

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carman, Mark L.	2. Court or Organization Wyoming District Court, Yellowstone National Park	3. Date of Report 03/26/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 03/02/2013
7. Chambers or Office Address Yellowstone Judicial Center P.O. Box 387 Yellowstone National Park, WY 82190		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust # 1
2.	Trustee	Trust # 2
3.	Trustee	Trust # 3
4.	Trustee	Trust # 4
5.	Board Member	Ronald McDonald Charities of Montana
6.	Employee	Carman Law Office, P.C.
7.	Committee Member	University Parent's Council

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Carman Law Office P.C.	\$115,000.00
2. 2013	Carman Law Office P.C.	\$200,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. -Schwab Money Market	A	Interest	J	T	Exempt				
3. -3M Company	A	Dividend	K	T					
4. -Abbott Laboratories	A	Dividend	J	T					
5. -Abbvie Inc	A	Dividend	J	T					
6. -Air Products & Chemicals Inc	A	Dividend	J	T					
7. -American Express Company	A	Dividend	K	T					
8. -Apache Corp	A	Dividend	J	T					
9. -Apple Inc	A	Dividend	K	T					
10. -AT&T Inc	A	Dividend	J	T					
11. -Auto Data Processing	A	Dividend	K	T					
12. -Baxter International Inc	A	Dividend	J	T					
13. -Borg Warner Inc	A	Dividend	J	T					
14. -Coca Cola Company	A	Dividend	J	T					
15. -Danaher Corp	A	Dividend	J	T					
16. -Deere & Company	A	Dividend	J	T					
17. -EMC Corp	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -Equifax Inc	A	Dividend	K	T					
19. -Express Scripts Holding Company	A	Dividend	K	T					
20. -Exxon Mobil Corporation	A	Dividend	K	T					
21. -Franklin Resources	A	Dividend	J	T					
22. -Google Inc	A	Dividend	J	T					
23. -Home Depot Inc	A	Dividend	J	T					
24. -International Business Machines	A	Dividend	K	T					
25. -iShares Dow Jones US Home Construction	A	Dividend	K	T					
26. -Johnson & Johnson	A	Dividend	K	T					
27. -JP Morgan Chase & Co	A	Dividend	J	T					
28. -Juniper Networks Inc	A	Dividend	J	T					
29. -Kimberly#Clark Corp	A	Dividend	J	T					
30. -McDonalds Corp	A	Dividend	J	T					
31. -Microsoft Corp	A	Dividend	J	T					
32. -Nike Inc Class B	A	Dividend	J	T					
33. -Norfolk Southern Corp	A	Dividend	J	T					
34. -Omnicom Group Inc	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Peabody Energy Corp	A	Dividend	J	T					
36. -Procter & Gamble Co	A	Dividend	J	T					
37. -Schlumberger LTD	A	Dividend	J	T					
38. -Sherwin Williams Co	A	Dividend	K	T					
39. -Snap#On Inc	A	Dividend	J	T					
40. -Stryker Corp	A	Dividend	J	T					
41. -United Parcel Service Inc	A	Dividend	J	T					
42. -Wal#Mart Stores Inc	A	Dividend	J	T					
43. -Wells Fargo & Co	A	Dividend	J	T					
44. -iShares Russell 2000 Value Index	A	Dividend	K	T					
45. -iShares S&P SmallCap 600 Index	A	Dividend	K	T					
46. -iShares S&P MidCap 400 Growth Index	A	Dividend	K	T					
47. -SPDR S&P MidCap 400	A	Dividend	L	T					
48. -iShares MSCI Canada Index	A	Dividend	K	T					
49. -iShares MSCI EMU Index	A	Dividend	K	T					
50. -iShares MSCI Germany Index	A	Dividend	J	T					
51. -iShares MSCI Pacific ex#Japan Index	B	Dividend	K	T					

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52. -iShares MSCI United Kingdom Index	A	Dividend	K	T					
53. -Market Vectors Gold Miners	A	Dividend	J	T					
54. -Vanguard FTSE All Wld Ex USSml Cap	A	Dividend	K	T					
55. -Driehaus Emerging Markets Growth	A	Dividend	K	T					
56. -Powershares DWA Emer Mkts Technical Ldrs	A	Dividend	J	T					
57. -Vanguard Emerging Market	A	Dividend	K	T					
58. -Vanguard Interim#Term Tax Ex Adm	D	Interest		T					
59. -Vanguard Ltd#Term Tax Ex Adm	B	Interest		T					
60. -iShares Gold Trust	A	Dividend	K	T					
61. -PowerShares DB Commodity Index Tracking Fund	A	Dividend	K	T					
62. -Vanguard REIT Index ETF	A	Dividend	K	T					
63. New York Life SEP Premium Plus Elite Variable Annuity									
64. -Templeton Investment Counsel In.	A	Int./Div.	K	T					
65. -Templeton Global Advisors	A	Int./Div.	K	T					
66. -MainStay VP Int'l Equity	A	Int./Div.	K	T					
67. -MainStay VP Hi Yd BD	A	Int./Div.	K	T					
68. -Fidelity Contrafund	A	Int./Div.	K	T					

