	T					
101. - Citigroup Inc.	A	Dividend	J	T					
102. - Coca Cola Company	A	Dividend	J	T	Buy	01/04/19	J		

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103. - Comcast Corp.	A	Dividend	J	T					
104. - Conocophillips	A	Dividend			Sold	10/03/19	J		
105. - Deere & Company	A	Dividend	J	T	Buy	11/27/19	J		
106. - Disney Walt Company	A	Dividend	J	T					
107. - Dollar General Corp.	A	Dividend	J	T					
108. - Dowdupont Inc.	A	Dividend			Sold	02/05/19	J		
109. - EOG RES Inc.	A	Dividend			Sold	05/28/19	J		
110. - Exxon Mobil Corp.	A	Dividend	J	T	Buy	11/22/19	J		
111. - Facebook Inc.	A	Dividend	J	T	Buy	01/15/19	J		
112. - Fiserv Inc.	A	Dividend	J	T	Buy	11/07/19	J		
113. - Gilead Sciences Inc	A	Dividend			Sold	03/08/19	J		
114. - Home Depot Inc.	A	Dividend	J	T					
115. - Honeywell International Inc.	A	Dividend	J	T					
116. - JPMorgan Chase & Co.	A	Dividend	K	T					
117. - Johnson & Johnson	A	Dividend	J	T					
118. - Lilly Eli & Company	A	Dividend	J	T					
119. - McDonalds Corporation	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Drain, Gershwin A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - Merck & Company Inc.	A	Dividend	J	T	Buy	06/04/19	J		
121. - Microsoft Corp.	A	Dividend	K	T					
122. - Micron Technology Inc.	A	Dividend	J	T	Buy	04/03/19	J		
123. - Netflix Incorporated	A	Dividend			Sold	10/21/19	J		
124. - Nike Incorporated	A	Dividend	J	T					
125. - Norfolk Southern Corp.	A	Dividend	J	T	Buy	02/01/19	J		
126. - Nvidia Corp.	A	Dividend	J	T					
127. - Palo Alto Networks Incorporated	A	Dividend	J	T					
128. - Paypal Hldgs Incorporated	A	Dividend	J	T					
129. - Pepsico Inc.	A	Dividend	J	T	Buy	10/24/19	J		
130. - Pfizer Inc.	A	Dividend			Sold	08/05/19	J		
131. - Philip Morris Intl. Inc.	A	Dividend	J	T	Buy	09/06/19	J		
132. - Procter and Gamble Company	A	Dividend	J	T					
133. - Qualcomm Inc.	A	Dividend	J	T	Buy	04/17/19	J		
134. - Raytheon Company	A	Dividend			Sold	06/17/19	J		
135. - Salesforce Com Inc.	A	Dividend	J	T					
136. - Schlumberger Limited	A	Dividend	J	T	Buy	12/11/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Servicenow Incorporated	A	Dividend			Sold	05/06/19	J		
138. - TJX Companies Inc.	A	Dividend	J	T					
139. - Twitter IncorporatedA	A	Dividend			Sold	10/28/19	J		
140. - United Technologies Corp.	A	Dividend	J	T	Buy	10/24/19	J		
141. - Unitedhealth Group Inc.	A	Dividend	J	T					
142. - Valero Energy Corp.	A	Dividend	J	T	Buy	10/01/19	J		
143. - Verizon Communications Incorporated	A	Dividend			Sold	07/11/19	J		
144. - Vertex Pharmaceuticals Inc.	A	Dividend			Sold	12/02/19	J		
145. - Visa Inc.	A	Dividend	J	T					
146. - Walmart Incorporated	A	Dividend	J	T					
147. - Wells Fargo Company New	A	Dividend			Sold	04/16/19	J		
148. - Medtronic PLC Shs	A	Dividend			Sold	04/15/19	J		