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69. -Fidelity VIP Mid Cap SC2	A	Int./Div.	K	T					
70. -MainStay VP U.S. Small Cap	A	Int./Div.	K	T					
71. -MainStay Mid Cap Core	A	Int./Div.	K	T					
72. -MainStay VP MFS Utilities	A	Int./Div.	K	T					
73. Thrivent Municipal Cond Fund-A	C	Dividend	L	T					
74. Thrivent Diversified Income Plus Fund A	A	Dividend	J	T					
75. Pacific Life Insurance Whole Life Policy	A	Interest	J	T					
76. Brokerage Acct #2									
77. -American FD Cap World	B	Dividend	L	T					
78. -Northern Calif Pwr Bond	A	Interest	J	T					
79. -Berkshire Hathaway		None	L	T					
80. -Schwab Cash	A	Interest	K	T					
81. IRA # 1 Microsoft	A	Dividend	J	T					
82. IRA # 2									
83. -Schwab Money Market	A	Interest	J	T					
84. --iShares Barclays 1#3 Year Credit Bond	A	Interest	J	T					
85. -iShares Barclays Aggregate Bond	A	Interest	J	T					

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86. -iShares S&P 500 Citigroup Value Index	A	Interest	J	T					
87. -iShares S&P 500 Growth Index	A	Dividend	J	T					
88. -iShares S&P MidCap 400 Growth Index	A	Dividend	J	T					
89. -SPDR S&P MidCap 400	A	Dividend	J	T					
90. -iShares S&P SmallCap 600 Citigroup Growth In	A	Dividend	J	T					
91. -iShares Russell 2000 Value Index	A	Dividend	J	T					
92. -Vanguard FTSE All Wld Ex USSml Cap	A	Dividend	J	T					
93. -iShares MSCI Pacific ex#Japan Index	A	Dividend	J	T					
94. -Vanguard European Stock	A	Dividend	J	T					
95. -Vanguard Emerging Market	A	Dividend	J	T					
96. -PowerShares DB -Commodity Index Tracking Fund	A	Dividend	J	T					
97. -Vanguard REIT Index ETF	A	Dividend	J	T					
98. Trust #1									
99. -Schwab Money Market	A	Interest	J	T					
100. -iShares Barclays Intermediate Credit Bond	A	Interest	J	T					
101. -iShares Barclays 1#3 Year Credit Bond	A	Interest	J	T					
102. -iShares Barclays Aggregate Bond	A	Interest	J	T					