149. - Alcon Inc.	A	Dividend	J	T	Buy	04/11/19	J		
150. - American Tower Corp.	A	Dividend	J	T	Buy	06/05/19	J		
151. IRA #8 (H)									
152. - AT&T Incorporated	A	Dividend	J	T	Buy	04/02/19	J		
153. - Air Products & Chemicals Inc.	A	Dividend	J	T	Buy	04/02/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - Apple Incorporated	A	Dividend	K	T	Buy	04/02/19	K		
155. - Assurant Incorporated	A	Dividend	J	T	Buy	04/02/19	J		
156. - Automatic Data Processing Inc.	A	Dividend	J	T	Buy	04/02/19	J		
157. - Becton Dickinson & Co.	A	Dividend	J	T	Buy	04/02/19	J		
158. - Broadridge Finl. Solutions Inc.	A	Dividend	J	T	Buy	04/02/19	J		
159. - Caseys General Stores Inc.	A	Dividend	J	T	Buy	04/02/19	J		
160. - Coca Cola Company	A	Dividend	J	T	Buy	04/02/19	J		
161. - Commerce Bancshares Inc.	A	Dividend	J	T	Buy	04/02/19	J		
162. - Costco Wholesale Corp.	A	Dividend	J	T	Buy	07/17/19	J		
163. - Exxon Mobil Corporation	A	Dividend	J	T	Buy	04/02/19	J		
164. - Gallagher Arthur & Co.	A	Dividend	J	T	Buy	04/02/19	J		
165. - Gilead Sciences Inc.	A	Dividend	J	T	Buy	04/02/19	J		
166. - Glacier Bancorp Inc.	A	Dividend	J	T	Buy	04/02/19	J		
167. - Henry Jack & Assoc. Inc.	A	Dividend	J	T	Buy	04/02/19	J		
168. - Home Depot Inc.	A	Dividend	J	T	Buy	04/02/19	J		
169. - Illinois Tool Wks. Inc.	A	Dividend	J	T	Buy	04/02/19	J		
170. - International Business Machines	A	Dividend	J	T	Buy	04/02/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. - Johnson & Johnson	A	Dividend	J	T	Buy	04/02/19	J		
172. - Kimberly Clark Corp.	A	Dividend	J	T	Buy	04/02/19	J		
173. - Leggett & Platt Inc.	A	Dividend	J	T	Buy	04/02/19	J		
174. - Mastercard Incorporated	A	Dividend	J	T	Buy	04/02/19	J		
175. - McCormick & Company Inc.	A	Dividend	J	T	Buy	04/02/19	J		
176. - McDonalds Corporation	A	Dividend	J	T	Buy	04/02/19	J		
177. - Merck & Company Inc.	A	Dividend	J	T	Buy	04/02/19	J		
178. - Nasdaq Inc.	A	Dividend	J	T	Buy	04/02/19	J		
179. - Oneck Incorporated	A	Dividend	J	T	Buy	04/02/19	J		
180. - Prosperity Bancshares Inc.	A	Dividend	J	T	Buy	04/02/19	J		
181. - Qualcomm Inc.	A	Dividend	J	T	Buy	04/02/19	J		
182. - Republic Svcs. Inc.	A	Dividend	J	T	Buy	04/02/19	J		
183. - Ross Stores Inc.	A	Dividend	J	T	Buy	04/02/19	J		
184. - Sherwin Williams Co.	A	Dividend	J	T	Buy	04/02/19	J		
185. - Snap On Incorporated	A	Dividend	J	T	Buy	04/02/19	J		
186. - Stryker Corporation	A	Dividend	J	T	Buy	04/02/19	J		
187. - Tractor Supply Company	A	Dividend	J	T	Buy	10/08/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. - Union Pacific Corporation	A	Dividend	J	T	Buy	04/02/19	J		
189. - United Technologies Corp.	A	Dividend	J	T	Buy	04/02/19	J		
190. - V F Corporation	A	Dividend	J	T	Buy	04/02/19	J		
191. - Verizon Communications Inc.	A	Dividend	J	T	Buy	05/08/19	J		
192. - Wec Energy Group Inc.	A	Dividend	J	T	Buy	04/02/19	J		
193. - Walgreens Boots Alliance Inc.	A	Dividend	J	T	Buy	04/02/19	J		
194. - Watsco Incorporated	A	Dividend	J	T	Buy	04/02/19	J		
195. - Xcel Energy Inc.	A	Dividend	J	T	Buy	04/02/19	J		
196. - Xilinx Incorporated	A	Dividend	J	T	Buy	04/02/19	J		
197. - Accenture Plc Ireland	A	Dividend	J	T	Buy	04/02/19	J		
198. - Steris Plc. USD	A	Dividend	J	T	Buy	04/02/19	J		
199. - American Tower Corp.	A	Dividend	J	T	Buy	04/02/19	J		
200. - Realty Income Corp.	A	Dividend	J	T	Buy	04/02/19	J		
201. Brokerage Account #1 (H)									
202. - Targe Res Corp. f/k/a Targa Res Partners LP Com Unit (X)	A	Dividend	J	T					
203. - Realty Income Corporation Reit	B	Dividend	K	T					
204. - American High Income Municipal Bond	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Blackrock Equity Div. Fund Class C	A	Dividend	K	T					
206. - Calamos Growth & Income Fund Class C	A	Dividend	K	T					
207. - Am Funds Cap World Growth & Inc Class C	A	Dividend	K	T					
208. - First Eagle Global Fund Class C	A	Dividend	K	T					
209. - Franklin Rising Dividends Fund	A	Dividend	K	T					
210. - Am Funds Growth Fund of Amer Class C	A	Dividend	K	T					
211. - Am Funds Investment Co of America Class A	A	Dividend	K	T					
212. - Janus Henderson Global Eq. Inc. f/k/a Henderson European Focus Fund	A	Dividend	K	T					
213. - Western Asset Int. Term Municipals Class C	A	Dividend	L	T					
214. - MFS Mutual Income Fund	A	Dividend	J	T	Buy	11/26/19	J		
215. - Franklin Templeton Mutual Global Discovery	A	Dividend	K	T					
216. - Am Funds New World Class C	A	Dividend	K	T					
217. - Nuveen High Yield Mun. Bond Fund Class C	A	Dividend	K	T					
218. - Tax Exempt Bond Fund of America	A	Dividend	J	T					
219. - Raymond James Cash Account		None	J	T					
220. Brokerage Account #2 (H)									
221. - AT&T Incorporated	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. - Abbott Labs	A	Dividend	J	T					
223. - Adobe Systems Inc.	A	Dividend	J	T					
224. - Alibaba Group Hldg	A	Dividend	J	T					
225. - Allstate Corporation	A	Dividend	J	T	Buy	06/07/19	J		
226. - Alphabet Incorporated f/k/a Google Incorporated	A	Dividend	J	T					
227. - Amazon Com Incorporated	A	Dividend	J	T					
228. - American Express Company	A	Dividend	J	T					
229. - Apple Incorporated	A	Dividend	J	T					
230. - Bank of America Corporation	A	Dividend			Sold	08/16/19	J		
231. - Becton Dickison & Company	A	Dividend	J	T					
232. - Boeing Company	A	Dividend	J	T					
233. - Boston Scientific Corp.	A	Dividend	J	T					
234. - Bristol Myers Squibb Company	A	Dividend			Sold	03/05/19	J		
235. - CSX Corporation	A	Dividend			Sold	07/31/19	J		
236. - CVS Health Corp.	A	Dividend	J	T	Buy	09/05/19	J		
237. - Capital One Finl. Corp.	A	Dividend	J	T	Buy	07/30/19	J		
238. - Chevron Corporation New	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Drain, Gershwin A.