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103. -iShares S&P 500 Citigroup Value Index	A	Dividend	K	T					
104. -iShares S&P 500 Growth Index	A	Dividend	K	T					
105. -iShares S&P MidCap 400 Growth Index	A	Dividend	J	T					
106. -SPDR S&P MidCap 400	A	Dividend	J	T					
107. -iShares S&P SmallCap 600 Citigroup Growth Inde	A	Dividend	J	T					
108. -iShares Russell 2000 Value Index	A	Dividend	J	T					
109. -Vanguard FTSE All Wld Ex USSml Cap	A	Dividend	J	T					
110. -iShares MSCI Pacific ex#Japan Index	A	Dividend	J	T					
111. -Vanguard European Stock	A	Dividend	J	T					
112. -Vanguard Emerging Market	A	Dividend	J	T					
113. -PowerShares DB Commodity Index Tracking Fund	A	Dividend	J	T					
114. -Vanguard REIT Index ETF	A	Dividend	J	T					
115. Trust #2									
116. -Schwab Money Market	A	Interest	J	T					
117. -iShares Barclays 1#3 Year Credit Bond	A	Interest	J	T					
118. -iShares Barclays Intermediate Credit Bond	A	Interest	J	T					
119. -iShares Barclays Aggregate Bond	A	Interest	J	T					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -iShares S&P 500 Citigroup Value Index	A	Dividend	K	T					
121. -iShares S&P 500 Growth Index	A	Dividend	K	T					
122. -iShares S&P MidCap 400 Growth Index	A	Dividend	J	T					
123. -SPDR S&P MidCap 400	A	Dividend	J	T					
124. -iShares S&P SmallCap 600 Citigroup Growth Ind	A	Dividend	J	T					
125. -iShares Russell 2000 Value Index	A	Dividend	J	T					
126. -iShares MSCI Pacific ex#Japan Index	A	Dividend	J	T					
127. -Vanguard FTSE All Wld Ex USSml Cap	A	Dividend	J	T					
128. -Vanguard European Stock	A	Dividend	J	T					
129. -Vanguard Emerging Market	A	Dividend	J	T					
130. -PowerShares DB Commodity Index Tracking Fund	A	Dividend	J	T					
131. -Vanguard REIT Index ETF	A	Dividend	J	T					
132. Trust #3									
133. -Schwab Money Market	A	Interest	J	T					
134. -iShares Barclays Intermediate Credit Bond	A	Interest	J	T					
135. -iShares Barclays 1#3 Year Credit Bond	A	Interest	J	T					
136. -iShares Barclays Aggregate Bond	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -iShares S&P 500 Citigroup Value Index	A	Dividend	K	T					
138. -iShares S&P 500 Growth Index	A	Dividend	K	T					
139. -iShares S&P MidCap 400 Growth Index	A	Dividend	J	T					
140. -SPDR S&P MidCap 400	A	Dividend	J	T					
141. -iShares S&P SmallCap 600 Citigroup Growth Inde	A	Dividend	J	T					
142. -iShares Russell 2000 Value Index	A	Dividend	J	T					
143. -Vanguard FTSE All Wld Ex USSml Cap	A	Dividend	J	T					
144. -iShares MSCI Pacific ex#Japan Index	A	Dividend	J	T					
145. -Vanguard European Stock	A	Dividend	J	T					
146. -Vanguard Emerging Market	A	Dividend	J	T					
147. -PowerShares DB Commodity Index Tracking Fund	A	Dividend	J	T					
148. -Vanguard REIT Index ETF	A	Dividend	J	T					
149. Trust #4									
150. -Schwab Money Market	A	Interest	J	T					
151. -WisdomTree Emerging Markets Local Debt	A	Interest	J	T					
152. -Powershares High Yield Corp Bond	A	Interest	J	T					
153. -iShares Barclays 3#7 Yr Treasury Bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -iShares Barclays Intermediate Credit Bond	A	Interest	J	T					
155. -Vanguard Mortgagebacked Securities Index ETF	A	Interest	J	T					
156. -iShares Dow Jones US Home Construction	A	Dividend	J	T					
157. -iShares Dow Jones US Telecommunications Sector I	A	Dividend	J	T					
158. -First Trust Materials Alphadex	A	Dividend	J	T					
159. -SPDR Consumer Staples Select Sector	A	Dividend	J	T					
160. -SPDR Health Care Select Sector	A	Dividend	J	T					
161. -SPDR Consumer Discretionary Select Sector	A	Dividend	J	T					
162. -SPDR Energy Select Sector	A	Dividend	J	T					
163. -Rydex S&P Equal Weight Financial	A	Dividend	J	T					
164. -SPDR Industrial Select Sector	A	Dividend	J	T					
165. -iShares Goldman Sachs Technology Index	A	Dividend	K	T					
166. -iShares S&P MidCap 400 Growth Index	A	Dividend	J	T					
167. -SPDR S&P MidCap 400	A	Dividend	J	T					
168. -iShares Russell 2000 Value Index	A	Dividend	J	T					
169. -iShares S&P SmallCap 600 Citigroup Growth Index	A	Dividend	J	T					
170. -Market Vectors Gold Miners	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Market Vectors Junior Gold Miners	A	Dividend	J	T					
172. -iShares MSCI Germany Index	A	Dividend	J	T					
173. -Vanguard FTSE All Wld Ex USSml Cap	A	Dividend	J	T					
174. -iShares MSCI Canada Index	A	Dividend	J	T					
175. -iShares MSCI EMU Index	A	Dividend	J	T					
176. -iShares MSCI Pacific ex#Japan Index	A	Dividend	J	T					
177. -iShares MSCI United Kingdom Index	A	Dividend	J	T					
178. -Powershares DWA Emer Mkts Technical Ldrs	A	Dividend	J	T					
179. -Vanguard Emerging Market	A	Dividend	J	T					
180. -iShares Gold Trust	A	Dividend	J	T					
181. -PowerShares DB Commodity Index Tracking Fund	A	Dividend	J	T					
182. -Vanguard REIT Index ETF	A	Dividend	J	T					
183. -Powershares DB US Dlr Index Tr	A	Dividend	J	T					
184. -Wasatch Long/Short	A	Dividend	J	T					
185. -AQR N Managed Futures	A	Dividend	J	T					
186. -IQ Hedge Multi#Strategy Tracker	A	Dividend	K	T					
187.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark L. Carman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544