Date of Report

04/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. - Cisco Systems Incorporated	A	Dividend	J	T					
240. - Citigroup Incorporated Com New	A	Dividend	J	T					
241. - Coca Cola Company	A	Dividend	J	T	Buy	01/04/19	J		
242. - Comcast Corporation New	A	Dividend	J	T					
243. - Conocophillips	A	Dividend			Sold	10/03/19	J		
244. - Deere & Company	A	Dividend	J	T	Buy	11/27/19	J		
245. - Disney Walt Company	A	Dividend	J	T					
246. - Dollar General Corp.	A	Dividend	J	T					
247. - Dowdupont Inc. f/k/a Dow Chemical Company	A	Dividend			Sold	02/05/19	J		
248. - EOG RES Inc.	A	Dividend			Sold	05/28/19	J		
249. - Exxon Mobil Corporation	A	Dividend	J	T	Buy	11/22/19	J		
250. - Faceook Inc.	A	Dividend	J	T	Buy	01/15/19	J		
251. - Fiserv Inc.	A	Dividend	J	T	Buy	11/07/19	J		
252. - Gilead Sciences Incorporated	A	Dividend			Sold	03/08/19	J		
253. - Home Depot Incorporated	A	Dividend	J	T					
254. - Honeywell International Incorporated	A	Dividend	J	T					
255. - JPMorgan Chase & Company	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - Johnson & Johnson	A	Dividend	J	T					
257. - Lilly Eli & Company	A	Dividend	J	T					
258. - McDonalds Corporation	A	Dividend	J	T					
259. - Merck & Company	A	Dividend	J	T	Buy	06/04/19	J		
260. - Microsoft Corporation	A	Dividend	J	T					
261. - Micron Technology Inc.	A	Dividend	J	T	Buy	04/03/19	J		
262. - Netflix Incorporated	A	Dividend			Sold	10/21/19	J		
263. - Nike Incorporated	A	Dividend	J	T					
264. - Norfolk Southern Corp.	A	Dividend	J	T	Buy	02/01/19	J		
265. - Nvidia Corp.	A	Dividend	J	T					
266. - Palo Alto Hldgs Incorporated	A	Dividend	J	T					
267. - Paypal Hldgs Incorporated	A	Dividend	J	T					
268. - Pepsico Inc.	A	Dividend	J	T	Buy	10/24/19	J		
269. - Pfizer Incorporated	A	Dividend			Sold	08/05/19	J		
270. - Philip Morris Intl. Inc.	A	Dividend	J	T	Buy	09/06/19	J		
271. - Proctor and Gamble Co.	A	Dividend	J	T					
272. - Qualcomm Inc.	A	Dividend	J	T	Buy	04/17/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. - Raytheon Company	A	Dividend			Sold	06/17/19	J		
274. - Salesforce Com Incorporated	A	Dividend	J	T					
275. - Schlumberger Limited	A	Dividend	J	T	Buy	12/11/19	J		
276. - Servicenow Incorporated	A	Dividend			Sold	05/06/19	J		
277. - TJX Companies Inc.	A	Dividend	J	T					
278. - Twitter Incorporated	A	Dividend			Sold	10/28/19	J		
279. - United Technologies corp.	A	Dividend	J	T	Buy	10/24/19	J		
280. - United Health Group Inc.	A	Dividend	J	T					
281. - Valero Energy Corp.	A	Dividend	J	T	Buy	10/01/19	J		
282. - Verizon Communications Incorporated	A	Dividend			Sold	07/11/19	J		
283. - Vertex Pharmaceuticals Inc.	A	Dividend			Sold	12/02/19	J		
284. - Visa Incorporated	A	Dividend	J	T					
285. - Walmart Incorporated	A	Dividend	J	T					
286. - Wells Fargo Company New	A	Dividend			Sold	04/16/19	J		
287. - Medtronic PLC Shs (Ireland)	A	Dividend			Sold	04/15/19	J		
288. - Alcon Incorporateed	A	Dividend	J	T	Buy	04/11/19	J		
289. - American Tower Corp.	A	Dividend	J	T	Buy	06/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. Virginia 529 Plan, College Savings Plan, Moderate (H) Growth									
291. - AMCAP Fund - 529C	A	Dividend	J	T					
292. - Capital World Growth & Income - 529C	A	Dividend	J	T					
293. - The Growth Fund of America - 529C	A	Dividend	J	T					
294. - The Investment Company of America - 529C	A	Dividend	J	T					
295. Hartford Life Insurance Cash Value Acct. #1 (H)									
296. - American Funds Income Fund	A	Dividend	J	T					
297. - American Funds Growth	A	Dividend	J	T					
298. - Franklin Templeton Mutual Shares Sec	A	Dividend	J	T					
299. PNC Bank Checking & Savings Accounts	A	Interest	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gershwin A. Drain**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544